

# PROJECT MANUAL

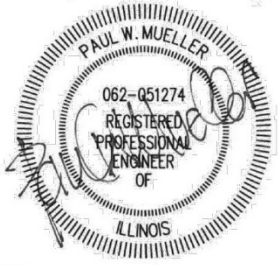
23-36-006

## Crawford County Airport Authority New Terminal Building 10748 North 1650<sup>th</sup> Street Palestine, Illinois 62451

Owner:  
Crawford County Airport Authority  
Illinois Project #RSV-5143

By:  
Prime Project Engineer (Civil, Structural,  
and M/E/P Engineer):  
Hanson Professional Services, Inc.  
1525 S. Sixth Street  
Springfield, Illinois 62703

Architect:  
White & Borgognoni Architects, P.C.  
212 North Illinois Avenue  
Carbondale, Illinois 62901



VOLUME 1 (DIVISIONS 00 – 13)

# 100% Submittal

May 1, 2024

Set #: \_\_\_\_\_

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<b>Firm Abbreviation</b>	<b>Firm Name</b>
WBA	White & Borgognoni Architects, P.C.
HPS	Hanson Professional Services
MET	Midwest Engineering and Testing, Inc.

**PROJECT MANUAL**

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SECTION 000113 – ADVERTISEMENT FOR BIDS

1.1 PROJECT INFORMATION

- A. Notice to Bidders: Prequalified bidders may submit bids for project as described in this Document. Submit bids according to the Instructions to Bidders.
  - 1. Regulatory Requirements: State of Illinois applicable laws and regulations shall govern submittal, opening, and award of bids.
- B. Project Identification: Crawford County Airport – New Terminal Building
  - 1. Project Location: 10748 N 1650th Street, Palestine, IL 62451
- C. Owner: Crawford County Airport Authority, 10748 N 1650th Street, Palestine, IL 62451
  - 1. Owner's Representative: David Shaw, Phone: 618-586-9999, ext. 221, E-mail: dave@flying-s.com.
- D. Architect: Hanson Professional Services, Inc.

For purposes of this Project, the administrative roles identified as being those of “The Architect” are by Hanson Professional Services Inc. (Hanson). However, it should not be construed by any party that Hanson is engaged in the practice of Architecture.

  - 1. Architect’s Representative: Jeff Olson, P.E., Phone: (217) 747-9278, E-mail: jolson@hanson-inc.com.
- E. Project Description: Project consists of construction of a new general aviation airport terminal building (7,003 GSF) with associated site work.
- F. Construction Contract: Bids will be received for the following Work:
  - 1. General Contract (all trades).

1.2 BID SUBMITTAL AND OPENING

- A. Owner will receive sealed lump sum bids until the bid time and date at the location given below. Owner will consider bids prepared in compliance with the Instructions to Bidders issued by Owner, and delivered as follows:
  - 1. Bid Date: Wednesday, June 5, 2024.
  - 2. Bid Time: 11:00 a.m., local time.
  - 3. Location: Temporary Jobsite Trailer at the Crawford County Airport, 10748 N 1650th Street, Palestine, IL 62451.
- B. Bids will be thereafter publicly opened and read aloud.

1.3 BID SECURITY

- A. Bid security shall be submitted with each bid in the amount of 5 percent of the bid amount. No bids may be withdrawn for a period of 90 days after opening of bids. Owner reserves the right to reject any and all bids and to waive informalities and irregularities.

1.4 PREBID MEETING

- A. Prebid Meeting: A Voluntary Prebid meeting for all bidders will be held at the Temporary Jobsite Trailer at the Crawford County Airport, 10748 N 1650th Street, Palestine, IL 62451, on Monday, May 20, 2024, at 11:00 a.m., local time. Prospective prime bidders are encouraged to attend.

1.5 DOCUMENTS

- A. Printed/Online Procurement and Contracting Documents: Obtain access by contacting Springfield Reprographics, 1620 S. 5th Street, Springfield, IL 62703 (217) 523-4632. Online access will be provided to all registered bidders and suppliers at [www.springfieldrepro.com](http://www.springfieldrepro.com). Documents may be viewed online and purchased for the non-refundable cost of printing and shipping.
- B. Viewing Procurement and Contracting Documents: Examine after May 8, 2024, at the locations below:
  - 1. Crawford County Airport, 10748 N 1650th Street, Palestine, IL 62451.
  - 2. Hanson Professional Services Inc., 1525 S. 6<sup>th</sup> Street, Springfield, IL 62703.
  - 3. White & Borgognoni Architects, P.C., 212 N Illinois Ave, Carbondale, IL 62901.

1.6 TIME OF COMPLETION

- A. Successful bidder shall begin the Work on receipt of the Notice to Proceed and shall complete the Work within the Contract Time.

1.7 BIDDER'S QUALIFICATIONS

- A. The Contractor shall be considered a prequalified prime contractor at the time of bidding as evidenced by their listing on the State of Illinois Capital Development Board's (CDB) List of Prequalified Contractors. CDB Prequalified Contractors list: <https://cdb.illinois.gov/business/vendor-searches.html>.
- B. As an alternative, Contractor's may request prequalification submitting for review a project resume, references, and verification of financial stability.

SECTION 001113 -  
ADVERTISEMENT FOR BIDS

- C. At minimum, Contractor's shall have a five (5) years of experience constructing projects of similar scope, complexity and cost as the subject project.
- D. Bids submitted by Bidders who have not attained prequalification shall not be opened and will be returned to the Bidder.
- E. Prequalification shall be requested no less than five (5) business days prior to the opening of Bids.
- F. For more information on becoming a prequalified Contractor go to: <https://cdb.illinois.gov/business/prequalification>.
- G. Bidders must be properly licensed under the laws governing their respective trades and be able to obtain insurance and bonds required for the Work. A Performance Bond, separate Labor and Material Payment Bond, and Insurance in a form acceptable to Owner will be required of the successful Bidder.

1.8 FEDERALLY FUNDED PROJECT

- A. This project is intended to be funded with Federal Aviation Administration funds and therefore is subject to Federal Contract Provisions referenced within these Contract Documents, including but not limited to Davis-Bacon Wage Rates, and Disadvantaged Business Enterprise participation goals.

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SECTION 002113 - INSTRUCTIONS TO BIDDERS

- A. AIA Document A701, "Instructions to Bidders," is hereby incorporated into the Procurement and Contracting Requirements by reference.
  - 1. A copy of AIA Document A701, "Instructions to Bidders," is bound in this Project Manual.

END OF SECTION 002113



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# DRAFT AIA® Document A701® – 2018

## Instructions to Bidders

for the following Project:

Crawford County Airport – New Terminal Building  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

### THE OWNER:

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

### THE ARCHITECT:

Hanson Professional Services Inc.  
1525 S 6<sup>th</sup> Street  
Springfield, IL 62703

For purposes of this Agreement, the administrative roles identified as being those of “The Architect” are by Hanson Professional Services Inc. (Hanson).

However, it should not be construed by any party that Hanson is engaged in the practice of Architecture.

### TABLE OF ARTICLES

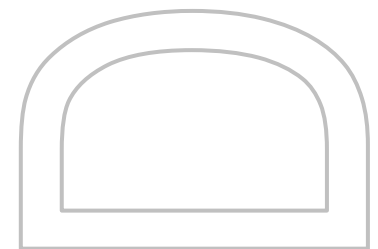
- 1 DEFINITIONS
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**ADDITIONS AND DELETIONS:** The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

FEDERAL, STATE, AND LOCAL LAWS MAY IMPOSE REQUIREMENTS ON PUBLIC PROCUREMENT CONTRACTS. CONSULT LOCAL AUTHORITIES OR AN ATTORNEY TO VERIFY REQUIREMENTS APPLICABLE TO THIS PROCUREMENT BEFORE COMPLETING THIS FORM.

It is intended that AIA Document G612™–2017, Owner’s Instructions to the Architect, Parts A and B will be completed prior to using this document.



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## ARTICLE 1 DEFINITIONS

§ 1.1 Bidding Documents include the Bidding Requirements and the Proposed Contract Documents. The Bidding Requirements consist of the advertisement or invitation to bid, Instructions to Bidders, supplementary instructions to bidders, the bid form, and any other bidding forms. The Proposed Contract Documents consist of the unexecuted form of Agreement between the Owner and Contractor and that Agreement's Exhibits, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, all Addenda, and all other documents enumerated in Article 8 of these Instructions.

§ 1.2 Definitions set forth in the General Conditions of the Contract for Construction, or in other Proposed Contract Documents apply to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Architect, which, by additions, deletions, clarifications, or corrections, modify or interpret the Bidding Documents.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents, to which Work may be added or deleted by sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from, or that does not change, the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment, or services, or a portion of the Work, as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment, or labor for a portion of the Work.

## ARTICLE 2 BIDDER'S REPRESENTATIONS

§ 2.1 By submitting a Bid, the Bidder represents that:

- .1 the Bidder has read and understands the Bidding Documents;
- .2 the Bidder understands how the Bidding Documents relate to other portions of the Project, if any, being bid concurrently or presently under construction;
- .3 the Bid complies with the Bidding Documents;
- .4 the Bidder has visited the site, become familiar with local conditions under which the Work is to be performed, and has correlated the Bidder's observations with the requirements of the Proposed Contract Documents;
- .5 the Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception; and
- .6 the Bidder has read and understands the provisions for liquidated damages, if any, set forth in the form of Agreement between the Owner and Contractor.

## ARTICLE 3 BIDDING DOCUMENTS

### § 3.1 Distribution

§ 3.1.1 Bidders shall obtain complete Bidding Documents, as indicated below, from the issuing office designated in the advertisement or invitation to bid, for the deposit sum, if any, stated therein.

Printed/Online Procurement and Contracting Documents: Obtain access by contacting Springfield Reprographics, 1620 S. 5th Street, Springfield, IL 62703 (217) 523-4632. Online access will be provided to all registered bidders and suppliers at [www.springfieldrepro.com](http://www.springfieldrepro.com). Documents may be viewed online and purchased for the non-refundable cost of printing and shipping.

~~§ 3.1.2 Any required deposit shall be refunded to Bidders who submit a bona fide Bid and return the paper Bidding Documents in good condition within ten days after receipt of Bids. The cost to replace missing or damaged paper~~

~~documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the paper Bidding Documents, and the Bidder's deposit will be refunded.~~

§ 3.1.3 Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the advertisement or invitation to bid, or in supplementary instructions to bidders.

§ 3.1.4 Bidders shall use complete Bidding Documents in preparing Bids. Neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete Bidding Documents.

§ 3.1.5 The Bidding Documents will be available for the sole purpose of obtaining Bids on the Work. No license or grant of use is conferred by distribution of the Bidding Documents.

### § 3.2 Modification or Interpretation of Bidding Documents

§ 3.2.1 The Bidder shall carefully study the Bidding Documents, shall examine the site and local conditions, and shall notify the Architect of errors, inconsistencies, or ambiguities discovered and request clarification or interpretation pursuant to Section 3.2.2.

§ 3.2.2 Requests for clarification or interpretation of the Bidding Documents shall be submitted by the Bidder in writing and shall be received by the Architect at least seven days prior to the date for receipt of Bids.

§ 3.2.3 Modifications and interpretations of the Bidding Documents shall be made by Addendum. Modifications and interpretations of the Bidding Documents made in any other manner shall not be binding, and Bidders shall not rely upon them.

### § 3.3 Substitutions

§ 3.3.1 The materials, products, and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance, and quality to be met by any proposed substitution.

#### § 3.3.2 Substitution Process

§ 3.3.2.1 Written requests for substitutions shall be received by the Architect at least ten days prior to the date for receipt of Bids. Requests shall be submitted in the same manner as that established for submitting clarifications and interpretations in Section 3.2.2.

§ 3.3.2.2 Bidders shall submit substitution requests on a Substitution Request Form if one is provided in the Bidding Documents.

§ 3.3.2.3 If a Substitution Request Form is not provided, requests shall include (1) the name of the material or equipment specified in the Bidding Documents; (2) the reason for the requested substitution; (3) a complete description of the proposed substitution including the name of the material or equipment proposed as the substitute, performance and test data, and relevant drawings; and (4) any other information necessary for an evaluation. The request shall include a statement setting forth changes in other materials, equipment, or other portions of the Work, including changes in the work of other contracts or the impact on any Project Certifications (such as LEED), that will result from incorporation of the proposed substitution.

§ 3.3.3 The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.

§ 3.3.4 If the Architect approves a proposed substitution prior to receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding, and Bidders shall not rely upon them.

§ 3.3.5 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents.

### § 3.4 Addenda

§ 3.4.1 Addenda will be transmitted to Bidders known by the issuing office to have received complete Bidding Documents.

§ 3.4.2 Addenda will be available where Bidding Documents are on file.

§ 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids, except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

§ 3.4.4 Prior to submitting a Bid, each Bidder shall ascertain that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

#### **ARTICLE 4 BIDDING PROCEDURES**

##### **§ 4.1 Preparation of Bids**

§ 4.1.1 Bids shall be submitted on the forms included with or identified in the Bidding Documents.

§ 4.1.2 All blanks on the bid form shall be legibly executed. Paper bid forms shall be executed in a non-erasable medium.

§ 4.1.3 Sums shall be expressed in both words and numbers, unless noted otherwise on the bid form. In case of discrepancy, the amount entered in words shall govern.

§ 4.1.4 Edits to entries made on paper bid forms must be initialed by the signer of the Bid.

§ 4.1.5 All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change" or as required by the bid form.

§ 4.1.6 Where two or more Bids for designated portions of the Work have been requested, the Bidder may, without forfeiture of the bid security, state the Bidder's refusal to accept award of less than the combination of Bids stipulated by the Bidder. The Bidder shall neither make additional stipulations on the bid form nor qualify the Bid in any other manner.

§ 4.1.7 Each copy of the Bid shall state the legal name and legal status of the Bidder. As part of the documentation submitted with the Bid, the Bidder shall provide evidence of its legal authority to perform the Work in the jurisdiction where the Project is located. Each copy of the Bid shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further name the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached, certifying the agent's authority to bind the Bidder.

§ 4.1.8 A Bidder shall incur all costs associated with the preparation of its Bid.

##### **§ 4.2 Bid Security**

§ 4.2.1 Each Bid shall be accompanied by the following bid security:

A bid security in an amount equal to five percent (5%) of the bid price shall be provided.

§ 4.2.2 The Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid and shall, if required, furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract or fail to furnish such bonds if required, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. In the event the Owner fails to comply with Section 6.2, the amount of the bid security shall not be forfeited to the Owner.

§ 4.2.3 If a surety bond is required as bid security, it shall be written on AIA Document A310™, Bid Bond, unless otherwise provided in the Bidding Documents. The attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of an acceptable power of attorney. The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 4.2.4 The Owner will have the right to retain the bid security of Bidders to whom an award is being considered until (a) the Contract has been executed and bonds, if required, have been furnished; (b) the specified time has elapsed so that Bids may be withdrawn; or (c) all Bids have been rejected.

##### **§ 4.3 Submission of Bids**

§ 4.3.1 A Bidder shall submit its Bid as indicated below:

§ 4.3.2 Paper copies of the Bid, the bid security, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address, and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

§ 4.3.3 Bids shall be submitted by the date and time and at the place indicated in the invitation to bid. Bids submitted after the date and time for receipt of Bids, or at an incorrect place, will not be accepted.

§ 4.3.4 The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

§ 4.3.5 A Bid submitted by any method other than as provided in this Section 4.3 will not be accepted.

#### § 4.4 Modification or Withdrawal of Bid

§ 4.4.1 Prior to the date and time designated for receipt of Bids, a Bidder may submit a new Bid to replace a Bid previously submitted, or withdraw its Bid entirely, by notice to the party designated to receive the Bids. Such notice shall be received and duly recorded by the receiving party on or before the date and time set for receipt of Bids. The receiving party shall verify that replaced or withdrawn Bids are removed from the other submitted Bids and not considered. Notice of submission of a replacement Bid or withdrawal of a Bid shall be worded so as not to reveal the amount of the original Bid.

§ 4.4.2 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids in the same format as that established in Section 4.3, provided they fully conform with these Instructions to Bidders. Bid security shall be in an amount sufficient for the Bid as resubmitted.

§ 4.4.3 After the date and time designated for receipt of Bids, a Bidder who discovers that it made a clerical error in its Bid shall notify the Architect of such error within two days, or pursuant to a timeframe specified by the law of the jurisdiction where the Project is located, requesting withdrawal of its Bid. Upon providing evidence of such error to the reasonable satisfaction of the Architect, the Bid shall be withdrawn and not resubmitted. If a Bid is withdrawn pursuant to this Section 4.4.3, the bid security will be attended to as follows:

In the event the lowest Bidder withdraws their Bid pursuant to this Section 4.4.3, the lowest Bidder's bid security may be retained at the discretion of the Owner.

### ARTICLE 5 CONSIDERATION OF BIDS

#### § 5.1 Opening of Bids

If stipulated in an advertisement or invitation to bid, or when otherwise required by law, Bids properly identified and received within the specified time limits will be publicly opened and read aloud. A summary of the Bids may be made available to Bidders.

#### § 5.2 Rejection of Bids

Unless otherwise prohibited by law, the Owner shall have the right to reject any or all Bids.

#### § 5.3 Acceptance of Bid (Award)

§ 5.3.1 It is the intent of the Owner to award a Contract to the lowest responsive and responsible Bidder, provided the Bid has been submitted in accordance with the requirements of the Bidding Documents. Unless otherwise prohibited by law, the Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's best interests.

§ 5.3.2 Unless otherwise prohibited by law, the Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the lowest responsive and responsible Bidder on the basis of the sum of the Base Bid and Alternates accepted.

### ARTICLE 6 POST-BID INFORMATION

#### § 6.1 Contractor's Qualification Statement

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request and within the timeframe specified by the Architect, a properly executed AIA Document A305™, Contractor's Qualification Statement, unless such a Statement has been previously required and submitted for this Bid.

## § 6.2 Owner's Financial Capability

A Bidder to whom award of a Contract is under consideration may request in writing, fourteen days prior to the expiration of the time for withdrawal of Bids, that the Owner furnish to the Bidder reasonable evidence that financial arrangements have been made to fulfill the Owner's obligations under the Contract. The Owner shall then furnish such reasonable evidence to the Bidder no later than seven days prior to the expiration of the time for withdrawal of Bids. Unless such reasonable evidence is furnished within the allotted time, the Bidder will not be required to execute the Agreement between the Owner and Contractor.

## § 6.3 Submittals

§ 6.3.1 After notification of selection for the award of the Contract, the Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, submit in writing to the Owner through the Architect:

- .1 a designation of the Work to be performed with the Bidder's own forces;
- .2 names of the principal products and systems proposed for the Work and the manufacturers and suppliers of each; and
- .3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

§ 6.3.2 The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

§ 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder if either the Owner or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, withdraw the Bid or submit an acceptable substitute person or entity. The Bidder may also submit any required adjustment in the Base Bid or Alternate Bid to account for the difference in cost occasioned by such substitution. The Owner may accept the adjusted bid price or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

§ 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

## ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND

### § 7.1 Bond Requirements

§ 7.1.1 If stipulated in the Bidding Documents, the Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder.

§ 7.1.2 If the furnishing of such bonds is stipulated in the Bidding Documents, the cost shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

§ 7.1.3 The Bidder shall provide surety bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 7.1.4 Unless otherwise indicated below, the Penal Sum of the Payment and Performance Bonds shall be the amount of the Contract Sum.

### § 7.2 Time of Delivery and Form of Bonds

§ 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than three days following the date of execution of the Contract. If the Work is to commence sooner in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.

§ 7.2.2 Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond.

§ 7.2.3 The bonds shall be dated on or after the date of the Contract.

§ 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix to the bond a certified and current copy of the power of attorney.

**ARTICLE 8 ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS**

§ 8.1 Copies of the proposed Contract Documents have been made available to the Bidder and consist of the following documents:

- .1 AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor, unless otherwise stated below.
- .2 AIA Document A101™–2017, Exhibit A, Insurance and Bonds, unless otherwise stated below.
- .3 AIA Document A201™–2017, General Conditions of the Contract for Construction, unless otherwise stated below.
- .4 AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:  
(Insert the date of the E203-2013.)

« »

- .5 Drawings

Number	Title	Date
00 01 15	List of Drawing Sheets	

- .6 Specifications

Section	Title	Date	Pages
00 01 10	Table of Contents		

- .7 Addenda:

Number	Date	Pages

- .8 Other Exhibits:

(Check all boxes that apply and include appropriate information identifying the exhibit where required.)

- [ « » ] AIA Document E204™–2017, Sustainable Projects Exhibit, dated as indicated below:  
(Insert the date of the E204-2017.)

« »

- [ « » ] The Sustainability Plan:

Title	Date	Pages

- [ X ] Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages
00 73 42	Prevailing Wages and Federal Contract Provisions		

- .9 Other documents listed below:

(List here any additional documents that are intended to form part of the Proposed Contract Documents.)





SECTION 002213 - SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

1.1 INSTRUCTIONS TO BIDDERS

A. Instructions to Bidders for Project consist of the following:

1. AIA Document A701, "Instructions to Bidders, a copy of which is bound in this Project Manual.
2. The following Supplementary Instructions to Bidders that modify and add to the requirements of the Instructions to Bidders.

1.2 SUPPLEMENTARY INSTRUCTIONS TO BIDDERS, GENERAL

A. The following supplements modify AIA Document A701, "Instructions to Bidders." Where a portion of the Instructions to Bidders is modified or deleted by these Supplementary Instructions to Bidders, unaltered portions of the Instructions to Bidders shall remain in effect.

B. A Disadvantaged Business Enterprise (DBE) goal of **5.0%** is in effect for this project, and shall be pursued in good faith in accordance with the Federal Provisions included within this Project Manual, and is required to include with their bid the following documents included within this Project Manual:

1. Affidavit of Business Diversity Utilization Plan.
2. DBE Statement of Intent to Perform Work.

1.3 ARTICLE 2 - BIDDER'S REPRESENTATIONS

A. Add Section 2.1.3.1:

1. 2.1.3.1 - The Bidder has investigated all required fees, permits, and regulatory requirements of authorities having jurisdiction and has properly included in the submitted bid the cost of such fees, permits, and requirements not otherwise indicated as provided by Owner.

B. Add Section 2.1.5:

1. 2.1.5 - The Bidder is a properly licensed Contractor according to the laws and regulations of the State of Illinois and meets qualifications indicated in the Procurement and Contracting Documents.

C. Add Section 2.1.6:

1. 2.1.6 - The Bidder has incorporated into the Bid adequate sums for work performed by installers whose qualifications meet those indicated in the Procurement and Contracting Documents.

D. 3.4 - Addenda:

1. Delete Section 3.4.3 and replace with the following:
  - a. 3.4.3 - Addenda may be issued at any time prior to the receipt of bids.
2. Add Section 3.4.4.1:
  - a. 3.4.4.1 - Owner may elect to waive the requirement for acknowledging receipt of 3.4.4 Addenda as follows:
    - 1) 3.4.4.1.1 - Information received as part of the Bid indicates that the Bid, as submitted, reflects modifications to the Procurement and Contracting Documents included in an unacknowledged Addendum.
    - 2) 3.4.4.1.2 - Modifications to the Procurement and Contracting Documents in an unacknowledged Addendum do not, in the opinion of Owner, affect the Contract Sum or Contract Time.

1.4 ARTICLE 4 - BIDDING PROCEDURES

A. 4.1 - Preparation of Bids:

1. Add Section 4.1.1.1:
  - a. 4.1.1.1 - Printable electronic Bid Forms and related documents are available from Architect.
2. Add Section 4.1.9:
  - a. 4.1.9 - Owner may elect to disqualify a bid due to failure to submit a bid in the form requested, failure to bid requested alternates or unit prices, failure to complete entries in all blanks in the Bid Form, or inclusion by the Bidder of any alternates, conditions, limitations or provisions not called for.
3. Add Section 4.1.10:
  - a. 4.1.10 - Bids shall include sales and use taxes. Contractors shall show separately with each monthly payment application the sales and use taxes paid by them and their subcontractors in the form indicated.

SECTION 002213 -  
SUPPLEMENTARY INSTRUCTIONS TO  
BIDDERS

Reimbursement of sales and use taxes, if any, shall be applied for by  
Owner for the sole benefit of Owner.

B. 4.3 - Submission of Bids:

1. Add Section 4.3.1.2:

- a. 4.3.1.2 - Include Bidder's Contractor License Number applicable in Project jurisdiction on the face of the sealed bid envelope.

C. 4.4 - Modification or Withdrawal of Bids:

1. Add the following sections to 4.4.2:

- a. 4.4.2.1 - Such modifications to or withdrawal of a bid may only be made by persons authorized to act on behalf of the Bidder. Authorized persons are those so identified in the Bidder's corporate bylaws, specifically empowered by the Bidder's charter or similar legally binding document acceptable to Owner, or by a power of attorney, signed and dated, describing the scope and limitations of the power of attorney. Make such documentation available to Owner at the time of seeking modifications or withdrawal of the Bid.
- b. 4.4.2.2 - Owner will consider modifications to a bid written on the sealed bid envelope by authorized persons when such modifications comply with the following: the modification is indicated by a percent or stated amount to be added to or deducted from the Bid; the amount of the Bid itself is not made known by the modification; a signature of the authorized person, along with the time and date of the modification, accompanies the modification. Completion of an unsealed bid form, awaiting final figures from the Bidder, does not require power of attorney due to the evidenced authorization of the Bidder implied by the circumstance of the completion and delivery of the Bid.

D. 4.5 - Break-Out Pricing Bid Supplement:

1. Add Section 4.5:

- a. 4.5 - Provide detailed cost breakdowns no later than two business days following Architect's request.

E. 4.6 - Subcontractors, Suppliers, and Manufacturers List Bid Supplement:

1. Add Section 4.6:

- a. 4.6 - Provide list of major subcontractors, suppliers, and manufacturers furnishing or installing products no later than two business days following Architect's request. Include those subcontractors, suppliers, and manufacturers providing work totaling three percent or more of the Bid amount. Do not change subcontractors, suppliers, and manufacturers from those submitted without approval of Architect.

1.5 ARTICLE 5 - CONSIDERATION OF BIDS

A. 5.2 - Rejection of Bids:

1. Add Section 5.2.1:

- a. 5.2.1 - Owner reserves the right to reject a bid based on Owner's and Architect's evaluation of qualification information submitted following opening of bids. Owner's evaluation of the Bidder's qualifications will include: status of licensure and record of compliance with licensing requirements, record of quality of completed work, record of Project completion and ability to complete, record of financial management including financial resources available to complete Project and record of timely payment of obligations, record of Project site management including compliance with requirements of authorities having jurisdiction, record of and number of current claims and disputes and the status of their resolution, and qualifications of the Bidder's proposed Project staff and proposed subcontractors.

1.6 ARTICLE 6 - POSTBID INFORMATION

A. 6.1 - Contractor's Qualification Statement:

1. Add Section 6.1.1:

- a. 6.1.1 - Submit Contractor's Qualification Statement no later than two business days following Architect's request.

B. 6.3 - Submittals:

1. Add Section 6.3.1.4:

- a. 6.3.1.4 - Submit information requested in Sections 6.3.1.1, 6.3.1.2, and 6.3.1.3 no later than two business days following Architect's request.

1.7 ARTICLE 7 - PERFORMANCE BOND AND PAYMENT BOND

A. 7.1 - Bond Requirements:

1. Add Section 7.1.1.1:
  - a. 7.1.1.1 - Both a Performance Bond and a Payment Bond will be required, each in an amount equal to 100 percent of the Contract Sum.

B. 7.2 - Time of Delivery and Form of Bonds:

1. Delete the first sentence of Section 7.2.1 and insert the following:
  - a. The Bidder shall deliver the required bonds to Owner no later than 10 days after the date of Notice of Intent to Award and no later than the date of execution of the Contract, whichever occurs first. Owner may deem the failure of the Bidder to deliver required bonds within the period of time allowed a default.
2. Delete Section 7.2.3 and insert the following:
  - a. 7.2.3 - Bonds shall be executed and be in force on the date of the execution of the Contract.

1.8 ARTICLE 9 - EXECUTION OF THE CONTRACT

A. Add Article 9:

1. 9.1.1 - Subsequent to the Notice of Intent to Award, and within 10 days after the prescribed Form of Agreement is presented to the Awardee for signature, the Awardee shall execute and deliver the Agreement to Owner through Architect, in such number of counterparts as Owner may require.
2. 9.1.2 - Owner may deem as a default the failure of the Awardee to execute the Contract and to supply the required bonds when the Agreement is presented for signature within the period of time allowed.
3. 9.1.3 - Unless otherwise indicated in the Procurement and Contracting Documents or the executed Agreement, the date of commencement of the Work shall be the date of the executed Agreement or the date that the Bidder is obligated to deliver the executed Agreement and required bonds to Owner.
4. 9.1.4 - In the event of a default, Owner may declare the amount of the Bid security forfeited and elect to either award the Contract to the next responsible bidder or re-advertise for bids.

END OF SECTION 002213

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DOCUMENT 00 25 13 - PREBID MEETINGS

1.1 PREBID MEETING

A. Architect will conduct a Prebid meeting as indicated below:

1. Meeting Date: May 20, 2024.
2. Meeting Time: 11:00 a.m., local time.
3. Location: 10748 North 1650<sup>th</sup> Street, Palestine, Illinois 62451.
  - a. Should the need for a virtual meeting arise, the A/E will conduct the meeting and provide access information to all associated parties via addendum.

B. Attendance:

1. Prime Bidders: Attendance at Prebid meeting is recommended.
2. Subcontractors: Attendance at Prebid meeting is recommended.

C. Agenda: Prebid meeting agenda will include review of topics that may affect proper preparation and submittal of bids, including the following:

1. Procurement and Contracting Requirements:
  - a. Advertisement for Bids.
  - b. Instructions to Bidders.
  - c. Bidder Qualifications.
  - d. Bonding.
  - e. Insurance.
  - f. Bid Security.
  - g. Bid Form and Attachments.
  - h. Bid Submittal Requirements.
  - i. Bid Submittal Checklist.
  - j. Notice of Award.
2. Communication during Bidding Period:
  - a. Obtaining documents.
  - b. Bidder's Requests for Information.
  - c. Bidder's Substitution Request/Prior Approval Request.
  - d. Addenda.
3. Contracting Requirements:
  - a. Agreement.
  - b. The General Conditions.
  - c. The Supplementary Conditions.
  - d. Other Owner requirements.
4. Construction Documents:
  - a. Scopes of Work.
  - b. Temporary Facilities.
  - c. Use of Site.
  - d. Work Restrictions.
  - e. Alternates, Allowances, and Unit Prices.
  - f. Substitutions following award.



5. Separate Contracts:
    - a. Work by Owner.
    - b. Work of Other Contracts.
  6. Schedule:
    - a. Project Schedule.
    - b. Contract Time.
    - c. Other Bidder Questions.
  7. Site/facility visit or walkthrough.
  8. Post-Meeting Addendum.
- D. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes to attendees and others known by the issuing office to have received a complete set of Procurement and Contracting Documents. Minutes of meeting are issued as Available Information and do not constitute a modification to the Procurement and Contracting Documents. Modifications to the Procurement and Contracting Documents are issued by written Addendum only.
1. Sign-in Sheet: Minutes will include list of meeting attendees.

END OF DOCUMENT 00 25 13

DOCUMENT 00 26 00 - PROCUREMENT SUBSTITUTION PROCEDURES

1.1 DEFINITIONS

- A. Procurement Substitution Requests: Requests for changes in products, materials, equipment, and methods of construction from those indicated in the Procurement and Contracting Documents, submitted prior to receipt of bids.
- B. Substitution Requests: Requests for changes in products, materials, equipment, and methods of construction from those indicated in the Contract Documents, submitted following Contract award. See Section 01 25 00 "Substitution Procedures" for conditions under which Substitution requests will be considered following Contract award.

1.2 QUALITY ASSURANCE

- A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

1.3 PROCUREMENT SUBSTITUTIONS

- A. Procurement Substitutions, General: By submitting a bid, the Bidder represents that its bid is based on materials and equipment described in the Procurement and Contracting Documents, including Addenda. Bidders are encouraged to request approval of qualifying substitute materials and equipment when the Specifications Sections list materials and equipment by product or manufacturer name.
- B. Procurement Substitution Requests will be received and considered by Owner when the following conditions are satisfied, as determined by Architect; otherwise requests will be returned without action:
  - 1. Extensive revisions to the Contract Documents are not required.
  - 2. Proposed changes are in keeping with the general intent of the Contract Documents, including the level of quality of the Work represented by the requirements therein.
  - 3. The request is fully documented and properly submitted.

1.4 SUBMITTALS

- A. Procurement Substitution Request: Submit to Architect. Procurement Substitution Request must be made in writing by prime contract Bidder only in compliance with the following requirements:

SECTION 00 26 00 -  
PROCUREMENT  
SUBSTITUTION  
PROCEDURES

1. Requests for substitution of materials and equipment will be considered if received no later than 10 days prior to date of bid opening.
2. Submittal Format: Submit written Procurement Substitution Request, using CSI Substitution Request Form 1.5C.

B. Architect's Action:

1. Architect may request additional information or documentation necessary for evaluation of the Procurement Substitution Request. Architect will notify all bidders of acceptance of the proposed substitute by means of an Addendum to the Procurement and Contracting Documents.

- C. Architect's approval of a substitute during bidding does not relieve Contractor of the responsibility to submit required shop drawings and to comply with all other requirements of the Contract Documents.

END OF DOCUMENT 00 26 00

DOCUMENT 00 31 32 - GEOTECHNICAL DATA

1.1 GEOTECHNICAL DATA

- A. This Document with its referenced attachments is part of the Procurement and Contracting Requirements for Project. They provide Owner's information for Bidders' convenience and are intended to supplement rather than serve in lieu of Bidders' own investigations. They are made available for Bidders' convenience and information. This Document and its attachments are not part of the Contract Documents.
- B. Because subsurface conditions indicated by the soil borings are a sampling in relation to the entire construction area, and for other reasons, the Owner, the Architect, the Engineer, and the firm reporting the subsurface conditions do not warranty the conditions below the depths of the borings or that the strata logged from the borings are necessarily typical of the entire site. Any party using the information described in the soil borings and geotechnical report shall accept full responsibility for its use.
- C. Soil-boring data for Project, obtained by Midwest Engineering and Testing, Inc. is available for viewing as appended to this Document.
- D. A geotechnical investigation report for Project, prepared by Midwest Engineering and Testing, Inc., dated April 25, 2024, is available for viewing as appended to this Document.
  - 1. The opinions expressed in this report are those of a geotechnical engineer and represent interpretations of subsoil conditions, tests, and results of analyses conducted by a geotechnical engineer. Owner is not responsible for interpretations or conclusions drawn from the data.
  - 2. Any party using information described in the geotechnical report shall make additional test borings and conduct other exploratory operations that may be required to determine the character of subsurface materials that may be encountered.
- E. Related Requirements:
  - 1. Document 002113 "Instructions to Bidders" for the Bidder's responsibilities for examination of Project site and existing conditions.

END OF DOCUMENT 00 31 32

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**Midwest Engineering and Testing, Inc.**  
geotechnical - environmental - materials engineers  
501 Mercury Drive  
Champaign, IL 61822-9649  
217-359-2128  
FAX 217-359-8446  
[www.metgeotech.com](http://www.metgeotech.com)

April 25, 2024

Mr. Barry Stolz, P.E.  
Hanson Professional Services Inc.  
1520 S. Fifth St., Suite 220  
St. Charles, Missouri 63303  
[BStolz@hanson-inc.com](mailto:BStolz@hanson-inc.com)

Re: Subsurface Exploration and Foundation Recommendations  
Proposed New Terminal Building  
Crawford County Airport  
10748 N 1650th St,  
Palestine, Illinois 62451  
MET Project No. C43044R1

Dear Mr. Stolz,

In accordance with your request, Midwest Engineering and Testing, Inc. (MET) has completed a geotechnical exploration and evaluation of the subsurface conditions at the above-referenced project site. Our geotechnical report in .pdf format is being submitted via e-mail. Hard copies can be provided if so desired.

MET appreciates the opportunity to be of service during this phase of the project. If there are any questions or comments you may have regarding the content of this report, or if we may be of any further service, please contact us at your convenience.

Sincerely,

**Midwest Engineering and Testing, Inc.**

Ekaterina Barteneva  
Geotechnical Project Engineer

Nicholas D. Wendling P.E.  
Geotechnical Department Manager

Daniel E. Tappendorf, P.E.  
President

**SUBSURFACE EXPLORATION  
and  
FOUNDATION RECOMMENDATIONS**

**Proposed New Terminal Building  
Crawford County Airport  
10748 N 1650th St,  
Palestine, Illinois 62451**

**Prepared For:**

**Hanson Professional Services Inc.  
1520 S. Fifth St., Suite 220  
St. Charles, Missouri 63303**

**April 25, 2024**

**MET File No. C43044R1**

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### Appendix

Figure 1 – Vicinity Map
Figure 2 – Boring Location Diagram
Soil Boring Logs (6)
Moisture Density Relationship
Grain Size Analysis
Lab Data Summary Table
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## **INTRODUCTION**

### **General**

This report presents the results of a subsurface exploration and evaluation of the foundation conditions for the proposed new terminal building to be located at the Crawford County Airport at 10748 North 1650th Street in Palestine, Illinois. A Vicinity Map, Figure 1, is included in the Appendix. The purpose of this study was to determine and evaluate the subsurface conditions existing at the subject site and to establish related parameters for use by the design engineers and architects. Included herein are the results of the subsurface exploration, field, and laboratory soil test data, and recommendations regarding the design and construction of the foundations, floor slabs, and pavements.

### **Scope**

The scope of services included a reconnaissance of the site, subsurface exploration, field, laboratory testing of the soil samples collected, and engineering analysis and evaluation of the data. In addition, geologic maps, and literature relative to the general area of the site were reviewed.

### **Authorization**

Authorization to perform this subsurface exploration and analysis was in the form of a fully executed Subconsultant Agreement between Hanson Professional Services Inc., and Midwest Engineering and Testing, Inc. (MET). The Agreement was provided in response to MET Proposal No. C24082, dated March 26, 2024, containing the scope of services.

## **PROJECT AND SITE DESCRIPTION**

The project site is located at Crawford County Airport at 10748 North 1650<sup>th</sup> Street in Palestine, Illinois. The proposed project involves constructing a new terminal building along with associated pavement. The new terminal building will replace the old building, which was destroyed in a tornado and will be demolished as part of the project. We anticipate that the new building will be a single-story, slab-on-grade structure, with relatively light structural loading and estimated maximum column loads of 45 kips and a finished floor elevation of 453 ft. The project will also include new drives and parking areas around the new building.

## **GEOLOGY OF THE AREA**

### **General**

The project site is situated to the east of Palestine, Illinois, and the surface geology is likely characterized by shallow deposits of glacial drift. Specifically, the drift is identified as the Mackinaw Member of the Henry Formation, typically composed of sand and gravel. These deposits are usually well-sorted and well-bedded, commonly found in valleys, former valley trains, and as glacial outwash, along with terrace remnants of past valley trains.

In the Palestine area, bedrock is generally encountered at depths up to 50 feet below the ground surface, primarily consisting of Pennsylvanian Age deposits associated with the Bond Formation. Sandstone, shale, limestone, and coal are the predominant rock types found within this formation in the region.

### **Seismic Considerations**

Based upon the soils encountered in the 30 ft. deep borings, it is our opinion that **Site Class D**, as defined in Table 1613.5.2 of the International Building Code (IBC) – 2015 be utilized. That is, stiff soils having an undrained shear strength between 1000 and 2000 PSF or a standard penetration resistance between 15 and 50 blows/ft. The project site is located at approximate latitude 39.0131°N and longitude 87.6418°W. At this location, the 0.2 second period ( $S_s$ ) and 1.0 second period ( $S_1$ ) spectral acceleration values, as determined from the OSHPD Seismic Design Maps Web Application, are 0.384 g and 0.145 g, respectively.

## **FIELD EXPLORATION**

### **Scope**

To evaluate the significant engineering characteristics of the foundation soils, a field exploratory program was undertaken. A total of six (6) soil borings were advanced for the project. All borings were advanced to a depth of 31.5 feet below the surface grade. The boring locations are shown on the Boring Location Diagram, Figure 2, included in the Appendix. The following sections describe the field drilling and testing procedures utilized.

### **Drilling and Sampling Procedures**

The soil borings were performed with a truck-mounted drilling rig equipped with a rotary head. Conventional, continuous-flight, hollow-stem augers were used to advance the

holes with representative samples obtained employing split-barrel sampling techniques in general accordance with ASTM Procedure D-1586.

## **Field Tests and Measurements**

**Standard Penetration Tests:** During the sampling procedure, Standard Penetration Tests (SPTs) were performed at regular intervals through the depth of the borings. The SPT value ("N", or blow counts) is defined as the number of blows required to advance a 2-inch O.D., split-barrel sampler a distance of one foot by a 140-pound hammer falling 30 inches. These values provide a useful preliminary indication of the consistency or relative density of most soil deposits and are included on the Soil Boring Logs.

**Water Level Measurements:** Groundwater level observations were made during and upon completion of the drilling process. Water level information is noted on the Soil Boring Logs in the Remarks column.

## **LABORATORY TESTING**

### **General**

Additional significant characteristics of the foundation materials were determined in the laboratory to provide data on which to classify and quantitatively assess the engineering properties of the soil samples obtained. The types of soils encountered were identified and logged on the Soil Boring Logs in the Appendix. The results of the field and laboratory tests are also presented in the Appendix. Representative samples of the soils encountered in the field were placed in clean, glass sample jars and are now stored in the laboratory for further analysis if desired.

### **Laboratory Tests and Measurements**

**Visual Classification:** A soil engineer visually classified all samples following the Unified Soil Classification System (ASTM D-2488) terminology. An explanation of the symbols used in this system is included in the Appendix.

**Moisture Content Tests:** The natural moisture content of all samples was determined by ASTM method D-2216 and is recorded on the Soil Boring Logs as a percentage of the dry weight of the soil.

**Hand Penetrometer Tests:** Cohesive specimens extracted from the split-barrel sampler were tested in the laboratory with a calibrated soil penetrometer. This device provides an approximation of the unconfined compressive strength of the soils, and is useful, along with other soil parameters, in evaluating the soil strength characteristics. The results are listed on the Soil Boring Logs beneath the column labeled "Q<sub>p</sub>".

**Unconfined Compression Tests:** The undrained shear strength of the cohesive soils was determined from unconfined compression tests performed on specimens obtained from the split-barrel samplers. The strength values of soil samples obtained by the SPT method must also be considered, recognizing that this sampling technique provides a representative, but a somewhat disturbed sample. The results are listed on the Soil Boring Logs beneath the column labeled "Q<sub>u</sub>".

**Dry Density Determination:** The dry density was determined on the cohesive soils where intact samples were available. The results are listed on the Soil Boring Logs beneath the column labeled "D<sub>d</sub>".

**Grain Size Analysis:** The grain size distribution of the soil particles was determined for a selected sample following AASHTO T 88. The data is included in the Report of Soil Grain Size Analysis sheets in the Appendix.

**Atterberg Limits:** The plasticity characteristics of selected cohesive soils were determined by performing Atterberg Limit tests (AASHTO T 89 and T 90). This data was used to aid in the soil classification and the evaluation of engineering properties. The data is included in the Report of Soil Grain Size Analysis sheets in the Appendix.

**Moisture Density Relationship:** The moisture density relationship of three (3) bulk soil samples was determined using the Standard Proctor Method of Test (AASHTO T99). The test determines the maximum dry density and the optimum moisture content for compaction of the select soil sample using standard compactive effort. The results are included on the Proctor Data Sheets included in the Appendix.

**Illinois Bearing Ratio:** The Illinois Bearing Ratio (IBR) of a bulk sample was determined following the IDOT Geotechnical Manual Appendix B.2. The IBR samples were molded at or slightly above optimum moisture content and were soaked for four (4) days before testing. The IBR testing results are discussed in the following sections and are shown in Lab Data Soil Test Data Sheet in the Appendix.

The laboratory testing was performed in general accordance with the respective ASTM and AASHTO Methods, as applicable. The results are included in the Soil Boring Logs included in the Appendix to this report. Unless notified to the contrary, all samples will be disposed of after one (1) month.

## **DESCRIPTION OF SUBSURFACE CONDITIONS**

### **General**

The types of foundation materials encountered at the test boring locations are described on the Soil Boring Logs. The lines delineating the changes in strata on the logs represent an approximate boundary between the various soil classifications. These soil descriptions and delineations are representative of the specific test hole location. Variations in the soil profile and the engineering properties of the soil deposits may occur between boring locations. A summary of the major soil profile components is described in the following paragraphs. A more detailed description and supporting data for each boring location can be found on the individual Soil Boring Logs.

### **Soil Conditions**

The ground surface at the boring locations was covered with a variety of pavement and existing foundation materials: 4 to 6 inches of asphalt/oil and chip over 3.5 to 10 inches of sand and gravel, or 5 inches of concrete with wire mesh. Below the surface materials, the borings encountered dark brown sandy clay fill material, which extended to a depth of approximately 4 feet below grade. We understand that this fill may have been placed during the apron construction in the 1960's. Underlying the fill material, stiff silty clay was observed in borings B-1, B-3, B-4, and B-5. These upper layers were typically underlain by a layer of brown to gray well-graded sand that extended to the end of the borings. The sand exhibited a range from very loose to dense relative density and was water bearing below a depth of about 10 ft.

### **Groundwater Observations**

Groundwater was encountered in all borings during drilling at depths ranging from 10 to 12.5 feet, and in borings B-3, B-4, and B-6 at a depth of 10 feet after completion of the drilling procedure. It must be recognized that groundwater levels fluctuate with time due to variations in seasonal precipitation, lateral drainage conditions, and soil permeability characteristics. Monitoring standpipes should be installed and periodically checked where it is necessary to more accurately assess prevailing water levels preceding or during construction.

## **FOUNDATION DISCUSSION AND RECOMMENDATIONS**

### **General**

Considering the subsurface conditions encountered, it is our opinion that lightly loaded conventional shallow footings could be utilized for support of the proposed building. Discussions of the foundation design parameters, as well as site preparation and construction considerations, are included in the following sections.

### **Shallow Foundation Systems**

Based on the soil boring data, the proposed structure could be supported using conventional shallow footings on suitable natural soils or engineered structural fill. The brown and gray silty clay or clayey sand encountered at a depth of about 4 ft. immediately below the darker-colored fill material is deemed suitable for direct foundation support or as a subgrade for constructing structural fill. Footings may then rest directly on these underlying soils, potentially involving extending the foundation walls, or the desired bearing elevation can be achieved using compacted granular fill or lean concrete. Remedial undercutting and replacement of unsuitable bearing soils should be overseen by a representative of the soil engineer. It is recommended to design isolated spread and continuous wall footings bearing on the native soils or engineered structural fill using net allowable bearing pressures of 1800 psf and 1,500 psf, respectively, adhering to the minimum dimensions discussed below.

It is possible that footing excavations may encounter soft or otherwise unsuitable soils at the base of the footing excavation, which may require over-excavation to suitable bearing soils. Where replacement backfill is used in footing undercuts, the excavation must extend laterally 8 inches for each foot of fill below the foundation base. It is recommended that fill or backfill materials used for this case consist of granular soils that can be categorized as GW, GP, SW, or SP under the Unified Soil Classification System, which are typically easier to place and compact in confined spaces and under potentially wet conditions. Granular backfill should be placed in lift thicknesses not exceeding 8 inches in a loose state, at moisture contents within 3 percentage points of laboratory optimum, and compacted to 95 percent of the maximum ASTM D-698 (Standard Proctor) dry density. If lean concrete is utilized to reestablish the bearing level, the bank-formed footings need not be widened, but excavations for formed footings should extend laterally beyond the edge of the footing at least six (6) inches in all directions to allow centering of forms and avoid eccentric loading.

Footings subjected to lateral loads should be dimensioned so that sliding resistance is adequate without including passive pressure. For sliding resistance, a friction coefficient

of 0.35 is recommended for concrete on medium stiff to stiff and loose to medium dense sandy clay and clayey sand-bearing soils. The friction coefficient value may be increased to 0.40 if the foundation elements are supported on a base course of crushed stone. Passive resistance in front of footings typically should not be used unless the top of the footing is below the depth of frost penetration or other possible types of disturbance, such as utility trench excavations. It should also be noted that large movement is necessary to mobilize full passive resistance.

All exterior footings should be found at least 3 feet below grade for frost protection. Interior footings not subject to frost action may be found immediately below floor slabs provided they are supported on suitable bearing soils or engineered structural fill. All footings must be protected from the effects of frost when construction is carried out during the winter months.

It is recommended that individual column footings have a minimum dimension of 30 inches and continuous footings have a minimum width of 18 inches. To lessen the effects of any slight differential movement that may occur due to variations in the character of the supporting soils and seasonal moisture contents, it is recommended that all footings be suitably reinforced.

In general, the foundation systems' performance on this site depends on the various factors, which have been discussed. Potential load-responsive settlements should remain within tolerable limits (estimated to be less than 1.0 inches) if the suggested design and construction criteria are followed. It is recommended that the preparation and installation of the foundations be monitored and tested by a representative of the soil engineer.

### **Slabs-on-grade**

It is anticipated that silty/sandy clay/clayey sand or structural fill materials of similar composition will comprise the subgrade of below-grade floor slabs. Before the placement of concrete slabs-on-grade, or before any supporting engineered fill is placed, all organic, loose, or compressive materials and should be removed. Before fill placement, the subgrade should be proof rolled and carefully inspected for areas of excessive yielding that would require remedial measures. Caution should be exercised when working on subgrades with high silt content due to their potential to exhibit instability from construction-related disturbance, especially when wet.

It is recommended that floor slabs be placed on a minimum 6-inch thick base course of well-graded crushed stone, such as IDOT CA-6 gradation. Proper subgrade preparation and adherence to recommendations regarding areas where the base will be supported on compacted fill will allow the use of a subgrade modulus of 125 psi/in. The use of plastic

vapor barriers is left to the discretion of the architect. When a vapor barrier is used, proper curing conditions must be maintained to reduce the potential for edge curling.

The floor slabs should be suitably reinforced and proper joints should be provided at the junctions of the slab and foundation system so that a small amount of independent movement can occur without causing damage. Large floor areas should be provided with joints at frequent intervals to compensate for concrete volume changes. It is recommended that ACI guidelines regarding joint construction and spacing be followed.

## **CONSTRUCTION CONSIDERATIONS**

### **Site Preparation**

Site preparation beneath the building and pavement areas should include the complete removal of existing pavement and foundations, demolition debris and any organic topsoil and vegetation. After the site stripping operations have been completed the resulting subgrade should be proof-rolled to detect areas of unstable, yielding soils. Any unstable areas found should be over-excavated or improved by appropriate preparation and compaction techniques. Scarification, drying, and recompaction of wet soils, subgrade stabilization using hydrated lime or cement, or the removal and replacement of poor soils with acceptable materials are alternative methods that can be considered depending upon the extent of the unstable area. Still, this determination should be made in consultation with the soil engineer at the time of construction. After a stable subgrade has been achieved, the site may then be raised to the proposed grade.

Deterioration of subgrade and bearing surfaces can occur from normal construction traffic, especially when the soils are wetted, or seasonally high groundwater levels are present. Accordingly, it is recommended that positive stormwater drainage be established at the start of construction and be maintained throughout the construction process.

All structural backfill and fill materials should be placed in lift thicknesses not exceeding 8 inches in a loose state at a moisture content within 3 percentage points of laboratory optimum. Structural fill and backfill materials should be compacted to 95 percent of the maximum dry density as determined by the ASTM D-698 (Standard Proctor) method of test. It is also recommended that the subgrade beneath building pads and pavements be compacted to 95 percent of the maximum Standard Proctor dry density.

Proper moisture control is essential to reduce the amount of compaction effort necessary to achieve the desired densities. This is especially true of cohesive soils, where scarification and aeration may be required to achieve near-optimum moisture levels before compaction. A sheepfoot roller is generally required for compaction of clayey



soils, whereas a vibratory smooth drum roller is preferred for granular and silty material. Granular backfill compacted using small, hand-operated equipment should be used in confined areas.

Backfill placed in utility excavations and against foundation walls should consist of a clean granular material that is generally more readily compacted to required densities than cohesive backfill in relatively confined areas. Proper placement and compaction of backfill in these areas are considered essential to reduce the potential for distress to overlying pavements and floor slabs.

The evaluation of the subgrade and selection of fill materials for various applications should be done in consultation with the soil engineer. Similarly, the placement and compaction of fill for structural applications should be monitored and tested by a qualified representative of the soil engineer.

### **Groundwater Control**

Based on the boring information, it is not anticipated that significant groundwater seepage will be encountered in shallow excavations for the foundations or utilities. Should any seepage be experienced, filtered sump pumps or other dewatering devices should be made available to control the water and maintain reasonably dry conditions.

Because the foundation materials are subject to deterioration when exposed to free moisture, every effort should be made to keep the soils dry, during and after construction. Site runoff and discharge water from roof drains should be diverted away from the foundation and directed towards on-site retention areas, natural drainage ways, or municipal sewer systems. Such measures reduce the potential for the softening and possible erosion of the foundation subgrade soils.

### **Pavement Considerations**

It is our opinion that the silty to sandy clay fill materials encountered within the upper 4 ft. of the profile are suitable to serve as subgrade beneath pavement areas. Based upon a bulk sample from boring B-3 from 1 to 3 ft., the soil has 47.6 percent sand, 31.4 percent silt and 21 percent clay. The sample had a Standard Proctor value of 122.9 pcf and an optimum moisture content of 10 percent with a liquid limit of 23.6 and a plasticity index of 9.3. The soaked Illinois Bearing Ratio IBR of the sample was 3.5. These subgrade soils possess grain-size characteristics that would result in a Poor Subgrade Stability Rating (SSR) according to the IDOT Geotechnical Manual. IDOT would also classify the soils as having poor drainage characteristics.

Past experience and research indicate these soils would possess a IBR value of about 6 percent if compacted to 95 percent of the standard proctor maximum dry density, which should be adequate to support paving equipment. However, the IBR of these soils typically decreases to between 2 and 3 percent over time, due to climatological factors and we recommend using a CBR value of 3.0 for design.

After the proposed parking areas have been cut to grade, the resulting subgrade should be proof-rolled to detect areas of unstable, yielding soils. Should the subgrade proof-rolling process expose areas requiring remediation before placement of the new pavement base course, such areas should be evaluated by the soil engineer during construction to assess possible methods of treatment. Subgrade treatment options that could be considered for unstable areas include moisture control, removal and replacement of the poor soils with crushed stone, or chemical stabilization with Code "L" lime or cement. The best method is typically dependent on the size of the area and weather conditions when the remediation is needed.

It should be noted that if subgrade preparation is attempted in the early spring or late fall months, it may not be possible to adequately aerate the subgrade to achieve the required densities. In this event, the use of chemical modification might become necessary to facilitate construction.

Rigid pavements should have a maximum joint spacing of 15 feet. The joints should be sealed after cutting to retard the downward migration of water and incompressible. For drainage purposes, a minimum pavement slope of 1 percent is recommended. Likewise, at a minimum, subgrade finger drains should be included around catch basins in the pavement to limit the accumulation of water in the base course under the pavement.

Pavement specifications should reference the **Illinois Department of Highways (IDOT), Standard Specifications for Road and Bridge Construction**, including all addenda. Bituminous concrete binder and surface course are included in Section 406. Portland Cement Concrete (PCC) pavement is referenced in Section 420. The aggregate base course is referenced in Section 351 and Section 1004.

## **Excavations**

All excavations should be performed following the requirements detailed in the OSHA Excavation Regulations and Procedures, Section 1926 Subpart P. Based upon the soil boring data, Type A, Type B, and Type C soils were all encountered through the depths explored.

The maximum allowable slopes for these soil types are shown in the following table.

Soil type	Maximum Allowable Slopes for Excavations Less than 20 ft. deep Horizontal: Vertical (H: V)
A	$\frac{3}{4}: 1$
B	1: 1
C	$1 \frac{1}{2}: 1$

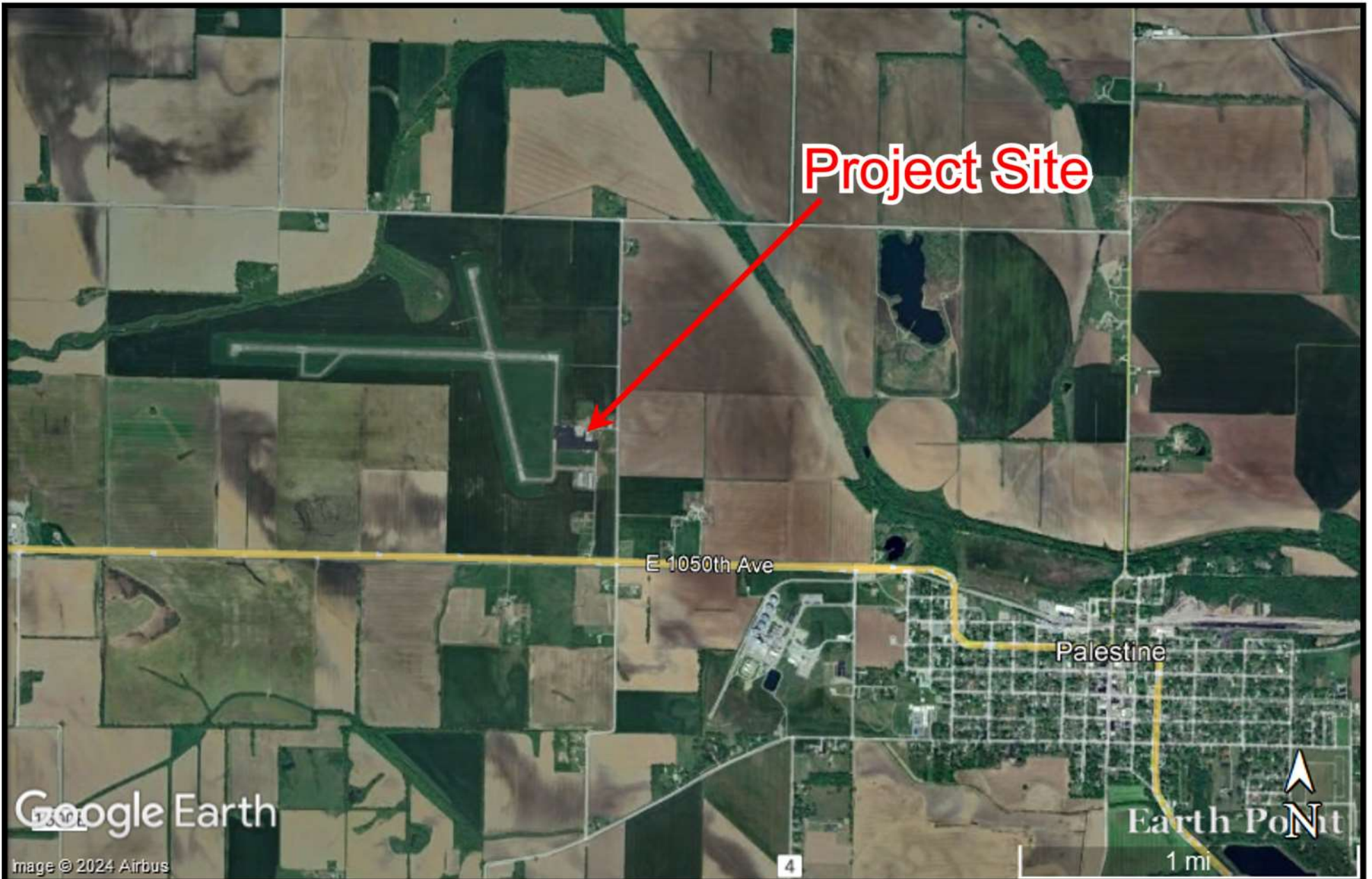
All excavations should be monitored by a Competent Person, as defined by the OSHA standard, and appropriate shoring or sloping techniques used to prevent cave-ins.

### GENERAL COMMENTS

This geotechnical exploration and foundation analysis has been conducted to aid in the evaluation of the foundation conditions for the proposed new terminal building to be located at the Crawford County Airport at 10748 North 1650th Street in Palestine, Illinois. The recommendations presented herein are based on the available soil information obtained and the design information provided. Any changes in the soil conditions encountered during construction, or in the design or location of the buildings, should be brought to the attention of the soil engineer to determine if modifications in the recommendations are required.

The final design plans and specifications should also be reviewed by the soil engineer to determine that the recommendations presented herein have been interpreted and implemented as intended. The soil engineer should monitor the earthwork and foundation operations so that he/she may test and evaluate the bearing capacities and the selection, placement, and compaction of controlled fills. This geotechnical study has been conducted in a manner consistent with that level of care ordinarily exercised by members of the profession currently practicing in the same locality under similar conditions. The findings, recommendations, and opinions contained herein have been promulgated following generally accepted practice in the fields of foundation engineering, soil mechanics, and engineering geology.

# APPENDIX



Google Earth

Image © 2024 Airbus

**MET**

**Midwest Engineering and Testing, Inc.**

geotechnical - environmental - materials engineers

Figure 1  
Vicinity Map  
Proposed New Terminal Building  
Crawford County Airport  
10748 N 1650th St,  
Palestine, Illinois 62451

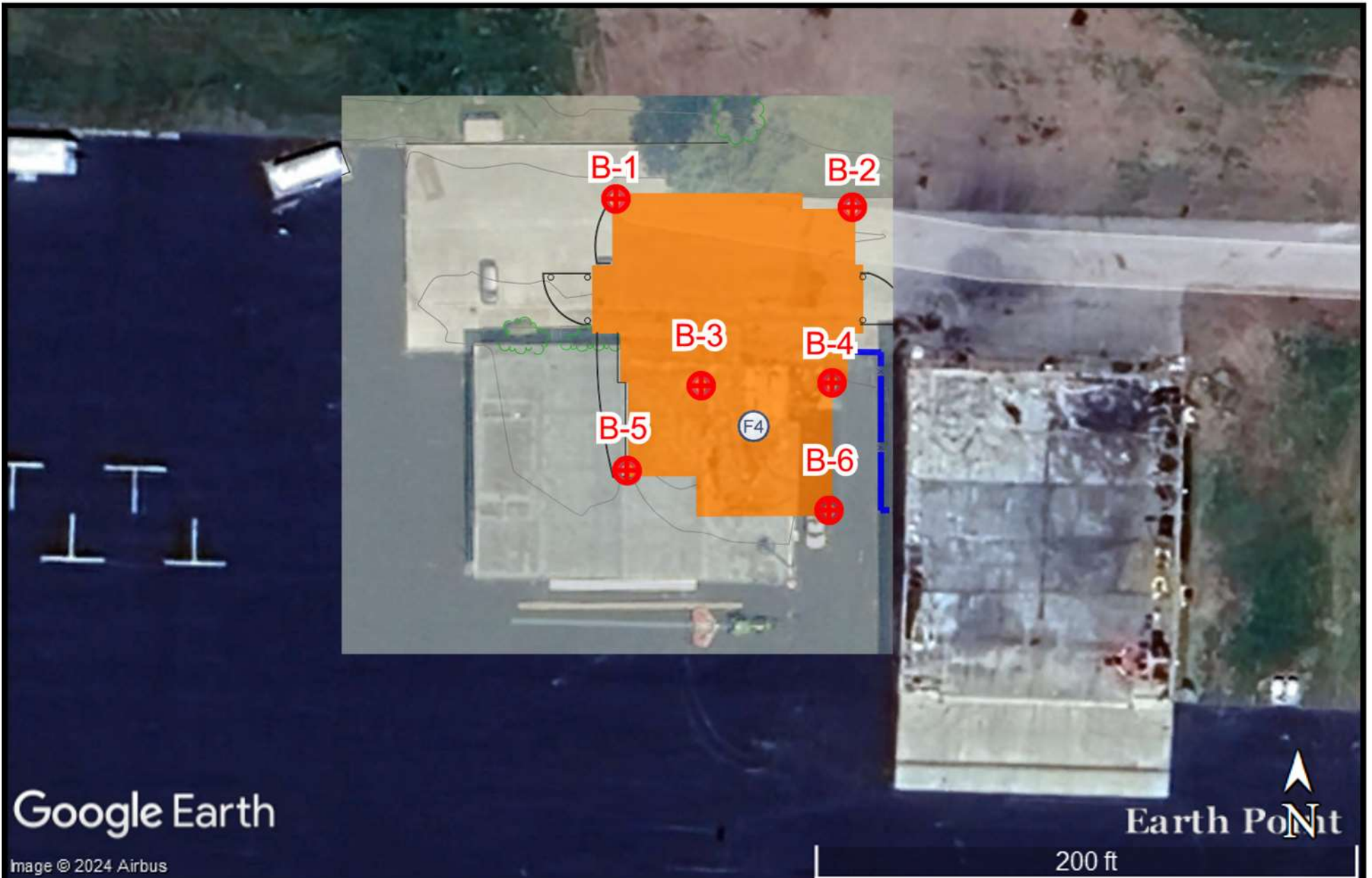
**SCALE:** Shown Above

**PROJECT NO.:** C43044

**DATE:** April 12, 2024

**DRAWN BY:** EB





Google Earth

Image © 2024 Airbus

Earth Point

200 ft

**MET**

**Midwest Engineering and Testing, Inc.**

geotechnical - environmental - materials engineers

Figure 2  
 Boring Location Diagram  
 Proposed New Terminal Building  
 Crawford County Airport  
 10748 N 1650th St,  
 Palestine, Illinois 62451

**SCALE:** Shown Above

**PROJECT NO.:** C43044

**DATE:** April 12, 2024


**DRAWN BY:** EB

SOIL BORING LOG

**MET** Midwest Engineering and Testing, Inc.

Project Name: Proposed New Terminal Building  
 Location: Crawford County Airport  
 10748 N 1650th St,  
 Palestine, Illinois 62451

Boring: B-1  
 Project No. : C43044  
 Date of Boring: April 9, 2024  
 Field Representative: Zack Wilcoxen

VISUAL SOIL CLASSIFICATION		Sample No.	N	Q <sub>p</sub> (tsf)	Q <sub>u</sub> (tsf)	MC (%)	Dd (pcf)	Remarks
GROUND SURFACE ELEVATION: 452.0 ft.								
4" Asphalt over 10" Sand and Gravel	Feet							
		1-AU	-	1.3	-	13	-	
Dark brown sandy CLAY (SC-CL) - Fill		2-SS	11	3.0	-	14	-	
	5							
Brown silty CLAY (CL) with sand		3-SS	8	3.5	2.2	17	103	
Brown fine and medium SAND (SW)		4-SS	5	-	-	22	-	
	10							
Brown fine SAND (SP)		5-SS	2	-	-	20	-	 Drilling: 10 ft. Completion: 10 ft.
Brown fine to medium SAND (SW)		6-SS	5	-	-	20	-	
	15							
Brown medium SAND (SP)		7-SS	7	-	-	11	-	
	20							
		8-SS	12	-	-	5	-	
	25							
		9-SS	13	-	-	18	-	
Brown medium to coarse SAND (SW)								
	30							
		10-SS	15	-	-	20	-	
<b>END OF BORING @ 31.5 FEET</b>								


Lines of Demarcation represent an **approximate** boundary between soil types. Variations may occur between sampling intervals and between boring locations, and the transition may be gradual. Dashed lines are indicative of potentially erratic or unknown changes, such as fill-to-natural soil zone transitions.

SOIL BORING LOG

**MET** Midwest Engineering and Testing, Inc.

Project Name: Proposed New Terminal Building  
 Location: Crawford County Airport  
 10748 N 1650th St,  
 Palestine, Illinois 62451

Boring: B-2  
 Project No. : C43044  
 Date of Boring: April 9, 2024  
 Field Representative: Zack Wilcoxon

VISUAL SOIL CLASSIFICATION		Sample No.	N	Q <sub>p</sub> (tsf)	Q <sub>u</sub> (tsf)	MC (%)	Dd (pcf)	Remarks
GROUND SURFACE ELEVATION: 450.0 ft.								
6" Asphalt over 8" Sand and Gravel	Feet							
Dark brown sandy CLAY (SC-CL) - Fill		1-AU	-	-	-	14	-	
		2-SS	8	0.8	1.2	15	107	
Gray clayey SAND (SC)	5	3-SS	2	-	-	11	-	
		4-SS	2	-	-	23	-	Completion: Caved: 7 ft.
	10	5-SS	1	-	-	28	-	
Gray medium to coarse SAND (SW)		6-SS	4	-	-	20	-	 Drilling: 12.5 ft.
	15	7-SS	3	-	-	21	-	
		8-SS	10	-	-	13	-	
Brown medium SAND (SP)	20	9-SS	12	-	-	14	-	
		10-SS	14	-	-	16	-	
END OF BORING @ 31.5 FEET								

Lines of Demarcation represent an **approximate** boundary between soil types. Variations may occur between sampling intervals and between boring locations, and the transition may be gradual. Dashed lines are indicative of potentially erratic or unknown changes, such as fill-to-natural soil zone transitions.



SOIL BORING LOG

**MET** Midwest Engineering and Testing, Inc.

Project Name: Proposed New Terminal Building  
 Location: Crawford County Airport  
 10748 N 1650th St,  
 Palestine, Illinois 62451

Boring: B-3  
 Project No. : C43044  
 Date of Boring: April 9, 2024  
 Field Representative: Zack Wilcoxen

VISUAL SOIL CLASSIFICATION		Sample No.	N	Q <sub>p</sub> (tsf)	Q <sub>u</sub> (tsf)	MC (%)	Dd (pcf)	Remarks
GROUND SURFACE ELEVATION: 452.0 ft.								
	Feet							
5" Concrete with Wire Mesh								
	Gray sandy CLAY (CL) - Fill	1-AU	-	0.5	-	17	-	
	Dark gray sandy CLAY (CL) - Fill	2-SS	7	4.5+	-	10	-	
5	Brown and gray silty CLAY (CL)	3-SS	7	3.5	2.6	17	98	
	Brown and gray clayey SAND (SC)	4-SS	7	-	-	18	-	
10	Brown clayey SAND (SC)	5-SS	2	-	-	25	-	▼ Completion: 10 ft
	Brown clayey SAND (SC)	6-SS	5	-	-	19	-	▼ Drilling: 12.5 ft.
15	Brown medium SAND (SP)	7-SS	9	-	-	23	-	
	Brown medium SAND (SP)	8-SS	11	-	-	8	-	
20	Gray medium to coarse SAND (SW)	9-SS	10	-	-	18	-	
25	Gray medium to coarse SAND (SW)	10-SS	16	-	-	18	-	
30	END OF BORING @ 31.5 FEET							

Lines of Demarcation represent an **approximate** boundary between soil types. Variations may occur between sampling intervals and between boring locations, and the transition may be gradual. Dashed lines are indicative of potentially erratic or unknown changes, such as fill-to-natural soil zone transitions.

SOIL BORING LOG

**MET** Midwest Engineering and Testing, Inc.

Project Name: Proposed New Terminal Building  
 Location: Crawford County Airport  
 10748 N 1650th St,  
 Palestine, Illinois 62451

Boring: B-4  
 Project No. : C43044  
 Date of Boring: April 9, 2024  
 Field Representative: Zack Wilcoxon

VISUAL SOIL CLASSIFICATION		Sample No.	N	Q <sub>p</sub> (tsf)	Q <sub>u</sub> (tsf)	MC (%)	Dd (pcf)	Remarks
GROUND SURFACE ELEVATION: 451.5 ft.								
6" Asphalt over 10" Sand and Gravel	Feet							
Dark gray sandy CLAY (CL) - Fill		1-AU	-	2.0	-	15	-	
Dark brown sandy CLAY (SC-CL) - Fill		2-SS	7	3.0	1.8	11	112	
	5							
Brown and gray silty CLAY (CL) with sand		3-SS	5	1.5	-	20	-	
Brown coarse SAND (SW) with gravel		4-SS	4	-	-	14	-	
	10							
		5-SS	2	-	-	25	-	▼ Completion: 10 ft
Brown clayey SAND (SC)		6-SS	2	-	-	27	-	▼ Drilling: 12.5 ft.
	15							
		7-SS	1	-	-	27	-	
Brown medium SAND (SP)	20							
		8-SS	9	-	-	8	-	
Brown medium to coarse SAND (SW)	25							
		9-SS	10	-	-	15	-	
Gray coarse SAND (SW) with gravel	30							
		10-SS	18	-	-	17	-	
<b>END OF BORING @ 31.5 FEET</b>								


Lines of Demarcation represent an **approximate** boundary between soil types. Variations may occur between sampling intervals and between boring locations, and the transition may be gradual. Dashed lines are indicative of potentially erratic or unknown changes, such as fill-to-natural soil zone transitions.

SOIL BORING LOG

**MET** Midwest Engineering and Testing, Inc.

Project Name: Proposed New Terminal Building  
 Location: Crawford County Airport  
 10748 N 1650th St,  
 Palestine, Illinois 62451

Boring: B-5  
 Project No. : C43044  
 Date of Boring: April 9, 2024  
 Field Representative: Zack Wilcoxen

VISUAL SOIL CLASSIFICATION		Sample No.	N	Q <sub>p</sub> (tsf)	Q <sub>u</sub> (tsf)	MC (%)	Dd (pcf)	Remarks
GROUND SURFACE ELEVATION: 452.5 ft. Feet								
5" Concrete with Wire Mesh								
Gray sandy CLAY (CL) - Fill		1-AU	-	0.3	-	17	-	
Dark brown sandy CLAY (SC-CL) - Fill		2-SS	9	3.5	1.5	13	106	
5								
Brown and gray silty CLAY (CL) with sand		3-SS	9	2.5	2.1	19	97	
Gray medium to coarse SAND (SW)		4-SS	7	-	-	9	-	Completion: Caved: 7 ft.
10								
Brown medium to coarse SAND (SW)		5-SS	5	-	-	16	-	
Brown clayey SAND (SC)		6-SS	2	-	-	27	-	 Drilling: 12.5 ft.
15								
Brown clayey SAND (SC)		7-SS	4	-	-	23	-	
20								
Brown medium SAND (SP)		8-SS	7	-	-	7	-	
25								
Gray medium to coarse SAND (SW) with gravel		9-SS	9	-	-	18	-	
30								
Gray GRAVEL (GW) with sand		10-SS	14	-	-	14	-	
END OF BORING @ 31.5 FEET								

Lines of Demarcation represent an **approximate** boundary between soil types. Variations may occur between sampling intervals and between boring locations, and the transition may be gradual. Dashed lines are indicative of potentially erratic or unknown changes, such as fill-to-natural soil zone transitions.

SOIL BORING LOG

**MET** Midwest Engineering and Testing, Inc.

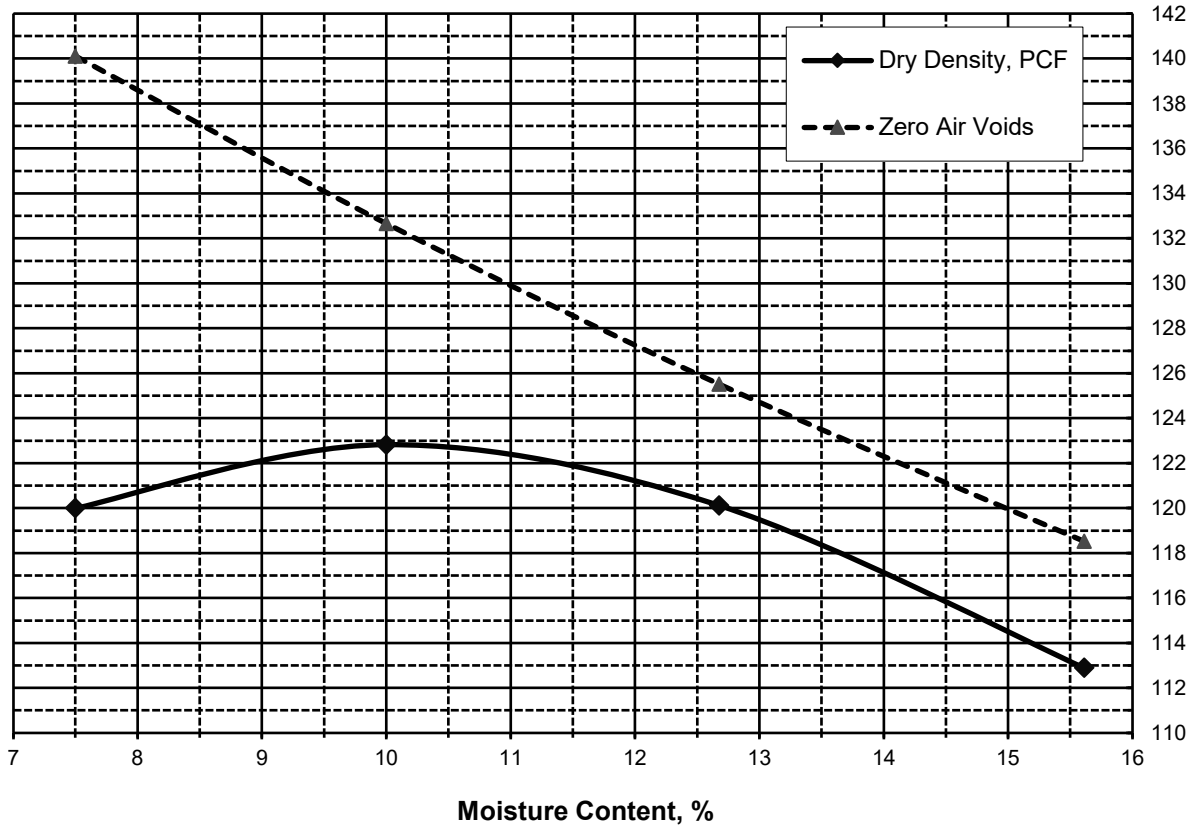
Project Name: Proposed New Terminal Building  
 Location: Crawford County Airport  
 10748 N 1650th St,  
 Palestine, Illinois 62451

Boring: B-6  
 Project No. : C43044  
 Date of Boring: April 9, 2024  
 Field Representative: Zack Wilcoxen

VISUAL SOIL CLASSIFICATION		Sample No.	N	Q <sub>p</sub> (tsf)	Q <sub>u</sub> (tsf)	MC (%)	Dd (pcf)	Remarks
GROUND SURFACE ELEVATION: 452.0 ft. Feet								
5.5" Oil and Chip over 3.5" Sand and Gravel								
	Dark brown sandy CLAY (SC-CL) - Fill	1-AU	-	-	-	12	-	
		2-SS	15	1.5	0.8	11	103	
5	Gray sandy CLAY to clayey SAND (SC)	3-SS	7	-	-	11	-	
	Gray medium to coarse SAND (SW)	4-SS	5	-	-	14	-	
10	Brown clayey SAND (SC)	5-SS	2	-	-	22	-	▼ Completion: 10 ft
		6-SS	2	-	-	28	-	▼
15	Brown medium SAND (SP)	7-SS	6	-	-	7	-	Drilling: 12.5 ft.
20		8-SS	9	-	-	11	-	
25	Brown medium to coarse SAND (SW)	9-SS	10	-	-	15	-	
30		10-SS	11	-	-	24	-	
<b>END OF BORING @ 31.5 FEET</b>								

Lines of Demarcation represent an **approximate** boundary between soil types. Variations may occur between sampling intervals and between boring locations, and the transition may be gradual. Dashed lines are indicative of potentially erratic or unknown changes, such as fill-to-natural soil zone transitions.

**MOISTURE DENSITY RELATIONSHIP  
AAASHTO T 99**



**SOIL I.D. NUMBER:** 1

**SAMPLE LOCATION:** Boring B-3 1' - 3'

**VISUAL CLASSIFICATION:** Dark gray sandy CLAY (SC-CL)

**MAXIMUM DRY DENSITY, PCF:** 122.9

**OPTIMUM MOISTURE CONTENT, %:** 10.0

<p><b>Client:</b> Hanson Professional Services Inc. 1520 S. Fifth St., Suite 220 St. Charles, Missouri 63303</p> <p><b>Date:</b> April 18, 2023</p>	<p><b>Project:</b> Proposed New Terminal Building Crawford County Airport 10748 N 1650th St, Palestine, Illinois 62451</p> <p><b>Project Number:</b> C43044</p>
---	---

# Report of Soil Grain Size Analysis - AASHTO T 88

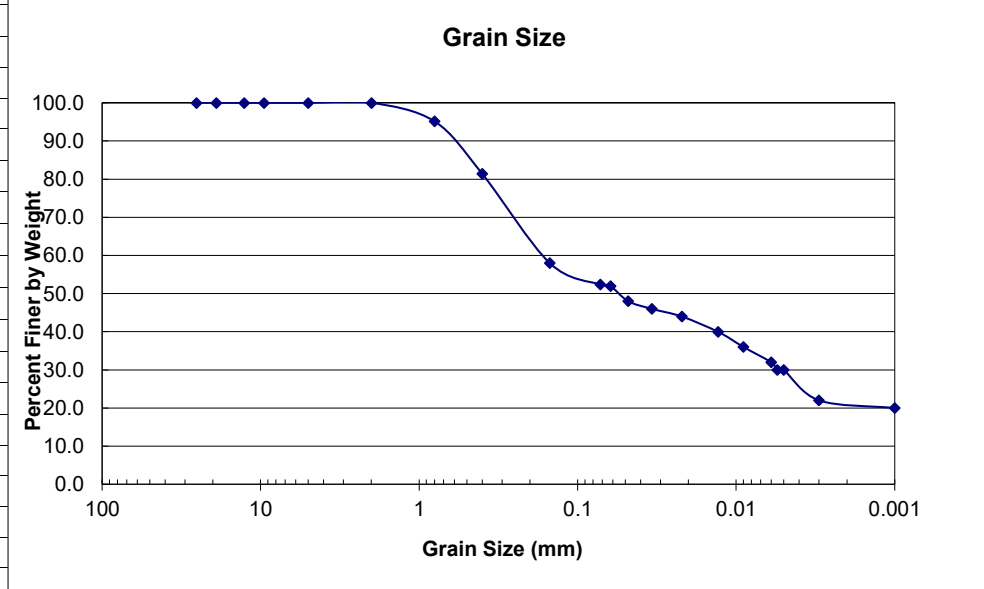
<b>Client:</b>	Hanson Professional Services Inc. 1520 S. Fifth St., Suite 220 St. Charles, Missouri 63303	<b>Project:</b>	Crawford County Airport 10748 N 1650th St, Palestine, Illinois 62451	<b>Project No:</b>	C43044
				<b>Date:</b>	04/24/24

<b>Soil ID:</b>	B-3 (1'-3')
-----------------	-------------

Original	Correction Factor		Corrected	Diameter	Percent %
	+	-			
31		5	26	0.062	52
29		5	24	0.048	48
28		5	23	0.034	46
27		5	22	0.022	44
25		5	20	0.013	40
23		5	18	0.009	36
21		5	16	0.006	32
20		5	15	0.0055	30
20		5	15	0.005	30
16		5	11	0.003	22
15		5	10	0.001	20

Sieve	Wt.	Cum. Wt.	% Retained	% Passing
1	25.4	0.0	0.0	100.0
3/4	19.05	0.0	0.0	100.0
1/2	12.7	0.0	0.0	100.0
3/8	9.525	0.0	0.0	100.0
4	5	0.0	0.0	100.0
10	2	0.0	0.0	100.0
20	0.8	2.4	4.8	95.2
40	0.4	6.9	18.6	81.4
100	0.15	11.7	42.0	58.0
200	0.072	23.8	47.6	52.4

25.4	100.0
19.05	100.0
12.7	100.0
9.525	100.0
5	100.0
2	100.0
0.8	95.2
0.4	81.4
0.15	58.0
0.072	52.4
0.062	52.0
0.048	48.0
0.034	46.0
0.022	44.0
0.013	40.0
0.009	36.0
0.006	32.0
0.0055	30.0
0.005	30.0
0.003	22.0
0.001	20.0



SAND (2-.072 mm)	SILT (.072 - .002)	CLAY (<.002)
47.6	31.4	21.0



Proposed New Terminal Building  
Crawford County Airport  
10748 N 1650th St,  
Palestine, Illinois 62451  
MET Project No. C43044

**Midwest Engineering and Testing, Inc.**  
geotechnical - environmental - materials engineers  
501 Mercury Drive  
Champaign, IL 61822-9649  
217-359-2128  
FAX 217-359-8446  
[www.metgeotech.com](http://www.metgeotech.com)

### Lab Soil Data Summary

Bulk Sample	Visual Soil Classification	Liquid Limit	Plastic Limit	Plasticity Index	Maximum Dry Density (pcf)	Optimum Moisture (%)	CBR	% Sand	% Silt	% Clay
B-3 (1'-3')	Dark gray sandy CLAY (SC-CL)	23.6	14.3	9.3	122.9	10.0	3.5	47.6	31.4	21.0

# UNIFIED SOIL CLASSIFICATION

MAJOR DIVISIONS		SYMBOL	TYPICAL DESCRIPTION
COARSE GRAINED SOILS	Gravel and Gravelly Soils	Clean Gravels	GW Well-graded gravels and gravel-sand mixtures
		Gravels with Fines	GP Poorly-graded gravels and gravel-sand mixtures
		Gravels with Fines	GM Silty gravels and gravel-sand- silt mixtures
		Gravels with Fines	GC Clayey gravels and gravel-sand- clay mixtures
	Sand and Sandy Soils	Clean Sands	SW Well-graded sands and gravelly sands
		Sands with Fines	SP Poorly-graded sands and gravelly sands
		Sands with Fines	SM Silty sands and sand-silt mixtures
		Sands with Fines	SC Clayey sands and sand-clay mixtures
FINE GRAINED SOILS	Sils and Clays of Low Plasticity	ML Inorganic silts or clayey silts of slight plasticity	
		CL Inorganic clays of low to medium plasticity	
		OL Organic silts and organic silty clays of low plasticity	
	Sils and Clays of High Plasticity	MH Inorganic silts of high plasticity	
		CH Inorganic clays of medium to high plasticity	
		OH Organic clays of medium to high plasticity	
Highly Organic Soils		PT Peat, humus and swamp soils with high organic contents	

*Note: Dual symbols are used to indicate borderline classifications.*



## GENERAL NOTES

### SAMPLE IDENTIFICATION

Visual soil classifications are made in general accordance with the Unified Soil Classification System on the basis of textural and particle size categorization, and various soil behavior characteristics. Visual classifications should be substantiated by appropriate laboratory testing when a more exact soil identification is required to satisfy specific project applications criteria.

#### PARTICLE SIZE ±

Boulders: 8 inches Cobbles: 3 to 8 inches Gravel: 5 mm to 3 inches	Coarse Sand: 2 mm to 4 mm Medium Sand: 0.42 mm to 2 mm Fine Sand: 0.074 to 0.42 mm	Silt: 0.005 mm to 0.074 mm Clay: < 0.005 mm
--	--	--

### DRILLING & SAMPLING SYMBOLS

SS: Split-spoon, 2" O.D. by 1 3/8" I.D.	RB: Roller Bit
ST: Shelby Tube, 2" O.D. or 3" O.D., as noted in test	WS: Wash Sample
AU: Auger Sample	BS: Bag Sample
DB: Diamond Bit	HA: Hand Auger
CB: Carbide Bit	

### SOIL PROPERTY SYMBOLS

N: Standard penetration count, indicating number of blows of a 140 lb. Hammer with a 30-inch drop, required to advance a split-spoon sampler one (1) foot.

Qu: Unconfined compressive strength, tons per square foot (tsf).

Qp: Calibrated hand penetrometer resistance, tsf.

MC: Moisture Content, %

LL: Liquid Limit      PL: Plastic Limit      PI: Plasticity Index

Dd: Dry density, pounds per cubic foot (pcf).

PID Photoionization Detector (Hnu meter) volatile vapor level, ppm

### SOIL RELATIVE DENSITY AND CONSISTENCY CLASSIFICATION

NON-COHESIVE SOILS		COHESIVE SOILS		
Classifier	N-Value Range	Classifier	Qu Range (tsf)	N-Value Range
very loose	0 – 3	very soft	0 – 0.25	0 – 2
loose	3 – 7	soft	0.25 – 0.5	2 – 5
medium dense	7 – 15	medium stiff	0.5 – 1.0	5 – 10
dense	15 – 38	stiff	1.0 – 2.0	10 – 14
very dense	38 +	very stiff	2.0 – 4.0	14 – 32
		hard	4.0 +	32 +

### GROUNDWATER



Approximate Groundwater level at time noted on soil boring log, measured in open bore hole unless otherwise noted. Groundwater levels often vary with time, and are affected by soil permeability characteristics, weather conditions, and lateral drainage conditions.

SECTION 004113 - BID FORM - STIPULATED SUM (SINGLE-PRIME CONTRACT)

1.1 BID INFORMATION

- A. Bidder: \_\_\_\_\_.
- B. Project Name: Crawford County Airport – New Terminal Building
- C. Project Location: 10748 N 1650th Street, Palestine, IL 62451
- D. Owner: Crawford County Airport Authority
- E. Owner Project Number: Illinois Project RSV-5143
- F. Architect: Hanson Professional Services Inc.

For purposes of this Project, the administrative roles identified as being those of "The Architect" are by Hanson Professional Services Inc. (Hanson). However, it should not be construed by any party that Hanson is engaged in the practice of Architecture.

- G. Architect Project Number: 23A1027 (W&B# 23-36-006/206)

1.2 CERTIFICATIONS AND BASE BID

- A. Base Bid, Single-Prime (All Trades) Contract: The undersigned Bidder, having carefully examined the Procurement and Contracting Requirements, Conditions of the Contract, Drawings, Specifications, and all subsequent Addenda, as prepared by Hanson Professional Services Inc. and Architect's consultants, having visited the site, and being familiar with all conditions and requirements of the Work, hereby agrees to furnish all material, labor, equipment and services, including all scheduled allowances, necessary to complete the construction of the above-named project, according to the requirements of the Procurement and Contracting Documents, for the stipulated sum of:

1. \_\_\_\_\_ Dollars  
(\$ \_\_\_\_\_).

- 2. The above amount may be modified by amounts indicated by the Bidder on the attached Document 004323 "Alternates Form."

1.3 BID GUARANTEE

- A. The undersigned Bidder agrees to execute a contract for this Work in the above amount and to furnish surety as specified within 10 days after a written Notice of

SECTION 004113 -  
BID FORM - STIPULATED SUM  
(SINGLE-PRIME CONTRACT)

Award, if offered within 60 days after receipt of bids, and on failure to do so agrees to forfeit to Owner the attached cash, cashier's check, certified check, U.S. money order, or bid bond, as liquidated damages for such failure, in the following amount constituting five percent (5%) of the Base Bid amount above:

1. \_\_\_\_\_ Dollars  
(\$\_\_\_\_\_).

B. In the event Owner does not offer Notice of Award within the time limits stated above, Owner will return to the undersigned the cash, cashier's check, certified check, U.S. money order, or bid bond.

1.4 SUBCONTRACTORS AND SUPPLIERS

A. The following companies shall execute subcontracts for the portions of the Work indicated:

1. Concrete Work: \_\_\_\_\_.
2. Masonry Work: \_\_\_\_\_.
3. Roofing Work: \_\_\_\_\_.
4. Plumbing Work: \_\_\_\_\_.
5. HVAC Work: \_\_\_\_\_.
6. Electrical Work: \_\_\_\_\_.

1.5 TIME OF COMPLETION

A. The undersigned Bidder proposes and agrees hereby to commence the Work of the Contract Documents on a date specified in a written Notice to Proceed to be issued by Architect and shall fully complete the Work within 450 calendar days.

1.6 ACKNOWLEDGEMENT OF ADDENDA

A. The undersigned Bidder acknowledges receipt of and use of the following Addenda in the preparation of this Bid:

1. Addendum No. 1, dated \_\_\_\_\_.
2. Addendum No. 2, dated \_\_\_\_\_.
3. Addendum No. 3, dated \_\_\_\_\_.
4. Addendum No. 4, dated \_\_\_\_\_.

1.7 BID SUPPLEMENTS

- A. The following supplements are a part of this Bid Form and are attached hereto.
1. Bid Form Supplement – Alternates.
  2. Bid Form Supplement – Bid Bond Form (AIA Document A310-2010).
  3. Bid Form Supplement – Proposed Product Substitution List.
  4. Bid Form Supplement – Representations & Certifications.

1.8 CONTRACTOR'S LICENSE

- A. The undersigned further states that it is a duly licensed contractor, for the type of work proposed, in the State of Illinois, and that all fees, permits, etc., pursuant to submitting this proposal have been paid in full.

1.9 SUBMISSION OF BID

- A. Respectfully submitted this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.
- B. Submitted By: \_\_\_\_\_ (Name of bidding firm or corporation).
- C. Authorized Signature: \_\_\_\_\_ (Handwritten signature).
- D. Signed By: \_\_\_\_\_ (Type or print name).
- E. Title: \_\_\_\_\_ (Owner/Partner/President/Vice President).
- F. Witnessed By: \_\_\_\_\_ (Handwritten signature).
- G. Attest: \_\_\_\_\_ (Handwritten signature).
- H. By: \_\_\_\_\_ (Type or print name).
- I. Title: \_\_\_\_\_ (Corporate Secretary or Assistant Secretary).
- J. Street Address: \_\_\_\_\_.
- K. City, State, Zip: \_\_\_\_\_.
- L. Phone: \_\_\_\_\_.
- M. License No.: \_\_\_\_\_.
- N. Federal ID No.: \_\_\_\_\_ (Affix Corporate Seal Here).

END OF SECTION 004113

SECTION 00 41 14 - BID  
FORM - PROPOSED  
PRODUCT SUBSTITUTION  
LIST

DOCUMENT 00 41 14 - BID FORM - PROPOSED PRODUCT SUBSTITUTION LIST

PART 1 - PROPOSED PRODUCT SUBSTITUTION LIST

1.1 THE BID INCLUDES ONLY THOSE PRODUCTS SPECIFIED IN THE BIDDING DOCUMENTS. FOLLOWING IS A LIST OF SUBSTITUTE PRODUCTS WHICH BIDDER PROPOSES TO FURNISH ON THIS PROJECT, WITH THE DIFFERENCE IN PRICE BEING ADDED TO OR DEDUCTED FROM THE BID.

1.2 BIDDER UNDERSTANDS THAT ACCEPTANCE OF ANY PROPOSED SUBSTITUTION IS AT THE OWNER'S OPTION. APPROVAL OR REJECTION OF ANY SUBSTITUTIONS LISTED BELOW WILL BE INDICATED PRIOR TO EXECUTING THE CONTRACT.

A. Manufacturer's Name and Product Name: \_\_\_\_\_.

1. ADD \_\_\_\_\_ DEDUCT \_\_\_\_\_  
AMOUNT \_\_\_\_\_ (Dollars).

B. Manufacturer's Name and Product Name: \_\_\_\_\_.

1. ADD \_\_\_\_\_ DEDUCT \_\_\_\_\_  
AMOUNT \_\_\_\_\_ (Dollars).

C. Manufacturer's Name and Product Name: \_\_\_\_\_.

1. ADD \_\_\_\_\_ DEDUCT \_\_\_\_\_  
AMOUNT \_\_\_\_\_ (Dollars).

D. Manufacturer's Name and Product Name: \_\_\_\_\_.

1. ADD \_\_\_\_\_ DEDUCT \_\_\_\_\_  
AMOUNT \_\_\_\_\_ (Dollars).

E. Manufacturer's Name and Product Name: \_\_\_\_\_.

1. ADD \_\_\_\_\_ DEDUCT \_\_\_\_\_  
AMOUNT \_\_\_\_\_ (Dollars).

SECTION 00 41 14 - BID  
FORM - PROPOSED  
PRODUCT SUBSTITUTION  
LIST

1.3 EVALUATION: CONTRACT AWARD WILL BE MADE IN ACCORD WITH INSTRUCTIONS TO BIDDERS. ONLY THE SUCCESSFULL BIDDER'S PROPOSED PRODUCT SUBSTITUTION LIST WILL BE EVALUATED.

1.4 BIDDER'S NAME: \_\_\_\_\_

END OF DOCUMENT 00 41 14

DOCUMENT 00 41 15 - BID FORM - REPRESENTATIONS & CERTIFICATIONS

PART 1 - REPRESENTATIONS & CERTIFICATIONS. THE BIDDER BY THE EXECUTION OF THE BID FORM MAKES THE FOLLOWING REPRESENTATIONS AND CERTIFICATIONS AS PART OF HIS BID ON THE PROJECT IDENTIFIED IN THE BID FORM. IN THE CASE OF A JOINT VENTURE BID, EACH PARTY REPRESENTS AND CERTIFIES AS TO HIS OWN ORGANIZATION.

- 1.1 SURETY. I have notified a surety company that I am submitting a bid for work to be performed on the project. The surety company has agreed to issue a Performance Bond and Payment Bond.
- 1.2 AVAILABILITY. The number and amount of other contracts and awards pending which I am or will become obligated to perform, now and during the course of my work on this project, will not interfere with or hinder the timely prosecution of my work.
- 1.3 INDEPENDENT PRICE DETERMINATION. The contract sum in this bid has been arrived at independently, without consultation, communication or agreement for the purpose of restricting competition.
- 1.4 OPEN COMPETITION. I have not offered any money or other valuable thing to any person to induce him not to bid on this project, or as recompense for his not having bid on this project, and therefore have not violated the prevention of competition provisions contained in the Illinois Purchasing Act in preparing my bid.
- 1.5 PREVAILING WAGE. I will pay, and require each subcontractor to pay, not less than the general prevailing rate of hourly wages for work of a similar character in the locality in which the work is performed, and not less than general prevailing rate of hourly wages for legal holidays and overtime work, as determined by the Department of Labor ([www.wdol.gov](http://www.wdol.gov)) and the Davis-Bacon Act. The website provides a single location for federal contracting officers to use in obtaining appropriate Service Contract Act and Davis-Bacon Act wage determinations for each office contract action. All work to be performed by Union Labor.
- 1.6 RESPECTFULLY SUBMITTED, SIGNED AND SEALED THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 2024.

A.

\_\_\_\_\_  
(CONTRACTOR FIRM NAME)



SECTION 00 41 15 - BID  
FORM -  
REPRESENTATIONS &  
CERTIFICATIONS

B. ATTEST: \_\_\_\_\_

BY: \_\_\_\_\_(SIGNATURE)

C. \_\_\_\_\_  
(CORPORATE SECRETARY - CORPORATIONS ONLY)

\_\_\_\_\_  
(TITLE)

D. \_\_\_\_\_  
(OFFICIAL ADDRESS)

END OF DOCUMENT 00 41 15

# DRAFT AIA® Document A310™ - 2010

## Bid Bond

**CONTRACTOR:**

(Name, legal status and address)

« »  
« »

**SURETY:**

(Name, legal status and principal place of business)

« »  
« »

**OWNER:**

(Name, legal status and address)

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

**BOND AMOUNT:** \$ « »

**PROJECT:**

(Name, location or address, and Project number, if any)

Crawford County Airport – New Terminal Building  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

**ADDITIONS AND DELETIONS:** The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**ELECTRONIC COPYING** of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

Signed and sealed this « » day of « », « »

\_\_\_\_\_  
(Witness)

\_\_\_\_\_  
(Witness)

« »  
\_\_\_\_\_  
(Contractor as Principal) (Seal)

« »  
\_\_\_\_\_  
(Title)

« »  
\_\_\_\_\_  
(Surety) (Seal)

« »  
\_\_\_\_\_  
(Title)



DOCUMENT 00 43 23 - ALTERNATES FORM

1.1 BID INFORMATION

- A. Bidder: \_\_\_\_\_.
- B. Project Name: Crawford County Airport – New Terminal Building
- C. Project Location: 10748 N 1650th Street, Palestine, IL 62451
- D. Owner: Crawford County Airport Authority
- E. Owner Project Number: Illinois Project RSV-5143
- F. Architect: Hanson Professional Services Inc.

For purposes of this Project, the administrative roles identified as being those of "The Architect" are by Hanson Professional Services Inc. (Hanson). However, it should not be construed by any party that Hanson is engaged in the practice of Architecture.

- G. Architect Project Number: 23A1027 (W&B# 23-36-006/206)

1.2 BID FORM SUPPLEMENT

- A. This form is required to be attached to the Bid Form.

1.3 DESCRIPTION

- A. The undersigned Bidder proposes the amount below be added to or deducted from the Base Bid if particular alternates are accepted by Owner. Amounts listed for each alternate include costs of related coordination, modification, or adjustment.
- B. If the alternate does not affect the Contract Sum, the Bidder shall indicate "NO CHANGE."
- C. If the alternate does not affect the Work of this Contract, the Bidder shall indicate "NOT APPLICABLE."
- D. The Bidder shall be responsible for determining from the Contract Documents the affects of each alternate on the Contract Time and the Contract Sum.
- E. Owner reserves the right to accept or reject any alternate, in any order, and to award or amend the Contract accordingly within 90 days of the Notice of Award unless otherwise indicated in the Contract Documents.
- F. Acceptance or non-acceptance of any alternates by the Owner shall have no affect on the Contract Time unless the "Schedule of Alternates" Article below provides a formatted space for the adjustment of the Contract Time.

1.4 SCHEDULE OF ALTERNATES

A. Alternate No. 1: Provide all materials, labor, and design of a fully engineered and functional "turn-key" solar power photovoltaic (PV) system that is coordinated with the building electrical distribution equipment and local power company:

- 1. ADD\_\_\_ DEDUCT\_\_\_ NO CHANGE\_\_\_ NOT APPLICABLE\_\_\_.
- 2. \_\_\_\_\_ Dollars  
(\$\_\_\_\_\_).

B. Alternate No. 2: Provide all labor and materials to construct a hangar addition to the new terminal building as outlined and detailed in the drawings and specifications.

- 1. ADD\_\_\_ DEDUCT\_\_\_ NO CHANGE\_\_\_ NOT APPLICABLE\_\_\_.
- 2. \_\_\_\_\_ Dollars  
(\$\_\_\_\_\_).

1.5 SUBMISSION OF BID SUPPLEMENT

- A. Respectfully submitted this \_\_\_ day of \_\_\_\_\_, 2024 .
- B. Submitted By: \_\_\_\_\_(Insert name of bidding firm or corporation).
- C. Authorized Signature: \_\_\_\_\_(Handwritten signature).
- D. Signed By: \_\_\_\_\_(Type or print name).
- E. Title: \_\_\_\_\_(Owner/Partner/President/Vice President).

END OF DOCUMENT 00 43 23

SECTION 004373 - PROPOSED SCHEDULE OF VALUES FORM

1.1 BID FORM SUPPLEMENT

- A. A completed Proposed Schedule of Values form is required to be attached to the Bid Form.

1.2 PROPOSED SCHEDULE OF VALUES FORM

- A. Proposed Schedule of Values Form: Provide a breakdown of the bid amount, including alternates, in enough detail to facilitate continued evaluation of bid. Coordinate with the Project Manual table of contents. Provide multiple line items for principal material and subcontract amounts in excess of five percent of the Contract Sum.
- B. Arrange schedule of values using AIA Document G703-1992 Application and Certificate for Payment.
  - 1. Copies of AIA standard forms may be obtained from the American Institute of Architects; [https://www.aiacontracts.org/ library](https://www.aiacontracts.org/library); (800) 942-7732.

END OF SECTION 004373

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**CERTIFICATION REGARDING DEBARMENT AND SUSPENSION**

The prospective Bidder (Contractor) certifies, by submission of this Bid, that neither it nor its "principals" as defined at 49 C.F.R. Part 29 is presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any Federal department or agency.

If the prospective lower tier Bidder is unable to certify to the statement above, it shall attach an explanation, and indicate that it has done so, by placing an "X" in the following space: \_\_\_\_\_.

The Bidder (Contractor), \_\_\_\_\_ certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Bidder (Contractor) understands and agrees that the current provisions of 31 U.S.C. §§ 3801 et seq. apply to this certification and disclosure, if any.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

By:

---

Signature of Bidder's (Contractor's) Authorized Official

---

Name & Title of Bidder's (Contractor's) Authorized Official



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**CERTIFICATION OF NONSEGREGATED FACILITIES**

Applicable to Federally Assisted Contracts and Related Subcontracts exceeding \$10,000.00 which are exempt from the Equal Opportunity Clause

The Federally assisted Contractor certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The Federally assisted contractor certifies further that he will not maintain or provide for his employees segregated facilities at any of his establishments, and that he will not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The Federally assisted contractor agrees that a breach of this certification is a violation of the equal opportunity clause in this contract. As used in this certification, the term 'segregated facilities' means any waiting rooms, work areas, restrooms or washrooms, restaurants and other eating areas, timeclocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreational or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directives or are in fact segregated on the basis of race, color, religion or national origin, because of habit, local custom, or any other reason. The Federally assisted Contractor agrees that (except where he has obtained identical certifications from proposed Subcontractors for specific time periods) he will obtain identical certifications from proposed Subcontractors prior to the award of subcontracts exceeding \$10,000.00 which are not exempt from the provisions of the equal opportunity clause, and that he will retain such certifications in his files.

**NOTICE TO PROSPECTIVE CONTRACTORS OF THE  
REQUIREMENT FOR CERTIFICATION OF  
NONSEGREGATED FACILITIES**

A Certification of Nonsegregated Facilities must be submitted prior to the award of a contract or subcontract exceeding \$10,000.00 which is not exempt from the provisions of the Equal Opportunity Clause.

NOTE: The penalty for making false statements in offers is prescribed in U.S.C. 1001.

\_\_\_\_\_  
(Signature of Bidder or Agent)

\_\_\_\_\_  
(Position)

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## **CERTIFICATION OF OFFERER/BIDDER REGARDING TAX DELINQUENCY AND FELONY CONVICTIONS**

The applicant must complete the following two certification statements. The applicant must indicate its current status as it relates to tax delinquency and felony conviction by inserting a checkmark (✓) in the space following the applicable response. The applicant agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification in all lower tier subcontracts.

### **Certifications**

- 1) The applicant represents that it is (  ) is not (  ) a corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.
- 2) The applicant represents that it is (  ) is not (  ) is not a corporation that was convicted of a criminal violation under any Federal law within the preceding 24 months.

### **Note**

If an applicant responds in the affirmative to either of the above representations, the applicant is ineligible to receive an award unless the sponsor has received notification from the agency suspension and debarment official (SDO) that the SDO has considered suspension or debarment and determined that further action is not required to protect the Government's interests. The applicant therefore must provide information to the owner about its tax liability or conviction to the Owner, who will then notify the FAA Airports District Office, which will then notify the agency's SDO to facilitate completion of the required considerations before award decisions are made.

### **Term Definitions**

**Felony conviction:** Felony conviction means a conviction within the preceding twenty-four (24) months of a felony criminal violation under any Federal law and includes conviction of an offense defined in a section of the U.S. code that specifically classifies the offense as a felony and conviction of an offense that is classified as a felony under 18 U.S.C. § 3559.

**Tax Delinquency:** A tax delinquency is any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

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# Apprenticeship and Training Program Certification

E-mail      Reset Form

Local Public Agency	County	Street Name/Road Name	Section Number
Crawford County Airport Authority	Crawford	Crawford County Airport	IL RSV-5143

**All contractors are required to complete the following certification**

- For this contract proposal or for all bidding groups in this deliver and install proposal.
- For the following deliver and install bidding groups in this material proposal.

Illinois Department of Transportation policy, adopted in accordance with the provisions of the Illinois Highway Code, requires this contract to be awarded to the lowest responsive and responsible bidder. The award decision is subject to approval by the Department. In addition to all other responsibility factors, this contract or deliver and install proposal requires all bidders and all bidder's subcontractors to disclose participation in apprenticeship or training programs that are (1) approved by and registered with the United States Department of Labor's Bureau of Apprenticeship and Training, and (2) applicable to the work of the above indicated proposals or groups. Therefore, all bidders are required to complete the following certification:

1. Except as provided in paragraph 4 below, the undersigned bidder certifies that it is a participant, either as an individual or as part of a group program, in an approved apprenticeship or training program applicable to each type of work or craft that the bidder will perform with its own employees.

2. The undersigned bidder further certifies, for work to be performed by subcontract, that each of its subcontractors either (A) is, at the time of such bid, participating in an approved, applicable apprenticeship or training program; or (B) will, prior to commencement of performance of work pursuant to this contract, establish participation in an approved apprenticeship or training program applicable to the work of the subcontract.

3. The undersigned bidder, by inclusion in the list in the space below, certifies the official name of each program sponsor holding the Certificate of Registration for all of the types of work or crafts in which the bidder is a participant and that will be performed with the bidder's employees. Types of work or craft that will be subcontracted shall be included and listed as subcontract work. The list shall also indicate any type of work or craft job category for which there is no applicable apprenticeship or training program available.

4. Except for any work identified above, if any bidder or subcontractor shall perform all or part of the work of the contract or deliver and install proposal solely by individual owners, partners or members and not by employees to whom the payment of prevailing rates of wages would be required, check the following box, and identify the owner/operator workforces and positions of ownership.

The requirements of this certification and disclosure are a material part of the contract, and the contractor shall require this certification provision to be included in all approved subcontracts. The bidder is responsible for making a complete report and shall make certain that each type of work or craft job category that will be utilized on the project is accounted for and listed. The Department at any time before or afterward may require the production of a copy of each applicable Certificate of Registration issued by the United States Department of Labor evidencing such participation by the contractor and any or all of its subcontractors. In order to fulfill the participation requirement, it shall not be necessary that any applicable program sponsor be currently taking or that it will take applications for apprenticeship, training or employment during the performance of the work of this contract or deliver and install proposal.

Bidder	Signature & Date		
<div style="border: 1px solid black; height: 20px;"></div>	<div style="border: 1px solid black; height: 40px;"></div>		
Title			
<div style="border: 1px solid black; height: 20px;"></div>			
Address	City	State	Zip Code
<div style="border: 1px solid black; height: 20px;"></div>	<div style="border: 1px solid black; height: 20px;"></div>	<div style="border: 1px solid black; height: 20px;"></div>	<div style="border: 1px solid black; height: 20px;"></div>

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### **A1.1.1 Certification of Compliance with FAA Buy American Preference – Equipment/Building Projects**

As a matter of bid responsiveness, the bidder or offeror must complete, sign, date, and submit this certification statement with their proposal. The bidder or offeror must indicate how they intend to comply with 49 USC § 50101, and other Made in America Laws, U.S. statutes, guidance, and FAA policies by selecting one on the following certification statements. These statements are mutually exclusive. Bidder must select one or the other (not both) by inserting a checkmark (✓) or the letter “X”.

- Bidder or offeror hereby certifies that it will comply with 49 USC § 50101, BABA and other related U.S. statutes, guidance, and policies of the FAA by:
- a) Only installing steel and manufactured products produced in the United States;
  - b) Only installing construction materials defined as: an article, material, or supply – other than an item of primarily iron or steel; a manufactured product; cement and cementitious materials; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives that are or consist primarily of non-ferrous metals; plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables); glass (including optic glass); lumber or drywall that have been manufactured in the United States.
  - c) Installing manufactured products for which the Federal Aviation Administration (FAA) has issued a waiver as indicated by inclusion on the current FAA Nationwide Buy American Waivers Issued listing; or
  - d) Installing products listed as an Excepted Article, Material or Supply in Federal Acquisition Regulation Subpart 25.108.

By selecting this certification statement, the bidder or offeror agrees:

- a) To provide to the Airport Sponsor or FAA evidence that documents the source and origin of the steel and manufactured product.
  - b) To faithfully comply with providing U.S. domestic product.
  - c) To furnish U.S. domestic product for any waiver request that the FAA rejects.
  - d) To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.
- The bidder or offeror hereby certifies it cannot comply with the 100 percent Buy American Preferences of 49 USC § 50101(a) but may qualify for a Type 3 waiver under 49 USC § 50101(b). By selecting this certification statement, the apparent bidder or offeror with the apparent low bid agrees:
- a) To submit to the Airport Sponsor or FAA within 15 calendar days of being selected as the responsive bidder, a formal waiver request and required documentation that supports the type of waiver being requested.
  - b) That failure to submit the required documentation within the specified timeframe is cause for a non-responsive determination that may result in rejection of the proposal.
  - c) To faithfully comply with providing U.S. domestic products at or above the approved U.S. domestic content percentage as approved by the FAA.



- d) To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

**Required Documentation**

**Type 2 Waiver (Nonavailability)** - The iron, steel, manufactured goods or construction materials are not available in sufficient quantity or quality in the United States. The required documentation for the Nonavailability waiver is:

- a) Completed Content Percentage Worksheet and Final Assembly Questionnaire
- b) Record of thorough market research, consideration where appropriate of qualifying alternate items, products, or materials including;
- c) A description of the market research activities and methods used to identify domestically manufactured items capable of satisfying the requirement, including the timing of the research and conclusions reached on the availability of sources.

**Type 3 Waiver** – The cost of the item components and subcomponents produced in the United States is more than 60 percent of the cost of all components and subcomponents of the “item”. The required documentation for a Type 3 waiver is:

- a) Completed Content Percentage Worksheet and Final Assembly Questionnaire including;
- b) Listing of all product components and subcomponents that are not comprised of 100 percent U.S. domestic content (Excludes products listed on the FAA Nationwide Buy American Waivers Issued listing and products excluded by Federal Acquisition Regulation Subpart 25.108 (products of unknown origin must be considered as non-domestic products in their entirety).
- c) Cost of non-domestic components and subcomponents, excluding labor costs associated with final assembly at place of manufacture.
- d) Percentage of non-domestic component and subcomponent cost as compared to total “item” component and subcomponent costs, excluding labor costs associated with final assembly at place of manufacture.

**Type 4 Waiver (Unreasonable Costs)** - Applying this provision for iron, steel, manufactured goods or construction materials, would increase the cost of the overall project by more than 25 percent. The required documentation for this waiver is:

- a) Completed Content Percentage Worksheet and Final Assembly Questionnaire from
- b) At minimum two comparable equal bidders and/or offerors;
- c) Receipt or record that demonstrates that supplier scouting called for in Executive Order 14005, indicates that no domestic source exists for the project and/or component;
- d) Completed waiver applications for each comparable bid and/or offer.

**False Statements:** Per 49 USC § 47126, this certification concerns a matter within the jurisdiction of the Federal Aviation Administration and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code.

\_\_\_\_\_

Date

\_\_\_\_\_

Signature

\_\_\_\_\_

Company Name

\_\_\_\_\_

Title

### Affidavit of Business Diversity Utilization Plan

Prime Contractor/Bidder Name, Address, Telephone:		
Project Name:		
Project Number:	Total Bid Dollar Amount:	First Submission: <input type="checkbox"/>
		Revised Submission: <input type="checkbox"/>

Affidavit of \_\_\_\_\_, I do hereby certify that on the \_\_\_\_\_ (Project Name), Project Number \_\_\_\_\_, Amount of Bid \$ \_\_\_\_\_, I will expend a minimum of \_\_\_\_\_% of the total dollar amount of the contract with certified disadvantaged business enterprises (DBEs). Project work will be contracted to the firms listed below.

Name and Phone Number	DBE?	*Category	Work Description	Dollar Value

\*DBE/MBE/WBE Categories: Female **(F)**, African American **(B)**, Hispanic **(H)**, Asian American **(A)**, American Indian **(I)**, Socially and Economically Disadvantaged **(D)**, Veteran **(V)**.

The undersigned will enter into a formal agreement with DBEs for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of contract. The Undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Signature of Authorized Officer:	
Printed Name of Authorized Officer:	
Title:	Date:

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

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### DBE Statement of Intent to Perform Work

DBE Name, Address, Telephone:		
Prime Contractor Name, Address, Telephone:		
Project Name:	Project Number:	Total Bid Dollar Amount:
DBE Dollar Amount:		DBE % of Total Bid Amount:

The above named DBE will perform as a subcontractor, sub-consultant, or material supplier for the above described project. The undersigned intends to perform work in connection with the above referenced project as (check one):

Sole Proprietor_____	Partnership_____
Corporation_____	Limited Liability Company_____
Joint Venture_____	Other_____

The above named certified DBE is (check all that applies):

Woman owned_____	African American_____
Hispanic_____	Asian American_____
American Indian_____	Socially & Economically Disadvantaged_____
Veteran_____	

The DBE status of the undersigned is confirmed by the attached letter of certification. The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above-named project:

---



---



---

Sub-contracting levels (if not applicable, enter zero):

\_\_\_\_\_ % of the dollar value of this DBE subcontract will be sublet to non-DBE contractors.

\_\_\_\_\_ % of the dollar value of this DBE subcontract will be sublet to DBE contractors.

The undersigned subcontractor will enter into a contract with \_\_\_\_\_ for the work/service described above upon the prime contractor's execution of a contract with the Owner.

Signature of Authorized DBE Officer:	
Printed Name of Authorized DBE Officer:	
Title:	Date:

I, the above bidder/prime contractor, agree to the terms and conditions stated above.

Signature of Authorized Bidder/Prime Contractor Officer:	
Printed Name of Authorized Bidder/Prime Contractor Officer:	
Title:	Date:

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DOCUMENT 00 43 93 - BID SUBMITTAL CHECKLIST

1.1 BID INFORMATION

- A. Bidder: \_\_\_\_\_.
- B. Prime Contract: \_\_\_\_\_.
- C. Project Name: Crawford County Airport - New Terminal Building.
- D. Project Location: Palestine, Illinois, 62966.
- E. Owner: Crawford County Airport Authority
- F. Owner Project Number: RSV-5143
- G. Engineer: Hanson Professional Services, Inc. Architect: White & Borgognoni Architects, P.C. .
- H. Engineer Project Number: 23A1027 Architect Project Number: 23-36-006/206.

1.2 BIDDER'S CHECKLIST

- A. In an effort to assist the Bidder in properly completing all documentation required, the following checklist is provided for the Bidder's convenience. The Bidder is solely responsible for verifying compliance with bid submittal requirements.
- B. Attach this completed checklist to the outside of the Submittal envelope.
  - 1. Used the Bid Form indicated in the Project Manual.
  - 2. Prepared the Bid Form as required by the Instructions to Bidders.
  - 3. Indicated on the Bid Form the Addenda received.
  - 4. Attached to the Bid Form: Bid Supplement Form - Alternates.
  - 5. Attached to the Bid Form: Proposed Schedule of Values Form.
  - 6. Attached to the Bid Form: Certification Regarding Debarment & Suspension
  - 7. Attached to the Bid Form: Certification of Non-Segregated Facilities
  - 8. Attached to the Bid Form: Certification of Offerer/Bidder Regarding Tax Delinquency and Felony Convictions
  - 9. Attached to the Bid Form: BLR 12325 Apprenticeship and Training Program Certification Form
  - 10. Attached to the Bid Form: Certification of Compliance with FAA Buy American Preference – Equipment/Building Projects
  - 11. Attached to the Bid Form: Affidavit of Business Diversity Utilization Plan
  - 12. Attached to the Bid Form: DBE Statement(s) of Intent to Perform the Work
  - 13. Attached to the Bid Form: Bid Bond OR a certified check for the amount required.
  - 14. Bid envelope shows name and address of the Bidder.
  - 15. Bid envelope shows the Bidder's Contractor's License Number.
  - 16. Bid envelope shows name of Project being bid.

SECTION 00 43 93 - BID  
SUBMITTAL CHECKLIST

17. Bid envelope shows time and day of Bid Opening.
18. Verified that the Bidder can provide executed Performance Bond and Labor and Material Bond.
19. Verified that the Bidder can provide Certificates of Insurance in the amounts indicated.

END OF DOCUMENT 00 43 93

# DRAFT AIA® Document A101® - 2017

## Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

**AGREEMENT** made as of the « » day of « » in the year « »  
(In words, indicate day, month and year.)

**BETWEEN** the Owner:  
(Name, legal status, address and other information)

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

and the Contractor:  
(Name, legal status, address and other information)

« »« »  
« »  
« »  
« »

for the following Project:  
(Name, location and detailed description)

Crawford County Airport – New Terminal Building  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

The Architect:  
(Name, legal status, address and other information)

Hanson Professional Services Inc.  
1525 S 6<sup>th</sup> Street  
Springfield, IL 62703

For purposes of this Agreement, the administrative roles identified as being those of “The Architect” are by Hanson Professional Services Inc. (Hanson).

However, it should not be construed by any party that Hanson is engaged in the practice of Architecture.

The Owner and Contractor agree as follows.

**ADDITIONS AND DELETIONS:** The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101®-2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201®-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

**ELECTRONIC COPYING** of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.



## TABLE OF ARTICLES

- 1 THE CONTRACT DOCUMENTS
- 2 THE WORK OF THIS CONTRACT
- 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
- 4 CONTRACT SUM
- 5 PAYMENTS
- 6 DISPUTE RESOLUTION
- 7 TERMINATION OR SUSPENSION
- 8 MISCELLANEOUS PROVISIONS
- 9 ENUMERATION OF CONTRACT DOCUMENTS

### EXHIBIT A INSURANCE AND BONDS

#### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

#### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

#### ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be:

*(Check one of the following boxes.)*

- The date of this Agreement.
- A date set forth in a notice to proceed issued by the Owner.
- Established as follows:  
*(Insert a date or a means to determine the date of commencement of the Work.)*
- « »

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.

#### § 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work:

*(Check one of the following boxes and complete the necessary information.)*

- Not later than four hundred fifty ( 450 ) calendar days from the date of commencement of the Work.

[ ] By the following date: « »

§ 3.3.2 Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the following dates:

Portion of Work	Substantial Completion Date
All Work	Four hundred fifty days after Notice to Proceed

§ 3.3.3 If the Contractor fails to achieve Substantial Completion as provided in this Section 3.3, liquidated damages, if any, shall be assessed as set forth in Section 4.5.

**ARTICLE 4 CONTRACT SUM**

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be « » (\$ « »), subject to additions and deductions as provided in the Contract Documents.

**§ 4.2 Alternates**

§ 4.2.1 Alternates, if any, included in the Contract Sum:

Item	Price

§ 4.2.2 Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement. (Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)

Item	Price	Conditions for Acceptance

§ 4.3 Allowances, if any, included in the Contract Sum: (Identify each allowance.)

Item	Price

§ 4.4 Unit prices, if any:

(Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.)

Item	Units and Limitations	Price per Unit (\$0.00)

§ 4.5 Liquidated damages, if any:

(Insert terms and conditions for liquidated damages, if any.)

N/A

§ 4.6 Other:

(Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.)

N/A

## ARTICLE 5 PAYMENTS

### § 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

« »

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the « » day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the « » day of the « » month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than « » ( « » ) days after the Architect receives the Application for Payment.

*(Federal, state or local laws may require payment within a certain period of time.)*

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 In accordance with AIA Document A201™–2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall first include:

- .1 That portion of the Contract Sum properly allocable to completed Work;
- .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.

§ 5.1.6.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201–2017;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201–2017; and
- .5 Retainage withheld pursuant to Section 5.1.7.

### § 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

*(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)*

Ten percent (10%)

§ 5.1.7.1.1 The following items are not subject to retainage:  
(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

Insurance and Bonds

§ 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:  
(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)

N/A

§ 5.1.7.3 Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:  
(Insert any other conditions for release of retainage upon Substantial Completion.)

N/A

§ 5.1.8 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.

§ 5.1.9 Except with the Owner’s prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

## § 5.2 Final Payment

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor’s responsibility to correct Work as provided in Article 12 of AIA Document A201–2017, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.

§ 5.2.2 The Owner’s final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect’s final Certificate for Payment, or as follows:

« »

## § 5.3 Interest

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.  
(Insert rate of interest agreed upon, if any.)

N/A % « »

## ARTICLE 6 DISPUTE RESOLUTION

### § 6.1 Initial Decision Maker

The Architect will serve as the Initial Decision Maker pursuant to Article 15 of AIA Document A201–2017, unless the parties appoint below another individual, not a party to this Agreement, to serve as the Initial Decision Maker.  
(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

« »

« »

« »

« »

**§ 6.2 Binding Dispute Resolution**

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201–2017, the method of binding dispute resolution shall be as follows:

*(Check the appropriate box.)*

Arbitration pursuant to Section 15.4 of AIA Document A201–2017

Litigation in a court of competent jurisdiction

Other *(Specify)*

« »

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

**ARTICLE 7 TERMINATION OR SUSPENSION**

**§ 7.1** The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017.

**§ 7.1.1** If the Contract is terminated for the Owner’s convenience in accordance with Article 14 of AIA Document A201–2017, then the Owner shall pay the Contractor a termination fee as follows:

*(Insert the amount of, or method for determining, the fee, if any, payable to the Contractor following a termination for the Owner’s convenience.)*

N/A

**§ 7.2** The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2017.

**ARTICLE 8 MISCELLANEOUS PROVISIONS**

**§ 8.1** Where reference is made in this Agreement to a provision of AIA Document A201–2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

**§ 8.2** The Owner’s representative:

*(Name, address, email address, and other information)*

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

**§ 8.3** The Contractor’s representative:

*(Name, address, email address, and other information)*

« »  
« »  
« »  
« »  
« »  
« »

**§ 8.4** Neither the Owner’s nor the Contractor’s representative shall be changed without ten days’ prior notice to the other party.

**§ 8.5 Insurance and Bonds**

**§ 8.5.1** The Owner and the Contractor shall purchase and maintain insurance as set forth in AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum, Exhibit A, Insurance and Bonds, and elsewhere in the Contract Documents.

**§ 8.5.2** The Contractor shall provide bonds as set forth in AIA Document A101™–2017 Exhibit A, and elsewhere in the Contract Documents.

**§ 8.6** Notice in electronic format, pursuant to Article 1 of AIA Document A201–2017, may be given in accordance with AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:

*(If other than in accordance with AIA Document E203–2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)*

N/A

**§ 8.7 Other provisions:**

N/A

**ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS**

**§ 9.1** This Agreement is comprised of the following documents:

- .1 AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor
- .2 AIA Document A101™–2017, Exhibit A, Insurance and Bonds
- .3 AIA Document A201™–2017, General Conditions of the Contract for Construction
- .4 AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:

*(Insert the date of the E203-2013 incorporated into this Agreement.)*

« »

**.5 Drawings**

Number	Title	Date
00 01 15	List of Drawing Sheets	

**.6 Specifications**

Section	Title	Date	Pages
00 01 10	Table of Contents		

**.7 Addenda, if any:**

Number	Date	Pages

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

**.8 Other Exhibits:**

*(Check all boxes that apply and include appropriate information identifying the exhibit where required.)*

[ « » ] AIA Document E204™–2017, Sustainable Projects Exhibit, dated as indicated below:  
*(Insert the date of the E204-2017 incorporated into this Agreement.)*

« »

[  ] The Sustainability Plan:

Title	Date	Pages

[  ] Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages
00 73 42	Prevailing Wages and Federal Contract Provisions		

.9 Other documents, if any, listed below:

*(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201™-2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)*

»

This Agreement entered into as of the day and year first written above.

\_\_\_\_\_  
**OWNER** (Signature)

« »« »

(Printed name and title)

\_\_\_\_\_  
**CONTRACTOR** (Signature)

« »« »

(Printed name and title)

# DRAFT AIA® Document A101® – 2017

## Exhibit A

### *Insurance and Bonds*

This Insurance and Bonds Exhibit is part of the Agreement, between the Owner and the Contractor, dated the « » day of « » in the year « »  
(In words, indicate day, month and year.)

for the following **PROJECT**:  
(Name and location or address)

Crawford County Airport – New Terminal Building  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

**THE OWNER:**  
(Name, legal status and address)

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

**THE CONTRACTOR:**  
(Name, legal status and address)

« »« »  
« »

#### TABLE OF ARTICLES

- A.1 GENERAL
- A.2 OWNER'S INSURANCE
- A.3 CONTRACTOR'S INSURANCE AND BONDS
- A.4 SPECIAL TERMS AND CONDITIONS

#### ARTICLE A.1 GENERAL

The Owner and Contractor shall purchase and maintain insurance, and provide bonds, as set forth in this Exhibit. As used in this Exhibit, the term General Conditions refers to AIA Document A201™–2017, General Conditions of the Contract for Construction.

#### ARTICLE A.2 OWNER'S INSURANCE

##### § A.2.1 General

Prior to commencement of the Work, the Owner shall secure the insurance, and provide evidence of the coverage, required under this Article A.2 and, upon the Contractor's request, provide a copy of the property insurance policy or policies required by Section A.2.3. The copy of the policy or policies provided shall contain all applicable conditions, definitions, exclusions, and endorsements.

**ADDITIONS AND DELETIONS:** The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This document is intended to be used in conjunction with AIA Document A201®–2017, General Conditions of the Contract for Construction. Article 11 of A201®–2017 contains additional insurance provisions.



**§ A.2.2 Liability Insurance**

The Owner shall be responsible for purchasing and maintaining the Owner’s usual general liability insurance.

**§ A.2.3 Required Property Insurance**

**§ A.2.3.1** Unless this obligation is placed on the Contractor pursuant to Section A.3.3.2.1, the Owner shall purchase and maintain, from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located, property insurance written on a builder's risk “all-risks” completed value or equivalent policy form and sufficient to cover the total value of the entire Project on a replacement cost basis. The Owner’s property insurance coverage shall be no less than the amount of the initial Contract Sum, plus the value of subsequent Modifications and labor performed and materials or equipment supplied by others. The property insurance shall be maintained until Substantial Completion and thereafter as provided in Section A.2.3.1.3, unless otherwise provided in the Contract Documents or otherwise agreed in writing by the parties to this Agreement. This insurance shall include the interests of the Owner, Contractor, Subcontractors, and Sub-subcontractors in the Project as insureds. This insurance shall include the interests of mortgagees as loss payees.

**§ A.2.3.1.1 Causes of Loss.** The insurance required by this Section A.2.3.1 shall provide coverage for direct physical loss or damage, and shall not exclude the risks of fire, explosion, theft, vandalism, malicious mischief, collapse, earthquake, flood, or windstorm. The insurance shall also provide coverage for ensuing loss or resulting damage from error, omission, or deficiency in construction methods, design, specifications, workmanship, or materials. Sub-limits, if any, are as follows:

*(Indicate below the cause of loss and any applicable sub-limit.)*

Causes of Loss	Sub-Limit

**§ A.2.3.1.2 Specific Required Coverages.** The insurance required by this Section A.2.3.1 shall provide coverage for loss or damage to falsework and other temporary structures, and to building systems from testing and startup. The insurance shall also cover debris removal, including demolition occasioned by enforcement of any applicable legal requirements, and reasonable compensation for the Architect’s and Contractor’s services and expenses required as a result of such insured loss, including claim preparation expenses. Sub-limits, if any, are as follows:

*(Indicate below type of coverage and any applicable sub-limit for specific required coverages.)*

Coverage	Sub-Limit

**§ A.2.3.1.3** Unless the parties agree otherwise, upon Substantial Completion, the Owner shall continue the insurance required by Section A.2.3.1 or, if necessary, replace the insurance policy required under Section A.2.3.1 with property insurance written for the total value of the Project that shall remain in effect until expiration of the period for correction of the Work set forth in Section 12.2.2 of the General Conditions.

**§ A.2.3.1.4 Deductibles and Self-Insured Retentions.** If the insurance required by this Section A.2.3 is subject to deductibles or self-insured retentions, the Owner shall be responsible for all loss not covered because of such deductibles or retentions.

**§ A.2.3.2 Occupancy or Use Prior to Substantial Completion.** The Owner’s occupancy or use of any completed or partially completed portion of the Work prior to Substantial Completion shall not commence until the insurance company or companies providing the insurance under Section A.2.3.1 have consented in writing to the continuance of coverage. The Owner and the Contractor shall take no action with respect to partial occupancy or use that would cause cancellation, lapse, or reduction of insurance, unless they agree otherwise in writing.

**§ A.2.3.3 Insurance for Existing Structures**

If the Work involves remodeling an existing structure or constructing an addition to an existing structure, the Owner shall purchase and maintain, until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, “all-risks” property insurance, on a replacement cost basis, protecting the existing structure against direct physical loss or damage from the causes of loss identified in Section A.2.3.1, notwithstanding the undertaking of the Work. The Owner shall be responsible for all co-insurance penalties.

**§ A.2.4 Optional Extended Property Insurance.**

The Owner shall purchase and maintain the insurance selected and described below.

*(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance. For each type of insurance selected, indicate applicable limits of coverage or other conditions in the fill point below the selected item.)*

- § A.2.4.1 Loss of Use, Business Interruption, and Delay in Completion Insurance**, to reimburse the Owner for loss of use of the Owner’s property, or the inability to conduct normal operations due to a covered cause of loss.
- § A.2.4.2 Ordinance or Law Insurance**, for the reasonable and necessary costs to satisfy the minimum requirements of the enforcement of any law or ordinance regulating the demolition, construction, repair, replacement or use of the Project.
- § A.2.4.3 Expediting Cost Insurance**, for the reasonable and necessary costs for the temporary repair of damage to insured property, and to expedite the permanent repair or replacement of the damaged property.
- § A.2.4.4 Extra Expense Insurance**, to provide reimbursement of the reasonable and necessary excess costs incurred during the period of restoration or repair of the damaged property that are over and above the total costs that would normally have been incurred during the same period of time had no loss or damage occurred.
- § A.2.4.5 Civil Authority Insurance**, for losses or costs arising from an order of a civil authority prohibiting access to the Project, provided such order is the direct result of physical damage covered under the required property insurance.
- § A.2.4.6 Ingress/Egress Insurance**, for loss due to the necessary interruption of the insured’s business due to physical prevention of ingress to, or egress from, the Project as a direct result of physical damage.
- § A.2.4.7 Soft Costs Insurance**, to reimburse the Owner for costs due to the delay of completion of the Work, arising out of physical loss or damage covered by the required property insurance: including construction loan fees; leasing and marketing expenses; additional fees, including those of architects, engineers, consultants, attorneys and accountants, needed for the completion of the construction, repairs, or reconstruction; and carrying costs such as property taxes, building permits, additional interest on loans, realty taxes, and insurance premiums over and above normal expenses.

**§ A.2.5 Other Optional Insurance.**

The Owner shall purchase and maintain the insurance selected below.

(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance.)

[  ] § A.2.5.1 **Cyber Security Insurance** for loss to the Owner due to data security and privacy breach, including costs of investigating a potential or actual breach of confidential or private information. (Indicate applicable limits of coverage or other conditions in the fill point below.)

[  ] § A.2.5.2 **Other Insurance**  
(List below any other insurance coverage to be provided by the Owner and any applicable limits.)

Coverage	Limits
----------	--------

### ARTICLE A.3 CONTRACTOR'S INSURANCE AND BONDS

#### § A.3.1 General

§ A.3.1.1 **Certificates of Insurance.** The Contractor shall provide certificates of insurance acceptable to the Owner evidencing compliance with the requirements in this Article A.3 at the following times: (1) prior to commencement of the Work; (2) upon renewal or replacement of each required policy of insurance; and (3) upon the Owner's written request. An additional certificate evidencing continuation of commercial liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment and thereafter upon renewal or replacement of such coverage until the expiration of the periods required by Section A.3.2.1 and Section A.3.3.1. The certificates will show the Owner as an additional insured on the Contractor's Commercial General Liability and excess or umbrella liability policy or policies.

§ A.3.1.2 **Deductibles and Self-Insured Retentions.** The Contractor shall disclose to the Owner any deductible or self-insured retentions applicable to any insurance required to be provided by the Contractor.

§ A.3.1.3 **Additional Insured Obligations.** To the fullest extent permitted by law, the Contractor shall cause the commercial general liability coverage to include (1) the Owner, the Architect, and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions for which loss occurs during completed operations. The additional insured coverage shall be primary and non-contributory to any of the Owner's general liability insurance policies and shall apply to both ongoing and completed operations. To the extent commercially available, the additional insured coverage shall be no less than that provided by Insurance Services Office, Inc. (ISO) forms CG 20 10 07 04, CG 20 37 07 04, and, with respect to the Architect and the Architect's consultants, CG 20 32 07 04.

#### § A.3.2 Contractor's Required Insurance Coverage

§ A.3.2.1 The Contractor shall purchase and maintain the following types and limits of insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:

(If the Contractor is required to maintain insurance for a duration other than the expiration of the period for correction of Work, state the duration.)

#### § A.3.2.2 Commercial General Liability

§ A.3.2.2.1 Commercial General Liability insurance for the Project written on an occurrence form with policy limits of not less than one million dollars (\$ 1,000,000 ) each occurrence, one million dollars (\$ 1,000,000 ) general aggregate, and one million dollars (\$ 1,000,000 ) aggregate for products-completed operations hazard, providing coverage for claims including

- .1 damages because of bodily injury, sickness or disease, including occupational sickness or disease, and death of any person;

- .2 personal injury and advertising injury;
- .3 damages because of physical damage to or destruction of tangible property, including the loss of use of such property;
- .4 bodily injury or property damage arising out of completed operations; and
- .5 the Contractor's indemnity obligations under Section 3.18 of the General Conditions.

§ A.3.2.2 The Contractor's Commercial General Liability policy under this Section A.3.2.2 shall not contain an exclusion or restriction of coverage for the following:

- .1 Claims by one insured against another insured, if the exclusion or restriction is based solely on the fact that the claimant is an insured, and there would otherwise be coverage for the claim.
- .2 Claims for property damage to the Contractor's Work arising out of the products-completed operations hazard where the damaged Work or the Work out of which the damage arises was performed by a Subcontractor.
- .3 Claims for bodily injury other than to employees of the insured.
- .4 Claims for indemnity under Section 3.18 of the General Conditions arising out of injury to employees of the insured.
- .5 Claims or loss excluded under a prior work endorsement or other similar exclusionary language.
- .6 Claims or loss due to physical damage under a prior injury endorsement or similar exclusionary language.
- .7 Claims related to residential, multi-family, or other habitational projects, if the Work is to be performed on such a project.
- .8 Claims related to roofing, if the Work involves roofing.
- .9 Claims related to exterior insulation finish systems (EIFS), synthetic stucco or similar exterior coatings or surfaces, if the Work involves such coatings or surfaces.
- .10 Claims related to earth subsidence or movement, where the Work involves such hazards.
- .11 Claims related to explosion, collapse and underground hazards, where the Work involves such hazards.

§ A.3.2.3 Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Contractor, with policy limits of not less than one hundred thousand/three hundred thousand/one hundred thousand dollars (\$ 100,000/\$300,000/\$100,000 ) per accident, for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles along with any other statutorily required automobile coverage.

§ A.3.2.4 The Contractor may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess or umbrella liability insurance, provided such primary and excess or umbrella insurance policies result in the same or greater coverage as the coverages required under Section A.3.2.2 and A.3.2.3, and in no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

§ A.3.2.5 Workers' Compensation at statutory limits.

§ A.3.2.6 Employers' Liability with policy limits not less than two hundred fifty thousand/one hundred thousand/five hundred thousand dollars (\$ 250,000/\$100,000/\$500,000 ) each accident, two hundred fifty thousand/one hundred thousand/five hundred thousand dollars (\$ 250,000/\$100,000/\$500,000 ) each employee, and two hundred fifty thousand/one hundred thousand/five hundred thousand dollars (\$ 250,000/\$100,000/\$500,000 ) policy limit.

§ A.3.2.7 Jones Act, and the Longshore & Harbor Workers' Compensation Act, as required, if the Work involves hazards arising from work on or near navigable waterways, including vessels and docks

§ A.3.2.8 If the Contractor is required to furnish professional services as part of the Work, the Contractor shall procure Professional Liability insurance covering performance of the professional services, with policy limits of not less than « » (\$ « » ) per claim and « » (\$ « » ) in the aggregate.

§ A.3.2.9 If the Work involves the transport, dissemination, use, or release of pollutants, the Contractor shall procure Pollution Liability insurance, with policy limits of not less than « » (\$ « » ) per claim and « » (\$ « » ) in the aggregate.

§ A.3.2.10 Coverage under Sections A.3.2.8 and A.3.2.9 may be procured through a Combined Professional Liability and Pollution Liability insurance policy, with combined policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

§ A.3.2.11 Insurance for maritime liability risks associated with the operation of a vessel, if the Work requires such activities, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

§ A.3.2.12 Insurance for the use or operation of manned or unmanned aircraft, if the Work requires such activities, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

### § A.3.3 Contractor's Other Insurance Coverage

§ A.3.3.1 Insurance selected and described in this Section A.3.3 shall be purchased from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:

*(If the Contractor is required to maintain any of the types of insurance selected below for a duration other than the expiration of the period for correction of Work, state the duration.)*

« »

§ A.3.3.2 The Contractor shall purchase and maintain the following types and limits of insurance in accordance with Section A.3.3.1.

*(Select the types of insurance the Contractor is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance. Where policy limits are provided, include the policy limit in the appropriate fill point.)*

- § A.3.3.2.1 Property insurance of the same type and scope satisfying the requirements identified in Section A.2.3, which, if selected in this section A.3.3.2.1, relieves the Owner of the responsibility to purchase and maintain such insurance except insurance required by Section A.2.3.1.3 and Section A.2.3.3. The Contractor shall comply with all obligations of the Owner under Section A.2.3 except to the extent provided below. The Contractor shall disclose to the Owner the amount of any deductible, and the Owner shall be responsible for losses within the deductible. Upon request, the Contractor shall provide the Owner with a copy of the property insurance policy or policies required. The Owner shall adjust and settle the loss with the insurer and be the trustee of the proceeds of the property insurance in accordance with Article 11 of the General Conditions unless otherwise set forth below:

*(Where the Contractor's obligation to provide property insurance differs from the Owner's obligations as described under Section A.2.3, indicate such differences in the space below. Additionally, if a party other than the Owner will be responsible for adjusting and settling a loss with the insurer and acting as the trustee of the proceeds of property insurance in accordance with Article 11 of the General Conditions, indicate the responsible party below.)*

« »

- § A.3.3.2.2 Railroad Protective Liability Insurance, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate, for Work within fifty (50) feet of railroad property.

- § A.3.3.2.3 Asbestos Abatement Liability Insurance, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate, for liability arising from the encapsulation, removal, handling, storage, transportation, and disposal of asbestos-containing materials.

- § A.3.3.2.4 Insurance for physical damage to property while it is in storage and in transit to the construction site on an "all-risks" completed value form.

[ X ] § A.3.3.2.5 Property insurance on an “all-risks” completed value form, covering property owned by the Contractor and used on the Project, including scaffolding and other equipment.

[ « » ] § A.3.3.2.6 Other Insurance  
(List below any other insurance coverage to be provided by the Contractor and any applicable limits.)

Coverage	Limits

**§ A.3.4 Performance Bond and Payment Bond**

The Contractor shall provide surety bonds, from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located, as follows:  
(Specify type and penal sum of bonds.)

Type	Penal Sum (\$0.00)
Payment Bond	Total amount of contract
Performance Bond	Total amount of contract

Payment and Performance Bonds shall be AIA Document A312™, Payment Bond and Performance Bond, or contain provisions identical to AIA Document A312™, current as of the date of this Agreement.

**ARTICLE A.4 SPECIAL TERMS AND CONDITIONS**

Special terms and conditions that modify this Insurance and Bonds Exhibit, if any, are as follows:

« »

**Intentionally Left Blank**

# DRAFT AIA® Document A312® - 2010

## Performance Bond

**CONTRACTOR:**

(Name, legal status and address)

« »  
« »  
« »

**SURETY:**

(Name, legal status and principal place of business)

« »  
« »  
« »

**OWNER:**

(Name, legal status and address)

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

**CONSTRUCTION CONTRACT**

Date: « »

Amount: \$ « »

Description:

(Name and location)

Crawford County Airport – New Terminal Building  
10748 N 1650<sup>th</sup> Street, Palestine, IL 62451

**BOND**

Date:

(Not earlier than Construction Contract Date)

« »

Amount: \$ « »

Modifications to this Bond:  None  See Section 16

**CONTRACTOR AS PRINCIPAL**

Company: (Corporate Seal)

Signature: \_\_\_\_\_

Name and « »« »

Title: \_\_\_\_\_

**SURETY**

Company: (Corporate Seal)

Signature: \_\_\_\_\_

Name and « »« »

Title: \_\_\_\_\_

(Any additional signatures appear on the last page of this Performance Bond.)

(FOR INFORMATION ONLY — Name, address and telephone)

**AGENT or BROKER:**

« »  
« »  
« »

**OWNER'S REPRESENTATIVE:**

(Architect, Engineer or other party:)

Hanson Professional Services Inc.  
1525 S 6<sup>th</sup> Street  
Springfield, IL 62703

**ADDITIONS AND DELETIONS:** The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.



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§ 1 The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

§ 2 If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Section 3.

§ 3 If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after

- .1 the Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Section 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;
- .2 the Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and
- .3 the Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

§ 4 Failure on the part of the Owner to comply with the notice requirement in Section 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

§ 5 When the Owner has satisfied the conditions of Section 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

§ 5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;

§ 5.2 Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;

§ 5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Section 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

§ 5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances:

- .1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or
- .2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

§ 6 If the Surety does not proceed as provided in Section 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Section 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.

§ 7 If the Surety elects to act under Section 5.1, 5.2 or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the

Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication, for

- .1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
- .2 additional legal, design professional and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Section 5; and
- .3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

§ 8 If the Surety elects to act under Section 5.1, 5.3 or 5.4, the Surety's liability is limited to the amount of this Bond.

§ 9 The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors and assigns.

§ 10 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.

§ 11 Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

§ 12 Notice to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.

§ 13 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

#### § 14 Definitions

§ 14.1 **Balance of the Contract Price.** The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made, including allowance to the Contractor of any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

§ 14.2 **Construction Contract.** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.

§ 14.3 **Contractor Default.** Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.

§ 14.4 **Owner Default.** Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

§ 14.5 **Contract Documents.** All the documents that comprise the agreement between the Owner and Contractor.

§ 15 If this Bond is issued for an agreement between a Contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

§ 16 Modifications to this bond are as follows:

<< >>

(Space is provided below for additional signatures of added parties, other than those appearing on the cover page.)

**CONTRACTOR AS PRINCIPAL**

Company:

(Corporate Seal)

Signature:

Name and Title:

<< >><< >>

Address:

<< >>

**SURETY**

Company:

(Corporate Seal)

Signature:

Name and Title:

<< >><< >>

Address:

<< >>

# DRAFT AIA® Document A312® - 2010

## Payment Bond

**CONTRACTOR:**

(Name, legal status and address)

« »« »  
« »

**SURETY:**

(Name, legal status and principal place of business)

« »« »  
« »

**OWNER:**

(Name, legal status and address)

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

**CONSTRUCTION CONTRACT**

Date: « »

Amount: \$ « »

Description:

(Name and location)

Crawford County Airport – New Terminal Building  
10748 N 1650<sup>th</sup> Street, Palestine, IL 62451

**BOND**

Date:

(Not earlier than Construction Contract Date)

« »

Amount: \$ « »

Modifications to this Bond:  None  See Section 18

**CONTRACTOR AS PRINCIPAL**

Company: (Corporate Seal)

**SURETY**

Company: (Corporate Seal)

Signature:

Name and « »« »

Title:

(Any additional signatures appear on the last page of this Payment Bond.)

Signature:

Name and « »« »

Title:

(FOR INFORMATION ONLY — Name, address and telephone)

**AGENT or BROKER:**

« »  
« »  
« »

**OWNER'S REPRESENTATIVE:**

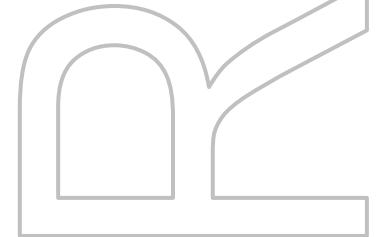
(Architect, Engineer or other party:)

Hanson Professional Services Inc.  
1525 S 6<sup>th</sup> Street  
Springfield, IL 62703

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Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.



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§ 1 The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner to pay for labor, materials and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.

§ 2 If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies and holds harmless the Owner from claims, demands, liens or suits by any person or entity seeking payment for labor, materials or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.

§ 3 If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Section 13) of claims, demands, liens or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials or equipment furnished for use in the performance of the Construction Contract and tendered defense of such claims, demands, liens or suits to the Contractor and the Surety.

§ 4 When the Owner has satisfied the conditions in Section 3, the Surety shall promptly and at the Surety's expense defend, indemnify and hold harmless the Owner against a duly tendered claim, demand, lien or suit.

§ 5 The Surety's obligations to a Claimant under this Bond shall arise after the following:

§ 5.1 Claimants, who do not have a direct contract with the Contractor,

- .1 have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
- .2 have sent a Claim to the Surety (at the address described in Section 13).

§ 5.2 Claimants, who are employed by or have a direct contract with the Contractor, have sent a Claim to the Surety (at the address described in Section 13).

§ 6 If a notice of non-payment required by Section 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Section 5.1.1.

§ 7 When a Claimant has satisfied the conditions of Sections 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:

§ 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and

§ 7.2 Pay or arrange for payment of any undisputed amounts.

§ 7.3 The Surety's failure to discharge its obligations under Section 7.1 or Section 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Section 7.1 or Section 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.

§ 8 The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Section 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.

§ 9 Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.

§ 10 The Surety shall not be liable to the Owner, Claimants or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to, or give notice on behalf of, Claimants or otherwise have any obligations to Claimants under this Bond.

§ 11 The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders and other obligations.

§ 12 No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Section 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this Paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

§ 13 Notice and Claims to the Surety, the Owner or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.

§ 14 When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

§ 15 Upon request by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

## § 16 Definitions

§ 16.1 Claim. A written statement by the Claimant including at a minimum:

- .1 the name of the Claimant;
- .2 the name of the person for whom the labor was done, or materials or equipment furnished;
- .3 a copy of the agreement or purchase order pursuant to which labor, materials or equipment was furnished for use in the performance of the Construction Contract;
- .4 a brief description of the labor, materials or equipment furnished;
- .5 the date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
- .6 the total amount earned by the Claimant for labor, materials or equipment furnished as of the date of the Claim;
- .7 the total amount of previous payments received by the Claimant; and
- .8 the total amount due and unpaid to the Claimant for labor, materials or equipment furnished as of the date of the Claim.

§ 16.2 Claimant. An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials or equipment were furnished.

§ 16.3 Construction Contract. The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.

§ 16.4 Owner Default. Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

§ 16.5 Contract Documents. All the documents that comprise the agreement between the Owner and Contractor.

§ 17 If this Bond is issued for an agreement between a Contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

§ 18 Modifications to this bond are as follows:

<< >>

(Space is provided below for additional signatures of added parties, other than those appearing on the cover page.)

**CONTRACTOR AS PRINCIPAL**

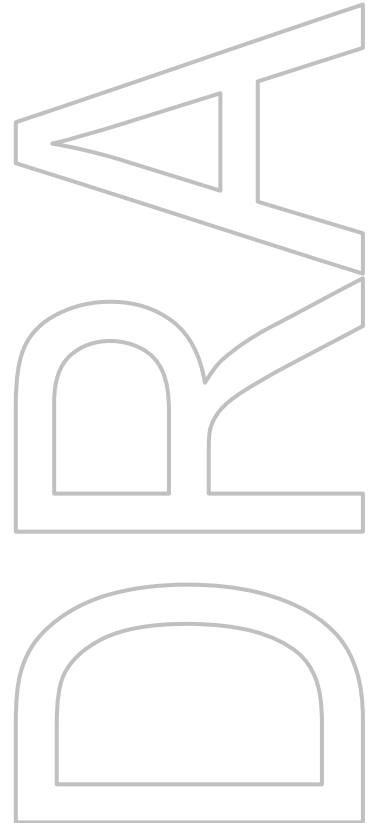
Company: \_\_\_\_\_ (Corporate Seal)

Signature: \_\_\_\_\_  
Name and Title: << >><< >>  
Address: << >>

**SURETY**

Company: \_\_\_\_\_ (Corporate Seal)

Signature: \_\_\_\_\_  
Name and Title: << >><< >>  
Address: << >>



# DRAFT AIA® Document A201® – 2017

## General Conditions of the Contract for Construction

### for the following PROJECT:

(Name and location or address)

Crawford County Airport – New Terminal Building  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

### THE OWNER:

(Name, legal status and address)

Crawford County Airport Authority  
10748 N 1650<sup>th</sup> Street  
Palestine, IL 62451

### THE ARCHITECT:

(Name, legal status and address)

Hanson Professional Services Inc.  
1525 S 6<sup>th</sup> Street  
Springfield, IL 62703

For purposes of this Agreement, the administrative roles identified as being those of “The Architect” are by Hanson Professional Services Inc. (Hanson).

However, it should not be construed by any party that Hanson is engaged in the practice of Architecture.

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- 1 GENERAL PROVISIONS
- 2 OWNER
- 3 CONTRACTOR
- 4 ARCHITECT
- 5 SUBCONTRACTORS
- 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS
- 7 CHANGES IN THE WORK
- 8 TIME
- 9 PAYMENTS AND COMPLETION
- 10 PROTECTION OF PERSONS AND PROPERTY
- 11 INSURANCE AND BONDS

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- 13 MISCELLANEOUS PROVISIONS
- 14 TERMINATION OR SUSPENSION OF THE CONTRACT
- 15 CLAIMS AND DISPUTES



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## **ARTICLE 1 GENERAL PROVISIONS**

### **§ 1.1 Basic Definitions**

#### **§ 1.1.1 The Contract Documents**

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

#### **§ 1.1.2 The Contract**

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

#### **§ 1.1.3 The Work**

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

#### **§ 1.1.4 The Project**

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

#### **§ 1.1.5 The Drawings**

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

#### **§ 1.1.6 The Specifications**

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

#### **§ 1.1.7 Instruments of Service**

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

#### **§ 1.1.8 Initial Decision Maker**

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

### **§ 1.2 Correlation and Intent of the Contract Documents**

**§ 1.2.1** The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

§ 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

### § 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

### § 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

### § 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

§ 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

### § 1.6 Notice

§ 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

§ 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

### § 1.7 Digital Data Use and Transmission

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

### § 1.8 Building Information Models Use and Reliance

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™–2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk

and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

## **ARTICLE 2 OWNER**

### **§ 2.1 General**

**§ 2.1.1** The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

**§ 2.1.2** The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

### **§ 2.2 Evidence of the Owner's Financial Arrangements**

**§ 2.2.1** Prior to commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

**§ 2.2.2** Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

**§ 2.2.3** After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

**§ 2.2.4** Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

### **§ 2.3 Information and Services Required of the Owner**

**§ 2.3.1** Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

**§ 2.3.2** The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

**§ 2.3.3** If the employment of the Architect terminates, the Owner shall employ a successor to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

§ 2.3.4 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

§ 2.3.5 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ 2.3.6 Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

#### § 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

#### § 2.5 Owner's Right to Carry Out the Work

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

### ARTICLE 3 CONTRACTOR

#### § 3.1 General

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

#### § 3.2 Review of Contract Documents and Field Conditions by Contractor

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These

obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

**§ 3.2.3** The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

**§ 3.2.4** If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

### **§ 3.3 Supervision and Construction Procedures**

**§ 3.3.1** The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

**§ 3.3.2** The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

**§ 3.3.3** The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

### **§ 3.4 Labor and Materials**

**§ 3.4.1** Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

**§ 3.4.2** Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

**§ 3.4.3** The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

### **§ 3.5 Warranty**

**§ 3.5.1** The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

**§ 3.5.2** All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

### **§ 3.6 Taxes**

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

### **§ 3.7 Permits, Fees, Notices and Compliance with Laws**

**§ 3.7.1** Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

**§ 3.7.2** The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

**§ 3.7.3** If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

### **§ 3.7.4 Concealed or Unknown Conditions**

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

**§ 3.7.5** If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

### **§ 3.8 Allowances**

**§ 3.8.1** The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

### § 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

### § 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

§ 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's approval. The Architect's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

### § 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

### **§ 3.12 Shop Drawings, Product Data and Samples**

**§ 3.12.1** Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

**§ 3.12.2** Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

**§ 3.12.3** Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

**§ 3.12.4** Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

**§ 3.12.5** The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

**§ 3.12.6** By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

**§ 3.12.7** The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect.

**§ 3.12.8** The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

**§ 3.12.9** The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

**§ 3.12.10** The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

**§ 3.12.10.1** If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and



other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.

**§ 3.12.10.2** If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

### **§ 3.13 Use of Site**

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

### **§ 3.14 Cutting and Patching**

**§ 3.14.1** The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

**§ 3.14.2** The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

### **§ 3.15 Cleaning Up**

**§ 3.15.1** The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

**§ 3.15.2** If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

### **§ 3.16 Access to Work**

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

### **§ 3.17 Royalties, Patents and Copyrights**

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

### **§ 3.18 Indemnification**

**§ 3.18.1** To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent

acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

## **ARTICLE 4 ARCHITECT**

### **§ 4.1 General**

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Contractor, and Architect. Consent shall not be unreasonably withheld.

### **§ 4.2 Administration of the Contract**

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

### **§ 4.2.4 Communications**

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise

such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

§ 4.2.7 The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

## ARTICLE 5 SUBCONTRACTORS

### § 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

## § 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

## § 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

## § 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

## ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

### § 6.1 Owner's Right to Perform Construction and to Award Separate Contracts

§ 6.1.1 The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

### § 6.2 Mutual Responsibility

§ 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

§ 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

### § 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

## ARTICLE 7 CHANGES IN THE WORK

### § 7.1 General

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

### § 7.2 Change Orders

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

### § 7.3 Construction Change Directives

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.

§ 7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

§ 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

#### § 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

### ARTICLE 8 TIME

#### § 8.1 Definitions

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

#### § 8.2 Progress and Completion

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

### § 8.3 Delays and Extensions of Time

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

## ARTICLE 9 PAYMENTS AND COMPLETION

### § 9.1 Contract Sum

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

### § 9.2 Schedule of Values

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

### § 9.3 Applications for Payment

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials



and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

**§ 9.3.3** The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

#### **§ 9.4 Certificates for Payment**

**§ 9.4.1** The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

**§ 9.4.2** The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

#### **§ 9.5 Decisions to Withhold Certification**

**§ 9.5.1** The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

**§ 9.5.2** When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

**§ 9.5.3** When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

§ 9.5.4 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

## § 9.6 Progress Payments

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

§ 9.6.5 The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

## § 9.7 Failure of Payment

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

## **§ 9.8 Substantial Completion**

**§ 9.8.1** Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

**§ 9.8.2** When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

**§ 9.8.3** Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

**§ 9.8.4** When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

**§ 9.8.5** The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

## **§ 9.9 Partial Occupancy or Use**

**§ 9.9.1** The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

**§ 9.9.2** Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

**§ 9.9.3** Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

## **§ 9.10 Final Completion and Final Payment**

**§ 9.10.1** Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;
- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

## ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

### § 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

### § 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

§ 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings

against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

**§ 10.2.4** When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

**§ 10.2.5** The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

**§ 10.2.6** The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

**§ 10.2.7** The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

**§ 10.2.8 Injury or Damage to Person or Property**

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

**§ 10.3 Hazardous Materials and Substances**

**§ 10.3.1** The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

**§ 10.3.2** Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.

**§ 10.3.3** To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property

(other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.

**§ 10.3.4** The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

**§ 10.3.5** The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

**§ 10.3.6** If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

#### **§ 10.4 Emergencies**

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

### **ARTICLE 11 INSURANCE AND BONDS**

#### **§ 11.1 Contractor's Insurance and Bonds**

**§ 11.1.1** The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.

**§ 11.1.2** The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

**§ 11.1.3** Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

**§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance.** Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

#### **§ 11.2 Owner's Insurance**

**§ 11.2.1** The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

**§ 11.2.2 Failure to Purchase Required Property Insurance.** If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to

provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

**§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance.** Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

### **§ 11.3 Waivers of Subrogation**

**§ 11.3.1** The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

**§ 11.3.2** If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

### **§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance**

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards however caused.

### **§11.5 Adjustment and Settlement of Insured Loss**

**§ 11.5.1** A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

**§ 11.5.2** Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner

shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

## **ARTICLE 12 UNCOVERING AND CORRECTION OF WORK**

### **§ 12.1 Uncovering of Work**

**§ 12.1.1** If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

**§ 12.1.2** If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

### **§ 12.2 Correction of Work**

#### **§ 12.2.1 Before Substantial Completion**

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

#### **§ 12.2.2 After Substantial Completion**

**§ 12.2.2.1** In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

**§ 12.2.2.2** The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

**§ 12.2.2.3** The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

**§ 12.2.3** The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

**§ 12.2.4** The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

**§ 12.2.5** Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for



correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

### **§ 12.3 Acceptance of Nonconforming Work**

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

## **ARTICLE 13 MISCELLANEOUS PROVISIONS**

### **§ 13.1 Governing Law**

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

### **§ 13.2 Successors and Assigns**

**§ 13.2.1** The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

**§ 13.2.2** The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

### **§ 13.3 Rights and Remedies**

**§ 13.3.1** Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

**§ 13.3.2** No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

### **§ 13.4 Tests and Inspections**

**§ 13.4.1** Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

**§ 13.4.2** If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

**§ 13.4.3** If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

§ 13.4.4 Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.4.5 If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

### § 13.5 Interest

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at the rate the parties agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

## ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

### § 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
- .4 The Owner has failed to furnish to the Contractor reasonable evidence as required by Section 2.2.

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, as well as reasonable overhead and profit on Work not executed, and costs incurred by reason of such termination.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

### § 14.2 Termination by the Owner for Cause

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

§ 14.2.2 When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

### § 14.3 Suspension by the Owner for Convenience

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

### § 14.4 Termination by the Owner for Convenience

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

§ 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the Agreement.

## ARTICLE 15 CLAIMS AND DISPUTES

### § 15.1 Claims

#### § 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

#### § 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

### § 15.1.3 Notice of Claims

§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

### § 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

### § 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

### § 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

### § 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

### § 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker

and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

### § 15.3 Mediation

§ 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

§ 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

§ 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

#### § 15.4 Arbitration

§ 15.4.1 If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. The Arbitration shall be conducted in the place where the Project is located, unless another location is mutually agreed upon. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

§ 15.4.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

§ 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

§ 15.4.3 The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement, shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

#### § 15.4.4 Consolidation or Joinder

§ 15.4.4.1 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 15.4.4.2 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as those of the Owner and Contractor under this Agreement.

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SECTION 00 73 43 - SUPPLEMENTARY CONDITIONS - PREVAILING WAGES AND FEDERAL  
CONTRACT PROVISIONS

PART 1 - PREVAILING RATE OF WAGES

- 1.1 Pursuant to executive order 13658, an hourly minimum wage for the current calendar year applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation issued). If this contract is covered by the executive order, the contractor must pay all workers in any classification listed in the documentation of Division 00 of this specification manual and as listed on [www.wdol.gov](http://www.wdol.gov) for all hours spent performing on the contract in the current calendar year. If this contract is covered by the executive order and a classification considered necessary for the performance of work on the contract does not appear in the documentation, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 cfr 5.5(a)(1)(ii) (or the executive order minimum wage rate, if it is higher than the conformed wage rate). Additional information on contractor requirements and worker protections under the executive order is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

PART 2 - FEDERAL CONTRACT PROVISIONS (APPENDIX A)

- 2.1 See attached Appendix A – Contract Provisions
- A. Contractor (including all subcontractors) is required to insert these contract provisions in each lower tier contract (e.g. subcontract or sub-agreement).
  - B. Contractor (including all subcontractors) is required to incorporate the applicable requirements of these contract provisions by reference for work done under any purchase orders, rental agreements and other agreements for suppliers or services.
  - C. Prime Contractor shall be responsible for compliance with these contract provisions by any subcontractor, lower-tier subcontractor or service provider.

PART 3 - CERTIFIED PAYROLL

- 3.1 Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the Work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the Work in the construction or development of the Project. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid, daily and



SECTION 00 73 43 -  
SUPPLEMENTARY CONDITIONS -  
PREVAILING WAGES AND FEDERAL  
CONTRACT PROVISIONS

weekly number of hours worked, deductions made and actual wages paid. For each pay application submitted in conjunction with the Contract work, the Contractor shall submit a copy of all payrolls to the Owner for transmission to the FAA or its designee.

END OF SECTION 00 73 43

"General Decision Number: IL20240002 05/03/2024

Superseded General Decision Number: IL20230002

State: Illinois

Construction Type: Building

Counties: Alexander, Champaign, Christian, Clark, Clay, Coles, Crawford, Cumberland, De Witt, Douglas, Edgar, Edwards, Effingham, Fayette, Ford, Franklin, Gallatin, Hamilton, Hardin, Iroquois, Jackson, Jasper, Jefferson, Johnson, Lawrence, Marion, Massac, Moultrie, Perry, Piatt, Pope, Pulaski, Richland, Saline, Shelby, Union, Vermilion, Wabash, Wayne, White and Williamson Counties in Illinois.

BUILDING CONSTRUCTION PROJECTS (does not include residential construction consisting of single family homes and apartments up to and including 4 stories)

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

<p>If the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an option is exercised) on or after January 30, 2022:</p>	<ul style="list-style-type: none"> <li>. Executive Order 14026 generally applies to the contract.</li> <li>. The contractor must pay all covered workers at least \$17.20 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2024.</li> </ul>
<p>If the contract was awarded on or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:</p>	<ul style="list-style-type: none"> <li>. Executive Order 13658 generally applies to the contract.</li> <li>. The contractor must pay all covered workers at least \$12.90 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on that contract in 2024.</li> </ul>

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at <http://www.dol.gov/whd/govcontracts>.

Modification Number	Publication Date
0	01/05/2024
1	01/12/2024
2	04/05/2024
3	05/03/2024

ASBE0001-004 10/03/2022

ALEXANDER, CHRISTIAN, DE WITT, FAYETTE, JACKSON, JEFFERSON, MARION, MOULTRIE, PERRY, PIATT, SHELBY, AND UNION COUNTIES

	Rates	Fringes
Asbestos Workers/Insulator Includes the application, installation, and cleanup of all insulating materials, protective coverings, coatings, and finishings to all types of mechanical systems.....	\$ 43.73	26.29

ASBE0017-001 06/01/2021

	Rates	Fringes
ASBESTOS WORKER/INSULATOR Includes the application of all insulating materials, protective coverings, coatings, and finishes to all types of mechanical systems.....	\$ 51.80	30.60
Fire Stop Technician.....	\$ 41.44	27.85
HAZARDOUS MATERIAL HANDLER includes preparation, wetting, stripping removal scrapping, vacuuming, bagging and disposal of all insulation materials, whether they contain asbestos or not, from mechanical systems.....	\$ 38.85	27.85

ASBE0017-006 06/01/2021

FORD AND IROQUOIS COUNTIES

	Rates	Fringes
ASBESTOS WORKER/INSULATOR includes the application of all insulating materials; protective coverings, coatings, and finishings to all types of mechanical systems.....	\$ 51.80	30.60
Fire Stop Technician.....	\$ 41.44	27.85
HAZARDOUS MATERIAL HANDLER		

includes preparation,  
wetting, stripping removal  
scrapping, vacuuming,  
bagging and disposal of  
all insulation materials,  
whether they contain  
asbestos or not, from  
mechanical systems.....\$ 38.85                      27.85

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ASBE0018-002 06/01/2023

CHAMPAIGN, CLARK, DOUGLAS, EDGAR, AND VERMILION COUNTIES

Rates                      Fringes

ASBESTOS WORKER/HEAT & FROST  
INSULATOR

Includes application of  
all insulating materials  
protective coverings,  
coatings and finishings to  
all types of mechanical  
systems.....\$ 35.70                      24.28

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ASBE0037-003 04/01/2023

CLAY, COLES, CRAWFORD, CUMBERLAND, EDWARDS, EFFINGHAM,  
FRANKLIN, GALLATIN, HAMILTON, HARDIN, JASPER, JOHNSON,  
LAWRENCE, MASSAC, POPE, PULASKI, RICHLAND, SALINE, WABASH,  
WAYNE, WHITE, AND WILLIAMSON COUNTIES

Rates                      Fringes

Asbestos Workers/Insulator

Includes the application  
of all insulating  
materials; protective  
coverings, coatings, and  
finishings to all types of  
mechanical systems.....\$ 33.44                      22.07

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BOIL0060-003 01/01/2024

CHAMPAIGN, DE WITT, FORD, IROQUOIS, and VERMILION COUNTIES

Rates                      Fringes

BOILERMAKER.....\$ 43.54                      32.76

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BOIL0363-002 01/01/2024

ALEXANDER, CHRISTIAN, CLARK, CLAY, COLES, CRAWFORD, CUMBERLAND,  
DOUGLAS, EDGAR, EDWARDS, EFFINGHAM, FAYETTE, FRANKLIN,  
GALLATIN, HAMILTON, HARDIN, JACKSON, JASPER, JEFFERSON,  
JOHNSON, LAWRENCE, MARION, MASSAC, MOULTRIE, PERRY, PIATT,  
POPE, PULASKI, RICHLAND, SALINE, SHELBY, UNION, WABASH, WAYNE,  
WHITE, AND WILLIAMSON COUNTIES

Rates                      Fringes

BOILERMAKER.....\$ 42.50                      35.00

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BRIL0006-007 06/01/2016

DE WITT COUNTY

	Rates	Fringes
Bricklayer, Caulker, Cleaner, Pointer & Stonemason.....	\$ 30.59	23.19
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BRIL0006-011 06/01/2017		

DE WITT COUNTY

	Rates	Fringes
Base Machine Men.....	\$ 31.13	21.09
Marble, Tile & Terrazzo Finisher.....	\$ 31.13	21.09
Marble, Tile & Terrazzo Workers.....	\$ 32.87	21.09
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BRIL0006-015 06/01/2019		

FORD (North of Roberts), AND IROQUOIS COUNTIES

	Rates	Fringes
BRICKLAYER.....	\$ 44.37	24.70
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BRIL0006-021 06/01/2017		

FORD (North of Roberts) & IROQUOIS COUNTIES

	Rates	Fringes
MARBLE SETTER.....	\$ 42.98	21.79
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BRIL0008-001 05/01/2019		

ALEXANDER, CLAY, EDWARDS, FRANKLIN, GALLATIN, HAMILTON, HARDIN, JACKSON, JEFFERSON, JOHNSON, LAWRENCE, MASSAC, PERRY, POPE, PULASKI, RICHLAND, SALINE, UNION, WABASH, WAYNE, WHITE, and WILLIAMSON COUNTIES

	Rates	Fringes
Bricklayer, Caulker, Cleaner, Pointer & Stonemason.....	\$ 30.79	21.69
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BRIL0008-003 05/01/2019		

CHAMPAIGN, CLARK, COLES, CRAWFORD, CUMBERLAND, DOUGLAS, EDGAR, EFFINGHAM, FORD, JASPER, PIATT, and VERMILION COUNTIES

	Rates	Fringes
Marble Setter, Terrazzo Worker & Tile Setter.....	\$ 32.53	19.38
Marble, terrazzo and tile finisher.....	\$ 31.03	19.38
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BRIL0008-004 05/01/2020		

CHRISTIAN COUNTY

	Rates	Fringes
Marble & Tile Setter and Terrazzo Worker.....	\$ 33.65	20.70
Marble, terrazzo and tile finisher.....	\$ 32.13	20.70

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BRIL0008-005 08/01/2017

FAYETTE AND MARION COUNTIES

	Rates	Fringes
BRICKLAYER Bricklayer, Stonemason, Marble Mason, Tile Layer, Pointer, Cleaner, and Caulker.....	\$ 33.13	22.05

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BRIL0008-015 05/01/2019

CHAMPAIGN, COLES, DOUGLAS, EDGAR, FORD(South of Roberts), PIATT  
& VERMILION COUNTIES

	Rates	Fringes
BRICKLAYER.....	\$ 32.45	24.43

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BRIL0008-017 05/01/2020

MOULTRIE and SHELBY COUNTIES

	Rates	Fringes
BRICKLAYER Caulkers, Cement Block Layers, Cleaners, Marble Setters, Pointers, Terrazzo Workers, and Tile Setters.....	\$ 33.49	24.93

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BRIL0008-020 05/01/2020

CHRISTIAN COUNTY

	Rates	Fringes
Bricklayer, Caulker, Cleaner, Pointer & Stonemason.....	\$ 33.49	24.93

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BRIL0008-031 05/01/2020

CLARK, CRAWFORD, CUMBERLAND, EFFINGHAM & JASPER COUNTIES

	Rates	Fringes
BRICKLAYER.....	\$ 31.56	22.28

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CARP0237-017 05/01/2021

DE WITT AND FORD (Northern Portion) COUNTIES

Rates	Fringes
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Carpenter/Lather.....	\$ 34.29	29.94
Piledriver.....	\$ 35.29	29.94

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CARP0237-022 05/01/2021

FORD COUNTY (Southern Portion)

	Rates	Fringes
Carpenter/Lather.....	\$ 33.58	29.94
Piledriver.....	\$ 34.58	29.94

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CARP0243-002 05/15/2020

CHAMPAIGN, EDGAR AND VERMILION COUNTIES

	Rates	Fringes
Carpenter/Lather.....	\$ 37.11	24.85
Piledriver.....	\$ 38.11	24.85

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CARP0243-005 05/15/2020

COLES, CUMBERLAND, DOUGLAS, EFFINGHAM, JASPER, MOULTRIE, AND SHELBY COUNTIES

	Rates	Fringes
Carpenter/Lather.....	\$ 33.71	28.25
Piledriver.....	\$ 34.71	28.25

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CARP0243-013 05/01/2017

CLARK COUNTY

	Rates	Fringes
Carpenter/Lather.....	\$ 36.04	21.34
Piledriver.....	\$ 37.04	21.34

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CARP0270-003 05/01/2019

CHRISTIAN COUNTY

	Rates	Fringes
Carpenter/Lather.....	\$ 32.83	27.45
Piledriver.....	\$ 33.83	27.45

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CARP0270-017 05/15/2020

PIATT COUNTY

	Rates	Fringes
Carpenter/Lather.....	\$ 33.36	28.60
Piledriver.....	\$ 34.36	28.60

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CARP0501-001 05/01/2017

CRAWFORD COUNTY

Rates	Fringes
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CARPENTER.....	\$ 32.14	25.24
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CARP0555-014 06/01/2022

IROQUOIS COUNTY

	Rates	Fringes
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CARPENTER

Carpenter, Drywaller, Millwright, Piledriver, and Soft Floor Layer.....	\$ 42.96	39.96
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CARP0634-001 05/01/2021

CLAY, EDWARDS, FAYETTE, HAMILTON, JEFFERSON, LAWRENCE, MARION,  
RICHLAND, WABASH, WAYNE, and WHITE COUNTIES

	Rates	Fringes
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CARPENTER (Lather, Piledriver, and Millwright).....	\$ 38.62	18.90
Carpet Installer (Carpet, Linoleum, Hardwood, and Tile Layer).....	\$ 36.08	18.90

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CARP0640-001 05/01/2021

ALEXANDER, FRANKLIN, HARDIN, MASSAC, JACKSON, PERRY, POPE,  
JOHNSON, GALLATIN, PULASKI, SALINE, UNION, and WILLIAMSON  
COUNTIES

	Rates	Fringes
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CARPENTER (Lather, Piledriver, and Millwright).....	\$ 38.62	18.90
Carpet Installer (Carpet, Linoleum, Hardwood, and Tile Layer).....	\$ 36.08	18.90

DIVERS (Receive 1 1/2 times Carpenter's rate plus fringe  
benefits and \$25.00 per day for equipment)

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CARP1051-004 05/15/2020

CHAMPAIGN, CHRISTIAN, CLARK, COLES, CRAWFORD, CUMBERLAND,  
DOUGLAS, EDGAR, EFFINGHAM, JASPER, MOULTRIE, PIATT, SHELBY AND  
VERMILION COUNTIES

	Rates	Fringes
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MILLWRIGHT.....	\$ 33.06	29.36
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CARP1051-008 05/15/2020

DE WITT AND FORD COUNTIES

	Rates	Fringes
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MILLWRIGHT.....	\$ 33.06	30.04
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ELEC0016-002 07/01/2023

WABASH COUNTY

	Rates	Fringes
ELECTRICIAN.....	\$ 41.04	19.76

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ELEC0146-001 06/01/2023

CHRISTIAN, COLES, CUMBERLAND, DE WITT (Excluding Rutledge, Santa Anna, Waynesville, and Wilson TWPS), DOUGLAS (Southern Half), EFFINGHAM (Banner, Bishop, Douglas, Liberty, Lucas, Moccasin, St. Francis, Summit and Teulopolis TWPS), FAYETTE (Hurricane, S. Hurricane, Ramsey, Bowling Green, Carson, and Loudon TWPS), MACON, MOULTRIE, PIATT (Excluding Blue Ridge, Sangamon and Monticello TWPS), AND SHELBY COUNTIES

	Rates	Fringes
ELECTRICIAN.....	\$ 43.30	21.61

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ELEC0176-009 06/01/2023

FORD (North of Lyman TWP), AND IROQUOIS (Excluding Artesia, Fountain Crrek, Loda, Lovejoy, Pegeon Grove, and Prairie TWPS) COUNTIES

	Rates	Fringes
ELECTRICIAN.....	\$ 50.45	44.96

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ELEC0176-016 06/01/2020

FORD and IROQUOIS COUNTIES

	Rates	Fringes
CATV Installer.....	\$ 37.50	32.78

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ELEC0197-004 12/01/2023

DE WITT COUNTY (Waynesville, Wilson, and Rutledge)

	Rates	Fringes
ELECTRICIAN.....	\$ 42.98	23.01

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ELEC0197-007 09/01/2023

BUILDING

DEWITT (Northside), WESTERN (Northside), MCLEAN (Southside), and WOODFORD (Southside) COUNTIES

	Rates	Fringes
ELECTRICAL LOW VOLTAGE WIRING INSTALLER Installation, service and maintenance of low-voltage		

systems which utilizes the transmission and/or transference of voice, sound, vision, or digital for commercial, education, security and entertainment purposes for the following: TV monitoring and surveillance, background/foreground music, intercom and telephone interconnect, field programming, inventory control systems, microwave transmission, multi-media, multiplex, radio page, school, intercom and sound burglar alarms and low voltage master clock systems.....\$ 37.04                      19.21

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 ELEC0538-003 06/01/2023

IROQUOIS (Fountain Creek, Lovejoy & Prairie Twps), VERMILION, and WABASH COUNTIES

	Rates	Fringes
ELECTRICIAN.....	\$ 39.09	24.37

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 ELEC0538-007 09/01/2023

BUILDING

IROQUOIS (Southeastern side), and VERMILION COUNTIES

	Rates	Fringes
ELECTRICAL LOW VOLTAGE WIRING INSTALLER Installation, service and maintenance of low-voltage systems which utilizes the transmission and/or transference of voice, sound, vision, or digital for commercial, education, security and entertainment purposes for the following: TV monitoring and surveillance, background/foreground music, intercom and telephone interconnect, field programming, inventory control systems, microwave transmission, multi-media, multiplex, radio page, school, intercom and sound burglar alarms and low voltage master clock systems.....	\$ 38.49	17.76

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 ELEC0601-001 03/01/2024

CHAMPAIGN, DE WITT (Santa Anna Twp), DOUGLAS (Northern Half), FORD (South of Benton Twp), IROQUOIS (Artesia, Pigeon Grove & Loda Twps), and PIATT (Blue Ridge, Sangamon, & Monticello Twps) COUNTIES

	Rates	Fringes
ELECTRICIAN.....	\$ 47.06	21.55
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ELEC0601-010 09/01/2023		

BUILDING

CHAMPAIGN, DEWITT (Northeast side), DOUGLAS (Northeast side), FORD (Southside), IROQUIOS (Southwest side), LASALLE (Southside), LIVINGSTON, MARSHALL (Eastside), PIATT (Northeast side), PUTNAM (Southeast side), and WOODFORD (Northeast side) COUNTIES

	Rates	Fringes
ELECTRICAL LOW VOLTAGE WIRING INSTALLER.....	\$ 35.29	20.96

Installation, service and maintenance of low-voltage systems which utilizes the transmission and/or transference of voice, sound, vision, or digital for commercial, education, security and entertainment purposes for the following: TV monitoring and surveillance, background/foreground music, intercom and telephone interconnect, field programming, inventory control systems, microwave transmission, multi-media, multiplex, radio page, school, intercom and sound burglar alarms and low voltage master clock systems.

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ELEC0702-001 01/01/2024

ALEXANDER, CLAY, EDWARDS, EFFINGHAM (Excluding Banner, Bishop, Douglas, Liberty, Lucas, Moccasin, St. Francis, Summit and Teulopolis TWPS), FAYETTE (Excluding Hurricane, S. Hurricane, Ramsey, Bowling Green, Carson and Loudon TWPS), FRANKLIN, GALLATIN, HAMILTON, HARDIN, JACKSON, JEFFERSON, JOHNSON, MARION, MASSAC, PERRY, POPE, PULASKI, SALINE, UNION, WAYNE, WHITE, AND WILLIAMSON COUNTIES

	Rates	Fringes
ELECTRICIAN.....	\$ 49.92	27.83
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ELEC0702-016 09/01/2020		

BUILDING

ALEXANDER, BOND (Eastside), CLAY, CLINTON (Eastside), EDWARDS, EFFINGHAM (Southwestern side), FAYETTE (Southside), FRANKLIN, GALLATIN, HAMILTON, HARDIN, JACKSON, JEFFERSON, JOHNSON, MARION, MASSAC, PULASKI, PERRY, POPE, RANDOLPH (Southeastern side), SALINE, UNION, WASHINGTON (Southeastern side), WAYNE, WHITE, and WILLIAMSON COUNTIES

Rates Fringes

ELECTRICAL LOW VOLTAGE WIRING INSTALLER.....	\$ 36.43	14.93
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Installation, service and maintenance of low-voltage systems which utilizes the transmission and/or transference of voice, sound, vision, or digital for commercial, education, security and entertainment purposes for the following: TV monitoring and surveillance, background/foreground music, intercom and telephone interconnect, field programming, inventory control systems, microwave transmission, multi-media, multiplex, radio page, school, intercom and sound burglar alarms and low voltage master clock systems.

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ELEC0725-005 10/01/2022

CLARK, CRAWFORD, EDGAR, JASPER, LAWRENCE, AND RICHLAND COUNTIES

Rates Fringes

ELECTRICIAN.....	\$ 40.00	21.96
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ELEV0003-003 01/01/2024

Rates Fringes

ELEVATOR MECHANIC.....	\$ 60.15	37.885+a+b
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FOOTNOTES:

a) Employer contributes 8% of regular basic hourly rate as vacation pay credit for employees with more than 5 years of service, and 6% for less than 5 years of service

b) Eight paid holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day Friday after Thanksgiving Day, Veterans' Day and Christmas Day.

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ELEV0034-004 01/01/2024

Rates Fringes

ELEVATOR MECHANIC.....	\$ 57.68	37.885+a+b
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FOOTNOTES:

a) Employer contributes 8% of regular basic hourly rate as vacation pay credit fore employees with more than 5 years of service; and 6% for 6 months to 5 years of service

b) Paid Holidays: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving Day; the Friday after Thanksgiving Day; Veterans' Day and Christmas Day

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ELEV0055-002 01/01/2024

Rates Fringes

ELEVATOR MECHANIC.....	\$ 55.57	37.885+a+b
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FOOTNOTES:

A. Employer contributes 8% of regular basic hourly rate as vacation pay credit for employees with more than 5 years of service, and 6% for under 5 years of service.

B. Paid Holidays: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving Day; Day after Thanksgiving; Veterans' Day & Christmas Day

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ENGI0318-001 04/01/2023

ALEXANDER, FRANKLIN, GALLATIN, HAMILTON, HARDIN, JACKSON, JOHNSON, MASSAC, POPE, PULASKI, SALINE, UNION, WHITE, AND WILLIAMSON COUNTIES

	Rates	Fringes
OPERATOR: Power Equipment		
Class A.....	\$ 36.38	29.45+a
Class B.....	\$ 34.48	29.45+a
Class C.....	\$ 27.08	29.45+a
River		
Class 1.....	\$ 36.48	29.45+a
Class 2.....	\$ 33.03	29.45+a

Class A: All Off Road Material Hauling Equipment, All Terrain Crane, Articulated Dump, Asphalt Machine Spreader, Asphalt Plant Assistant Operator, Asphalt Plant Operator, Asphalt Widener, Assistant Operator on Rotomills, Autograder, Automatic Slipform Pavers, Backend Man on Asphalt Machine, Backhoes, Barrel Grapppler Devices (All), Blacksmith, Blade Operators (All), Boat Operators (all) Bridges, Boat Pilots requiring certification and/or licensing, Dams & Waterways, Boilers, Boom or Winch Cat, Boom or Winch Type Trucks, Boring Machines-Horizontal, Clamshell, Orange Peel Operator, Concrete Breaker, Concrete Groover, Concrete Grinder, Concrete Curb Machine, Concrete Finish Machine or Spreader Operator, Concrete Mixer Paver, Concrete Pump Truck, Concrete Plant Operator, Concrete Wheel Saw Operators, Cranes(All) Truck/Track/Rubber, Crane (Overhead) Operator, Derrick Guy or Derrick Trucks, Ditching Machines (All), Dozer Operators, Dragline or Shovel Operators, Dredge Booster Pump, Dredge Engineman, Dredge Operator/Leverman, Drill Cat w/Compressor Mounted, Drilling or Boring Machine Rotary-Self-Propelled, Endloaders (All) Track/Rubber Elevating Grader, Flexplane, Forklifts/Tele-Handlers (All), Geothermal Well Drilling, GPS on machines already under the jurisdiction of Local 318, Gradall; Greasers, Heavy Equipment Robotics Operator, Hi-Lift, Hoists, Hosting Engine, Horizontal Directional Drill Operator, Incinerators (Haz-Mat only), Laser Screed, Locomotive/Operator, Master Mechanic, Mixers 21 cu. ft. or over, Motor Patrol, Pile driver operator, Pulls & Scrapers, Power Pac & Controls (Pile Driving), Pug mill, Pulverizer or Tillers, Push Cats, Quad Trac, Rotomills, Rotating Cab Forklifts, Rubber Tired Farm Tractor with Attachments over 1/2 yd., Self-Propelled Chip Spreader, Self-Propelled Roller w/Attachments, Shot Blaster/Bridge Deck, Shuttle Buggy, Side booms, Skid loader (Skid steers), Skimmer Scoop, Spyder Crane, Stationary Rock Slinger, Trackhoe and all attachments, Trench Machine Opeator, Tuggers, Ultra High Pressure Water Jet Cutting Machine, Vacuum, Vacuum Blasting Machine Operator, Vac Jet, Welders, Well or

Caisson Drills, Well Point Pumps-2 or more, Wood Chipper w/Tractor.

Class B: Oilers classified as Assistant Operators, Air Track Drill/Compressor, All Machines used to Sweep, Clean, Broom or remove debris or snow, Any type tractor pulling Roller or Disc, Automatic Bins or Scales w/Compressor or Generator, Bulk Cement Plant w/Separate Compressor, Concrete Curb Machine requiring Electronics, Concrete Plant Assistant Operators, Concrete or Pump crete Pumps, Deck Hand on Boats, Dredge Assistant Operator/Mate, Power Broom, Self-Propelled Roller/Compactor, Straw Mulcher Blower, Stump Cutter Machine, Two Air Compressors (220 CFM or over), Two Air Track Drills. Air Compressor w/valve driving piling, Assistant operator (where required refer to article vii section 9), Elevator Operator, Form Grader, Man Lift (scissor lift) when lifting materials, Pildriver activating air or hydraulic valve regardless of location, Rubber-tired farm type tractor w/Blade/Bulldozer/Auger/Hi-lift of 1/2 yard or less, Self-propelled concrete saw, Self-propelled robotics roller in use two continuous hours or more shall be manned by an operating engineer, Self-propelled vibrator, Truck crane assistant operator, Two Conveyors.

Class C: Air Compressor (220 CFM or over) one, Air Track Drill one, Automatic Bin, Belt Drag Machine, Bulk Cement Plant w/built-in compressor running off same motor or electric motor, fireman or switchman, Mechanic in permanent shops without separate signed Collective Bargaining Agreements (Nov. 1 through March 31), Mechanical plasterer applicator, Pipe Tract Jack, Power Broom, Self-propelled from tamper, Trac-Air, Mixers - less than 21 cu.ft., Mortar Mixer w/ski or pump, Mud Jacks, one well point pump, Wood Chipper.

One Operating Engineer may operate or maintain any combination of the following pieces of equipment, not to exceed four (4), which shall be within reasonable distance; such combination may include the equipment in this classification: Air Compressor (under 220 CFM) Four, Light Plants, Generators, Pumps, Conveyors, Motor Driven Heaters (2), Welding Machines, Ulmac or Equal Spreader

River Class 1: All Off Road Material Hauling Equipment, All Terrain Crane, All Power Boat Operators, Articulated Dump, Asphalt Machine Spreader, Asphalt Plant Operator, Asphalt Widener, Autograder, Automatic Slipform Pavers, Backhoes, Barrel Grapppler Devices (All), Blacksmith, Blade Operators (All), Boat Pilots requiring certification and or licensing, Boat Operators (all) Bridges, Dams & Waterways, Boilers, Boom or Winch Cat, Boom or Winch Type Trucks, Boring Machines-Horizontal, Clamshell, Orange Peel Operator, Concrete Breaker, Concrete Curb Machine, Concrete Finish Machine or Spreader Operator, Concrete Mixer Paver, Concrete Pump Truck, Concrete Plant Operator, Concrete Wheel Saw Operators, Cranes(All) Truck/Track/Rubber, Crane (Overhead) Operator, Derrick Guy or Derrick Trucks, Ditching Machines (All), Dozer Operators, Dragline or Shovel Operators, Dredge Booster Pump, Dredge Engineman, Dredge Operator/Leverman, Drill Cat w/Compressor Mounted, Drilling or Boring Machine Rotary-Self-Propelled, Endloaders (All) Track/Rubber Elevating Grader, Flexplane, Forklifts/Tele-Handlers (All), Geothermal Well Drilling, GPS on machines already under the jurisdiction of Local 318, Gradall; Greasers, Heavy Equipment Robotics Operator, Hi-Lift, Hoists, Hosting Engine, Horizontal Directional

Drill Operator, Incinerators (Haz-Mat only), Laser Screed, Locomotive/Operator, Master Mechanic, Mixers 21 cu. ft. or over, Motor Patrol, Piledriver operator, Pulls & Scrapers, Power Pac & Controls (PileDriving), Pug mill, Pulverizer or Tillers, Push Cats, Quad Trac, Rotating Cab Forklifts, Rotomills, Rubber Tired Farm Tractor with Attachments over 1/2 yd., Self-Propelled Chip Spreader, Self-Propelled Roller w/Attachments, Shuttle Buggie, Side booms, Skid loader (Skidsteers), Skimmer Scoop, Spyder Cranes, Trackhoe and all attachments, Trench Machine Opeator, Tuggers, Ultra High Pressure Water Jet Cutting Machine, Vacuum, Vacuum Blasting Machine Operator, Vac Jet, Welders, Well or Caisson Drills, Well Point Pumps-2 or more, Wood Chipper w/Tractor.

River Class 2: Assistant Operators required on All terrain cherry picker w/over 40 ton lifting capacity, Crane, Deckhand on all rivers, lakes, and tributaries, Dinky or standard locomotive, Ditching machine (80 h.p. and over), Dragline, Dredge, Gradall, Guy Derrick, Assitant operators or firman on crane, Piledriver, Shovel, Trenching Machine, Truck Crane.

Footnote A - Hazardous Waste Premium: Level (A)-receive \$3.00 above rate; Level (B)-receive \$2.00 above rate; Level (C)-receive \$1.50 above rate; Level (D)-receive \$1.00 above rate.

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ENGI0520-011 08/01/2022

FAYETTE, JEFFERSON, MARION, and PERRY COUNTIES

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
Group 01.....	\$ 40.95	34.95
Group 02.....	\$ 39.82	34.95
Group 03.....	\$ 35.34	34.95
Group 04.....	\$ 41.95	34.95
Group 05.....	\$ 42.95	34.95
Group 06.....	\$ 43.50	34.95
Group 07.....	\$ 43.80	34.95
Group 08.....	\$ 44.10	34.95
Group 09.....	\$ 44.75	34.95
Group 10.....	\$ 45.25	34.95
Group 11.....	\$ 42.95	34.95
Group 12.....	\$ 43.95	34.95

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Cranes, Draglines, Shovels, Skimmer Scoops, Clamshells or Derrick Boats, Pile Drivers, Crane-Type Backhoes, Asphalt Plant Operators, Concrete Plant Operators, Dredges, Asphalt Spreading Machines, Screws on Asphalt Spreading Machines, All Locomotives, Cable Ways or Tower Machines, Hoists, Hydraulic Backhoes, Ditching Machines, or Backfiller, Cherrypickers, overhead Cranes, Roller, Steam or Gas, Concrete Pavers, Excavator Concrete Breakers, Concrete Pumps, Bulk Cement Plants, Cement Pumps, DerrickType Drills, Boat Operators, Motor Graders or Pushcats, Scoops or Toumapulls, Bulldozers, Endloaders or Fork Lifts, Power Blade or Elevating Graders, Winch Cats, Boom or Winch Trucks or Boom Tractors, Pipe Wrapping or Painting Machines, Asphalt Plant Engineer, Journeyman

Lubricating Engineer, Drills (other than derrick type), Mud Jacks, or Well Drilling Machines, Boring Machines or Track Jacks, Mixers, Conveyors (two), Air Compressors (two) Water Pumps, regardless of size (two), Welding Machines (two), Siphons or Jets (two), Winch Head or Apparatuses (two), Light Plants (two), Waterblasters (two), all Tractors, regardless of size (straight tractor only), Fireman on Stationary Boilers, Automatic Elevators, Form Grading Machines, Finishing Machines, Power Sub-Grader or Ribbon Machines, Longitudinal Floats, Distributor Operators on Trucks, Winch Heads or Apparatuses (one), Mobil Track air and heaters (two to five), Heavy Equipment Greaser, Relief Operator, Assistant Master Mechanic and Heavy Duty Mechanic, concrete saws of all types and sizes with their attachments, gobhoppers, excavators all sizes, the repair, greasing, and fueling of all diesel hammers, the operation, set-up and cleaning of bidwells, concrete placement booms, the alterations, repair of all barges, water blasters of all sizes and their clutches, mobile lifts, hydraulic jacks where used for hoisting, diesel or gas powered flashing sings used for traffic control, micro pavers, log skidders, iceolators used on and off of pipeline, condor cranes, drill rigs of all sizes, bow boats, survey boats, ross carriers, bob-cats and all their attachments, skid steer loaders and all their attachments, creter crane, direct drive electric motors the bolting and unbolting the adjusting and shimming, (dewatering jobs, whirley crane, conveyor belts) etc., batch plants (all sizes), roto mills, conveyors systems of any size and any configuration, hydroseeders and strawblowers all sizes, operation, repair, service of all vibratory hammers, all power pacs and their controls regardless of location, curtains or brush burning machines, stump cutter machines, grout machines regardless of size, Nail launchers when mounted on a machine or self-propelled, con-cover machines, Goldhofer and similar S.P.M.T. (self-propelled modular transpmiers) heavy transport units and all Operators (except those listed below).

#### Group 2: Assistant Operators

GROUP 3: Air Compressor One; Water Pump regardless of size One; Welding Machine One; 1-Bag Mixer One; Conveyor One; Siphon or Jet; Light Plant One; Heater One; Immobile Track Air One

GROUP 4: Firemen on Whirlies and Asphalt Spreader Oiler; Heavy Equipment Oilers; Truck Cranes; Monigans; Large over 65 tons capacity; Concrete Plant Oiler and Black Top Plant Oiler

#### GROUP 5: Oilers

GROUP 6: Operators on equipment with Booms, including Jibs, 100 ft and over, but less than 150 ft

GROUP 7: Operators on equipment with Booms, including Jibs, 150 ft and over, but less than 200 ft

GROUP 8: Operators on equipment with Boomns, including Jibs, 200 ft and over; Tower Cranes, and Whirley Cranes

GROUP 9: Certified crane Operators, Below 17.5 tons, when requested by the Contractor or required by the Owner.



GROUP 10: Certified crane Operators 17.5 tons and above, when requested by the Contractor or required by the Owner.

GROUP 11: Master Mechanic

GROUP 12: Licensed Boat Pilot

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ENGI0841-005 04/01/2023

CHAMPAIGN, CLARK, COLES, CUMBERLAND, DOUGLAS, EDGAR, MOULTRIE,  
and VERMILION COUNTIES

	Rates	Fringes
OPERATOR: Power Equipment		
GROUP 1.....	\$ 45.15	26.00
GROUP 2.....	\$ 30.05	26.00

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Power Cranes, Draglines, Derricks, Shovels, Gradalls, Mechanics, Tractor Highlift, Tournadozer, Concrete Mixers with Skip, Tournamixer, Two-Drum Machine, One-Drum Hoist with Tower or Boom, Cableways, Tower Machines, Motor Patrol, Boom Tractor, Boom or Winch Truck, Winch or Hydraulic Boom Truck, Truck Crane, Tournapull, Tractor Operating Scoops, Bulldozer, Push Tractor, Asphalt Planer, Finishing Machine on Asphalt, Large Rollers on Earth, Rollers on Asphalt Mix, Ross Carrier or Similar Machine, Gravel Processing Machine, Asphalt Plant Engineer, Paver Operator, Farm Tractor with Half Yard Bucket and/or Backhoe Attachments, Dredge Engineer, or Dredge Operator, Central Mix Plant Engineer, CMI or Similar Type Machine, Truck or Skid Mounted Concrete Pump, Tower Crane, Engine or Rock Crusher Plant, Concrete Plant Engineer, Ditching Machine with Dual Attachment, Tractor Mounted Loaders, Cherry Picker, Hydro Crane, Standard or Dinney Locomotives, Scoopmobiles, Euclid Loader, Soil Cement Machine, Back Filler, Elevating Machine, Power Blade, Drilling Machines Including Well Testing, Caissons, Shaft or Any Similar Type Drilling Machines, Motor Driven Paint Machine, Pipe Cleaning Machine, Pipe Wrapping Machine, Pipe Bending Machine, Apsco Paver, Boring Machine, (Head Equipment Greased), Barber- Greene Loaders, Formless Paver, (Well Point System), Concrete Spreader, Hydra Ax, Span Saw and Similar Types, Marine Scoops, Brush Mulcher, Brush Burner, Mesh Placer, Tree Mover, Helicopter Crew (3), Piledriver - Skid or Crawler, Stump Remover, Root Rake, Tug Boat Operator, Refrigerating Machine, Freezing Operator, Chair Cart-Self Propelled, Hydra Seeder, Straw Blower, Power Sub Grader, Bull Float, Finishing Machine, Self-Propelled Pavement Breaker (Backhoe Attached), Lull (or Similar Type Machine), Two Air Compressors, Compressors Hooked in Manifold, Overhead Crane, Chip Spreader, Mud Cat, Sull-Air Fork Lifts (Except When Used For Landscaping Work), Soil Stabilazer (Seaman Tiller, Bo Mag, Rago Gator and Similar Types or Equipment), Tube Float, Spray Machine, Curing Machine, Concrete or Asphalt Mil

GROUP 2: Concrete Mixers Without Skips, Rock Crusher, Ditching Machine Under 6', Curbing Machine, one Drum Machines without Tower or Boom, Air Tugger, Self-Propelled Concrete Saw, Machine- Mounted Post Hole Digger, Two to

Four Generators, Water Pumps, or Welding Machines, within 400ft., Air Compressor 600 cu. ft. and Under, Rollers on Aggregate and Seal Coat Surfaces, Fork Lifts (When Used For Landscaping Work, Concrete and Blacktop Curb Machine, Farm Tractor with less than Half Yard Bucket, One Water Pump, Oilers, Air Valves or Steam Valves, One Welding Machine, Truck Jack, Mud Jack, Gunnite Machine, House Elevators when used for Hoisting Material, Engine Tenders, Wagon Drill, Flex Plane, Conveyor, Siphons and Pulsometer, Switchman, Fireman on Paint Pots, Fireman on Asphalt Plants, Distributor Operators on Trucks, Tampers, Self- Propelled Power Broom, Striping Machine (Motor Driven), Form Tamper, Bulk Cement Plant Equipment Greaser, Deck Hands, Truck Crane Oiler-Driver, Cement Blimps, Form Grader, Temporary Heat, Throttle Valve, Farm Tractor, Super Sucker (and Similar Type of Equipment)

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 ENGI0841-006 04/01/2023

CLAY, CRAWFORD, EDWARDS, EFFINGHAM, JASPER, LAWRENCE, RICHLAND, WABASH, and WAYNE COUNTIES

	Rates	Fringes
OPERATOR: Power Equipment		
GROUP 1.....	\$ 45.15	26.00
GROUP 2.....	\$ 30.05	26.00

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Power Cranes, Draglines, Derricks, Shovels, Gradalls, Mechanics, Tractor Highlift, Tournadozer, Concrete Mixers with Skip, Tournamixer, Two-Drum Machine, One-Drum Hoist with Tower or Boom, Cableways, Tower Machines, Motor Patrol, Boom Tractor, Boom or Winch truck, Winch or Hydraulic Boom Truck, Truck Crane, Tournapull, Tractor Operating Scoops, Bulldozer, Push Tractor, Asphalt Planer, Finishing Machine on Asphalt, Large Rollers on Earth, Rollers on Asphalt Mix, Ross Carrier or Similar Machine, Gravel Processing Machine, Asphalt Plant Engineer, Paver Operator, Farm Tractor with Half Yard Bucket and/or Backhoe Attachments, Dredge Engineer, or Dredge Operator, Central Mix Plant Engineer, CMI or Similar Type Machine, Truck or Skid Mounted Concrete Pump, Tower Crane, Engine or Rock Crusher Plant, Concrete Plant Engineer, Ditching Machine with Dual Attachment, Tractor Mounted Loaders, Cherry Picker, Hydro Crane, Standard or Dinkey Locomotives, Scoopmobiles, Euclid Loader, Soil Cement Machine, Back Filler, Elevating Machine, Power Blade, Drilling Machines Including Well Testing, Caissons, Shaft or Any Similar Type Drilling Machines, Motor Driven Paint Machine, Pipe Cleaning Machine, Pipe Wrapping Machine, Pipe Bending Machine, Apsco Paver, Boring Machine, (Equipment Greased), Barber- Greene Loaders, Formless Paver, (Well Point System), Concrete Spreader, Hydra Ax, Span Saw and Similar Types, Marine Scoops, Brush Mulcher, Brush Burner, Mesh Placer, Tree Mover, Helicopter Crew (3), Piledriver - Skid or Crawler, Stump Remover, Root Rake, Tug Boat Operator, Refrigerating Machine, Freezing Operator, Chair Cart-Self Propelled, Hydra Seeder, Straw Blower, Power Sub Grader, Bull Float, Finishing Machine, Self-Propelled Pavement Breaker (Backhoe Attached), Lull (or Similar Type Machine), Two Air Compressors, Compressors Hooked in Manifold,

Overhead Crane, Chip Spreader, Mud Cat, Sull-Air Fork Lifts (Except When Used For Landscaping Work), Soil Stabilizer (Seaman Tiller, Bo Mag, Rago Gator and Similar Types or Equipment), Tube Float, Spray Machine, Curing Machine, Concrete or Asphalt Milling Machine, Snooper Truck Operator.

GROUP 2: Concrete Mixers Without Skips, Rock Crusher, Ditching Machine Under 6', Curbing Machine, one Drum Machines without Tower or Boom, Air Tugger, Self-Propelled Concrete Saw, Machine- Mounted Post Hole Digger, Two to Four Generators, Water Pumps, or Welding Machines, within 400ft., Air Compressor 600 cu. ft. and Under, Rollers on Aggregate and Seal Coat Surfaces, Fork Lifts (When Used For Landscaping Work, Concrete and Blacktop Curb Machine, Farm Tractor with less than Half Yard Bucket, One Water Pump, Oilers, Air Valves or Steam Valves, One Welding Machine, Truck Jack, Mud Jack, Gunnite Machine, House Elevators when used for Hoisting Material, Engine Tenders, Wagon Drill, Flex Plane, Conveyor, Siphons and Pulsometer, Switchman, Fireman on Paint Pots, Fireman on Asphalt Plants, Distributor Operators on Trucks, Tampers, Self-Propelled Power Broom, Striping Machine (Motor Driven), Form Tamper, Bulk Cement Plant Equipment Greaser, Deck Hands, Truck Crane Oiler\_Driver, Cement Blimps, Form Grader, Temporary Heat, Throttle Valve, Farm Tractor, Super Sucker (and Similar Type of Equipment)

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ENGI0965-005 05/01/2023

CHRISTIAN, DE WITT, PIATT, and SHELBY COUNTIES

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
Group 1.....	\$ 40.71	28.75
Group 2.....	\$ 38.18	28.75
Group 3.....	\$ 34.30	28.75
Group 4.....	\$ 42.32	28.75

PREMIUM PAY-

CRANES WITH BOOMS - 120-200 ft. 1.00 per hour; 150 ft. .02 Per Foot For Each Foot Above 200; MULTIPLE UNIT MACHINES- 1.00 per hour; UNDERGROUND WORK-.50 per hour; UNDER AIR PRESSURE- .50 per hour; LONG BOOMS ON STATIONARY CRANES - 1.00 per hour. Above Long Boom Scale.

HAZARDOUS WASTE/ASBESTOS REMOVAL WORKERS:

Level A: (highest level of respiratory, skin, and eye protection) receives \$2.00 per hour above journeyman.

Level B: (same as level A, but a lower level of skin protection) receives \$1.50 per hour above journeyman.

Level C: (same as level B, but a lower level of respiratory protection) receives \$1.00 per hour above journeyman.

OPERATING ENGINEER CLASSIFICATIONS:

GROUP 1: Asphalt Plant Engineer; Asphalt screed man; Apsco concrete spreader; Asphalt paver; Asphalt roller on bituminous concrete; Athey loaders; Cableways; Cherry Picker; ClamShell; C.M.I. & Similar Type Autograde Formless

Paver,Autgrade Placer & Finisher; Concrete Breaker;Concrete plant Oper; Concrete Pumps; Cranes; Derricks;Derrick boats; Draglines; Earth auger boring machine, Elevating Graders; Engineers on dredge; Gravel processing machines;Head equipment greaser;High lift or fork lift;Hoist with two drums or 2 or more loadlines; Locomotive; Mechanics; Motor graders or auto patrols; Operators or levelman on dredges; Power boat oper; Pug mill oper; (Asphalt plat); Orange peels; Overhead cranes; Paving mixer; Piledrivers; Pipe wraper & Painting machines; Push dozers, or Push cats; Rock crusher; Ross carrier or similar machine; Scoops; Skimmers 2 cu yd capacity & Under: Sheep foot roller (self propelled);Shovels; Skimmer; Scoops; Test hole drilling machines; Tower machine; Tower mixer; Track Tupe & Loaders; Track type forklifts or high lifts; Track jacks & Tampers; Trackors; Sideboom; Trenching machine; Ditching machine; Tunnel lugger; Wheel type end loader; Winch cat; Scoops (Allor tournapull)

GROUP 2: Asphalt booster & Heater; Asphalt distributor; Asphalt plant fireman;Building Elevator; Bull float or flexplane; Concrete finshing machine; Concrete saw, self propelled; Concrete spreader machine; Gravel or stone spreader, Power operated; Hoist automatic; Hoist with one drum & one load line; Oiler on 2 paving mixers when used in tandem boom or winch truck; Ost hole diggers; Mechanical; Road or street sweeper, Self-propelled; Scissors hoist; Seaman tiller; Straw machine; Vibratory compactor; Well drill machine; & Mud jacks.

GROUP 3: Air compressor, Track or self-propelled; Bulk cement batching- plants; Conveyors; Concrete miers (Except Plant,Paver,Tower) Firement, Generators; Greasers; Light plants; Mechanical theater; Oilers; Power from graders; Power sub-grader; Pug mill, When used other than asphalt operation; Roolers (Except bitumin ous); Tractors w/o Power attachments regardless of size or type; Truck crane oiler; & driver ( one man ); Vibratory hammer; Water pump; Welding machine ( one 300 amp or over) Combinations of five of any air compressors; Conveyors, Welding Machines, Water pumps; Light plants or Generators shall be in batteries or within 300 ft.

Group 4: Lattice Boom crawler crane, Lattice Boom truck crane, Telescopic truck mounted crane, Tower crane

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IRON0022-005 06/01/2023

CLARK, CLAY, COLES, CRAWFORD, CUMBERLAND, EDGAR, EFFINGHAM, IROQUOIS, JASPER, LAWRENCE, AND RICHLAND COUNTIES

	Rates	Fringes
IRONWORKER.....	\$ 35.45	25.79

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IRON0103-005 04/01/2023

CLAY (Louisville & South thereof), EDWARDS, FRANKLIN (Northeast corner), GALLATIN, HAMILTON, JEFFERSON (East of Mt. Vernon), LAWRENCE (Southern Half including Lawrenceville), MARION (Southeast), RICHLAND (Southern Half), SALINE (Northeastern 1/3), WABASH, WAYNE, and WHITE COUNTIES

	Rates	Fringes
IRONWORKER.....	\$ 31.99	26.20
-----		
IRON0380-001 05/01/2023		

CHAMPAIGN, DE WITT (Eastern Half), DOUGLAS, EDGAR, FORD, IROQUOIS, MOULTRIE, PIATT, and VERMILION COUNTIES

	Rates	Fringes
IRONWORKER.....	\$ 36.08	29.00
-----		
IRON0392-005 08/01/2023		

CLAY (Remainder), FAYETTE (Excludes St. Elmo and area North thereof), FRANKLIN (Northwest corner), JACKSON (Ana & Elkville TWPS), JEFFERSON (Mount Vernon & area West thereof), MARION (Remainder), and PERRY COUNTIES

	Rates	Fringes
IRONWORKER.....	\$ 40.40	30.18
-----		
IRON0782-004 08/01/2023		

ALEXANDER, FRANKLIN, HARDIN, JACKSON (Excludes Ava and Elkville TWPS), JOHNSON, MASSAC, POPE, PULASKI, SALINE (Excludes vicinity of El Dorado and are NE thereof), UNION, and WILLIAMSON COUNTIES

	Rates	Fringes
IRONWORKER.....	\$ 36.75	28.04
-----		
LAB00159-001 05/01/2020		

CLARK, COLES, CUMBERLAND, DOUGLAS, EDGAR, MOULTRIE (North), AND SHELBY COUNTIES

	Rates	Fringes
LABORER		
Asbestos Abatement Worker...	\$ 30.59	26.88
General Laborer.....	\$ 28.09	26.78
-----		
LAB00159-007 05/01/2020		

MOULTRIE COUNTY (South)

	Rates	Fringes
LABORER		
Asbestos Abatement Worker...	\$ 30.89	26.81
General Laborer.....	\$ 28.39	26.81
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\* LAB00477-008 05/01/2024

CHRISTIAN COUNTY

Rates Fringes

LABORER

Asbestos Abatement Worker...	\$ 35.17	29.26
General Laborer.....	\$ 32.17	29.26

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LAB00703-001 05/01/2021

CHAMPAIGN, DE WITT, and PIATT COUNTIES

Rates Fringes

LABORER

Asbestos Abatement Worker...	\$ 33.10	26.96
General Laborer.....	\$ 30.60	26.96

-----  
LAB00703-007 05/01/2021

VERMILION COUNTY

Rates Fringes

LABORERS

Asbestos Abatement Worker...	\$ 31.97	26.96
General Laborer.....	\$ 29.47	26.96

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LAB00751-001 06/01/2023

FORD and IROQUOIS COUNTIES

Rates Fringes

LABORER

GROUP 1.....	\$ 42.25	33.59
GROUP 2.....	\$ 43.25	33.59

LABORER CLASSIFICATIONS

GROUP 1: General Laborer and Skilled Laborer - Handling of materials treated with oil, creosote, asphalt and/or foreign material harmful to skin or clothing; Track Laborers; Cement Handlers; Chloride Handlers; Unloading and Laborers with Steel Workers and Re-bars; Concrete Workers (wet); Batch Dumpers; Mason Tenders; Kettle and Tar Men; Tank Cleaners; Plastic Installers; Scaffold Workers; Motorized buggies or motorized unit used for wet concrete or handling of building materials; Laborers with de-watering systems; Sewer workers plus depth; Vibrator Operators; Motor Mixer Operators; Cement Silica, clay, fly ash, lime and plasters, handlers (bulk or bag); Cofferdam Workers plus depth; Concrete paving, placing, cutting and tying of reinforcing; Deck hand, dredge hand and shore laborers; Bankmen on floating plant; Asphalt Workers with machine and layers; Grade checker; Power Tools; Driving all stakes, stringlines for all machinery; Setting and building of manholes and catch basins; Stripping of all concrete forms except paving forms; All concrete paving and slope walls, placing, cutting and tying of reinforcing (re-bars and wire mesh); Caisson Workers plus depth; Gunnite Nozzle Men; Lead Man on Sewer Work; Welders, Cutters, Burners, & Torchmen; Chain Saw Operators; Paving Breaker, Jackhammer & Drill Operators; Layout Man and/or Tile Layer; Steel Form Setters (Street & Hwy); Air Tamping hammerman; Signalman on Crane; Concrete Saw Operator; Screenman on Asphalt Pavers; Front End Man on Chip Spreader; Laborers Tending Masons

with hot materials or where foreign materials are used; Multiple Concrete duct-leadman; Luteman; Asphalt Raker; Curb Asphalt Machine Operator; Ready mix scalemen, permanent, portable or temporary plant; Laborers Handling Masterplate or similar materials; Laser Beam Operator; Coring Machine Operator; Plasterer Tenders; Underpinning and Shoring of Building; Material selector when working with firebrick or castable materials; Fire Watch; Signaling of all power equipment; Tree Topper or Trimmer; Tunnel Helpers in free air; Rod and Chainmen with Lead Surveyors, Surveyors, and Technical Engineers; Concrete Burning Machine Operator

GROUP 2: Asbestos Abatement Worker and Hazardous Waste Worker; Dynamite man; Lead Base Paint Abatement Worker

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LAB00773-003 04/01/2023

ALEXANDER, FRANKLIN, GALLATIN, HARDIN, JACKSON, JOHNSON, MASSAC, PERRY, POPE, PULASKI, SALINE, UNION, AND WILLIAMSON COUNTIES

	Rates	Fringes
LABORER		
Asbestos Abatement Worker...\$	32.51	27.13
General Laborer.....\$	31.51	27.03

-----  
LAB01197-002 04/01/2023

CLAY, CRAWFORD, EDWARDS, EFFINGHAM, FAYETTE, HAMILTON, JASPER, JEFFERSON, LAWRENCE, MARION, RICHLAND, WABASH, WAYNE, AND WHITE COUNTIES

	Rates	Fringes
LABORER		
Asbestos Abatement Worker...\$	32.51	27.13
General Laborer.....\$	31.51	27.03

-----  
MARB0054-001 05/01/2010

CLAY, EDWARDS, LAWRENCE, RICHLAND, WABASH, AND WAYNE COUNTIES

	Rates	Fringes
Marble, Tile & Terrazzo Workers.....\$	29.60	13.33

-----  
PAIN0030-010 06/01/2023

BUREAU, FORD, HANCOCK, LA SALLE, LIVINGSTON, MCDONOUGH, MCLEAN, PUTNAM AND STARK COUNTIES

	Rates	Fringes
PAINTER		
Brush, Roller, Pressure Roller, Spray, Airless Spray, Sandblasting, Taper, Drywall		

Taper/Finisher, Structural Steel, and Bridges.....	\$ 40.00	26.90
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PAIN0032-004 09/01/2022

ALEXANDER, FRANKLIN, GALLATIN, HARDIN, JACKSON, JOHNSON, PERRY,  
POPE, PULASKI, SALINE, UNION, and WILLIAMSON COUNTIES

	Rates	Fringes
PAINTER.....	\$ 31.37	20.02

Epoxy or Toxic-Lead-Based Paint Work-\$1.00 Premium

-----  
PAIN0058-004 05/01/2017

FAYETTE COUNTY

	Rates	Fringes
PAINTER.....	\$ 31.25	17.12

Epoxy or Toxic-Lead-Based Paint Work-\$1.00 Premium

-----  
PAIN0090-001 09/01/2021

CHRISTIAN COUNTY

	Rates	Fringes
PAINTER.....	\$ 32.23	20.58

Epoxy or Toxic-Lead-Based Paint Work-\$1.00 Premium

All work over 40 ft. above floor or ground level - \$1.00  
Premium

-----  
PAIN0124-001 09/01/2021

CLAY, HAMILTON, JEFFERSON, MARION, and WAYNE COUNTIES

	Rates	Fringes
PAINTER		
Journeyman.....	\$ 26.50	19.01
Taping (All Kinds).....	\$ 27.30	19.01

Epoxy or Toxic-Lead-Based Paint Work-\$1.00 Premium

-----  
PAIN0156-007 04/01/2023

EDWARDS, WABASH, AND WHITE COUNTIES

	Rates	Fringes
PAINTER		
Brush & Roller of Mastics, Creosotes, Kwinch Koate, and Coal Tar Epoxy.....	\$ 28.30	20.08
Brush, Roller and Paperhanger.....	\$ 27.30	20.08
Drywall Finishers and Plasterers.....	\$ 27.55	20.08



Spray of Mastics, Creosotes, Kwinch Koate, and Coal Tar Epoxy.....	\$ 29.55	20.08
Spray, Sandblast, Power Tools, Waterblast, and Steam Cleaning.....	\$ 28.30	20.08

FOOTNOTE A:

All Structures over 40? \$0.75/ hour above base wage  
 All Structures over 75? \$1.50/ hour above base wage  
 All Structures over 100? \$2.50/ hour above base wage

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 PAIN0157-009 05/01/2023

FORD AND IROQUIOS COUNTIES

	Rates	Fringes
GLAZIER.....	\$ 38.59	26.78

-----  
 PAIN0288-001 09/01/2021

DE WITT, MOULTRIE, PIATT, and SHELBY COUNTIES

	Rates	Fringes
PAINTER		
Brush and Roller.....	\$ 30.50	22.30
Paperhanger and Drywall Taping.....	\$ 30.50	22.30
Spray and Sandblasting.....	\$ 31.25	22.30

Epoxy or Toxic-Lead-Based Paint Work-\$1.00 Premium

Work over 50 ft. above ground or floor level - \$1.00 Premium

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 PAIN0363-001 05/01/2017

CHAMPAIGN, COLES, CUMBERLAND, DOUGLAS, and VERMILION COUNTIES

	Rates	Fringes
PAINTER.....	\$ 35.29	14.50

Epoxy or Toxic-Lead-Based Paint Work-\$1.00 Premium

-----  
 PAIN0467-002 06/01/2023

IROQUOIS AND KANKAKEE COUNTIES

	Rates	Fringes
PAINTER		
Brush, Roller, Taper (Hand), Paperhanger, Swing Stage, Scaffold Over 30ft., Epoxy, Toxic Material, Sandblast, Spray, Machine Taping, and Residential Work Not to Exceed Two Families Per Structure.....	\$ 40.00	26.90

PAIN0500-003 06/01/2023

MASSAC COUNTY

	Rates	Fringes
PAINTER.....	\$ 23.75	15.40

Spray, sandblasting and water blast units with 3500 PSI receive \$.50 per hour premium. All work forty feet and above receive \$1.00 per hour premium.

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PAIN0513-003 11/01/2023

BOND, CALHOUN, CLINTON, GREENE, JACKSON, JERSEY, MACOUPIN (Southside), MADISON, MARION, MONROE, PERRY, RANDOLPH, ST. CLAIR, AND WASHINGTON COUNTIES

	Rates	Fringes
GLAZIER.....	\$ 43.32	25.43

-----  
PAIN1165-001 07/01/2023

CLARK, EDGAR, and VERMILION COUNTIES

	Rates	Fringes
GLAZIER.....	\$ 31.92	19.26

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PAIN1165-020 07/01/2023

ALEXANDER, CLAY, CRAWFORD, EDWARDS, FRANKLIN, GALLATIN, HAMILTON, HARDIN, JASPER, JEFFERSON, JOHNSON, LAWRENCE, MASSAC, POPE, PULASKI, RICHLAND, SALINE, UNION, WABASH, WAYNE, WHITE, and WILLIAMSON COUNTIES

	Rates	Fringes
GLAZIER.....	\$ 30.87	18.93

-----  
PAIN1168-004 05/01/2023

CHAMPAIGN, CHRISTIAN, COLES, CUMBERLAND, DE WITT, DOUGLAS, EFFINGHAM, FAYETTE, MOULTRIE, PIATT, AND SHELBY COUNTIES

	Rates	Fringes
GLAZIER.....	\$ 38.60	22.40

-----  
PAIN1705-001 09/01/2018

CLARK, CRAWFORD, EDGAR, EFFINGHAM, JASPER, LAWRENCE, and RICHLAND COUNTIES

	Rates	Fringes
PAINTER Blasting, Spraying & Pressure Washing.....	\$ 28.37	21.05

Brush & Roller and Wall  
Covering Drywall Preparing..\$ 27.37 21.05

Epoxy or Toxic-Lead-Based Paint Work-\$1.00 Premium

Brush & Roller work over 30' above ground or floor level -  
\$0.80 Premium

Brush & Roller work over 100' above ground or floor level -  
\$1.80 Premium

Blasting, Spraying & Pressure work over 30' above ground  
level - \$2.30 Premium

Blasting, Spraying & Pressure work over 100' above ground  
level - \$3.30 Premium

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PLAS0018-001 05/01/2023

CHRISTIAN COUNTY (Southern Half)

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER...\$	31.80	26.89
PLASTERER.....\$	35.41	27.31

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PLAS0018-022 05/01/2017

DE WITT COUNTY (Northern Half)

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER...\$	30.69	23.29

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PLAS0103-001 05/01/2002

CHRISTIAN (North Part, South to a line running East and West  
established North of Humphrey including Stonington), DE WITT  
(Southern Half including Clinton), PIATT (Southern Part), and  
SHELBY (Excludes the towns of Cowden, Herrick, Lakewood,  
Moweaqua, Oconee, Shelbyville, Tower Hill, and Westervelt)  
COUNTY

	Rates	Fringes
Cement Mason/Plasterer.....\$	22.50	9.75

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PLAS0143-001 05/01/2018

CHAMPAIGN, CLARK, COLES, CRAWFORD, CUMBERLAND, DOUGLAS, EDGAR,  
EFFINGHAM, FORD, LAWRENCE, MOULTRIE, PIATT, VERMILION, AND  
WABASH COUNTIES

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER...\$	33.16	19.60
PLASTERER.....\$	32.85	21.65

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PLAS0143-013 04/01/2019

ALEXANDER, CLAY, CLINTON, EDWARDS, FAYETTE, FRANKLIN, GALLATIN,  
HAMILTON, HARDIN, JACKSON, JASPER, JEFFERSON, JOHNSON, MARION,

MASSAC, PERRY, POPE, PULASKI, RANDOLPH, RICHLAND, SALINE, UNION, WASHINGTON, WAYNE, WHITE, and WILLIAMSON Counties

	Rates	Fringes
Cement Masons & Plasterers.....	\$ 30.83	18.06
-----		
PLUM0130-003 06/01/2023		

IROQUOIS COUNTY

	Rates	Fringes
PLUMBER.....	\$ 51.95	36.02
-----		
PLUM0136-001 04/01/2024		

EDWARDS, LAWRENCE, WABASH, and WHITE COUNTIES

	Rates	Fringes
Plumber, Pipefitter, Steamfitter.....	\$ 43.82	20.88
-----		
* PLUM0137-001 04/01/2024		

CHRISTIAN COUNTY (West of a North and South line Running from the Western edge of Macon County)

	Rates	Fringes
PIPEFITTER/STEAMFITTER.....	\$ 43.73	24.64
-----		
PLUM0137-008 04/01/2018		

CHRISTIAN (East of a North and South line Running from the Western edge of Macon County), DE WITT, MOULTRIE, PIATT (Western Half), and SHELBY COUNTIES

	Rates	Fringes
Plumber and Steamfitter.....	\$ 37.96	18.87
-----		
PLUM0149-001 06/01/2021		

CHAMPAIGN, COLES, CUMBERLAND, EFFINGHAM, FORD, JASPER, AND PIATT (east half) COUNTIES

	Rates	Fringes
Plumber and Steamfitter.....	\$ 47.10	20.94
-----		
PLUM0157-003 07/01/2023		

Clark, Crawford, Douglas, Edgar, Richland, and Vermilion Counties

	Rates	Fringes
PLUMBER, PIPEFITTER,		

STEAMFITTER.....\$ 40.95 20.50

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PLUM0160-001 01/01/2024

ALEXANDER, HARDIN, JACKSON, JOHNSON, MASSAC, PERRY, POPE  
PULLASKI, UNION, AND WILLIAMSON (Southern Half) COUNTIES

Rates Fringes

PLUMBER/PIPEFITTER.....\$ 48.30 21.95

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PLUM0551-001 01/01/2024

FRANKLIN, GALLATIN, HAMILTION, JEFFERSON, SALINE, WAYNE, AND  
WILLIAMSON (Northern Half) COUNTIES

Rates Fringes

PLUMBER/PIPEFITTER.....\$ 43.75 26.85

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PLUM0597-005 06/01/2023

IROQUOIS COUNTY

Rates Fringes

PIPEFITTER.....\$ 55.00 38.62

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PLUM0653-001 09/01/2023

CLAY, FAYETTE, and MARION COUNTIES

Rates Fringes

Plumber, Pipefitter,  
Steamfitter.....\$ 41.00 20.70

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ROOF0002-006 06/01/2023

ALEXANDER, FRANKLIN, HAMILTON, JACKSON, JEFFERSON, JOHNSON,  
MARION, PERRY, POPE, PULASKI, SALINE, UNION, WAYNE, and  
WILLIAMSON COUNTIES

Rates Fringes

ROOFER.....\$ 30.85 16.36

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ROOF0092-001 08/01/2020

CHRISTIAN (Eastern Half), CLAY, DE WITT (Southern Half),  
EFFINGHAM, FAYETTE, JASPER, MOULTRIE, PIATT (Western Half),  
RICHLAND, AND SHELBY COUNTIES

Rates Fringes

ROOFER.....\$ 30.32 22.71

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ROOF0097-001 06/01/2023

CHAMPAIGN, CLARK, COLES, CUMBERLAND, DOUGLAS, EDGAR, FORD  
(South of Piper City), PIATT (EAST SECTION OF PIATT, WEST OF &

EXCLUDING THE CITIES OF MONTICELLO & LODGE), and VERMILION COUNTIES

	Rates	Fringes
ROOFER.....	\$ 37.00	21.24
-----		
ROOF0106-004 04/01/2023		

MASSAC COUNTY

	Rates	Fringes
ROOFER		
Composition Roofer.....	\$ 31.60	19.43
Slate, Tile, Concrete,		
Slab, and Gypsum Plank.....	\$ 31.60	19.43
-----		
ROOF0106-005 04/01/2023		

EDWARDS, GALLATIN, HARDIN, WHITE AND WABASH COUNTIES

	Rates	Fringes
ROOFER		
Composition Roofer.....	\$ 31.60	19.43
Slate, Tile, Concrete,		
Slab, and Gypsum Plank.....	\$ 31.60	19.43
-----		
ROOF0112-003 06/01/2022		

CHRISTIAN COUNTY (Bolivia, Breckenridge, Buckhart, Bulpitt, Callaway, Clarksville, Edinburgh, Grove City, Harvel, Hewittsville, Humphrey, Jeisyville, Kincaid, Langlyville, Morrisonville, Palmer, Roby, Sharpsbury, Taylorville, Tovey, Vanderville, and Zenobia)

	Rates	Fringes
ROOFER.....	\$ 33.16	23.32
-----		
ROOF0150-001 07/01/2022		

CRAWFORD and LAWRENCE COUNTIES

	Rates	Fringes
ROOFER.....	\$ 28.75	17.01
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SHEE0020-005 07/03/2017		

CLARK, CRAWFORD, EDGAR & LAWRENCE COUNTIES

	Rates	Fringes
Sheet metal worker.....	\$ 33.50	20.45
-----		
SHEE0218-004 06/01/2023		

CHAMPAIGN, COLES, CUMBERLAND, DOUGLAS, FORD, MOULTRIE, PIATT, SHELBY & VERMILION COUNTIES

	Rates	Fringes
SHEET METAL WORKER.....	\$ 42.73	29.54
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SHEE0265-002 06/01/2022		

IROQUOIS COUNTY

	Rates	Fringes
SHEET METAL WORKER.....	\$ 53.33	35.41
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SHEE0268-003 07/01/2023		

ALEXANDER, CLAY, EDWARDS, EFFINGHAM, FAYETTE, FRANKLIN, GALLATIN, HAMILTON, HARDIN, JACKSON, JASPER, JEFFERSON, JOHNSON, MARION, MASSAC, PERRY, POPE, PULASKI, RICHLAND, SALINE, UNION, WABASH, WAYNE, WHITE, and WILLIAMSON COUNTIES

	Rates	Fringes
Sheet metal worker.....	\$ 41.90	23.40
-----		
TEAM0026-002 05/01/2022		

CHAMPAIGN, COLES, CUMBERLAND, DEWITT, DOUGLAS, EFFINGHAM, FORD (Southern Section - Elliot, Gibson City, Harpster, Melvin, Paxton, Roberts & Sibley), IROQUOIS (Fountain Creek, Lovejoy, Milford, Pigeon Grove, Prairie Green & Stockland), JASPER, MOULTRIE (East of a line from the Northeast corner of the county extending Southeast in the direction of Findlay (Shelby County) to a point that intersects the Shelby County line), PIATT (East of a line from where the DeWitt County line intersects Route 10 in a Southeast direction towards the Southeast corner of the county), SHELBY (East of an imaginary line beginning at the Northeast border with Moultrie County extending Southwest in the direction of Findlay and continuing to an imaginary point 2.5 miles South of Middlesworth that parallels the Cumberland County line), AND VERMILION COUNTIES

	Rates	Fringes
TRUCK DRIVER		
Group 1.....	\$ 40.91	22.10
Group 2.....	\$ 41.50	22.10
Group 3.....	\$ 41.77	22.10
Group 4.....	\$ 42.16	22.10
Group 5.....	\$ 43.26	22.10

CLASSIFICATIONS:

GROUP 1: Drivers on 2 axles hauling less than 9 tons; air compressor & welding machines and brooms, including those pulled by separate units; Truck Driver Helper, warehouse employees; Mechanic Helpers; greasers and tiremen; pick-up trucks when hauling material, tools, or workers to and from and on the job site; and forklifts up to 6,000 lb capacity.

GROUP 2: 2 or 3 axles hauling more than 9 tons but hauling less than 16 tons; A-frame winch trucks; hydrolift trucks; Vactor Trucks or similar equipment when used for transportation purposes; Forklift over 6,000 lb.capacity; winch trucks; and four axle combination units.

GROUP 3: 2, 3 or 4 Axles hauling 16 tons or more; 5-Axles or more combination units; drivers on water pulls; articulated dump trucks; mechanics and working forepersons.

GROUP 4: Low Boy and Oil Distributors.

GROUP 5: Drivers who require special protective clothing while employed on hazardous waste work.

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TEAM0050-002 05/01/2020

ALEXANDER, CLAY, FAYETTE, FRANKLIN, HAMILTON, HARDIC, JACKSON, JEFFERSON, JOHNSON, MARION, MASSAC, PERRY, POPE, PULASKI, SALINE, UNION, WAYNE, WHITE, WILLIAMSON COUNTIES

	Rates	Fringes
TRUCK DRIVER		
Group 1.....	\$ 39.04	20.63
Group 2.....	\$ 39.60	20.63
Group 3.....	\$ 39.91	20.63
Group 4.....	\$ 40.25	20.63
Group 5.....	\$ 41.33	20.63

CLASSIFICATIONS:

GROUP 1: Drivers on 2 axles hauling less than 9 tons; air compressor & welding machines and brooms, including those pulled by separate units; Truck Driver Helper, warehouse employees; Mechanic Helpers; greasers and tiremen; pick-up trucks when hauling material, tools, or workers to and from and on the job site; and forklifts up to 6,000 lb capacity.

GROUP 2: 2 or 3 axles hauling more than 9 tons but hauling less than 16 tons; A-frame winch trucks; hydrolift trucks; Vactor Trucks or similar equipment when used for transportation purposes; Forklift over 6,000 lb.capacity; winch trucks; and four axle combination units.

GROUP 3: 2, 3 or 4 Axles hauling 16 tons or more; 5-Axles or more combination units; drivers on water pulls; articulated dump trucks; mechanics and working forepersons.

GROUP 4: Low Boy and Oil Distributors.

GROUP 5: Drivers who require special protective clothing while employed on hazardous waste work.

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TEAM0135-007 05/01/2023

CLARK, CRAWFORD, EDGAR, EDWARDS, LAWRENCE, RICHLAND, and WABASH COUNTIES

	Rates	Fringes
TRUCK DRIVER		
Group 1.....	\$ 36.20	14.51+a
Group 2.....	\$ 36.60	14.51+a
Group 3.....	\$ 36.80	14.51+a
Group 4.....	\$ 37.05	14.51+a



Group 5.....\$ 37.80 14.51+a

FOOTNOTE: A. \$37.60 per day

CLASSIFICATIONS:

Group 1 - Drivers on 2 axle truckshauling less than 9 ton; Air compressor and welding machines and brooms, including those pulled by separate units; Truck Driver Helpers; Warehouse employees; Mechanic helpers; Greasers and tiremen; fork lifts up to 6,000 pounds capacity

Group 2 - 2 or 3 axle trucks hauling more than 9 ton but hauling less than 16 ton; A-frame winch trucks; Hydrolift trucks; Vactor trucks or similar equipment when used for transportation purposes; Fork lifts over 6,000 pound capacity; Winch trucks; 4 axle combination units; In the event the Employer desires to use ticket writers that classification shall come under Group II

Group 3 - 2, 3, or 4 axle trucks hauling 16 ton or more; Drivers on water pulls; Articulated Dump Trucks; Mechanics and working forepersons; 5 axle or more combination units

Group 4 - Low Boy; Oil Distributors

Group 5 - Drivers who require special protective clothing while employed on hazardous waste work.

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\* TEAM0179-010 06/01/2019

IROQUOIS COUNTY (All except the townships of Milford, Stockland, Loda, Pigeon Grove, Fountain Creek, Lovejoy, and Prairie Green)

	Rates	Fringes
TRUCK DRIVER		
2 or 3 axles.....	\$ 39.20	0.25+a
4 axles.....	\$ 39.35	0.25+a
5 axles.....	\$ 39.55	0.25+a
6 axles.....	\$ 39.75	0.25+a
All Lowboy Trucks.....	\$ 39.75	0.25+a

FOOTNOTES:

a. \$829.20 per week.

An additional \$.20 per axle shall be paid for all vehicles with more than six (6) axles.

CLASSIFICATIONS:

Group 1 - Frame Truck when used for transportation purposes; Air Compressor and Welding Machines, including those pulled by cars, pick-up trucks and tractors; Ambulances; Articulated Dumps; Batch Gate Lockers; Batch Hopperman; Car and Truck Washers; Carry Alls; Forl Lifts and Hoisters; Helpers; Mechanics Helpers and Greasers; Oil Distributors, two-man operation; Pavement Breakers; Pole Trailer, up to 40 feet; Pothole Repair Trucks; Power Mower Tractors; Quick Change Barrier; Self-Propelled Chip Spreader; Shipping and Receiving Clerks and Checkers; Skipman; Slurry Trucks, two-man operation; Slurry Trucks, Conveyor Operated - 2 or

3 man operation; Teamsters; Unskilled Dumpmen; Warehousemen and Dockmen; Truck Drivers hauling warning lights, barricades, and portable toilets on the job site

Group 2 - Dispatcher; Dump Crets and Adgetators under 7 yards; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment under 16 cubic yards; Mixer Trucks under 7 yards; Ready-Mix Plant Hopper Operator; Winch Trucks, 2 Axles

Group 3 - Dump Crets and Adgetators, 7 yards and over; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment over 16 cubic yards; Explosives and/or Fission Material Trucks; Mixer Trucks 7 yards or over; Mobile Cranes while in transit; Oil Distributors, one-man operation; Pole Trailer, over 40 feet; Pole and Expandable Trailers hauling material over 50 feet long; Slurry Trucks, one-man operation; Winch Trucks, 3 axles or more; Mechanic - \*Truck Welder and \*Truck Painter\*These classifications shall only apply in areas where and when it has been a past area practice; Asphalt Plant Operators in areas where it has been past practice

Group 4 - Dual-purpose vehicels, such as mounted crane tucks with hoist and accessories; Foreman; Master Mechanic; Self-loading equipment like P.B. and trucks with scoops on the front

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\* TEAM0179-013 06/01/2019

FORD COUNTY (North section the of the County North of a line from the Southeastern corner of Livingston County straight East to the Ford-Irquois County Line)

	Rates	Fringes
TRUCK DRIVER		
2 or 3 Axle Trucks.....	\$ 39.20	0.25+a
4 Axle Trucks.....	\$ 39.35	0.25+a
5 Axle Trucks.....	\$ 39.55	0.25+a
6 Axle Trucks.....	\$ 39.75	0.25+a
All Lowboy Trucks.....	\$ 39.75	0.25+a

FOOTNOTES:

a. \$829.20 per week.

An additional \$.20 per axle shall be paid for all vehicles with more than six (6) axles.

CLASSIFICATIONS:

Group 1 - Frame Truck when used for transportation purposes; Air Compressor and Welding Machines, including those pulled by cars, pick-up trucks and tractors; Ambulances; Articulated Dumps; Batch Gate Lockers; Batch Hopperman; Car and Truck Washers; Carry Alls; Forl Lifts and Hoisters; Helpers; Mechanics Helpers and Greasers; Oil Distributors, two-man operation; Pavement Breakers; Pole Trailer, up to 40 feet; Pothole Repair Trucks; Power Mower Tractors; Quick Change Barrier; Self-Propelled Chip Spreader; Shipping and

Receiving Clerks and Checkers; Skipman; Slurry Trucks, two-man operation; Slurry Trucks, Conveyor Operated - 2 or 3 man operation; Teamsters; Unskilled Dumpmen; Warehousemen and Dockmen; Truck Drivers hauling warning lights, barricades, and portable toilets on the job site

Group 2 - Dispatcher; Dump Crets and Adgetators under 7 yards; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment under 16 cubic yards; Mixer Trucks under 7 yards; Ready-Mix Plant Hopper Operator; Winch Trucks, 2 Axles

Group 3 - Dump Crets and Adgetators, 7 yards and over; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment over 16 cubic yards; Explosives and/or Fission Material Trucks; Mixer Trucks 7 yards or over; Mobile Cranes while in transit; Oil Distributors, one-man operation; Pole Trailer, over 40 feet; Pole and Expandable Trailers hauling material over 50 feet long; Slurry Trucks, one-man operation; Winch Trucks, 3 axles or more; Mechanic - \*Truck Welder and \*Truck Painter\*These classifications shall only apply in areas where and when it has been a past area practice; Asphalt Plant Operators in areas where it has been past practice

Group 4 - Dual-purpose vehicels, such as mounted crane tucks with hoist and accessories; Foreman; Master Mechanic; Self-loading equipment like P.B. and trucks with scoops on the front

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TEAM0279-002 05/01/2022

CHRISTIAN, MOULTRIE (West of a line from the NE Corner, extending straight SE in the direction of Findlay (Shelby County) to a point that intersects the Shelby county line), PIATT (West of a line from where the DeWitt County line intersects Route 10, in a SE direction toward the SE border of the county), SHELBY (West of an imaginary line beginning at the NE border with Moultrie County, extending SW in the direction of Findlay, and continuing to the same point (2.5 miles) South of Middlesworth, then towards the NE cordner of Fayette County) COUNTIES

	Rates	Fringes
TRUCK DRIVER		
Group 1.....	\$ 39.20	23.94
Group 2.....	\$ 39.78	23.94
Group 3.....	\$ 40.07	23.94
Group 4.....	\$ 40.45	23.94
Group 5.....	\$ 43.36	23.94

CLASSIFICATIONS:

GROUP 1: Drivers on 2 axles hauling less than 9 tons; air compressor & welding machines and brooms, including those pulled by separate units; Truck Driver Helper, warehouse employees; Mechanic Helpers; greasers and tiremen; pick-up trucks when hauling material, tools, or workers to and from and on the job site; and forklifts up to 6,000 lb capacity.

GROUP 2: 2 or 3 axles hauling more than 9 tons but hauling less than 16 tons; A-frame winch trucks; hydrolift trucks; Vactor Trucks or similar equipment when used for transportation purposes; Forklift over 6,000 lb.capacity; winch trucks; and four axle combination units.

GROUP 3: 2, 3 or 4 Axles hauling 16 tons or more; 5-Axles or more combination units; drivers on water pulls; articulated dump trucks; mechanics and working forepersons.

GROUP 4: Low Boy and Oil Distributors.

GROUP 5: Drivers who require special protective clothing while employed on hazardous waste work.

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TEAM0347-001 05/01/2022

GALLATIN COUNTY

	Rates	Fringes
TRUCK DRIVER		
Group 1.....	\$ 39.20	23.94
Group 2.....	\$ 39.78	23.94
Group 3.....	\$ 40.07	23.94
Group 4.....	\$ 40.45	23.94
Group 5.....	\$ 43.36	23.94

CLASSIFICATIONS:

GROUP 1: Drivers on 2 axles hauling less than 9 tons; air compressor & welding machines and brooms, including those pulled by separate units; Truck Driver Helper, warehouse employees; Mechanic Helpers; greasers and tiremen; pick-up trucks when hauling material, tools, or workers to and from and on the job site; and forklifts up to 6,000 lb capacity.

GROUP 2: 2 or 3 axles hauling more than 9 tons but hauling less than 16 tons; A-frame winch trucks; hydrolift trucks; Vactor Trucks or similar equipment when used for transportation purposes; Forklift over 6,000 lb.capacity; winch trucks; and four axle combination units.

GROUP 3: 2, 3 or 4 Axles hauling 16 tons or more; 5-Axles or more combination units; drivers on water pulls; articulated dump trucks; mechanics and working forepersons.

GROUP 4: Low Boy and Oil Distributors.

GROUP 5: Drivers who require special protective clothing while employed on hazardous waste work.

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this

contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which

these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

#### Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

#### State Adopted Rate Identifiers

Classifications listed under the "SA" identifier indicate that the prevailing wage rate set by a state (or local) government was adopted under 29 C.F.R. 1.3(g)-(h). Example: SAME2023-007 01/03/2024. SA reflects that the rates are state adopted. ME refers to the State of Maine. 2023 is the year during which the state completed the survey on which the listed classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 01/03/2024 reflects the date on which the classifications and rates under the ?SA? identifier took effect under state law in the state from which the rates were adopted.

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#### WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division

U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION"

# **APPENDIX A**

## **FEDERAL PROVISIONS**





# **Contract Provision Guidelines for Obligated Sponsors and Airport Improvement Program Projects**

(Issued on May 24, 2023)

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## CURRENT CHANGES

Item	Change
Editorial update made to the January 23, 2023 version (effective May 24, 2023)	The link on page 35 was updated to reflect changes to the Department of Labor website.
Editorial updates made to the November 17, 2022 version (effective January 23, 2023)	Pages 7, 16, 19, 20, 25, and 42 of Appendix A were edited to correct grammatical mistakes, update internal document links, and correct the name of the Title VI List of Pertinent Nondiscrimination Acts and Authorities.

# CONTRACT GUIDANCE

## 1. Purpose of this Document

- 1) The purpose of this document is to establish a convenient resource for Sponsors that consolidates federal contract provisions and clauses into one document that includes an applicability matrix. This document itself does not create, revise or delete requirements for participation in the Airport Improvement Program (AIP). The source of requirements addressed within this document are identified within the section for each individual clause.
- 2) **While this document is intended to assist Sponsors with their compliance efforts, it does not alter or modify the terms of any applicable statute or regulation, is not a substitute for reading the regulation and the applicability matrix, and each corresponding document section, nor does it constitute legal advice.**
- 3) Federal laws and regulations require that a Sponsor (a recipient of federal assistance) include specific clauses in certain contracts, solicitations, or specifications regardless of whether or not the project is federally funded.
- 4) For purposes of remaining compliant with its obligations, a Sponsor must incorporate applicable contract provisions in all its procurements and contract documents. Unless otherwise stated, these provisions flow down to subcontracts and sub-tier agreements.
- 5) Terminology:
  - a. The term **“Sponsor”** is used in this document to mean either an obligated Sponsor on a project that is not federally funded, or a Sponsor on an AIP funded project. A Sponsor is a “recipient” of federal assistance when receiving AIP or other FAA grant funds.
  - b. The term **“Owner”** of a public use airport is generally used in the solicitation or contract clauses because of its common use in public contracts. An Owner becomes an obligated Sponsor upon acceptance of the AIP grant assurances associated with current or prior AIP grant funded projects.
  - c. For purposes of determining requirements for contract provisions, the term **“contract”** includes professional services, and subcontracts and supplier contracts such as purchase orders.
  - d. The term **“contractor”** is understood to mean a contractor, subcontractor, or consultant; and means one who participates, through a contract or subcontract (at any tier).
  - e. The term **“bid”** is understood to mean a bid, an offer, or a proposal.
  - f. The term **“applicant”** is understood to mean the following in different contexts:
    - i. For the Equal Employment Opportunity (EEO) clause, the term **“applicant”** means an applicant for employment (whether or not the phrase, *for employment*, follows the word applicant or applicants).

- ii. For all other clauses, the term “**applicant**” means a bidder, offeror, or proposer for a contract.

## 2. Sponsor Actions

In general, Sponsor’s actions consistent with obligations:

- 1) Include in its procurements the provisions that are applicable to its project.
- 2) Not incorporate the entire contract provisions guidelines in its solicitation or contract documents, whether by reference or by inclusion in whole. Incorporation of this entire guidance document creates potential for ambiguous interpretation and may lead to improper application that unnecessarily increases price. A Sponsor that fails to properly incorporate applicable contract clauses may place themselves at risk for audit findings or denial of Federal funding.
- 3) Incorporate applicable contract provisions using mandatory language as required. The subheading entitled *Applicability* advises whether a particular clause or provision has mandatory language that a Sponsor must use.
  - (a) Mandatory Language – Whenever a clause or provision has mandatory text, the Sponsor must incorporate the text of the provision without change, except where specific adaptive input is necessary (e.g., such as the Sponsor’s name).
  - (b) No Mandatory Language – For provisions without mandatory language, this guidance provides model language acceptable to the FAA. Some Sponsors may have standard procurement language that is equivalent to those federal provisions. In these cases, Sponsors may use their existing standard procurement provision language provided the text meets the intent and purpose of the Federal law or regulation.
- 4) Require the contractor (including all subcontractors) to insert these contract provisions in each lower tier contract (e.g., subcontract or sub-agreement).
- 5) Require the contractor (including all subcontractors) to incorporate the requirements of these contract provisions by reference for work done under any purchase orders, rental agreements, and other agreements for supplies or services.
- 6) Require that the prime contractor be responsible for compliance with these contract provisions by any subcontractor, lower-tier subcontractor, or service provider.
- 7) Verify that any required local or State provision does not conflict with or alter a Federal law or regulation.

## 3. Typical Procurement Steps

The typical procurement steps in a project are:

- 1) Solicitation, Request for Bids, or Request for Proposals – This is also called the Advertisement or Notice to Bidders.
- 2) Bidding or Accepting Proposals – In this stage, the bidders receive a complete set of the procurement documents, also known as the project manual. The project manual will typically

include a copy of the solicitation, instructions-to-bidders, bid forms, certifications and representations, general provisions, contract conditions, copy of contract, project drawings, technical specifications, and related project documents.

- 3) Bid/Proposal Evaluation – Period when Sponsor tabulates, reviews, and evaluates all proposals for bid responsiveness and bidder responsibility.
- 4) Award – Point when the Sponsor formally awards the contract to the successful bidder.
- 5) Execution of Contract – Point at which the Sponsor formally enters into a legally binding agreement with bidder to perform services or provide goods.

#### **4. Applicability Matrix for Contract Provisions**

[Table 1](#) Matrix summarizes the applicability of contract provisions based upon the type of contract or agreement. The dollar threshold represents the value at which, when equal to or exceeded, the Sponsor must incorporate the provision in the contract or agreement.

Supplemental information addressing applicability and use for each provision is located in Appendix A. Appendix A and the Matrix include notes indicating when the Sponsor may incorporate references in the solicitation in lieu of including the entire text.

**Sponsors are responsible for reviewing both the Matrix and each corresponding section to determine applicability of specific contract provisions.**

#### **Meaning of cell values in table below:**

- Info – Sponsor has discretion on whether to include clause in its contracts.
- Limited – Provision with limited applicability depending on circumstances of the procurement.
- n/a – Provision that is not applicable for that procurement type.
- NIS – Provision that does not need to be included or referenced in the solicitation document
- REF – Provision to be incorporated into the solicitation by reference.
- REQD – Provision the Sponsor must incorporate into procurement documents.

**Table 1 – Applicability of Provisions**

Provisions/Clauses	Dollar Threshold	Solicitation	Professional Services	Construction	Equipment	Property (Land)	Non-AIP Contracts
<a href="#">Access to Records and Reports</a>	\$ 0	NIS	REQD	REQD	REQD	REQD	n/a
<a href="#">Affirmative Action Requirement</a>	\$10,000	REQD	Limited	REQD	Limited	Limited	n/a
<a href="#">Breach of Contract</a>	\$250,000	NIS	REQD	REQD	REQD	REQD	n/a
<a href="#">Buy American Preferences</a>	\$ 0	REF	Limited	REQD	REQD	Limited	n/a
(1) <a href="#">Buy American Statement</a>	\$ 0	NIS	Limited	REQD	REQD	Limited	n/a
(2) <a href="#">Construction</a>	\$ 0	NIS	Limited	REQD	REQD	Limited	n/a
(3) <a href="#">Equipment/Building Projects</a>	\$ 0	NIS	Limited	REQD	REQD	Limited	n/a
<a href="#">Civil Rights – General</a>	\$ 0	NIS	REQD	REQD	REQD	REQD	REQD
<a href="#">Civil Rights - Title VI Assurances</a>	\$ 0	REF	REQD	REQD	REQD	REQD	REQD
(1) <a href="#">Notice - Solicitation</a>	\$ 0	REQD	REQD	REQD	REQD	REQD	REQD
(2) <a href="#">Clause - Contracts</a>	\$ 0	NIS	REQD	REQD	REQD	REQD	REQD
(3) <a href="#">Clause – Transfer of U.S. Property</a>	\$ 0	NIS	n/a	n/a	n/a	Limited	REQD
(4) <a href="#">Clause – Transfer of Real Property</a>	\$ 0	NIS	n/a	n/a	n/a	REQD	REQD
(5) <a href="#">Clause - Construct/Use/Access to Real Property</a>	\$ 0	NIS	n/a	n/a	n/a	REQD	REQD
(6) <a href="#">List – Pertinent Authorities</a>	\$0	NIS	REQD	REQD	REQD	REQD	REQD
<a href="#">Clean Air/Water Pollution Control</a>	\$150,000	NIS	REQD	REQD	REQD	REQD	n/a
<a href="#">Contract Work Hours and Safety Standards</a>	\$100,000	NIS	Limited	REQD	Limited	Limited	n/a
<a href="#">Copeland Anti-Kickback</a>	\$ 2,000	NIS	Limited	REQD	Limited	Limited	n/a
<a href="#">Davis Bacon Requirements</a>	\$ 2,000	REF	Limited	REQD	Limited	Limited	n/a
<a href="#">Debarment and Suspension</a>	\$25,000	REF	REQD	REQD	REQD	Limited	n/a
<a href="#">Disadvantaged Business Enterprise</a>	\$ 250,000	REQD	REQD	REQD	REQD	REQD	n/a
<a href="#">Distracted Driving</a>	\$10,000	NIS	REQD	REQD	REQD	REQD	n/a
<a href="#">Domestic Preferences for Procurements</a>	\$0	NIS	REQD	REQD	REQD	REQD	Info
<a href="#">Equal Employment Opportunity</a>	\$10,000	NIS	Limited	REQD	Limited	Limited	n/a
(1) <a href="#">EEO Contract Clause</a>	\$10,000	NIS	Limited	REQD	Limited	Limited	n/a
(2) <a href="#">EEO Specification</a>	\$10,000	NIS	Limited	REQD	Limited	Limited	n/a
<a href="#">Federal Fair Labor Standards Act</a>	\$ 0	REQD	REQD	REQD	REQD	REQD	Info
<a href="#">Foreign Trade Restriction</a>	\$ 0	REQD	REQD	REQD	REQD	REQD	n/a
<a href="#">Lobbying Federal Employees</a>	\$ 100,000	REF	REQD	REQD	REQD	REQD	n/a
<a href="#">Occupational Safety and Health Act</a>	\$ 0	NIS	REQD	REQD	REQD	REQD	Info
<a href="#">Prohibition on Certain Telecommunications and Video Surveillance Services or Equipment</a>	\$0	NIS	REQD	REQD	REQD	REQD	Info
<a href="#">Prohibition of Segregated Facilities</a>	\$0	NIS	Limited	REQD	Limited	Limited	n/a
<a href="#">Recovered Materials</a>	\$10,000	REF	Limited	REQD	REQD	Limited	n/a
<a href="#">Right to Inventions</a>	\$ 0	NIS	Limited	Limited	Limited	n/a	n/a
<a href="#">Seismic Safety</a>	\$ 0	NIS	Limited	Limited	Limited	n/a	n/a
<a href="#">Tax Delinquency and Felony Conviction</a>	\$ 0	NIS	REQD	REQD	REQD	REQD	n/a
<a href="#">Termination of Contract</a>	\$10,000	NIS	REQD	REQD	REQD	REQD	n/a
<a href="#">Veteran’s Preference</a>	\$ 0	NIS	REQD	REQD	REQD	REQD	n/a

**Airport Concessions Disadvantage Business Enterprise (ACDBE) Notes:**

1. Language relative to solicitation for ACDBEs does not need to be included in AIP funded solicitations, since in no case are concessions activities funded with federal funds.
2. Airport Sponsors must include the appropriate Civil Rights – Title VI language in their solicitation notices when they seek proposals for concessions.
3. For ACDBE agreements, use the column for *Non-AIP Contracts*.



# APPENDIX A – CONTRACT PROVISIONS

## A1 ACCESS TO RECORDS AND REPORTS

### A1.1 SOURCE

2 CFR § 200.334

2 CFR § 200.337

FAA Order 5100.38

### A1.2 APPLICABILITY

2 CFR § 200.334 requires a Sponsor to retain records pertinent to a Federal award for a period of three years from submission of final closure documents. 2 CFR § 200.337 establishes that Sponsors must provide Federal entities the right to access records pertinent to the Federal award. FAA policy extends these requirements to the Sponsor's contracts and subcontracts of AIP funded projects.

**Contract Types** – The Sponsor must include this provision in all contracts and subcontracts of AIP funded projects.

**Use of Provision** – No mandatory language provided. The following language is acceptable to the FAA with meeting the intent of this requirement. If the Sponsor prefers to use different language, the Sponsor's language must fully satisfy the requirements of 2 CFR §§ 200.334 and 200.337.

### A1.3 MODEL CONTRACT CLAUSE

#### ACCESS TO RECORDS AND REPORTS

The Contractor must maintain an acceptable cost accounting system. The Contractor agrees to provide the Owner, the Federal Aviation Administration and the Comptroller General of the United States or any of their duly authorized representatives access to any books, documents, papers and records of the Contractor which are directly pertinent to the specific contract for the purpose of making audit, examination, excerpts and transcriptions. The Contractor agrees to maintain all books, records and reports required under this contract for a period of not less than three years after final payment is made and all pending matters are closed.

## A2 AFFIRMATIVE ACTION REQUIREMENT

### A2.1 SOURCE

41 CFR Part 60-4

Executive Order 11246

### A2.2 APPLICABILITY

**Minority Participation.** Sponsors are required to set goals for minority participation in AIP funded projects exceeding \$10,000. The goals for minority participation derive from Economic Area (EA) and Standard Metropolitan Statistical Area (SMSA) as established in Volume 45 of the Federal Register dated 10/3/80. Page 65984 contains a table of all EAs and SMSAs and the associated minority participation goals.

To find the goals for minority participation, a Sponsor must either refer to the Federal Register Notice or to the Department of Labor online document, "[Participation Goals for Minorities and Females](#)". EAs and SMSAs span state boundaries. A Sponsor may have to refer to entries for adjacent states in order to locate the goal for the project location.

**Female Participation.** Executive Order 11246 has set a goal of 6.9% nationally for female participation for all construction projects. This value remains constant for all counties and states.

#### **Contract Types –**

*Construction* – The Sponsor must incorporate this notice in all solicitations for bids or requests for proposals for AIP funded construction work contracts and subcontracts that exceed \$10,000. Construction work means construction, rehabilitation, alteration, conversion, extension, demolition or repair of buildings, highways or other changes or improvements to real property, including facilities providing utility services. The term also includes the supervision, inspection and other onsite functions incidental to the actual construction.

*Equipment* – The Sponsor must incorporate this notice in any equipment project exceeding \$10,000 that involves installation of equipment onsite (e.g., electrical vault equipment). This provision does not apply to equipment acquisition projects where the manufacture of the equipment takes place offsite at a manufacturer's plant (e.g., firefighting and snow removal vehicles).

*Professional Services* – The Sponsor must incorporate this notice in any professional service agreement if the professional services agreement includes tasks that meet the definition of construction work [as defined by the U.S. Department of Labor (DOL)] and exceeds \$10,000. Examples include installation of monitoring systems (e.g., noise, environmental, etc.).

*Property/Land* – The Sponsor must incorporate this notice in any agreement associated with land acquisition if the agreement includes construction work (defined above) that exceeds \$10,000. Examples include demolition of structures or installation of boundary fencing.

**Use of Provision – MANDATORY TEXT.** The Sponsor must:

- (a) Incorporate the text of this provision in its solicitations without modification.
- (b) Incorporate the applicable minority participation goal and the covered area by geographic name.
- (c) Not simply insert a reference to the 1980 Federal Register Notice.

### **A2.3 MANDATORY SOLICITATION CLAUSE**

#### **NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

#### **Timetables**

Goals for minority participation for each trade:	2.5%
Goals for female participation in each trade:	6.9%

These goals are applicable to all of the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a) and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs (OFCCP) within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this notice and in the contract resulting from this solicitation, the “covered area” is **Illinois, Crawford County, Palestine.**

## **A3 BREACH OF CONTRACT TERMS**

### **A3.1 SOURCE**

2 CFR Part 200, Appendix II(A)

### **A3.2 APPLICABILITY**

This provision requires Sponsors to incorporate administrative, contractual or legal remedies in the event that a contractor violates or breaches contract terms. The Sponsor must also include appropriate sanctions and penalties.

**Contract Types** – This provision is required for all contracts that exceed the simplified acquisition threshold as stated in 2 CFR Part 200, Appendix II (A). This threshold is occasionally adjusted for inflation and is \$250,000.

**Use of Provision** – No mandatory language provided. The following language is acceptable to the FAA as meeting the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 2 CFR Part 200. Select either “contractor” or “consultant” as applicable.

### **A3.3 MODEL CONTRACT CLAUSE**

#### **BREACH OF CONTRACT TERMS**

Any violation or breach of terms of this contract on the part of the Contractor or its subcontractors may result in the suspension or termination of this contract or such other action that may be necessary to enforce the rights of the parties of this agreement.

Owner will provide Contractor written notice that describes the nature of the breach and corrective actions the Contractor must undertake in order to avoid termination of the contract. Owner reserves the right to withhold payments to Contractor until such time the Contractor corrects the breach or the Owner elects to terminate the contract. The Owner’s notice will identify a specific date by which the Contractor must correct the breach. Owner may proceed with termination of the contract if the Contractor fails to correct the breach by the deadline indicated in the Owner’s notice.

The duties and obligations imposed by the Contract Documents and the rights and remedies available thereunder are in addition to, and not a limitation of, any duties, obligations, rights and remedies otherwise imposed or available by law.

## **A4 BUY AMERICAN PREFERENCE**

### **A4.1 SOURCE**

Title 49 USC § 50101

Executive Order 14005, *Ensuring the Future is Made in All of America by All of America's Workers*

Bipartisan Infrastructure Law (Pub. L. No. 117-58), Build America, Buy America (BABA)

### **A4.2 APPLICABILITY**

The Buy American Preference incorporates statutory requirements and policies outlined in the in 49 USC § 50101, Executive Order 14005, and BABA.

Section 50101 of 49 USC requires that all steel and manufactured goods used on AIP projects be produced in the United States. This section also gives the FAA the ability to issue a waiver to a Sponsor to use non-domestic material on an AIP funded project subject to meeting certain conditions. A Sponsor may request that the FAA issue a waiver from the Buy American Preference requirements if the FAA finds that:

- 1) Applying the provision is not in the public interest.
- 2) The steel or manufactured goods are not available in sufficient quantity or quality in the United States.
- 3) The cost of components and subcomponents produced in the United States is more than 60 percent of the total components of a facility or equipment, and final assembly has taken place in the United States. Items that have an FAA standard specification item number (such as specific airport lighting equipment) are considered the equipment.
- 4) Applying this provision would increase the cost of the overall project by more than 25 percent.

Executive Order 14005 advances the Administration's priority to use terms and conditions of Federal financial assistance awards to maximize the use of goods, products, and materials produced in, and services offered in, the United States. The Order directs, to the extent appropriate and consistent with applicable law, agencies shall partner with the Hollings Manufacturing Extension Partnership (MEP) to conduct supplier scouting in order to identify American companies that are able to produce goods, products, and materials in the United States that meet Federal procurement needs, prior to consideration of using non-domestic products.

The Bipartisan Infrastructure Law, Build America, Buy America (BABA) Act strengthens Made in America Laws and bolsters America's industrial base, protects national security, and supports high-paying jobs. Under BABA, iron, steel and certain construction materials are required to be 100% produced in the United States.

Under the Bipartisan Infrastructure Law (Pub. L. No. 117-58) BABA three waivers are available for iron and steel, manufactured products, and construction materials when a Federal agency finds that –

- 1) Applying the domestic content procurement preference would be inconsistent with the public interest (a "public interest waiver");

- 2) Types of iron, steel, manufactured products, or construction materials are not produced in the United States in sufficient and reasonably available quantities or of a satisfactory quality (a “nonavailability waiver”); or
- 3) The inclusion of iron, steel, manufactured products, or construction materials produced in the United States will increase the cost of the overall project by more than 25 percent (an “unreasonable cost waiver”).

BABA defines construction materials, items that are or consists primarily of non-ferrous metals, plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables), glass (including optic glass), lumber or drywall.

Items that consist of two or more of the aforementioned materials that have been combined together through a manufacturing process, and items that include at least one of the listed materials combined with a material that is not listed through a manufacturing process, should be treated as manufactured products, rather than as construction materials. For example, a plastic framed sliding window should be treated as a manufactured product while plate glass should be treated as a construction material.

The Buy America Preference requirements flow down from the Sponsor to first tier contractors, who are responsible for ensuring that lower tier contractors and subcontractors are also in compliance.

**Note:** The Buy American Preference does not apply to temporary equipment a contractor uses as a tool of its trade and which does not remain as part of the project.

### **Required Documentation**

**The FAA Buy American Requests.** All applications (requests) for an FAA Buy American Preference Waiver includes, at minimum, a completed Content Percentage Worksheet and Final Assembly Questionnaire. Additional information may be requested from the applicant by the FAA. Airport Sponsors, consultants, construction contractors, or equipment manufacturers are responsible for completing and submitting waiver applications. The FAA is unable to make a determination on waiver requests with incomplete information. Sponsors must confirm with the bidder or offeror to assess the adequacy of the waiver request and associated information prior to forwarding a waiver request to the FAA for action. All FAA waivers forms are available from the FAA Buy American Requirements webpage.

**Proprietary Confidentiality.** Exemption 4 of the Freedom of Information Act protects "trade secrets and commercial or financial information obtained from a person [that is] privileged or confidential. Proprietary manufacturing and design information submitted to the Federal Aviation Administration for the purposes of receiving a Buy American Waiver shall not be disclosed outside the FAA. The FAA will provide a written notification to the Airport Sponsor, manufacturer(s), contractor(s) or supplier(s) when a waiver determination is complete.

**Timing of Waiver Requests.** Sponsors desiring a Type 2 waiver should submit their waiver request, with justification, *before* issuing a solicitation for bids or a request for proposal for a project.

The Sponsor must submit a Type 2, Type 3, or Type 4 waiver request *prior* to executing the contract. The FAA will generally not consider waiver requests after execution of the contract except where extraordinary and extenuating circumstances exist.

**The Buy American Notice of Determination (NOD) Process.** The FAA Reauthorization Act of 2018 requires that all approved waivers must be posted to the FAA’s website and remain posted for public comment for 10 days, before becoming effective. All FAA waivers must complete the NOD process. Sponsors are encouraged to wait until approved waivers become effective before executing AIP projects.

**Buy American Conformance Lists.** The FAA Office of Airports maintains listings of projects and products that have received a waiver from the Buy American Preference requirements for project specific and nationwide use. Each of these conformance lists is available online at [www.faa.gov/airports/aip/buy\\_american/](http://www.faa.gov/airports/aip/buy_american/). Products listed on the FAA Nationwide Buy American Conformance list do not require additional submittal of domestic content information. Nationwide waivers expire five years from the date issued, unless revoked earlier by the FAA.

**Facility Waiver Requests.** For construction of a facility, the Sponsor may submit the waiver request after bid opening, but prior to contract execution. Examples of facility construction include terminal buildings, terminal renovation, and snow removal equipment buildings.

#### **Contract Types –**

*Construction and Equipment* – The Sponsor must meet the Buy American Preference requirements of 49 USC § 50101 and BABA for all AIP funded projects that require materials that are or consists primarily of iron, steel or manufactured goods and construction materials.

*Professional Services* – Professional service agreements (PSAs) do not normally result in a deliverable that meets the definition of a manufactured product. However, the emergence of various project delivery methods has created situations where task deliverables under a PSA may include a manufactured product. If a PSA includes providing a manufactured good as a deliverable under the contract, the Sponsor must include the Buy American Preference provision in the agreement.

*Property* – Most land transactions do not involve acquiring a manufactured product. However, under certain circumstances, a property acquisition project could result in the installation of a manufactured product. For example, the installation of property fencing, gates, doors and locks, etc. represent manufactured products acquired under an AIP funded land project that must comply with Buy American Preferences.

**Use of Provisions** – No mandatory language provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s revised language must fully comply with 49 USC § 50101 and BABA.

There are two types of FAA Buy American certifications. The Sponsor must incorporate the appropriate certifications of compliance with FAA Buy American Preference in the solicitation:

- **Construction Projects** involving the replacement, rehabilitation, reconstruction of airfield surfaces such as on runways, taxiways, taxilanes, aprons, roadways, parking lots, etc. – Insert the Certificate of compliance to FAA Buy American Preference based on Construction Projects.



- **Equipment and Buildings Projects** involving and including the acquisition of equipment such as snow removal equipment, navigational aids, wind cones, and the construction of buildings such as hangars, terminal development, lighting vaults, aircraft rescue & firefighting buildings, etc. - Insert the Certificate of Compliance with FAA Buy American Preference Based on Equipment/Building Projects.

#### **A4.3 MODEL SOLICITATION CLAUSES**

##### **A4.3.1 Certification of Compliance with FAA Buy American Preference Statement**

###### **FAA BUY AMERICAN PREFERENCE**

The Contractor certifies that its bid/offer is in compliance with 49 USC § 50101, BABA and other related Made in America Laws,<sup>1</sup> U.S. statutes, guidance, and FAA policies, which provide that Federal funds may not be obligated unless all iron, steel and manufactured goods used in AIP funded projects are produced in the United States, unless the Federal Aviation Administration has issued a waiver for the product; the product is listed as an Excepted Article, Material Or Supply in Federal Acquisition Regulation subpart 25.108; or is included in the FAA Nationwide Buy American Waivers Issued list.

The bidder or offeror must complete and submit the certification of compliance with FAA’s Buy American Preference, BABA and Made in America laws included herein with their bid or offer. The Airport Sponsor/Owner will reject as nonresponsive any bid or offer that does not include a completed certification of compliance with FAA’s Buy American Preference and BABA.

The bidder or offeror certifies that all constructions materials, defined to mean an article, material, or supply other than an item of primarily iron or steel; a manufactured product; cement and cementitious materials; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives that are or consist primarily of: non-ferrous metals; plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables); glass (including optic glass); lumber; or drywall used in the project are manufactured in the U.S.

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<sup>1</sup> Per Executive Order 14005 “Made in America Laws” means all statutes, regulations, rules, and Executive Orders relating to federal financial assistance awards or federal procurement, including those that refer to “Buy America” or “Buy American,” that require, or provide a preference for, the purchase or acquisition of goods, products, or materials produced in the United States, including iron, steel, and manufactured products offered in the United States.

#### **A4.3.2 Certification of Compliance with FAA Buy American Preference – Equipment/Building Projects**

As a matter of bid responsiveness, the bidder or offeror must complete, sign, date, and submit this certification statement with their proposal. The bidder or offeror must indicate how they intend to comply with 49 USC § 50101, and other Made in America Laws, U.S. statutes, guidance, and FAA policies by selecting one on the following certification statements. These statements are mutually exclusive. Bidder must select one or the other (not both) by inserting a checkmark (✓) or the letter “X”.

- Bidder or offeror hereby certifies that it will comply with 49 USC § 50101, BABA and other related U.S. statutes, guidance, and policies of the FAA by:
- a) Only installing steel and manufactured products produced in the United States;
  - b) Only installing construction materials defined as: an article, material, or supply – other than an item of primarily iron or steel; a manufactured product; cement and cementitious materials; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives that are or consist primarily of non-ferrous metals; plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables); glass (including optic glass); lumber or drywall that have been manufactured in the United States.
  - c) Installing manufactured products for which the Federal Aviation Administration (FAA) has issued a waiver as indicated by inclusion on the current FAA Nationwide Buy American Waivers Issued listing; or
  - d) Installing products listed as an Excepted Article, Material or Supply in Federal Acquisition Regulation Subpart 25.108.

By selecting this certification statement, the bidder or offeror agrees:

- a) To provide to the Airport Sponsor or FAA evidence that documents the source and origin of the steel and manufactured product.
  - b) To faithfully comply with providing U.S. domestic product.
  - c) To furnish U.S. domestic product for any waiver request that the FAA rejects.
  - d) To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.
- The bidder or offeror hereby certifies it cannot comply with the 100 percent Buy American Preferences of 49 USC § 50101(a) but may qualify for a Type 3 waiver under 49 USC § 50101(b). By selecting this certification statement, the apparent bidder or offeror with the apparent low bid agrees:
- a) To submit to the Airport Sponsor or FAA within 15 calendar days of being selected as the responsive bidder, a formal waiver request and required documentation that supports the type of waiver being requested.
  - b) That failure to submit the required documentation within the specified timeframe is cause for a non-responsive determination that may result in rejection of the proposal.
  - c) To faithfully comply with providing U.S. domestic products at or above the approved U.S. domestic content percentage as approved by the FAA.

- d) To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

### **Required Documentation**

**Type 2 Waiver (Nonavailability)** - The iron, steel, manufactured goods or construction materials are not available in sufficient quantity or quality in the United States. The required documentation for the Nonavailability waiver is:

- a) Completed Content Percentage Worksheet and Final Assembly Questionnaire
- b) Record of thorough market research, consideration where appropriate of qualifying alternate items, products, or materials including;
- c) A description of the market research activities and methods used to identify domestically manufactured items capable of satisfying the requirement, including the timing of the research and conclusions reached on the availability of sources.

**Type 3 Waiver** – The cost of the item components and subcomponents produced in the United States is more than 60 percent of the cost of all components and subcomponents of the “item”. The required documentation for a Type 3 waiver is:

- a) Completed Content Percentage Worksheet and Final Assembly Questionnaire including;
- b) Listing of all product components and subcomponents that are not comprised of 100 percent U.S. domestic content (Excludes products listed on the FAA Nationwide Buy American Waivers Issued listing and products excluded by Federal Acquisition Regulation Subpart 25.108 (products of unknown origin must be considered as non-domestic products in their entirety).
- c) Cost of non-domestic components and subcomponents, excluding labor costs associated with final assembly at place of manufacture.
- d) Percentage of non-domestic component and subcomponent cost as compared to total “item” component and subcomponent costs, excluding labor costs associated with final assembly at place of manufacture.

**Type 4 Waiver (Unreasonable Costs)** - Applying this provision for iron, steel, manufactured goods or construction materials, would increase the cost of the overall project by more than 25 percent. The required documentation for this waiver is:

- a) Completed Content Percentage Worksheet and Final Assembly Questionnaire from
- b) At minimum two comparable equal bidders and/or offerors;
- c) Receipt or record that demonstrates that supplier scouting called for in Executive Order 14005, indicates that no domestic source exists for the project and/or component;
- d) Completed waiver applications for each comparable bid and/or offer.

**False Statements:** Per 49 USC § 47126, this certification concerns a matter within the jurisdiction of the Federal Aviation Administration and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code.

\_\_\_\_\_

Date

\_\_\_\_\_

Signature

\_\_\_\_\_

Company Name

\_\_\_\_\_

Title

## A5 CIVIL RIGHTS - GENERAL

### A5.1 SOURCE

49 USC § 47123

### A5.2 APPLICABILITY

There are two separate civil rights provisions that apply to projects:

1. FAA General Civil Rights Provision and,
2. Title VI provisions, which are addressed in Appendix A6.

**Contract Types** – The General Civil Rights Provisions found in 49 USC § 47123, derived from the Airport and Airway Improvement Act of 1982, Section 520, apply to all Sponsor contracts *regardless* of funding source.

**Use of Provision – MANDATORY TEXT.** Each contract must include two civil rights provisions. The first general clause must be included in all contracts, lease agreements, or transfer agreements. An additional specific provision must be included; the applicable text is based on whether the contract is a general contract or whether the contract is a lease or transfer agreement. The Sponsor must incorporate the text of the appropriate general clause and specific clause without modification into the contract, lease, or transfer agreement.

The required clauses for each type of contact are summarized in the table below:

<b>Contract Clause</b>	<b>The Sponsor must include the contract clause in:</b>	<b>Clause Text is Included in Paragraph</b>
Clause that is used for all contracts, lease agreements and transfer agreements	Every contract or agreement <b>regardless of funding source.</b>	A5.3.1
Clause that is used for general contract agreements	This applies to all contracts that do not involve property agreements. It applies to all contracts not covered by A5.3.3 <b>regardless of funding source.</b>	A5.3.2
Clause that is used for lease agreements and transfer agreements	This applies to all property agreements such leases of concession space in a terminal and leases where a physical portion of the airport is transferred for use. It applies to all contracts not covered by A5.3.2 <b>regardless of funding source.</b>	A5.3.3

## **A5.3 MANDATORY CONTRACT CLAUSES**

### **A5.3.1 General Clause that is used for Contracts, Lease Agreements, and Transfer Agreements**

#### **GENERAL CIVIL RIGHTS PROVISIONS**

In all its activities within the scope of its airport program, the Contractor agrees to comply with pertinent statutes, Executive Orders, and such rules as identified in Title VI List of Pertinent Nondiscrimination Acts and Authorities to ensure that no person shall, on the grounds of race, color, national origin (including limited English proficiency), creed, sex (including sexual orientation and gender identity), age, or disability be excluded from participating in any activity conducted with or benefiting from Federal assistance.

This provision is in addition to that required by Title VI of the Civil Rights Act of 1964.

### **A5.3.2 Specific Clause that is used for General Contract Agreements**

The above provision binds the Contractor and subcontractors from the bid solicitation period through the completion of the contract.

### **A5.3.3 Specific Clause that is used for Lease Agreements or Transfer Agreements**

If the Contractor transfers its obligation to another, the transferee is obligated in the same manner as the Contractor.

The above provision obligates the Contractor for the period during which the property is owned, used or possessed by the Contractor and the airport remains obligated to the Federal Aviation Administration.

## A6 CIVIL RIGHTS – TITLE VI ASSURANCE

### A6.1 SOURCE

49 USC § 47123

FAA Order 1400.11

### A6.2 APPLICABILITY

Title VI of the Civil Rights Act of 1964, as amended, (Title VI) prohibits discrimination on the grounds of race, color, or national origin under any program or activity receiving Federal financial assistance. Sponsors must include appropriate clauses from the Standard DOT Title VI Assurances in all contracts and solicitations.

The text of each individual clause comes from the U.S. Department of Transportation [Order DOT 1050.2](#), Standard Title VI Assurances and Nondiscrimination Provisions, effective April 24, 2013. These assurances require that the Recipient (the Sponsor) insert the appropriate clauses in the form provided by the DOT. Where the clause refers to the applicable activity, project, or program, it means the AIP project.

The clauses are as follows:

#### A6.2.1 Applicability of Title VI Solicitation Notice

Contract Clause	The Sponsor must include the contract clause in:	Clause Text is Included in Paragraph
Title VI Solicitation Notice – <ul style="list-style-type: none"><li>Assurance 2 of the DOT Standard Title VI Assurances and Nondiscrimination Clauses</li><li>Assurance 30(d) of the Airport Sponsors Assurances</li></ul>	<ol style="list-style-type: none"><li>All AIP funded solicitations for bids, requests for proposals, or any work subject to Title VI regulations; and</li><li>All Sponsor proposals for negotiated agreements <b>regardless of funding source.</b></li></ol>	A6.3.1

Contract Clause	The Sponsor must include the contract clause in:	Clause Text is Included in Paragraph
<p>Title VI Clauses for Compliance with Nondiscrimination Requirements</p> <ul style="list-style-type: none"> <li>Assurance 3 of the DOT Standard Title VI Assurances and Nondiscrimination Clauses</li> <li>Assurance 30(e)(1) of the Airport Sponsor Assurances</li> </ul>	<p>Every contract or agreement (unless the Sponsor has determined, and the FAA concurs, that the contract or agreement is not subject to the Nondiscrimination Acts and Authorities, which is a rare occurrence).</p> <p>It has been determined that service contracts with utility companies that are not already subject to substantively identical nondiscrimination requirements must include this clause.</p>	<p>A6.4.2</p>
<p>Title VI Required Clause for Property Interests Transferred from the United States</p> <ul style="list-style-type: none"> <li>Assurance 4 of the DOT Standard Title VI Assurances and Nondiscrimination Clauses</li> <li>Assurance 30e.3 of the Airport Sponsor Assurances</li> </ul>	<p>As a covenant running with the land, in any deed from the United States effecting or recording a transfer of real property, structures, use, or improvements thereon or interest therein to a Sponsor.</p> <p>This is a rare occurrence, and it will be the responsibility of the United States government to include the clause in the contract.</p>	<p><b>Error! Reference source not found.</b></p>
<p>Title VI Required Clause for Transfer of Real Property Acquired or Improved Under the Activity, Facility or Program –</p> <ul style="list-style-type: none"> <li>Assurance 5 of the DOT Standard Title VI Assurances and Nondiscrimination Clauses</li> <li>Assurance 30(e)(4)(a) of the Airport Sponsor Assurances</li> </ul>	<p>As a covenant running with the land, in any future deeds, leases, licenses, permits, or similar instruments entered into by the Sponsor with other parties for all transfers of real property acquired or improved under Airport Improvement Program</p> <p>This applies to agreements such as leases where a physical portion of the airport is transferred for use, for example a fuel farm, apron space, or a parking facility. It applies to agreements not covered by A6.4.4.</p>	<p><b>Error! Reference source not found.</b></p>



<b>Contract Clause</b>	<b>The Sponsor must include the contract clause in:</b>	<b>Clause Text is Included in Paragraph</b>
<p>Clause for Construction/Use/Access to Real Property Acquired Under the Activity, Facility or Program</p> <ul style="list-style-type: none"> <li>Assurance 6 of the DOT Standard Title VI Assurances and Nondiscrimination Clauses</li> <li>Assurance 30(e)(4)(b) of the Airport Sponsor Assurances</li> </ul>	<p>In any future (deeds, leases, licenses, permits, or similar instruments) entered into by the Sponsor with other parties for the construction or use of, or access to, space on, over, or under real property acquired or improved under Airport Improvement Program</p> <p>This applies to agreements such as leases of concession space in a terminal not covered by A6.4.3.</p>	<p><b>Error! Reference source not found.</b></p>
<p>Title VI List of Pertinent Nondiscrimination Acts and Authorities</p> <ul style="list-style-type: none"> <li>Assurance 3 of the DOT Standard Title VI Assurances and Nondiscrimination Clauses</li> <li>Assurance 30(e)(2) of the Airport Sponsor Assurances</li> </ul>	<p>Insert this list in every contract or agreement, unless the Sponsor has determined, and the FAA concurs, that the contract or agreement is not subject to the Nondiscrimination Acts and Authorities, which is a rare occurrence.</p> <p><b>This list can only be omitted if the FAA has determined that the contractor or company is already subject to substantively identical nondiscrimination requirements.</b></p>	<p>A6.4.1</p> <p><b>List must be included in all applicable contracts.</b></p>

**A6.3 MANDATORY SOLICITATION CLAUSE**

**The Sponsor must include this clause in:**

- 1) All AIP funded solicitations for bids, requests for proposals, or any work subject to Title VI regulations; and
- 2) All Sponsor proposals for negotiated agreements **regardless of funding source.**

**A6.3.1 Title VI Solicitation Notice**

**Title VI Solicitation Notice:**

The **Crawford County Airport Authority**, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 USC §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders or offerors that it will affirmatively ensure that for any contract entered into pursuant to this advertisement, [select businesses, or disadvantaged business enterprises or airport concession disadvantaged business enterprises] will be afforded full and fair opportunity to submit bids in response to this invitation and no businesses will be discriminated against on the grounds of race, color, national origin (including limited English proficiency), creed, sex (including sexual orientation and gender identity), age, or disability in consideration for an award.

## **A6.4 MANDATORY CONTRACT CLAUSES**

### **A6.4.1 Title VI List of Pertinent Nondiscrimination Acts and Authorities**

Insert this list in every contract or agreement, unless the Sponsor has determined and the FAA concurs, that the contract or agreement is not subject to the Nondiscrimination Acts and Authorities. This list can be omitted if the FAA has determined that the contractor or company is already subject to nondiscrimination requirements, which is a rare occurrence.

#### **Title VI List of Pertinent Nondiscrimination Acts and Authorities**

During the performance of this contract, the Contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “Contractor”) agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

- Title VI of the Civil Rights Act of 1964 (42 USC § 2000d *et seq.*, 78 stat. 252) (prohibits discrimination on the basis of race, color, national origin);
- 49 CFR part 21 (Non-discrimination in Federally-Assisted programs of the Department of Transportation—Effectuation of Title VI of the Civil Rights Act of 1964);
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 USC § 4601) (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Section 504 of the Rehabilitation Act of 1973 (29 USC § 794 *et seq.*), as amended (prohibits discrimination on the basis of disability); and 49 CFR part 27 (Nondiscrimination on the Basis of Disability in Programs or Activities Receiving Federal Financial Assistance);
- The Age Discrimination Act of 1975, as amended (42 USC § 6101 *et seq.*) (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982 (49 USC § 47123), as amended (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987 (PL 100-259) (broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, the Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms “programs or activities” to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act of 1990 (42 USC § 12101, *et seq*) (prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities) as implemented by U.S. Department of Transportation regulations at 49 CFR parts 37 and 38;
- The Federal Aviation Administration’s Nondiscrimination statute (49 USC § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations (ensures nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations);
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must

take reasonable steps to ensure that LEP persons have meaningful access to your programs [70 Fed. Reg. 74087 (2005)];

- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 USC § 1681, et seq).

#### **A6.4.2 Nondiscrimination Requirements/Title VI Clauses for Compliance**

The Sponsor must include this contract clause in:

- 1) Every contract or agreement (unless the Sponsor has determined, and the FAA concurs, that the contract or agreement is not subject to the Nondiscrimination Acts and Authorities); and
- 2) Service contracts with utility companies that are not already subject to substantively identical nondiscrimination requirements.
- 3) Other types of contracts with utility companies involving property covered by A6.4.2, A6.4.3, or A6.4.4.

#### **Compliance with Nondiscrimination Requirements:**

During the performance of this contract, the Contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “Contractor”), agrees as follows:

1. **Compliance with Regulations:** The Contractor (hereinafter includes consultants) will comply with the Title VI List of Pertinent Nondiscrimination Acts and Authorities, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Nondiscrimination:** The Contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, national origin (including limited English proficiency), creed, sex (including sexual orientation and gender identity), age, or disability in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The Contractor will not participate directly or indirectly in the discrimination prohibited by the Nondiscrimination Acts and Authorities, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR part 21.
3. **Solicitations for Subcontracts, including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding or negotiation made by the Contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the Contractor of the contractor’s obligations under this contract and the Nondiscrimination Acts and Authorities on the grounds of race, color, or national origin.
4. **Information and Reports:** The Contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Sponsor or the Federal Aviation Administration to be pertinent to ascertain compliance with such Nondiscrimination Acts and Authorities and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or

refuses to furnish the information, the Contractor will so certify to the Sponsor or the Federal Aviation Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

5. **Sanctions for Noncompliance:** In the event of a Contractor's noncompliance with the non-discrimination provisions of this contract, the Sponsor will impose such contract sanctions as it or the Federal Aviation Administration may determine to be appropriate, including, but not limited to:
  - a. Withholding payments to the Contractor under the contract until the Contractor complies; and/or
  - b. Cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The Contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations, and directives issued pursuant thereto. The Contractor will take action with respect to any subcontract or procurement as the Sponsor or the Federal Aviation Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the Contractor may request the Sponsor to enter into any litigation to protect the interests of the Sponsor. In addition, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

## **A7 CLEAN AIR AND WATER POLLUTION CONTROL**

### **A7.1 SOURCE**

2 CFR Part 200, Appendix II(G)

42 USC § 7401, et seq

33 USC § 1251, et seq

### **A7.2 APPLICABILITY**

**Contract Types** – This provision is required for all contracts and lower tier contracts that exceed \$150,000.

**Use of Provision** – No mandatory language provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor's language must fully satisfy the requirements of Appendix II to 2 CFR § 200.

### **A7.3 MODEL CONTRACT CLAUSE**

#### **CLEAN AIR AND WATER POLLUTION CONTROL**

Contractor agrees to comply with all applicable standards, orders, and regulations issued pursuant to the Clean Air Act (42 USC §§ 7401-7671q) and the Federal Water Pollution Control Act as amended (33 USC §§ 1251-1387). The Contractor agrees to report any violation to the Owner immediately upon discovery. The Owner assumes responsibility for notifying the Environmental Protection Agency (EPA) and the Federal Aviation Administration.

Contractor must include this requirement in all subcontracts that exceed \$150,000.

## **A8 CONTRACT WORKHOURS AND SAFETY STANDARDS ACT REQUIREMENTS**

### **A8.1 SOURCE**

2 CFR Part 200, Appendix II(E)

2 CFR § 5.5(b)

40 USC § 3702

40 USC § 3704

### **A8.2 APPLICABILITY**

Contract Work Hours and Safety Standards Act Requirements (CWHSSA) (40 USC §§ 3702 & 3704) requires contractors and subcontractors on covered contracts to pay laborers and mechanics employed in the performance of the contracts not less than one and one-half times their basic rate of pay for all hours worked over 40 in a workweek. CWHSSA prohibits unsanitary, hazardous, or dangerous working conditions on federally-assisted projects. The Wage and Hour Division (WHD) within the U.S. Department of Labor (DOL) enforces the compensation requirements of this Act, while DOL's Occupational Safety and Health Administration (OSHA) enforces the safety and health requirements.

#### **Contract Types –**

*Construction* – This provision applies to all contracts and lower tier contracts that exceed \$100,000, and employ laborers, mechanics, watchmen, and guards.

*Equipment* – This provision applies to any equipment project exceeding \$100,000 that involves installation of equipment onsite (e.g., electrical vault equipment). This provision does not apply to equipment acquisition projects where the manufacture of the equipment takes place offsite at the vendor plant (e.g., ARFF and SRE vehicles).

*Professional Services* – This provision applies to professional service agreements that exceed \$100,000 and employs laborers, mechanics, watchmen, and guards. This includes members of survey crews and exploratory drilling operations.

*Property* – While most land transactions do not involve employment of laborers, mechanics, watchmen, and guards, under certain circumstances, a property acquisition project could require such employment. Examples include the installation of property fencing or testing for environmental contamination

**Use of Provision – MANDATORY TEXT.** Sponsors must incorporate this text without modification.

## **A8.3 MANDATORY CONTRACT CLAUSE**

### **CONTRACT WORKHOURS AND SAFETY STANDARDS ACT REQUIREMENTS**

#### **1. Overtime Requirements.**

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic, including watchmen and guards, in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

#### **2. Violation; Liability for Unpaid Wages; Liquidated Damages.**

In the event of any violation of the clause set forth in paragraph (1) of this clause, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1) of this clause, in the sum of \$29 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1) of this clause.

#### **3. Withholding for Unpaid Wages and Liquidated Damages.**

The Federal Aviation Administration (FAA) or the Owner shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2) of this clause.

#### **4. Subcontractors.**

The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraphs (1) through (4) and also a clause requiring the subcontractor to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1) through (4) of this clause.

## **A9 COPELAND “ANTI-KICKBACK” ACT**

### **A9.1 SOURCE**

2 CFR Part 200, Appendix II(D)

29 CFR Parts 3 and 5

### **A9.2 APPLICABILITY and PURPOSE**

The Copeland (Anti-Kickback) Act (18 USC § 874 and 40 USC § 3145) makes it unlawful to induce by force, intimidation, threat of dismissal from employment, or by any other manner, any person employed in the construction or repair of public buildings or public works, financed in whole or in part by the United States, to give up any part of the compensation to which that person is entitled under a contract of employment. The Copeland Act also requires each contractor and subcontractor to furnish weekly a statement of compliance with respect to the wages paid each employee during the preceding week.

#### **Contract Types –**

*Construction* – This provision applies to all construction contracts and subcontracts financed under the AIP that exceed \$2,000.

*Equipment* – This provision applies to all equipment installation projects (e.g., electrical vault improvements) financed under the AIP that exceed \$2,000. This provision does not apply to equipment acquisitions where the equipment is manufactured at the vendor’s plant (e.g., SRE and ARFF vehicles).

*Professional Services* –The emergence of different project delivery methods has created situations where Professional Service Agreements (PSAs) include tasks that meet the definition of construction, alteration, or repair as defined in 29 CFR Part 5. If such tasks result in work that qualifies as construction, alteration, or repair and it exceeds \$2,000, the PSA must incorporate the Copeland Anti-kickback provision.

*Property* –Ordinarily, land acquisition projects would not involve employment of laborers or mechanics and thus the Copeland Anti-Kickback provision would not apply. However, land projects that involve installation of boundary fencing and demolition of structures would involve laborers and mechanics. The Sponsor must include this provision if the land acquisition project involves employment of laborers or mechanics for a contract exceeding \$2,000.

**Use of Provision** – No mandatory language provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 29 CFR Part 5.



### **A9.3 MODEL CONTRACT CLAUSE**

#### **COPELAND “ANTI-KICKBACK” ACT**

Contractor must comply with the requirements of the Copeland “Anti-Kickback” Act (18 USC 874 and 40 USC 3145), as supplemented by Department of Labor regulation 29 CFR part 3. Contractor and subcontractors are prohibited from inducing, by any means, any person employed on the project to give up any part of the compensation to which the employee is entitled. The Contractor and each Subcontractor must submit to the Owner, a weekly statement on the wages paid to each employee performing on covered work during the prior week. Owner must report any violations of the Act to the Federal Aviation Administration.

## **A10 DAVIS-BACON REQUIREMENTS**

### **A10.1 SOURCE**

2 CFR Part 200, Appendix II(D)

29 CFR Part 5

49 USC § 47112(b)

40 USC §§ 3141-3144, 3146, and 3147

### **A10.2 APPLICABILITY**

The Davis-Bacon Act (40 USC §§ 3141-3144, 3146, and 3147) ensures that laborers and mechanics employed under the contract receive pay no less than the locally prevailing wages and fringe benefits as determined by the Department of Labor.

#### **Contract Types –**

*Construction* – Incorporate into all construction contracts and subcontracts that exceed \$2,000 and include funding from the AIP.

*Equipment* – This provision applies to all equipment installation projects (e.g., electrical vault improvements) financed under the AIP that exceed \$ 2,000. This provision does not apply to equipment acquisitions where the equipment is manufactured at the vendor’s plant (e.g., SRE and ARFF vehicles)

*Professional Services* – The emergence of different project delivery methods has created situations where Professional Service Agreements (PSAs) includes tasks that meet the definition of construction, alteration, or repair as defined in 29 CFR Part 5. If such tasks result in work that qualifies as construction, alteration, or repair and it exceeds \$2,000, the PSA must incorporate this clause.

*Property* – Ordinarily, land acquisition projects would not involve employment of laborers or mechanics and thus the provision would not apply. However, land projects that involve installation of boundary fencing and demolition of structures would involve laborers and mechanics. The Sponsor must include this provision if the land acquisition project involves employment of laborers or mechanics for a contract exceeding \$2,000.

*Fencing Projects* – Fencing projects that exceed \$2,000 must include this provision.

**Use of Provision – MANDATORY TEXT.** 29 CFR part 5 establishes specific language a Sponsor must use. The Sponsor may not make any modification to the standard language. A/E firms that employ laborers and mechanics on a task that meets the definition of construction, alteration, or repair are acting as a contractor. The Sponsor may not substitute the term “Contractor” for “Consultant” in such instances.

## **A10.3 MANDATORY CONTRACT CLAUSE**

### **DAVIS-BACON REQUIREMENTS**

#### 1. Minimum Wages.

(i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalent thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under (1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can easily be seen by the workers.

(ii)(A) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination;
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(B) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, U.S. Department of Labor,

Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(C) In the event the Contractor, the laborers, or mechanics to be employed in the classification, or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(D) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1)(ii) (B) or (C) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(iv) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

2. Withholding. The Federal Aviation Administration or the Sponsor shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Federal Aviation Administration may, after written notice to the Contractor, Sponsor, Applicant, or Owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

### 3. Payrolls and Basic Records.

(i) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types

described in 1(b)(2)(B) of the Davis-Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records that show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual costs incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(ii)(A) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Federal Aviation Administration if the agency is a party to the contract, but if the agency is not such a party, the Contractor will submit the payrolls to the applicant, Sponsor, or Owner, as the case may be, for transmission to the Federal Aviation Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR § 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (*e.g.*, the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <https://www.dol.gov/agencies/whd/government-contracts/construction/payroll-certification> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker and shall provide them upon request to the Federal Aviation Administration if the agency is a party to the contract, but if the agency is not such a party, the Contractor will submit them to the applicant, Sponsor, or Owner, as the case may be, for transmission to the Federal Aviation Administration, the Contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the sponsoring government agency (or the applicant, Sponsor, or Owner).

(B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) That the payroll for the payroll period contains the information required to be provided under 29 CFR § 5.5(a)(3)(ii), the appropriate information is being maintained under 29 CFR § 5.5 (a)(3)(i), and that such information is correct and complete;

(2) That each laborer and mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR Part 3;

(3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the “Statement of Compliance” required by paragraph (3)(ii)(B) of this section.

(D) The falsification of any of the above certifications may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code.

(iii) The Contractor or subcontractor shall make the records required under paragraph (3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the Sponsor, the Federal Aviation Administration, or the Department of Labor and shall permit such representatives to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the Contractor, Sponsor, applicant, or Owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR § 5.12.

#### 4. Apprentices and Trainees.

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman’s hourly rate) specified in the Contractor’s or subcontractor’s registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice’s level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits,

apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(ii) Trainees. Except as provided in 29 CFR § 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination that provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate that is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(iii) Equal Employment Opportunity. The utilization of apprentices, trainees, and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

#### 5. Compliance with Copeland Act Requirements.

The Contractor shall comply with the requirements of 29 CFR Part 3, which are incorporated by reference in this contract.

#### 6. Subcontracts.

The Contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR §§ 5.5(a)(1) through (10) and such other clauses as the Federal Aviation Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR § 5.5.

#### 7. Contract Termination: Debarment.

A breach of the contract clauses in paragraph 1 through 10 of this section may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR § 5.12.

8. Compliance with Davis-Bacon and Related Act Requirements.

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.

9. Disputes Concerning Labor Standards.

Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of Eligibility.

(i) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR § 5.12(a)(1).

(ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR § 5.12(a)(1).

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 USC § 1001.



## **A11 DEBARMENT AND SUSPENSION**

### **A11.1 SOURCE**

2 CFR Part 180 (Subpart B)

2 CFR Part 200, Appendix II(H)

2 CFR Part 1200

DOT Order 4200.5

Executive Orders 12549 and 12689

### **A11.2 APPLICABILITY**

The Sponsor must verify that the firm or individual that it is entering into a contract with is not presently suspended, excluded, or debarred by any Federal department or agency from participating in federally-assisted projects. The Sponsor accomplishes this by:

- 1) Checking the System for Award Management (SAM.gov) to verify that the firm or individual is not listed in SAM.gov as being suspended, debarred, or excluded;
- 2) Collecting a certification from the firm or individual that it is not suspended, debarred, or excluded; and
- 3) Incorporating a clause in the contract that requires lower tier contracts to verify that no suspended, debarred, or excluded firm or individual is included in the project.

**Contract Types** – This requirement applies to *covered transactions*, which are defined in 2 CFR part 180 (Subpart B). AIP funded contracts are non-procurement transactions, as defined by 2 CFR § 180.970. Covered transactions include any AIP-funded contract, regardless of tier, that is awarded by a contractor, subcontractor, supplier, consultant, or its agent or representative in any transaction, if the amount of the contract is expected to equal or exceed \$25,000. This includes contracts associated with land acquisition projects.

**Use of Provision** – No mandatory language provided. The following language is acceptable to the FAA in meeting the intent of this requirement. If the Sponsor uses different language, the Sponsor's language must fully satisfy the requirements of 2 CFR part 180. For professional service agreements, Sponsor may substitute "bidder/offeror" with "consultant."

### **A11.3 MODEL BID/PROPOSAL CERTIFICATION CLAUSES**

#### **A11.3.1 Bidder or Offeror Certification**

##### **CERTIFICATION OF OFFEROR/BIDDER REGARDING DEBARMENT**

By submitting a bid/proposal under this solicitation, the bidder or offeror certifies that neither it nor its principals are presently debarred or suspended by any Federal department or agency from participation in this transaction.

### **A11.3.2 Lower Tier Contract Certification**

#### **CERTIFICATION OF LOWER TIER CONTRACTORS REGARDING DEBARMENT**

The successful bidder, by administering each lower tier subcontract that exceeds \$25,000 as a “covered transaction”, must confirm each lower tier participant of a “covered transaction” under the project is not presently debarred or otherwise disqualified from participation in this federally-assisted project. The successful bidder will accomplish this by:

1. Checking the System for Award Management at website: <http://www.sam.gov>.
2. Collecting a certification statement similar to the Certification of Offeror /Bidder Regarding Debarment, above.
3. Inserting a clause or condition in the covered transaction with the lower tier contract.

If the Federal Aviation Administration later determines that a lower tier participant failed to disclose to a higher tier participant that it was excluded or disqualified at the time it entered the covered transaction, the FAA may pursue any available remedies, including suspension and debarment of the non-compliant participant.

## **A12 DISADVANTAGED BUSINESS ENTERPRISE**

### **A12.1 SOURCE**

49 CFR Part 26

### **A12.2 APPLICABILITY**

A Sponsor that anticipates awarding \$250,000 or more in AIP funded prime contracts in a federal fiscal year must have an approved Disadvantaged Business Enterprise (DBE) program on file with the FAA Office of Civil Rights (49 CFR § 26.21). The approved DBE program will identify a 3-year overall program goal that the Sponsor bases on the availability of ready, willing, and able DBEs relative to all businesses ready, willing, and able to participate on the project (49 CFR § 26.45).

**Contract Types** – Sponsors with a DBE program on file with the FAA must include the following provisions, if applicable:

- 1) Clause in all solicitations for proposals for which a contract goal has been established,
- 2) Clause in each prime contract, and
- 3) Clause in solicitations that are obtaining DBE participation through race/gender neutral means.

#### **Use of Provision –**

1. *Solicitations with a DBE Contract Goal* – No mandatory language provided. 49 CFR §26.53 requires a Sponsor’s solicitation to address what a contractor must submit on proposed DBE participation. The language of A12.3.1 is acceptable to the FAA in meeting the intent of this requirement. If the Sponsor uses different language, the Sponsor’s revised language must fully satisfy these requirements. The Sponsor may require the contractor’s submittal on proposed DBE participation either at bid opening as a matter of responsiveness or within five days of bid opening as a matter of responsibility.
2. *Solicitations Relying on Race/Gender Neutral Means* – No mandatory language provided. The language of A12.3.2 is acceptable to the FAA in meeting the intent of this requirement. If the Sponsor uses different language, the Sponsor’s revised language must fully satisfy requirements for a Sponsor that is not applying a project specific contract goal but is covered by a DBE program on file with the FAA.
3. *Assurance for Contracts Covered by DBE Program* – **MANDATORY TEXT PROVIDED.** Sponsors must incorporate this language if they have a DBE program on file with the FAA. This includes projects where DBE participation is obtained through race/gender neutral means (i.e., no DBE contract goal). Section 26.13 of 49 CFR establishes mandatory language for contractor assurance. The Sponsor must not modify the language. Part 26 of 49 CFR requires Sponsors ensure this clause also flows down into subcontracts (i.e., must be included verbatim in subcontracts).

4. *Prompt Payment for Contracts Covered by DBE Program* – No mandatory language provided. Section 26.29 of 49 CFR requires Sponsors to include a contract clause requiring prompt payment to subcontractors no later than thirty (30) days after the prime contractor receives payment from the Sponsor. The requirement applies to all subcontractors, not just DBEs. The prompt payment language of A12.3.3 is acceptable to the FAA in meeting the intent of this requirement. If the Sponsor uses different language, such as a specific clause identified in the Sponsor’s approved DBE program plan, the Sponsor’s revised language must fully satisfy these requirements.
5. *Termination of DBE Subcontractors on Contracts with a DBE Contract Goal* - No mandatory language provided. Section 26.53 of 49 CFR prohibits unauthorized removal or replacement of DBE firms listed in response to a solicitation that had a DBE contract goal and sets forth the specific enforcement mechanism recipients must include in prime contracts. The language of A12.3.3 is acceptable to the FAA in meeting the intent of this requirement.
6. Sponsors that are not required to have a DBE program on file with the FAA are not required to include DBE provisions and clauses.

### **A12.3 REQUIRED PROVISIONS**

#### **A12.3.1 Solicitation Language (Solicitations that include a Contract Goal)**

**Bid Information Submitted as a matter of responsiveness:**

The Owner’s award of this contract is conditioned upon Bidder or Offeror satisfying the good faith effort requirements of 49 CFR § 26.53.

As a condition of responsiveness, the Bidder or Offeror must submit the following information with its proposal on the forms provided herein:

- 1) The names and addresses of Disadvantaged Business Enterprise (DBE) firms that will participate in the contract;
- 2) A description of the work that each DBE firm will perform;
- 3) The dollar amount of the participation of each DBE firm listed under (1);
- 4) Written statement from Bidder or Offeror that attests their commitment to use the DBE firm(s) listed under (1) to meet the Owner’s project goal
- 5) Written confirmation from each listed DBE firm that it is participating in the contract in the kind and amount of work provided in the prime contractor's commitment; and
- 6) If Bidder or Offeror cannot meet the advertised project DBE goal, evidence of good faith efforts undertaken by the Bidder or Offeror as described in appendix A to 49 CFR part 26. The documentation of good faith efforts must include copies of each DBE and non-DBE subcontractor quote submitted to the bidder when a non-DBE subcontractor was selected over a DBE for work on the contract.

**Bid Information submitted as a matter of responsibility:**

The Owner’s award of this contract is conditioned upon Bidder or Offeror satisfying the good faith effort requirements of 49 CFR § 26.53.

As a condition of responsibility, every Bidder or Offeror must submit the following information on the forms provided herein within five days after bid opening.

- 1) The names and addresses of Disadvantaged Business Enterprise (DBE) firms that will participate in the contract;
- 2) A description of the work that each DBE firm will perform;
- 3) The dollar amount of the participation of each DBE firm listed under (1);
- 4) Written statement from Bidder or Offeror that attests their commitment to use the DBE firm(s) listed under (1) to meet the Owner’s project goal;
- 5) Written confirmation from each listed DBE firm that it is participating in the contract in the kind and amount of work provided in the prime contractor's commitment; and
- 6) If Bidder or Offeror cannot meet the advertised project DBE goal, evidence of good faith efforts undertaken by the Bidder or Offeror as described in appendix A to 49 CFR part 26. The documentation of good faith efforts must include copies of each DBE and non-DBE subcontractor quote submitted to the bidder when a non-DBE subcontractor was selected over a DBE for work on the contract.

**A12.3.2 Solicitation Language (Race/Gender Neutral Means)**

The requirements of 49 CFR part 26 apply to this contract. It is the policy of the **Crawford County Airport Authority** to practice nondiscrimination based on race, color, sex, or national origin in the award or performance of this contract. The Owner encourages participation by all firms qualifying under this solicitation regardless of business size or ownership.

**A12.3.3 Prime Contracts (Contracts Covered by a DBE Program)**

**Contract Assurance (49 CFR § 26.13; mandatory text provided) –**

The Contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- 1) Withholding monthly progress payments;
- 2) Assessing sanctions;
- 3) Liquidated damages; and/or
- 4) Disqualifying the Contractor from future bidding as non-responsible.

**Prompt Payment (49 CFR § 26.29; acceptable/sample text provided) –**

The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than **30** days from the receipt of each payment the prime contractor receives from **Crawford County Airport Authority**. The prime contractor agrees further to return retainage payments to each subcontractor within **30** days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of the **Crawford County Airport Authority**. This clause applies to both DBE and non-DBE subcontractors.

**Termination of DBE Subcontracts (49 CFR § 26.53(f); acceptable/sample text provided) –**

The prime contractor must not terminate a DBE subcontractor listed in response to **12.3.1, Solicitation Language** (or an approved substitute DBE firm) without prior written consent of **Crawford County Airport Authority**. This includes, but is not limited to, instances in which the prime contractor seeks to perform work originally designated for a DBE subcontractor with its own forces or those of an affiliate, a non-DBE firm, or with another DBE firm.

The prime contractor shall utilize the specific DBEs listed to perform the work and supply the materials for which each is listed unless the contractor obtains written consent Crawford County Airport Authority. Unless Crawford County Airport Authority consent is provided, the prime contractor shall not be entitled to any payment for work or material unless it is performed or supplied by the listed DBE.

Crawford County Airport Authority may provide such written consent only if Crawford County Airport Authority agrees, for reasons stated in the concurrence document, that the prime contractor has good cause to terminate the DBE firm. For purposes of this paragraph, good cause includes the circumstances listed in 49 CFR §26.53.

Before transmitting to Crawford County Airport Authority its request to terminate and/or substitute a DBE subcontractor, the prime contractor must give notice in writing to the DBE subcontractor, with a copy to Crawford County Airport Authority, of its intent to request to terminate and/or substitute, and the reason for the request.

The prime contractor must give the DBE five days to respond to the prime contractor's notice and advise Crawford County Airport Authority and the contractor of the reasons, if any, why it objects to the proposed termination of its subcontract and why Crawford County Airport Authority should not approve the prime contractor's action. If required in a particular case as a matter of public necessity (e.g., safety), Crawford County Airport Authority may provide a response period shorter than five days.

In addition to post-award terminations, the provisions of this section apply to preaward deletions of or substitutions for DBE firms put forward by offerors in negotiated procurements.

## **A13 DISTRACTED DRIVING**

### **A13.1 SOURCE**

Executive Order 13513

DOT Order 3902.10

### **A13.2 APPLICABILITY**

The FAA encourages recipients of Federal grant funds to adopt and enforce safety policies that decrease crashes by distracted drivers, including policies to ban text messaging while driving when performing work related to a grant or subgrant.

**Contract Types** – Sponsors must insert this provision in all AIP funded contracts that exceed the micro-purchase threshold of 2 CFR § 200.320 (currently set at \$10,000).

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA in meeting the intent of this requirement. If the Sponsor uses different language, the Sponsor’s revised language must fully satisfy these requirements.

### **A13.3 MODEL CONTRACT CLAUSE**

#### **TEXTING WHEN DRIVING**

In accordance with Executive Order 13513, “Federal Leadership on Reducing Text Messaging While Driving”, (10/1/2009) and DOT Order 3902.10, “Text Messaging While Driving”, (12/30/2009), the Federal Aviation Administration encourages recipients of Federal grant funds to adopt and enforce safety policies that decrease crashes by distracted drivers, including policies to ban text messaging while driving when performing work related to a grant or subgrant.

In support of this initiative, the Owner encourages the Contractor to promote policies and initiatives for its employees and other work personnel that decrease crashes by distracted drivers, including policies that ban text messaging while driving motor vehicles while performing work activities associated with the project. The Contractor must include the substance of this clause in all sub-tier contracts exceeding \$10,000 that involve driving a motor vehicle in performance of work activities associated with the project.

## **A14 PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT**

### **A14.1 SOURCE**

2 CFR § 200, Appendix II(K)

2 CFR § 200.216

### **A14.2 APPLICABILITY**

Sponsors and subgrant recipients are prohibited from using AIP grant funds to:

- a) Procure or obtain,
- b) Extend or renew a contract to procure or obtain, or
- c) Enter into a contract to procure or obtain certain covered telecommunications equipment.

These restrictions apply to telecommunication equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system or as critical technology as part of any system. Covered telecommunications equipment is equipment produced or provided by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of either).

**Contract Types** – The Sponsor must include this provision in all AIP funded contracts and lower-tier contracts.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s revised language must fully satisfy these requirements. Sponsor may substitute “Contractor and subcontractor” with “Consultant and sub-consultant” for professional service agreements.

### **A14.3 MODEL CERTIFICATION CLAUSE**

#### **PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT**

Contractor and Subcontractor agree to comply with mandatory standards and policies relating to use and procurement of certain telecommunications and video surveillance services or equipment in compliance with the National Defense Authorization Act [Public Law 115-232 § 889(f)(1)].



## **A15 DRUG FREE WORKPLACE REQUIREMENTS**

### **A15.1 SOURCE**

49 CFR Part 32

Drug-Free Workplace Act of 1988 (41 USC § 8101-8106, as amended)

### **A15.2 APPLICABILITY**

The Drug-Free Workplace Act of 1988 requires some Federal contractors and *all* Federal grantees to agree that they will provide drug-free workplaces as a condition of receiving a contract or grant from a Federal agency. The Act does **not** apply to contractors, subcontractors, or subgrantees, although the Federal grantees workplace may be where the contractors, subcontractors, or subgrantees are working.

**Contract Types** – This provision applies to all AIP funded projects, but not to the contracts between the grantee (the Sponsor) and a contractor, subcontractors, suppliers, or subgrantees.

**Use of Provision** – No mandatory or recommended text provided because the requirements do not extend beyond the Sponsor level.

### **A15.3 CONTRACT CLAUSE**

None.

## **A16 EQUAL EMPLOYMENT OPPORTUNITY (EEO)**

### **A16.1 SOURCE**

2 CFR Part 200, Appendix II(C)

41 CFR § 60-1.4

41 CFR § 60-4.3

Executive Order 11246

### **A16.2 APPLICABILITY**

The purpose of this provision is to provide equal opportunity for all persons, without regard to race, color, religion, sex, or national origin who are employed or seeking employment with contractors performing under a federally-assisted construction contract. There are two provisions — a construction clause and a specification clause.

The equal opportunity contract clause must be included in any contract or subcontract when the amount exceeds \$10,000. Once the equal opportunity clause is determined to be applicable, the contract or subcontract must include the clause for the remainder of the year, regardless of the amount or the contract.

#### **Contract Types –**

*Construction* – The Sponsor must incorporate contract and specification language in all construction contracts and subcontracts as required above.

*Equipment* – The Sponsor must incorporate contract and specification language into all equipment contracts as required above that involves installation of equipment onsite (e.g., electrical vault equipment). This provision does not apply to equipment acquisition projects where the manufacture of the equipment takes place offsite at the vendor plant (e.g., ARFF and SRE vehicles).

*Professional Services* – The Sponsor must include contract and specification language into all professional service agreements as required above.

*Property* – The Sponsor must include contract and specification language into all land acquisition projects that include work that qualifies as construction work as defined by 41 CFR part 60 as required above. An example is installation of boundary fencing.

**Use of Provision – MANDATORY TEXT.** 41 CFR § 60-1.4 provides the mandatory ***contract*** language. 41 CFR § 60-4.3 provides the mandatory ***specification*** language. The Sponsor must incorporate these clauses without modification.

## **A16.3 MANDATORY CONTRACT CLAUSE**

### **A16.3.1 EEO Contract Clause**

#### **EQUAL OPPORTUNITY CLAUSE**

During the performance of this contract, the Contractor agrees as follows:

(1) The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff, or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

(3) The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.

(4) The Contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided by the agency contracting officer, advising the labor union or workers' representative of the Contractor's commitments under this section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(5) The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(6) The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(7) In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any such rules, regulations, or orders, this contract may be canceled, terminated, or suspended in

whole or in part and the Contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(8) The Contractor will include the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions, including sanctions for noncompliance: *Provided*, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

### **A16.3.2 EEO Specification**

#### **STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS**

1. As used in these specifications:

- a. “Covered area” means the geographical area described in the solicitation from which this contract resulted;
- b. “Director” means Director, Office of Federal Contract Compliance Programs (OFCCP), U.S. Department of Labor, or any person to whom the Director delegates authority;
- c. “Employer identification number” means the Federal social security number used on the Employer’s Quarterly Federal Tax Return, U.S. Treasury Department Form 941;
- d. “Minority” includes:
  - (1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
  - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race);
  - (3) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
  - (4) American Indian or Alaskan native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

3. If the Contractor is participating (pursuant to 41 CFR part 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each contractor or subcontractor participating in an approved plan is individually required to comply with its obligations under the EEO clause and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other contractors or subcontractors toward a goal in an approved Plan does not excuse any covered contractor's or subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through 7p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction contractors performing construction work in a geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.

6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

- a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

- b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
- c. Maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source, or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
- d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
- f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions including specific review of these items with onsite supervisory personnel such as superintendents, general foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other contractors and subcontractors with whom the Contractor does or anticipates doing business.
- i. Direct its recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other

training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer, and vacation employment to minority and female youth both on the site and in other areas of a contractor's work force.

k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR part 60-3.

l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel, for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

m. Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

n. Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

p. Conduct a review, at least annually, of all supervisor's adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

8. Contractors are encouraged to participate in voluntary associations, which assist in fulfilling one or more of their affirmative action obligations (7a through 7p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant may be asserted as fulfilling any one or more of its obligations under 7a through 7p of these specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, sexual orientation, gender identity, or national origin.

11. The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.

12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination, and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR part 60-4.8.

14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government, and to keep records. Records shall at least include for each employee, the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g. those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).



## **A17 FEDERAL FAIR LABOR STANDARDS ACT (FEDERAL MINIMUM WAGE)**

### **A17.1 SOURCE**

29 USC § 201, et seq

2 CFR § 200.430

### **A17.2 APPLICABILITY**

The U.S. Department of Labor (DOL) Wage and Hour Division administers the Fair Labor Standards Act (FLSA). This act prescribes federal standards for basic minimum wage, overtime pay, record keeping, and child labor standards.

**Contract Types** – Per the Department of Labor, all employees of certain enterprises having workers engaged in interstate commerce; producing goods for interstate commerce; or handling, selling, or otherwise working on goods or materials that have been moved in or produced for such commerce by any person are covered by the FLSA.

All consultants, sub-consultants, contractors, and subcontractors employed under this federally assisted project must comply with the FLSA.

*Professional Services* – 29 CFR § 213 exempts employees in a bona fide executive, administrative or professional capacity. Because professional firms employ individuals that are not covered by this exemption, the Sponsor’s agreement with a professional services firm must include the FLSA provision.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 29 USC § 201, et seq. The Sponsor must select *contractor* or *consultant*, as appropriate for the contract.

### **A17.3 MODEL SOLICITATION CLAUSE**

All contracts and subcontracts that result from this solicitation incorporate by reference the provisions of 29 CFR part 201, et seq, the Federal Fair Labor Standards Act (FLSA), with the same force and effect as if given in full text. The FLSA sets minimum wage, overtime pay, recordkeeping, and child labor standards for full and part-time workers.

The Contractor has full responsibility to monitor compliance to the referenced statute or regulation. The Contractor must address any claims or disputes that arise from this requirement directly with the U.S. Department of Labor – Wage and Hour Division.

## **A18 LOBBYING AND INFLUENCING FEDERAL EMPLOYEES**

### **A18.1 SOURCE**

31 USC § 1352 – Byrd Anti-Lobbying Amendment

2 CFR Part 200, Appendix II(I)

49 CFR Part 20, Appendix A

### **A18.2 APPLICABILITY**

Consultants and contractors that apply or bid for an award of \$100,000 or more must certify that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant, or another award covered by 31 USC § 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award.

**Contract Types** – The Sponsor must incorporate this provision into all contracts exceeding \$100,000.

**Use of Provision – MANDATORY TEXT.** Appendix A to 49 CFR Part 20 prescribes language the Sponsor must use. The Sponsor must incorporate this provision without modification.

### **A18.3 MANDATORY CERTIFICATION CLAUSE**

#### **CERTIFICATION REGARDING LOBBYING**

The Bidder or Offeror certifies by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Bidder or Offeror, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, “Disclosure Form to Report Lobbying,” in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, subgrants, and contracts under

grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

## **A19 PROHIBITION OF SEGREGATED FACILITIES**

### **A19.1 SOURCE**

2 CFR Part 200, Appendix II(C)

41 CFR Part 60-1

### **A19.2 APPLICABILITY**

The contractor must comply with the requirements of the EEO clause by ensuring that facilities they provide for employees are free of segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin. This clause must be included in all contracts that include the equal opportunity clause, regardless of the amount of the contract.

**Contract Types** – AIP Sponsors must incorporate the Prohibition of Segregated Facilities clause (41 CFR § 60-1.8) in any contract containing the Equal Employment Opportunity clause of 41 CFR § 60-1.4. This obligation flows down to subcontract and sub-tier purchase orders containing the Equal Employment Opportunity clause.

*Construction* – Construction work means construction, rehabilitation, alteration, conversion, extension, demolition or repair of buildings, highways, or other changes or improvements to real property, including facilities providing utility services. The term also includes the supervision, inspection, and other onsite functions incidental to the actual construction.

*Equipment* – On site installation of equipment such as airfield lighting control equipment meets the definition of construction and thus this provision would apply. This provision does not apply to equipment projects involving manufacture of the item at a vendor’s manufacturing plant. An example would be the manufacture of a SRE or ARFF vehicle.

*Professional Services* – Professional services that include tasks that qualify as construction work as defined by 41 CFR part 60-1. Examples include the installation of noise monitoring equipment.

*Property/Land* – Land acquisition contracts that include tasks that qualify as construction work as defined by 41 CFR part 60-1. Examples include demolition of structures or installation of boundary fencing.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 41 CFR Part 60-1.

### **A19.3 MODEL CONTRACT CLAUSE**

#### **PROHIBITION OF SEGREGATED FACILITIES**

(a) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The

Contractor agrees that a breach of this clause is a violation of the Equal Employment Opportunity clause in this contract.

(b) “Segregated facilities,” as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.

(c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Employment Opportunity clause of this contract.

## **A20 OCCUPATIONAL SAFETY AND HEALTH ACT OF 1970**

### **A20.1 SOURCE**

29 CFR Part 1910

### **A20.2 APPLICABILITY**

**Contract Types** – All contracts and subcontracts must comply with the Occupational Safety and Health Act of 1970 (OSH). The U.S. Department of Labor Occupational Safety and Health Administration (OSHA) oversees the workplace health and safety standards wage provisions from OSH.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 29 CFR Part 1910.

### **A20.3 MODEL CONTRACT CLAUSE**

All contracts and subcontracts that result from this solicitation incorporate by reference the requirements of 29 CFR Part 1910 with the same force and effect as if given in full text. The employer must provide a work environment that is free from recognized hazards that may cause death or serious physical harm to the employee. The employer retains full responsibility to monitor its compliance and their subcontractor’s compliance with the applicable requirements of the Occupational Safety and Health Act of 1970 (29 CFR Part 1910). The employer must address any claims or disputes that pertain to a referenced requirement directly with the U.S. Department of Labor – Occupational Safety and Health Administration.

## **A21 PROCUREMENT OF RECOVERED MATERIALS**

### **A21.1 SOURCE**

2 CFR § 200.323

2 CFR Part 200, Appendix II(J)

40 CFR Part 247

42 USC § 6901, et seq (Resource Conservation and Recovery Act (RCRA))

### **A21.2 APPLICABILITY**

Sponsors of AIP funded development and equipment projects must comply with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. Section 6002 emphasizes maximizing energy and resource recovery through use of affirmative procurement actions for recovered materials identified in the Environmental Protection Agency (EPA) guidelines codified at 40 CFR part 247. When acquiring items designated in the guidelines, the Sponsor must procure items that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition.

**Contract Types** – This provision applies to any contracts that include procurement of products designated in subpart B of 40 CFR part 247 where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired by the preceding fiscal year exceeded \$10,000.

*Construction and Equipment* – Include this provision in all construction and equipment projects.

*Professional Services and Property* – Include this provision if the agreement includes procurement of a product that exceeds \$10,000.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor's language must fully satisfy the requirements of 2 CFR Part 200.

### **A21.3 MODEL CONTRACT CLAUSE**

#### **PROCUREMENT OF RECOVERED MATERIALS**

Contractor and subcontractor agree to comply with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, and the regulatory provisions of 40 CFR Part 247. In the performance of this contract and to the extent practicable, the Contractor and subcontractors are to use products containing the highest percentage of recovered materials for items designated by the Environmental Protection Agency (EPA) under 40 CFR Part 247 whenever:

- 1) The contract requires procurement of \$10,000 or more of a designated item during the fiscal year;  
or
- 2) The contractor has procured \$10,000 or more of a designated item using Federal funding during the previous fiscal year.

The list of EPA-designated items is available at [www.epa.gov/smm/comprehensive-procurement-guidelines-construction-products](http://www.epa.gov/smm/comprehensive-procurement-guidelines-construction-products).

Section 6002(c) establishes exceptions to the preference for recovery of EPA-designated products if the contractor can demonstrate the item is:

- a) Not reasonably available within a timeframe providing for compliance with the contract performance schedule;
- b) Fails to meet reasonable contract performance requirements; or
- c) Is only available at an unreasonable price.



## **A22 RIGHT TO INVENTIONS**

### **A22.1 SOURCE**

2 CFR Part 200, Appendix II(F)

37 CFR Part 401

### **A22.2 APPLICABILITY**

**Contract Types** – This provision applies to all contracts and subcontracts with small business firms or nonprofit organizations that include performance of *experimental, developmental, or research work*. This clause is not applicable to construction, equipment, or professional service contracts unless the contract includes *experimental, developmental, or research work*.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 2 CFR Part 200, Appendix II.

### **A22.3 MODEL CONTRACT CLAUSE**

#### **RIGHTS TO INVENTIONS**

Contracts or agreements that include the performance of experimental, developmental, or research work must provide for the rights of the Federal Government and the Owner in any resulting invention as established by 37 CFR part 401, Rights to Inventions Made by Non-profit Organizations and Small Business Firms under Government Grants, Contracts, and Cooperative Agreements. This contract incorporates by reference the patent and inventions rights as specified within 37 CFR § 401.14. Contractor must include this requirement in all sub-tier contracts involving experimental, developmental, or research work.

## **A23 SEISMIC SAFETY**

### **A23.1 SOURCE**

49 CFR Part 41

### **A23.2 APPLICABILITY**

**Contract Types** – This provision applies to construction of new buildings and additions to existing buildings financed in whole or in part through the Airport Improvement Program.

*Professional Services*– Sponsor must incorporate this clause in any contract involved in the construction of new buildings or structural addition to existing buildings.

*Construction* – Sponsor must incorporate this clause in any contract involved in the construction of new buildings or structural addition to existing buildings.

*Equipment* – Sponsor must include the construction provision if the project involves construction or structural addition to a building such as an electrical vault project to accommodate or install equipment.

*Land* – This provision will not typically apply to a property/land project.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 49 CFR part 41.

### **A23.3 MODEL CONTRACT CLAUSE**

#### **A23.3.1 Professional Service Agreements for Design**

##### **SEISMIC SAFETY**

In the performance of design services, the Consultant agrees to furnish a building design and associated construction specification that conform to a building code standard that provides a level of seismic safety substantially equivalent to standards as established by the National Earthquake Hazards Reduction Program (NEHRP). Local building codes that model their building code after the current version of the International Building Code (IBC) meet the NEHRP equivalency level for seismic safety. At the conclusion of the design services, the Consultant agrees to furnish the Owner a “certification of compliance” that attests conformance of the building design and the construction specifications with the seismic standards of NEHRP or an equivalent building code.

#### **A23.3.2 Construction Contracts**

##### **SEISMIC SAFETY**

The Contractor agrees to ensure that all work performed under this contract, including work performed by subcontractors, conforms to a building code standard that provides a level of seismic safety substantially equivalent to standards established by the National Earthquake Hazards Reduction

Program (NEHRP). Local building codes that model their code after the current version of the International Building Code (IBC) meet the NEHRP equivalency level for seismic safety.

## **A24 TAX DELINQUENCY AND FELONY CONVICTIONS**

### **A24.1 SOURCE**

Section 8113 of the Consolidated Appropriations Act, 2022 (Public Law 117-103) and similar provisions in subsequent appropriations acts.

DOT Order 4200.6 – Appropriations Act Requirements for Procurement and Non-Procurement Regarding Tax Delinquency and Felony Convictions

### **A24.2 APPLICABILITY**

The Sponsor must ensure that no funding goes to any contractor who:

- Has been convicted of a Federal felony within the last 24 months; or
- Has any outstanding tax liability for which all judicial and administrative remedies have lapsed or been exhausted.

**Contract Types** – This provision applies to all contracts funded in whole or part with AIP.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of DOT Order 4200.6.

### **A24.3 MODEL CERTIFICATION CLAUSE**

#### **CERTIFICATION OF OFFEROR/BIDDER REGARDING TAX DELINQUENCY AND FELONY CONVICTIONS**

The applicant must complete the following two certification statements. The applicant must indicate its current status as it relates to tax delinquency and felony conviction by inserting a checkmark (✓) in the space following the applicable response. The applicant agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification in all lower tier subcontracts.

#### **Certifications**

- 1) The applicant represents that it is ( ✓ ) is not ( ✓ ) a corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.
- 2) The applicant represents that it is ( ✓ ) is not ( ✓ ) a corporation that was convicted of a criminal violation under any Federal law within the preceding 24 months.

#### **Note**

If an applicant responds in the affirmative to either of the above representations, the applicant is ineligible to receive an award unless the Sponsor has received notification from the agency suspension and debarment official (SDO) that the SDO has considered suspension or debarment and determined that further action is not required to protect the Government’s interests. The applicant therefore must provide information to the owner about its tax liability or conviction to the Owner, who will then notify

the FAA Airports District Office, which will then notify the agency's SDO to facilitate completion of the required considerations before award decisions are made.

### **Term Definitions**

**Felony conviction:** Felony conviction means a conviction within the preceding twenty four (24) months of a felony criminal violation under any Federal law and includes conviction of an offense defined in a section of the U.S. Code that specifically classifies the offense as a felony and conviction of an offense that is classified as a felony under 18 USC § 3559.

**Tax Delinquency:** A tax delinquency is any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

## **A25 TERMINATION OF CONTRACT**

### **A25.1 SOURCE**

2 CFR Part 200, Appendix II(B)

FAA Advisory Circular 150/5370-10, Section 80-09

### **A25.2 APPLICABILITY**

**Contract Types** – All contracts and subcontracts in excess of \$10,000 must address *termination for cause* and *termination for convenience* by the Sponsor. The provision must address the manner (i.e., notice, opportunity to cure, and effective date) by which the Sponsor’s contract will be affected and the basis for settlement (e.g., incurred expenses, completed work, profit, etc.).

#### **Use of Provision –**

*Termination for Convenience* – No mandatory text provided. The Sponsor must include a clause for termination for convenience. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of Appendix II to 2 CFR § 200.

*Termination for Cause* – No mandatory text provided. The Sponsor must include a clause for termination for cause (includes default). The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 2 CFR Part 200, Appendix II.

*Equipment, Professional Services, and Property* – No mandatory text provided. The Sponsor may use their established clause language provided that it adequately addresses the intent of 2 CFR Part 200 Appendix II(B), which addresses termination for cause and for convenience.

### **A25.3 MODEL CONTRACT CLAUSES**

#### **A25.3.1 Termination for Convenience**

##### **TERMINATION FOR CONVENIENCE (CONSTRUCTION & EQUIPMENT CONTRACTS)**

The Owner may terminate this contract in whole or in part at any time by providing written notice to the Contractor. Such action may be without cause and without prejudice to any other right or remedy of Owner. Upon receipt of a written notice of termination, except as explicitly directed by the Owner, the Contractor shall immediately proceed with the following obligations regardless of any delay in determining or adjusting amounts due under this clause:

1. Contractor must immediately discontinue work as specified in the written notice.
2. Terminate all subcontracts to the extent they relate to the work terminated under the notice.
3. Discontinue orders for materials and services except as directed by the written notice.

4. Deliver to the Owner all fabricated and partially fabricated parts, completed and partially completed work, supplies, equipment and materials acquired prior to termination of the work, and as directed in the written notice.
5. Complete performance of the work not terminated by the notice.
6. Take action as directed by the Owner to protect and preserve property and work related to this contract that Owner will take possession.

Owner agrees to pay Contractor for:

1. Completed and acceptable work executed in accordance with the contract documents prior to the effective date of termination;
2. Documented expenses sustained prior to the effective date of termination in performing work and furnishing labor, materials, or equipment as required by the contract documents in connection with uncompleted work;
3. Reasonable and substantiated claims, costs, and damages incurred in settlement of terminated contracts with Subcontractors and Suppliers; and
4. Reasonable and substantiated expenses to the Contractor directly attributable to Owner's termination action.

Owner will not pay Contractor for loss of anticipated profits or revenue or other economic loss arising out of or resulting from the Owner's termination action.

The rights and remedies this clause provides are in addition to any other rights and remedies provided by law or under this contract.

#### **TERMINATION FOR CONVENIENCE (PROFESSIONAL SERVICES)**

The Owner may, by written notice to the Consultant, terminate this Agreement for its convenience and without cause or default on the part of Consultant. Upon receipt of the notice of termination, except as explicitly directed by the Owner, the Contractor must immediately discontinue all services affected.

Upon termination of the Agreement, the Consultant must deliver to the Owner all data, surveys, models, drawings, specifications, reports, maps, photographs, estimates, summaries, and other documents and materials prepared by the Engineer under this contract, whether complete or partially complete.

Owner agrees to make just and equitable compensation to the Consultant for satisfactory work completed up through the date the Consultant receives the termination notice. Compensation will not include anticipated profit on non-performed services.

Owner further agrees to hold Consultant harmless for errors or omissions in documents that are incomplete as a result of the termination action under this clause.

#### **A25.3.2 Termination for Default**

##### **TERMINATION FOR CAUSE (CONSTRUCTION)**

Section 80-09 of FAA Advisory Circular 150/5370-10 establishes standard language for conditions, rights, and remedies associated with Owner termination of this contract for cause due to default of the Contractor.

### **TERMINATION FOR CAUSE (EQUIPMENT)**

The Owner may, by written notice of default to the Contractor, terminate all or part of this Contract for cause if the Contractor:

1. Fails to begin the Work under the Contract within the time specified in the Notice- to-Proceed;
2. Fails to make adequate progress as to endanger performance of this Contract in accordance with its terms;
3. Fails to make delivery of the equipment within the time specified in the Contract, including any Owner approved extensions;
4. Fails to comply with material provisions of the Contract;
5. Submits certifications made under the Contract and as part of their proposal that include false or fraudulent statements; or
6. Becomes insolvent or declares bankruptcy.

If one or more of the stated events occur, the Owner will give notice in writing to the Contractor and Surety of its intent to terminate the contract for cause. At the Owner's discretion, the notice may allow the Contractor and Surety an opportunity to cure the breach or default.

If within [10] days of the receipt of notice, the Contractor or Surety fails to remedy the breach or default to the satisfaction of the Owner, the Owner has authority to acquire equipment by other procurement action. The Contractor will be liable to the Owner for any excess costs the Owner incurs for acquiring such similar equipment.

Payment for completed equipment delivered to and accepted by the Owner shall be at the Contract price. The Owner may withhold from amounts otherwise due the Contractor for such completed equipment, such sum as the Owner determines to be necessary to protect the Owner against loss because of Contractor default.

Owner will not terminate the Contractor's right to proceed with the work under this clause if the delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such acceptable causes include: acts of God, acts of the Owner, acts of another Contractor in the performance of a contract with the Owner, and severe weather events that substantially exceed normal conditions for the location.

If, after termination of the Contractor's right to proceed, the Owner determines that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the Owner issued the termination for the convenience the Owner.

The rights and remedies of the Owner in this clause are in addition to any other rights and remedies provided by law or under this contract.

### **TERMINATION FOR CAUSE (PROFESSIONAL SERVICES)**

Either party may terminate this Agreement for cause if the other party fails to fulfill its obligations that are essential to the completion of the work per the terms and conditions of the Agreement. The party initiating the termination action must allow the breaching party an opportunity to dispute or cure the breach.



The terminating party must provide the breaching party [7] days advance written notice of its intent to terminate the Agreement. The notice must specify the nature and extent of the breach, the conditions necessary to cure the breach, and the effective date of the termination action. The rights and remedies in this clause are in addition to any other rights and remedies provided by law or under this agreement.

- a) **Termination by Owner:** The Owner may terminate this Agreement for cause in whole or in part, for the failure of the Consultant to:
1. Perform the services within the time specified in this contract or by Owner approved extension;
  2. Make adequate progress so as to endanger satisfactory performance of the Project; or
  3. Fulfill the obligations of the Agreement that are essential to the completion of the Project.

Upon receipt of the notice of termination, the Consultant must immediately discontinue all services affected unless the notice directs otherwise. Upon termination of the Agreement, the Consultant must deliver to the Owner all data, surveys, models, drawings, specifications, reports, maps, photographs, estimates, summaries, and other documents and materials prepared by the Engineer under this contract, whether complete or partially complete.

Owner agrees to make just and equitable compensation to the Consultant for satisfactory work completed up through the date the Consultant receives the termination notice. Compensation will not include anticipated profit on non-performed services.

Owner further agrees to hold Consultant harmless for errors or omissions in documents that are incomplete as a result of the termination action under this clause.

If, after finalization of the termination action, the Owner determines the Consultant was not in default of the Agreement, the rights and obligations of the parties shall be the same as if the Owner issued the termination for the convenience of the Owner.

- b) **Termination by Consultant:** The Consultant may terminate this Agreement for cause in whole or in part, if the Owner:
1. Defaults on its obligations under this Agreement;
  2. Fails to make payment to the Consultant in accordance with the terms of this Agreement;
  3. Suspends the project for more than [180] days due to reasons beyond the control of the Consultant.

Upon receipt of a notice of termination from the Consultant, Owner agrees to cooperate with Consultant for the purpose of terminating the agreement or portion thereof, by mutual consent. If Owner and Consultant cannot reach mutual agreement on the termination settlement, the Consultant may, without prejudice to any rights and remedies it may have, proceed with terminating all or parts of this Agreement based upon the Owner's breach of the contract.

In the event of termination due to Owner breach, the Consultant is entitled to invoice Owner and to receive full payment for all services performed or furnished in accordance with this Agreement and all justified reimbursable expenses incurred by the Consultant through the effective date of termination action. Owner agrees to hold Consultant harmless for errors or omissions in documents that are incomplete as a result of the termination action under this clause.

## **A26 TRADE RESTRICTION CERTIFICATION**

### **A26.1 SOURCE**

49 USC § 50104

49 CFR Part 30

### **A26.2 APPLICABILITY**

Unless waived by the Secretary of Transportation, Sponsors may not use AIP funds on a product or service from a foreign country included in the current list of countries that discriminate against U.S. firms as published by the Office of the United States Trade Representative (USTR).

**Contract Types** – The trade restriction certification and clause apply to all AIP funded projects.

**Use of Provision – MANDATORY TEXT.** 49 CFR Part 30 prescribes the language for this model clause. The Sponsor must include this certification language in all contracts and subcontracts without modification.

### **A26.3 MANDATORY SOLICITATION CLAUSE**

#### **TRADE RESTRICTION CERTIFICATION**

By submission of an offer, the Offeror certifies that with respect to this solicitation and any resultant contract, the Offeror –

- 1) is not owned or controlled by one or more citizens of a foreign country included in the list of countries that discriminate against U.S. firms as published by the Office of the United States Trade Representative (USTR);
- 2) has not knowingly entered into any contract or subcontract for this project with a person that is a citizen or national of a foreign country included on the list of countries that discriminate against U.S. firms as published by the USTR; and
- 3) has not entered into any subcontract for any product to be used on the Federal project that is produced in a foreign country included on the list of countries that discriminate against U.S. firms published by the USTR.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18 USC § 1001.

The Offeror/Contractor must provide immediate written notice to the Owner if the Offeror/Contractor learns that its certification or that of a subcontractor was erroneous when submitted or has become erroneous by reason of changed circumstances. The Contractor must require subcontractors provide immediate written notice to the Contractor if at any time it learns that its certification was erroneous by reason of changed circumstances.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR § 30.17, no contract shall be awarded to an Offeror or subcontractor:

- 1) who is owned or controlled by one or more citizens or nationals of a foreign country included on the list of countries that discriminate against U.S. firms published by the USTR; or
- 2) whose subcontractors are owned or controlled by one or more citizens or nationals of a foreign country on such USTR list; or
- 3) who incorporates in the public works project any product of a foreign country on such USTR list.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

The Offeror agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in all lower tier subcontracts. The Contractor may rely on the certification of a prospective subcontractor that it is not a firm from a foreign country included on the list of countries that discriminate against U.S. firms as published by USTR, unless the Offeror has knowledge that the certification is erroneous.

This certification is a material representation of fact upon which reliance was placed when making an award. If it is later determined that the Contractor or subcontractor knowingly rendered an erroneous certification, the Federal Aviation Administration (FAA) may direct through the Owner cancellation of the contract or subcontract for default at no cost to the Owner or the FAA.

## **A27 VETERAN'S PREFERENCE**

### **A27.1 SOURCE**

49 USC § 47112(c)

### **A27.2 APPLICABILITY**

**Contract Types** – This provision applies to all AIP funded projects that involve labor to carry out the project. This preference, which excludes executive, administrative, and supervisory positions, applies to covered veterans [as defined under § 47112(c)] only when they are readily available and qualified to accomplish the work required by the project.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor's language must fully satisfy the requirements of 49 USC § 47112.

### **A27.3 MODEL CONTRACT CLAUSE**

#### **VETERAN'S PREFERENCE**

In the employment of labor (excluding executive, administrative, and supervisory positions), the Contractor and all sub-tier contractors must give preference to covered veterans as defined within Title 49 United States Code Section 47112. Covered veterans include Vietnam-era veterans, Persian Gulf veterans, Afghanistan-Iraq war veterans, disabled veterans, and small business concerns (as defined by 15 USC § 632) owned and controlled by disabled veterans. This preference only applies when there are covered veterans readily available and qualified to perform the work to which the employment relates.

## **A28 DOMESTIC PREFERENCES FOR PROCUREMENTS**

### **A28.1 SOURCE**

2 CFR § 200.322

2 CFR Part 200, Appendix II(L)

### **A28.2 APPLICABILITY**

To the greatest extent “practicable,” Sponsors must provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the U.S., including, but not limited to iron, aluminum, steel, cement, or other manufactured products.

**Contract Types** – Must be included in all subawards, including all contracts and purchase orders for work or products under the grant.

**Use of Provision** – No mandatory text provided. The following language is acceptable to the FAA and meets the intent of this requirement. If the Sponsor uses different language, the Sponsor’s language must fully satisfy the requirements of 2 CFR § 200.322.

### **A28.3 MODEL CERTIFICATION CLAUSE**

#### **CERTIFICATION REGARDING DOMESTIC PREFERENCES FOR PROCUREMENTS**

The Bidder or Offeror certifies by signing and submitting this bid or proposal that, to the greatest extent practicable, the Bidder or Offeror has provided a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including, but not limited to, iron, aluminum, steel, cement, and other manufactured products) in compliance with 2 CFR § 200.322.



U.S. Department  
of Transportation  
**Federal Aviation  
Administration**

## **FAA Form 5100-136, Buy American Product Content Percentage Worksheet**

### **Paperwork Reduction Act Statement**

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

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### Buy American Product Content Percentage Worksheet

Company Name:

Date:

Address:

Point of Contact:

Telephone:

Fax:

Email:

**Product Structure:** Multi-Level Bill of Materials (through level 2 only)

FAA Eligible Item:

FAA Item Number (if applicable):

Total Material Cost:

US Content (%) (attach Certificate of Origin, US Customs Form 434, if applicable):

Other (%):

Address of Final Assembly Location:

The undersigned certifies that this information is true and accurate to the best of their knowledge. A false certification represents a violation of 18 U.S.C § 1001 and 49 U.S.C § 47126. Signatory has the burden of proof to establish compliance.

Signature: \_\_\_\_\_

Name:

**Instruction:** Items listed in Federal Acquisition Regulation Part 25.104 may be counted as US Origin but should include a note stating the item is exempt in 25.104.

**Level codes:** Level **0** is the final product; Level **1** is a component; Level **2** is a sub-component.

Level (0, 1, 2)	Part Number	Item Description	Quantity Per Unit	Unit of Measure	Price/Unit of Measure	US Origin Price/Unit of Measure	US Origin Cost/Each	Other Price/Unit of Measure	Other Cost/Each



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Level (0, 1, 2)	Part Number	Item Description	Quantity Per Unit	Unit of Measure	Price/Unit of Measure	US Origin Price/Unit of Measure	US Origin Cost/Each	Other Price/Unit of Measure	Other Cost/Each



U.S. Department  
of Transportation  
**Federal Aviation  
Administration**

## **FAA Form 5100-137, Buy American Preferences – Final Assembly Questionnaire**

### **Paperwork Reduction Act Burden Statement**

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

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**Buy American Preferences – Final Assembly Questionnaire**

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To assist the Federal Aviation Administration (FAA) in making the determination of whether final assembly of the product occurs in the United States, please complete and submit this questionnaire when requesting a Buy American Waiver under 49 USC § 50101(b)(3)(A).

Company Name:

Date:

FAA Eligible Item:

FAA Item Number (if applicable):

Address of Final Assembly Location:

1. Provide a description of the assembly process occurring at the specified final location in the United States.
  - a. Describe the final assembly process and its various operations.
  
  
  
  
  
  
  
  
  
  
  - b. How long does the final assembly process take to complete?
  
2. Provide a description of the resources used to conduct the assembly of the product at the specified location in the United States.
  - a. How many employees are involved in the final assembly process and what is the general skill level of those employees?
  
  
  
  
  
  
  
  
  
  
  - b. What type of equipment is used during the final assembly process?
  
  
  
  
  
  
  
  
  
  
  - c. What is a rough estimate of the associated cost to conduct final assembly of the product at the specified location in the United States?

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The undersigned certifies that this information is true and accurate to the best of their knowledge. A false certification represents a violation of 18 U.S.C § 1001 and 49 U.S.C § 47126. Signatory has the burden of proof to establish compliance.

Signature: \_\_\_\_\_

Name:



U.S. Department  
of Transportation  
**Federal Aviation  
Administration**

## **FAA Form 5100-143, FAA Buy American Preference Construction Project Content Percentage Worksheet**

### **Paperwork Reduction Act Statement**

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

### **General Instructions**

#### **Related Statutes, Guidance, & Policies:**

**United States Code (U.S.C) Title 49 § 50101-** requires that all steel and manufactured goods used in AIP funded projects are produced in the United States.

**USC § 50101(b) and 49 CFR § 1.83(a)(11)** – gives the FAA the authority to waive these Buy American Preferences if certain market or product conditions exist. These are:

- Applying the Buy American Preferences would be inconsistent with the public interest, (a.k.a. Type I waiver);
- The steel or goods produced in the U.S. are not produced in a sufficient and reasonably available amount or are not of a satisfactory quality, (a.k.a. Type II waiver);
- When the cost of components and subcomponents produced in the U.S. is more than 60 percent of the cost of all components of the facility or equipment procured and final assembly occurs in the United States, (a.k.a. Type III waiver) ; or
- Including domestic material will increase the cost of the overall project by more than 25 percent (a.k.a. Type IV waiver).

**Executive Order 13858** – Strengthening Buy-American Preferences for Infrastructure Projects.

**FAA Order 5100.38D** - the Airport Improvement Program (AIP) Handbook provides information on eligible AIP projects, Buy American responsibilities, procurement procedures, etc.

**FAA Reauthorization Act of 2018** – provision requiring that all waivers shall be made publically available on the FAA website, including a justification of the waiver determination and provide informal public notice and comment opportunity.

**Confidentiality** - Manufacturer information submitted to the Federal Aviation Administration for the purposes of receiving a Buy American Waiver is not subject to the Freedom of Information Act (FOIA) under exemption # 4 of FOIA.

## General Definitions

- **Produced in the United States** - for iron and steel product that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.
- **Manufactured Products** - items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregate such as concrete; glass, including optical fiber; and lumber.
- **Nationwide Waiver**- Waivers issued to manufacturer(s) for AIP eligible steel or manufactured goods products used in airport development projects that may be used at any project site.
- **Project Specific Waiver**- Waivers issued to manufacturer(s) for AIP eligible steel or manufactured goods used to support the overall development objective for a specific project specific airport development projects that.

**Currency** - All forms should reflect U.S. currency and symbol (\$) only.

**Items listed in Federal Acquisition Regulation Part 25.104** may be counted at U.S. Origin, however should include a not stating that the item is exempt in 25.104. Additionally, items exempted from Buy American requirements may be listed in the material list however; the costs should be listed as “n/a.”

**FAA Advisory Circulars (AC)** – Find ACs at [https://www.faa.gov/regulations\\_policies/advisory\\_circulars/](https://www.faa.gov/regulations_policies/advisory_circulars/)

**Requests for waivers** to the FAA Buy American Preference require a completed Product Content Percentage Worksheet and Final Assembly Questionnaire.

## Additional Definitions

- **Level 1 Material:** The list of component materials required to construct/ manufacture the final Airport Improvement Program eligible product.
- **Level 2 Material:** The list of subcomponent materials required to construct/manufacture the level one component required in the final Airport Improvement Program eligible product.

## Form Instructions

Use this form only for FAA Buy American Type III Construction Project Waiver requests.

See the sample form on the following page for examples of entries. Form field instructions:

- **Airport Sponsor.** Enter the name of the airport sponsor.
- **Date.** Enter the date of submission to FAA.
- **Airport Worksite.** Enter the airport worksite name.
- **Total Material Cost.** This auto-populates based on total entered below. Do not edit.
- **U.S. Content.** This auto-populates based on total entered below. Do not edit.
- **Non-U.S. Content.** This auto-populates based on total entered below. Do not edit.
- **Worksite LOCID.** Enter the worksite's FAA Location Identification (LOCID).
- **Project Description.** Enter a brief final project description (e.g., Runway 18/36 Rehabilitation).
- **Project Material Structure List (Bill of Materials).** Enter data in the Bill of Materials table.

**Note:** This form does not include formulas or auto-calculations. Applicants are responsible for the accuracy of the information submitted.

- **Material Level items:**
  - Enter component materials of the project (Material Level **1**) by group.
  - Enter subcomponent materials of the project (Material Level **2**) by group.
- **Total Material Cost.** *Calculate* and enter the amount. This value then auto-fills the information on form page 1.
- **Total cost of U.S. origin materials.** *Calculate* and enter the amount. This value then auto-fills the information on form page 1.
- **Total cost of non-U.S. materials.** *Calculate* and enter the amount. This value then auto-fills the information on form page 1.
- **U.S. content percentage.** *Calculate* (Total cost of U.S. origin materials/Total Material Cost) and enter the amount. This value then auto-fills the information on form page 1.
- **Non-U.S. content percentage.** *Calculate* (Total cost of non-U.S. origin materials/Total Material Cost) and enter the amount. This value then auto-fills the information on form page 1.

When complete, submit this form to the FAA for review and processing.

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***SAMPLE* - FAA Buy American Preference  
Construction Project Content Percentage Worksheet**

Airport Sponsor: Sample Airport Authority

Date: 09/16/2020

Airport Worksite: Sample Municipal Airport

Worksite LOCID: SMP

Project Description: Reconstruct Runway 18/36

<b>Total material cost: \$ 1,523,900.00</b>
<b>U.S. Content: 5.00 %</b>
<b>Non-U.S. Content: 95.00 %</b>

**Project Material Structure List (Bill of Materials) – *SAMPLE***

Line	Material Level (1 or 2)	Materials	Cost of U.S. Origin Materials	Cost of Non-U.S. Materials
1	1	Pavement items	\$1,000,000.00	\$0.00
2	1	Pavement Item Component	\$50,000.00	\$50,000.00
3	2	Pavement Item Subcomponent	\$250,000.00	\$8,000.00
4	1	Painting and Marking Component	\$1,900.00	\$0.00
5	1	Painting and Marking Component	\$120,000.00	\$0.00
6	1	Electrical and Lighting	\$0.00	\$0.00
7	2	Electrical and Lighting Subcomponent	\$0.00	\$20,000.00
8	2	Electrical and Lighting Subcomponent	\$24,000.00	\$0.00
<b>SUBTOTAL</b>			<b>\$1,445,900.00</b>	<b>\$78,000.00</b>

**For FAA Use Only**

**TOTAL MATERIAL COST: \$1,523,900.00**

**U.S. Content: 5.00 %**

**Non-U.S. Content: 95.00 %**



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**FAA Buy American Preference Construction  
Project Content Percentage Worksheet**

Airport Sponsor:

Date:

Airport Worksite:

Worksite LOCID:

Project Description:

**Total material cost:**

**U.S. Content:** %

**Non-U.S. Content:** %

**Project Material Structure List (Bill of Materials)**

Line	Material Level (1 or 2)	Materials	Cost of U.S. Origin Materials	Cost of Non-U.S. Materials
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

**For FAA Use Only**

Line	Material Level (1 or 2)	Materials	Cost of U.S. Origin Materials	Cost of Non-U.S. Materials
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
<b>SUBTOTAL</b>				

**For FAA Use Only**

**TOTAL MATERIAL COST:**

**U.S. Content:** %

**Non-U.S. Content:** %

The undersigned certifies that this information is true and accurate to the best of their knowledge. A false certification represents a violation of 18 U.S.C § 1001 and 49 U.S.C § 47126. Signatory has the burden of proof to establish compliance.

Signature: \_\_\_\_\_

Name:

Title:

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SECTION 01 10 00 - SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Project information.
2. Work covered by Contract Documents.
3. Work performed by Owner.
4. Contractor's use of site and premises.
5. Coordination with occupants.
6. Work restrictions.
7. Specification and Drawing conventions.
8. Miscellaneous provisions.

B. Related Requirements:

1. Section 01 50 00 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.
2. Section 01 73 00 "Execution" for coordination of Owner-installed products.

1.3 DEFINITIONS

- A. Work Package: A group of specifications, drawings, and schedules prepared by the design team to describe a portion of the Project Work for pricing, permitting, and construction.

1.4 PROJECT INFORMATION

A. Project Identification: Crawford County Airport Authority New Terminal Building.

1. Project Location: 10748 N. 1650<sup>th</sup> St. Palestine, Illinois 62451.

B. Owner: Crawford County Airport Authority.

1. Owner's Representative: Dave Shaw, Chairman CCAA.

C. Architect: White & Borgognoni Architects, P.C.; 212 N. Illinois Avenue, Carbondale, Illinois, 62901.

1. Architect's Representative: Nicholas Williams, Principal Architect

CI. Civil, Mechanical, Electrical, Plumbing, and Structural Engineering:

1. Hanson Professional Services Inc.  
a. Representative: Jeffrey Olson.

#### 1.5 WORK COVERED BY CONTRACT DOCUMENTS

A. The Work of Project is defined by the Contract Documents and includes, but is not limited to, the following:

1. The scope of the project includes construction of a new airport terminal building: approximately 6,993 gross square feet, IBC 2021 building construction type II-B, and occupancy classification Group A-3 and Group B. The building will be constructed of structural steel frame and steel bow-string trusses. Exterior wall construction will be metal stud with metal wall panel. Roof systems will be curved standing seam metal roofing and TPO membrane roofing. The building will serve as the transfer point between ground transportation and the facilities to allow passengers to board and disembark from an aircraft. The building will contain offices for FBO operations along with Pilot's lounge and support areas. The building will be equipped with all code required mechanical, electrical, and plumbing facilities. The scope of work will also include site work required to accommodate the construction of the new facility and other Work indicated in the Contract Documents.

B. Type of Contract:

1. Project will be constructed under a single prime contract.

#### 1.6 WORK PERFORMED BY OWNER

A. Cooperate fully with Owner, so work may be carried out smoothly, without interfering with or delaying Work under this Contract or work by Owner. Coordinate the Work of this Contract with work performed by Owner.

B. Subsequent Work: Owner will perform the following additional work at site after Substantial Completion. Completion of that work will depend on successful completion of preparatory Work under this Contract.

1. Pavement marking and marking removal.

## 1.7 CONTRACTOR'S USE OF SITE AND PREMISES

- A. Unrestricted Use of Site: Contractor shall have full use of Project site for construction operations during construction period. Contractor's use of Project site is limited only by Owner's right to perform work or to retain other contractors on portions of Project.
  - 1. Contractor shall conform to airfield security requirements as set forth by Owner.
  - 2. Owner will occupy adjacent portions of site. Contractor shall not restrict access to adjacent portions of site.
  - 3. Contractor shall not impede Owner operations.
- B. Limits on Use of Site: Limit use of Project site to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
  - 1. Driveways, Walkways and Entrances: Keep driveways loading areas, and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or for storage of materials.
    - a. Schedule deliveries to minimize use of driveways and entrances by construction operations.
    - b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- C. Condition of Existing Grounds: Maintain portions of existing grounds, landscaping, and hardscaping affected by construction operations throughout construction period. Repair damage caused by construction operations.

## 1.8 COORDINATION WITH OCCUPANTS

- A. Partial Owner Occupancy: Owner will occupy the premises during entire construction period, with the exception of areas under construction. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's operations.
  - 1. Maintain access to existing walkways, and other adjacent occupied or used facilities. Do not close or obstruct walkways, or other occupied or used facilities without written permission from Owner and authorities having jurisdiction.
  - 2. Provide not less than 72 hours' notice to Owner of activities that will affect Owner's operations.

## 1.9 WORK RESTRICTIONS

- A. Comply with restrictions on construction operations.
  - 1. Comply with limitations on use of public streets, work on public streets, rights of way, and other requirements of authorities having jurisdiction.

- B. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging for temporary utility services according to requirements indicated:
  - 1. Notify Architect not less than two days in advance of proposed utility interruptions.
  - 2. Obtain Architect's written permission before proceeding with utility interruptions.
- C. Dust and Debris: Coordinate and monitor construction debris onsite with Crawford County Airport Authority staff to control and mitigate blowing debris.
- D. Smoking and Controlled Substance Restrictions: Use of tobacco products , alcoholic beverages, and other controlled substances on Owner's property is not permitted.

#### 1.10 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
  - 2. Text Color: Text used in the Specifications, including units of measure, manufacturer and product names, and other text may appear in multiple colors or underlined as part of a hyperlink; no emphasis is implied by text with these characteristics.
  - 3. Hypertext: Text used in the Specifications may contain hyperlinks. Hyperlinks may allow for access to linked information that is not residing in the Specifications. Unless otherwise indicated, linked information is not part of the Contract Documents.
  - 4. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 00 Contracting Requirements: General provisions of the Contract, including General and Supplementary Conditions, apply to all Sections of the Specifications.
- C. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.
- D. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:
  - 1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.
  - 2. Abbreviations: Materials and products are identified by abbreviations scheduled on Drawings .
  - 3. Keynoting: Materials and products are identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 10 00



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SECTION 01 23 00 - ALTERNATES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for alternates.

1.3 DEFINITIONS

- A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the bidding requirements that may be added to or deducted from the base bid amount if the Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.
  - 1. Alternates described in this Section are part of the Work only if enumerated in the Agreement.
  - 2. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate alternates into the Work. No other adjustments are made to the Contract Sum.

1.4 PROCEDURES

- A. Coordination: Revise or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.
  - 1. Include, as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation, whether or not indicated as part of alternate.
- B. Execute accepted alternates under the same conditions as other Work of the Contract.
- C. Schedule: A Part 3 "Schedule of Alternates" Article is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 SCHEDULE OF ALTERNATES

- A. Additive Alternate No. 1: Provide all materials, labor, and design of a fully engineered and functional “turn-key” solar power photovoltaic (PV) system that is coordinate with the building electrical distribution equipment and local power company.
- B. Additive Alternate No. 2: Provide all materials and labor to construct an aircraft storage hangar addition to the New Terminal Building as outlined and detailed in the drawings and specifications.

END OF SECTION 01 23 00

SECTION 01 25 00 - SUBSTITUTION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for substitutions.
- B. Related Requirements:
  - 1. Document 002600 "Procurement Substitution Procedures" for requirements for substitution requests prior to award of Contract.
  - 2. Section 01 23 00 "Alternates" for products selected under an alternate.
  - 3. Section 01 60 00 "Product Requirements" for requirements for submitting comparable product submittals for products by listed manufacturers.

1.3 DEFINITIONS

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents.
  - 1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
  - 2. Substitutions for Convenience: Changes proposed by Contractor or Owner that are not required to meet other Project requirements but may offer advantage to Contractor or Owner.

1.4 ACTION SUBMITTALS

- A. Substitution Requests: Submit documentation identifying product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Substitution Request Form: Use form acceptable to Architect.
  - 2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:

SECTION 01 25 00 -  
SUBSTITUTION  
PROCEDURES

- a. Statement indicating why specified product or fabrication or installation method cannot be provided, if applicable.
  - b. Coordination of information, including a list of changes or revisions needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
  - c. Detailed comparison of significant qualities of proposed substitutions with those of the Work specified. Include annotated copy of applicable Specification Section. Significant qualities may include attributes, such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
  - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
  - e. Samples, where applicable or requested.
  - f. Certificates and qualification data, where applicable or requested.
  - g. List of similar installations for completed projects, with project names and addresses as well as names and addresses of architects and owners.
  - h. Material test reports from a qualified testing agency, indicating and interpreting test results for compliance with requirements indicated.
  - i. Research reports evidencing compliance with building code in effect for Project, from ICC-ES .
  - j. Detailed comparison of Contractor's construction schedule using proposed substitutions with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
  - k. Cost information, including a proposal of change, if any, in the Contract Sum.
  - l. Contractor's certification that proposed substitution complies with requirements in the Contract Documents, except as indicated in substitution request, is compatible with related materials and is appropriate for applications indicated.
  - m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
3. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven days of receipt of a request for substitution. Architect will notify Contractor of acceptance or rejection of proposed substitution within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.
- a. Forms of Acceptance: Change Order, Construction Change Directive, or Architect's Supplemental Instructions for minor changes in the Work.

1.5 QUALITY ASSURANCE

- A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

1.6 PROCEDURES

- A. Coordination: Revise or adjust affected work as necessary to integrate work of the approved substitutions.

1.7 SUBSTITUTIONS

- A. Substitutions for Cause: Submit requests for substitution immediately on discovery of need for change, but not later than 15 days prior to time required for preparation and review of related submittals.

- 1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
  - a. Requested substitution is consistent with the Contract Documents and will produce indicated results.
  - b. Substitution request is fully documented and properly submitted.
  - c. Requested substitution will not adversely affect Contractor's construction schedule.
  - d. Requested substitution has received necessary approvals of authorities having jurisdiction.
  - e. Requested substitution is compatible with other portions of the Work.
  - f. Requested substitution has been coordinated with other portions of the Work.
  - g. Requested substitution provides specified warranty.
  - h. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

- B. Substitutions for Convenience: Not allowed unless otherwise indicated.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 25 00

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SECTION 01 26 00 - CONTRACT MODIFICATION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.
- B. Related Requirements:
  - 1. Section 01 25 00 "Substitution Procedures" for administrative procedures for handling requests for substitutions made after the Contract award.
  - 2. Section 01 31 00 "Project Management and Coordination" for requirements for forms for contract modifications provided as part of web-based Project management software.

1.3 MINOR CHANGES IN THE WORK

- A. Architect will issue supplemental instructions authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on AIA Document G710 .

1.4 PROPOSAL REQUESTS

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
  - 1. Work Change Proposal Requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
  - 2. Within 10 days after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
    - a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.



SECTION 01 26 00 -  
CONTRACT MODIFICATION  
PROCEDURES

- b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
  - c. Include costs of labor and supervision directly attributable to the change.
  - d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
  - e. Quotation Form: Use forms acceptable to Architect .
- B. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect .
- 1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
  - 2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
  - 3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
  - 4. Include costs of labor and supervision directly attributable to the change.
  - 5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
  - 6. Comply with requirements in Section 01 25 00 "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.
  - 7. Proposal Request Form: Use form acceptable to Architect .

#### 1.5 ADMINISTRATIVE CHANGE ORDERS

- A. Unit-Price Adjustment: See Section 01 22 00 "Unit Prices" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect measured scope of unit-price work.

#### 1.6 CHANGE ORDER PROCEDURES

- A. On Owner's approval of a Work Change Proposal Request, Architect will issue a Change Order for signatures of Owner and Contractor on AIA Document G701 .

#### 1.7 CONSTRUCTION CHANGE DIRECTIVE

- A. Construction Change Directive: Architect may issue a Construction Change Directive on AIA Document G714 . Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.

SECTION 01 26 00 -  
CONTRACT MODIFICATION  
PROCEDURES

1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.
  1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 26 00

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SECTION 01 29 00 - PAYMENT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements necessary to prepare and process Applications for Payment.
- B. Related Requirements:
  - 1. Document 004373 "Proposed Schedule of Values Form" for requirements for furnishing proposed schedule of values with bid.
  - 2. Section 01 22 00 "Unit Prices" for administrative requirements governing the use of unit prices.
  - 3. Section 01 26 00 "Contract Modification Procedures" for administrative procedures for handling changes to the Contract.
  - 4. Section 01 32 00 "Construction Progress Documentation" for administrative requirements governing the preparation and submittal of the Contractor's construction schedule.

1.3 DEFINITIONS

- A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.4 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the schedule of values with preparation of Contractor's construction schedule.
  - 1. Coordinate line items in the schedule of values with items required to be indicated as separate activities in Contractor's construction schedule.
  - 2. Submit the schedule of values to Architect at earliest possible date, but no later than seven days before the date scheduled for submittal of initial Applications for Payment.

- B. Format and Content: Use Project Manual table of contents as a guide to establish line items for the schedule of values. Provide at least one line item for each Specification Section.
1. Identification: Include the following Project identification on the schedule of values:
    - a. Project name and location.
    - b. Owner's name.
    - c. Name of Architect.
    - d. Architect's Project number.
    - e. Contractor's name and address.
    - f. Date of submittal.
  2. Arrange schedule of values consistent with format of AIA Document G703 .
  3. Arrange the schedule of values in tabular form, with separate columns to indicate the following for each item listed:
    - a. Related Specification Section or division.
    - b. Description of the Work.
    - c. Name of subcontractor.
    - d. Name of manufacturer or fabricator.
    - e. Name of supplier.
    - f. Change Orders (numbers) that affect value.
    - g. Dollar value of the following, as a percentage of the Contract Sum to nearest one-hundredth percent, adjusted to total 100 percent. Round dollar amounts to whole dollars, with total equal to Contract Sum.
      - 1) Labor.
      - 2) Materials.
      - 3) Equipment.
  4. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Provide multiple line items for principal subcontract amounts in excess of five percent of the Contract Sum.
  5. Provide a separate line item in the schedule of values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
    - a. Differentiate between items stored on-site and items stored off-site.
  6. Overhead Costs, Separate Line Items: Show cost of temporary facilities and other major cost items that are not direct cost of actual work-in-place as separate line items.
  7. Temporary Facilities: Show cost of temporary facilities and other major cost items that are not direct cost of actual work-in-place as separate line items.
  8. Schedule of Values Revisions: Revise the schedule of values when Change Orders or Construction Change Directives result in a change in the Contract Sum. Include at least one separate line item for each Change Order and Construction Change Directive.

#### 1.5 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments, as certified by Architect and paid for by Owner.

SECTION 01 29 00 -  
PAYMENT PROCEDURES

- B. Payment Application Times: The date for each progress payment is indicated in the Owner/Contractor Agreement. The period of construction work covered by each Application for Payment is the period indicated in the Agreement.
- C. Application for Payment Forms: Use AIA Document G702 and AIA Document G703 as form for Applications for Payment.
- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  - 1. Entries shall match data on the schedule of values and Contractor's construction schedule. Use updated schedules if revisions were made.
  - 2. Include amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.
  - 3. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.
  - 4. Indicate separate amounts for work being carried out under Owner-requested project acceleration.
- E. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site and items stored off-site.
  - 1. Provide certificate of insurance, evidence of transfer of title to Owner, and consent of surety to payment for stored materials.
  - 2. Provide supporting documentation that verifies amount requested, such as paid invoices. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
  - 3. Provide summary documentation for stored materials indicating the following:
    - a. Value of materials previously stored and remaining stored as of date of previous Applications for Payment.
    - b. Value of previously stored materials put in place after date of previous Application for Payment and on or before date of current Application for Payment.
    - c. Value of materials stored since date of previous Application for Payment and remaining stored as of date of current Application for Payment.
- F. Transmittal: Submit three signed and notarized original copies of each Application for Payment to Architect by a method ensuring receipt within 24 hours. One copy shall include waivers of lien and similar attachments if required.
  - 1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- G. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's lien from subcontractors, sub-subcontractors, and suppliers for construction period covered by the previous application.

SECTION 01 29 00 -  
PAYMENT PROCEDURES

1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
  2. When an application shows completion of an item, submit conditional final or full waivers.
  3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
  4. Submit final Application for Payment with or preceded by conditional final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
  5. Waiver Forms: Submit executed waivers of lien on forms acceptable to Owner.
- H. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
1. List of subcontractors.
  2. Schedule of values.
  3. Contractor's construction schedule (preliminary if not final).
  4. Schedule of unit prices.
  5. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
  6. Certificates of insurance and insurance policies.
  7. Performance and payment bonds.
  8. Data needed to acquire Owner's insurance.
- I. Application for Payment at Substantial Completion: After Architect issues the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
    - a. Complete administrative actions, submittals, and Work preceding this application, as described in Section 01 77 00 "Closeout Procedures."
  2. This application shall reflect Certificate(s) of Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- J. Final Payment Application: After completing Project closeout requirements, submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
1. Evidence of completion of Project closeout requirements.
  2. Certification of completion of final punch list items.
  3. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  4. Updated final statement, accounting for final changes to the Contract Sum.
  5. AIA Document G706.
  6. AIA Document G706A.
  7. AIA Document G707.
  8. Evidence that claims have been settled.
  9. Proof that taxes, fees, and similar obligations are paid.
  10. Waivers and releases.

SECTION 01 29 00 -  
PAYMENT PROCEDURES

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 29 00



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SECTION 01 31 00 - PROJECT MANAGEMENT AND COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project, including, but not limited to, the following:
  - 1. General coordination procedures.
  - 2. Coordination drawings.
  - 3. RFIs.
  - 4. Digital project management procedures.
  - 5. Project meetings.
- B. Each contractor shall participate in coordination requirements. Certain areas of responsibility are assigned to a specific contractor.
- C. Related Requirements:
  - 1. Section 01 32 00 "Construction Progress Documentation" for preparing and submitting Contractor's construction schedule.
  - 2. Section 01 73 00 "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
  - 3. Section 01 77 00 "Closeout Procedures" for coordinating closeout of the Contract.

1.3 DEFINITIONS

- A. RFI: Request for Information. Request from Owner, Architect, or Contractor seeking information required by or clarifications of the Contract Documents.

1.4 INFORMATIONAL SUBMITTALS

- A. Key Personnel Names: Within 15 days of starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list

addresses, cellular telephone numbers, and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.

1. Post copies of list in Project meeting room, in temporary field office, and in prominent location in built facility. Keep list current at all times.

#### 1.5 GENERAL COORDINATION PROCEDURES

A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations included in different Sections that depend on each other for proper installation, connection, and operation.

1. Schedule construction operations in sequence required to obtain the best results, where installation of one part of the Work depends on installation of other components, before or after its own installation.
2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
3. Make adequate provisions to accommodate items scheduled for later installation.

B. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.

1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.

C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and scheduled activities of other contractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:

1. Preparation of Contractor's construction schedule.
2. Preparation of the schedule of values.
3. Installation and removal of temporary facilities and controls.
4. Delivery and processing of submittals.
5. Progress meetings.
6. Preinstallation conferences.
7. Project closeout activities.
8. Startup and adjustment of systems.

#### 1.6 REQUEST FOR INFORMATION (RFI)

A. General: Immediately on discovery of the need for additional information, clarification, or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.

SECTION 01 31 00 -  
PROJECT MANAGEMENT  
AND COORDINATION

1. Architect will return without response those RFIs submitted to Architect by other entities controlled by Contractor.
  2. Coordinate and submit RFIs in a prompt manner to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
1. Project name.
  2. Owner name.
  3. Name of Architect.
  4. Architect's Project number.
  5. Date.
  6. Name of Contractor.
  7. RFI number, numbered sequentially.
  8. RFI subject.
  9. Specification Section number and title and related paragraphs, as appropriate.
  10. Drawing number and detail references, as appropriate.
  11. Field dimensions and conditions, as appropriate.
  12. Contractor's suggested resolution. If Contractor's suggested resolution impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
  13. Contractor's signature.
  14. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
    - a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.
- C. RFI Forms: AIA Document G716 or Software-generated form with substantially the same content as indicated above, acceptable to Architect.
1. Attachments shall be electronic files in PDF format.
- D. Architect's Action: Architect will review each RFI, determine action required, and respond. Allow seven days for Architect's response for each RFI. RFIs received by Architect after 1:00 p.m. will be considered as received the following working day.
1. The following Contractor-generated RFIs will be returned without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for approval of Contractor's means and methods.
    - d. Requests for coordination information already indicated in the Contract Documents.
    - e. Requests for adjustments in the Contract Time or the Contract Sum.
    - f. Requests for interpretation of Architect's actions on submittals.
    - g. Incomplete RFIs or inaccurately prepared RFIs.
  2. Architect's action may include a request for additional information, in which case Architect's time for response will date from time of receipt by Architect of additional information.

3. Architect's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Section 01 26 00 "Contract Modification Procedures."
  - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within 5 days of receipt of the RFI response.
- E. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log weekly/monthly. Include the following:
  1. Project name.
  2. Name and address of Contractor.
  3. Name and address of Architect.
  4. RFI number, including RFIs that were returned without action or withdrawn.
  5. RFI description.
  6. Date the RFI was submitted.
  7. Date Architect's response was received.
  8. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
- F. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within seven days if Contractor disagrees with response.

#### 1.7 DIGITAL PROJECT MANAGEMENT PROCEDURES

- A. Architect's Data Files Not Available: Architect will not provide Architect's BIM model or CAD drawing digital data files for Contractor's use during construction. Upon request, PDFs of drawing files can be made available to awarded Contractor.
  1. Digital data files may be used by Contractor in preparing Shop Drawings, and Project Record Drawings.
  2. Architect makes no representations as to the accuracy or completeness of digital data files as they relate to Contract Drawings.
- B. PDF Document Preparation: Where PDFs are required to be submitted to Architect, prepare as follows:
  1. Assemble complete submittal package into a single indexed file, incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
  2. Name file with submittal number or other unique identifier, including revision identifier.
  3. Certifications: Where digitally submitted certificates and certifications are required, provide a digital signature with digital certificate on where indicated.

1.8 PROJECT MEETINGS

- A. General: Schedule and conduct meetings and conferences at Project site unless otherwise indicated.
1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Architect of scheduled meeting dates and times a minimum of seven days prior to meeting.
  2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
  3. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner and Architect, within three days of the meeting.
- B. Preconstruction Conference: Architect will schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Architect, but no later than 15 days after execution of the Agreement.
1. Attendees: Authorized representatives of Owner Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  2. Agenda: Discuss items of significance that could affect progress, including the following:
    - a. Responsibilities and personnel assignments.
    - b. Tentative construction schedule.
    - c. Phasing.
    - d. Critical work sequencing and long lead items.
    - e. Designation of key personnel and their duties.
    - f. Lines of communications.
    - g. Procedures for processing field decisions and Change Orders.
    - h. Procedures for RFIs.
    - i. Procedures for testing and inspecting.
    - j. Procedures for processing Applications for Payment.
    - k. Distribution of the Contract Documents.
    - l. Submittal procedures.
    - m. Preparation of Record Documents.
    - n. Use of the premises.
    - o. Work restrictions.
    - p. Working hours.
    - q. Owner's occupancy requirements.
    - r. Responsibility for temporary facilities and controls.
    - s. Procedures for moisture and mold control.
    - t. Procedures for disruptions and shutdowns.
    - u. Construction waste management and recycling.
    - v. Parking availability.
    - w. Office, work, and storage areas.

- x. Equipment deliveries and priorities.
  - y. First aid.
  - z. Security.
  - aa. Progress cleaning.
  - 3. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- C. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity when required by other Sections and when required for coordination with other construction.
- 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Architect of scheduled meeting dates.
  - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
    - a. Contract Documents.
    - b. Options.
    - c. Related RFIs.
    - d. Related Change Orders.
    - e. Purchases.
    - f. Deliveries.
    - g. Submittals.
    - h. Review of mockups.
    - i. Possible conflicts.
    - j. Compatibility requirements.
    - k. Time schedules.
    - l. Weather limitations.
    - m. Manufacturer's written instructions.
    - n. Warranty requirements.
    - o. Compatibility of materials.
    - p. Acceptability of substrates.
    - q. Temporary facilities and controls.
    - r. Space and access limitations.
    - s. Regulations of authorities having jurisdiction.
    - t. Testing and inspecting requirements.
    - u. Installation procedures.
    - v. Coordination with other work.
    - w. Required performance results.
    - x. Protection of adjacent work.
    - y. Protection of construction and personnel.
  - 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
  - 4. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
  - 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.

SECTION 01 31 00 -  
PROJECT MANAGEMENT  
AND COORDINATION

- D. Project Closeout Conference: Schedule and conduct a project closeout conference, at a time convenient to Owner and Architect, but no later than 90 days prior to the scheduled date of Substantial Completion.
1. Conduct the conference to review requirements and responsibilities related to Project closeout.
  2. Attendees: Authorized representatives of Owner, Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the meeting. Participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
  3. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:
    - a. Preparation of Record Documents.
    - b. Procedures required prior to inspection for Substantial Completion and for final inspection for acceptance.
    - c. Procedures for completing and archiving web-based Project software site data files.
    - d. Submittal of written warranties.
    - e. Requirements for preparing operations and maintenance data.
    - f. Requirements for delivery of material samples, attic stock, and spare parts.
    - g. Requirements for demonstration and training.
    - h. Preparation of Contractor's punch list.
    - i. Procedures for processing Applications for Payment at Substantial Completion and for final payment.
    - j. Submittal procedures.
    - k. Owner's partial occupancy requirements.
    - l. Installation of Owner's furniture, fixtures, and equipment (as applicable).
    - m. Responsibility for removing temporary facilities and controls.
  4. Minutes: Entity conducting meeting will record and distribute meeting minutes.
- E. Progress Meetings: Architect will conduct progress meetings at monthly intervals.
1. Coordinate dates of meetings with preparation of payment requests.
  2. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
  3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
    - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
      - 1) Review schedule for next period.



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- b. Review present and future needs of each entity present, including the following:
  - 1) Interface requirements.
  - 2) Sequence of operations.
  - 3) Status of submittals.
  - 4) Deliveries.
  - 5) Off-site fabrication.
  - 6) Access.
  - 7) Site use.
  - 8) Temporary facilities and controls.
  - 9) Progress cleaning.
  - 10) Quality and work standards.
  - 11) Status of correction of deficient items.
  - 12) Field observations.
  - 13) Status of RFIs.
  - 14) Status of Proposal Requests.
  - 15) Pending changes.
  - 16) Status of Change Orders.
  - 17) Pending claims and disputes.
  - 18) Documentation of information for payment requests.
- 4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
  - a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting, where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 31 00

SECTION 01 32 00 - CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Contractor's Construction Schedule.
  - 2. Construction schedule updating reports.
  - 3. Site condition reports.
  - 4. Unusual event reports.
- B. Related Requirements:
  - 1. Section 01 40 00 "Quality Requirements" for schedule of tests and inspections.
  - 2. Section 01 29 00 "Payment Procedures" for schedule of values and requirements for use of cost-loaded schedule for Applications for Payment.

1.3 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction Project. Activities included in a construction schedule consume time and resources.
  - 1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
  - 2. Predecessor Activity: An activity that precedes another activity in the network.
  - 3. Successor Activity: An activity that follows another activity in the network.
- B. Resource Loading: The allocation of manpower and equipment necessary for completing an activity as scheduled.

1.4 INFORMATIONAL SUBMITTALS

- A. Format for Submittals: Submit required submittals in the following format:

1. Working electronic copy of schedule file.
2. PDF file.

B. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.

C. Construction Schedule Updating Reports: Submit with Applications for Payment.

#### 1.5 COORDINATION

A. Coordinate Contractor's Construction Schedule with the schedule of values, list of subcontracts, submittal schedule, progress reports, payment requests, and other required schedules and reports.

1. Secure time commitments for performing critical elements of the Work from entities involved.
2. Coordinate each construction activity in the network with other activities, and schedule them in proper sequence.

#### 1.6 CONTRACTOR'S CONSTRUCTION SCHEDULE

A. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules.

B. Time Frame: Extend schedule from date established for the Notice to Proceed to date of Final Completion.

1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.

C. Activities: Treat each floor or separate area as a separate numbered activity for each main element of the Work. Comply with the following:

1. Activity Duration: Define activities so no activity is longer than 20 days, unless specifically allowed by Architect.
2. Temporary Facilities: Indicate start and completion dates for the following as applicable:
  - a. Securing of approvals and permits required for performance of the Work.
  - b. Temporary facilities.
  - c. Construction of mock-ups, prototypes and samples.
  - d. Owner interfaces and furnishing of items.
  - e. Interfaces with Separate Contracts.
  - f. Regulatory agency approvals.
  - g. Punch list.
3. Procurement Activities: Include procurement process activities for the following long lead-time items and major items, requiring a cycle of more than 60 days, as

separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.

- a. HVAC Package Rooftop Units .
  - b. VRF Systems
  - c. Light Fixtures
  - d. Kitchen and Bar Equipment.
4. Submittal Review Time: Include review and resubmittal times indicated in Section 01 33 00 "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's Construction Schedule with submittal schedule.
  5. Startup and Testing Time: Include no fewer than 15 days for startup and testing.
  6. Commissioning Time: Include no fewer than 15 days for commissioning.
  7. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Architect's administrative procedures necessary for certification of Substantial Completion.
  8. Punch List and Final Completion: Include not more than 30 days for completion of punch list items and Final Completion.
- D. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule, and show how the sequence of the Work is affected.
1. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
  2. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Section 01 10 00 "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
  3. Work Restrictions: Show the effect of the following items on the schedule:
    - a. Limitations of continued occupancies.
    - b. Uninterruptible services.
    - c. Use-of-premises restrictions.
    - d. Seasonal variations.
    - e. Environmental control.
  4. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
    - a. Subcontract awards.
    - b. Submittals.
    - c. Purchases.
    - d. Mockups.
    - e. Fabrication.
    - f. Sample testing.
    - g. Deliveries.
    - h. Installation.
    - i. Tests and inspections.
    - j. Adjusting.
    - k. Curing.
    - l. Startup and placement into final use and operation.
    - m. Commissioning.

- E. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.
  - 1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
  - 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
  - 3. As the Work progresses, indicate Final Completion percentage for each activity.
- F. Recovery Schedule: When periodic update indicates the Work is 14 or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, equipment required to achieve compliance, and date by which recovery will be accomplished.
- G. Distribution: Distribute copies of approved schedule to Architect Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
  - 1. Post copies in Project meeting rooms and temporary field offices.
  - 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

## 1.7 GANTT-CHART SCHEDULE REQUIREMENTS

- A. Gantt-Chart Schedule: Submit a comprehensive, fully developed, horizontal, Gantt-chart-type, Contractor's Construction Schedule within 30 days of date established for the Notice to Proceed .
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line.
  - 1. For construction activities that require three months or longer to complete, indicate an estimated completion percentage in 10 percent increments within time bar.

## 1.8 REPORTS

- A. Site Condition Reports: Immediately on discovery of a difference between site conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

SECTION 01 32 00 -  
CONSTRUCTION  
PROGRESS  
DOCUMENTATION

- B. Unusual Event Reports: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, responses by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.
1. Submit unusual event reports directly to Owner within one day(s) of an occurrence. Distribute copies of report to parties affected by the occurrence.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 32 00

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SECTION 01 32 23 – SURVEY AND LAYOUT DATA

1. GENERAL

1.1 REQUIREMENTS INCLUDE:

A. Contractor lay out the work under their contract.

1.2 RELATED REQUIREMENTS

A. Specified Elsewhere:

1. 00 31 32 - Geotechnical Data.
2. 01 10 00 - General Requirements Summary.

B. By Others:

1. Architect/Engineer will provide upon request:

- a. A topographic survey of the site, in the form of relevant AutoCad and/or Civil3D files, surveyor field notes and point data, as requested.
- b. Locations, dimensions and data pertaining to the existing:
  - 1.) Buildings.
  - 2.) Underground Obstructions.
  - 3.) Trees and Landscaping.
  - 4.) Utilities.
  - 5.) Pavements.
  - 6.) Other Improvements.
- c. Information regarding available public and private service and utility lines.
- d. Setting of survey baseline control points for horizontal and vertical control.
- e. Benchmark and temporary benchmark location, reference, and elevation of each.

1.1 QUALITY ASSURANCE:

A. Qualifications of Surveyor:

1. Five years of experience in layout of similar or more difficult complexity.
2. Licensed by the State of Illinois.



1.2 SUBMITTALS:

- A. Submit resume of surveyor for documentation purposes only.
- B. The A/E may at any time require written verification of grades, lines, and levels by a licensed surveyor as work progresses.

END OF SECTION 013223

SECTION 01 32 33 - PHOTOGRAPHIC DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for the following:
  - 1. Preconstruction photographs.
  - 2. Concealed Work photographs.
  - 3. Periodic construction photographs.
  - 4. Final Completion construction photographs.
- B. Related Requirements:
  - 1. Section 01 77 00 "Closeout Procedures" for submitting photographic documentation as Project Record Documents at Project closeout.
  - 2. Section 01 79 00 "Demonstration and Training" for submitting video recordings of demonstration of equipment and training of Owner's personnel.
  - 3. Section 31 10 00 "Site Clearing" for photographic documentation before site clearing operations commence.

1.3 INFORMATIONAL SUBMITTALS

- A. Key Plan: Submit key plan of Project site and building with notation of vantage points marked for location and direction of each photograph when photograph identification is unclear. Indicate elevation or story of construction. Include same information as corresponding photographic documentation.
- B. Digital Photographs: Submit image files within three days of monthly scheduled progress meetings.
  - 1. Submit photos on CD-ROM or thumb-drive. Include copy of key plan indicating each photograph's location and direction.
  - 2. Identification: Provide the following information with each image description:
    - a. Name of Project.
    - b. Date photograph was taken.
    - c. Description of location, vantage point, and direction.

#### 1.4 FORMATS AND MEDIA

- A. Digital Photographs: Provide color images in JPG format, produced by a digital camera with minimum sensor size of 12 megapixels, and at an image resolution of not less than 3200 by 2400 pixels. Use flash in low light levels or backlit conditions.
- B. File Names: Name media files with name of project, date, description of photograph and sequential numbering suffix.

#### 1.5 CONSTRUCTION PHOTOGRAPHS

- A. General: Take photographs with maximum depth of field and in focus.
  - 1. Maintain key plan with each set of construction photographs that identifies each photographic location.
- B. Preconstruction Photographs: Before commencement of the Work, take photographs of Project site and surrounding properties, including existing items to remain during construction, from different vantage points, as directed by Architect .
  - 1. Flag excavation areas before taking construction photographs.
  - 2. Take photographs to show existing conditions adjacent to property before starting the Work.
  - 3. Take photographs of existing buildings either on or adjoining property, to accurately record physical conditions at start of construction.
  - 4. Take additional photographs as required to record settlement or cracking of adjacent structures, pavements, and improvements.
- C. Concealed Work Photographs: Before proceeding with installing work that will conceal other work, take photographs sufficient in number, with annotated descriptions, to record nature and location of concealed Work, including, but not limited to, the following:
  - 1. Underground utilities.
  - 2. Underslab services.
  - 3. Piping.
  - 4. Electrical conduit.
  - 5. Waterproofing and weather-resistant barriers.
- D. Periodic Construction Photographs: Take photographs bi-weekly . Select vantage points to show status of construction and progress since last photographs were taken.
- E. Final Completion Construction Photographs: Take photographs after date of Substantial Completion for submission as Project Record Documents. Architect will inform photographer of desired vantage points.
- F. Additional Photographs: Architect may request photographs in addition to periodic photographs specified. .

SECTION 01 32 33 -  
PHOTOGRAPHIC  
DOCUMENTATION

1. Three days' notice will be given, where feasible.
2. In emergency situations, take additional photographs within 24 hours of request.
3. Circumstances that could require additional photographs include, but are not limited to, the following:
  - a. Special events planned at Project site.
  - b. Immediate follow-up when on-site events result in construction damage or losses.
  - c. Substantial Completion of a major phase or component of the Work.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 32 33

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SECTION 01 33 00 - SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Submittal schedule requirements.
2. Administrative and procedural requirements for submittals.

B. Related Requirements:

1. Section 01 29 00 "Payment Procedures" for submitting Applications for Payment and the schedule of values.
2. Section 01 31 00 "Project Management and Coordination" for submitting coordination drawings and subcontract list and for requirements for web-based Project software.
3. Section 01 32 00 "Construction Progress Documentation" for submitting schedules and reports, including Contractor's construction schedule.
4. Section 01 32 33 "Photographic Documentation" for submitting preconstruction photographs, periodic construction photographs, and Final Completion construction photographs.
5. Section 01 40 00 "Quality Requirements" for submitting test and inspection reports, and schedule of tests and inspections.
6. Section 01 77 00 "Closeout Procedures" for submitting closeout submittals and maintenance material submittals.
7. Section 01 78 23 "Operation and Maintenance Data" for submitting operation and maintenance manuals.
8. Section 01 78 39 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.
9. Section 01 79 00 "Demonstration and Training" for submitting video recordings of demonstration of equipment and training of Owner's personnel.

1.3 DEFINITIONS

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."

- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."

#### 1.4 SUBMITTAL FORMATS

- A. Submittal Information: Include the following information in each submittal:
  - 1. Project name.
  - 2. Date.
  - 3. Name of Architect.
  - 4. Name of Contractor.
  - 5. Name of firm or entity that prepared submittal.
  - 6. Names of subcontractor, manufacturer, and supplier.
  - 7. Unique submittal number, including revision identifier. Include Specification Section number with sequential alphanumeric identifier and alphanumeric suffix for resubmittals.
  - 8. Category and type of submittal.
  - 9. Submittal purpose and description.
  - 10. Number and title of Specification Section, with paragraph number and generic name for each of multiple items.
  - 11. Drawing number and detail references, as appropriate.
  - 12. Indication of full or partial submittal.
  - 13. Location(s) where product is to be installed, as appropriate.
  - 14. Other necessary identification.
  - 15. Remarks.
  - 16. Signature of transmitter.
- B. Options: Identify options requiring selection by Architect.
- C. Deviations and Additional Information: On each submittal, clearly indicate deviations from requirements in the Contract Documents, including minor variations and limitations; include relevant additional information and revisions, other than those requested by Architect on previous submittals. Indicate by highlighting on each submittal or noting on attached separate sheet.
- D. Electronic Submittals: Prepare submittals as PDF package, incorporating complete information into each PDF file. Name PDF file with submittal number.

#### 1.5 SUBMITTAL PROCEDURES

- A. Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
  - 1. Email: Prepare submittals as PDF package and transmit to Architect by sending via email. Include PDF transmittal form. Include information in email subject line as requested by Architect.

SECTION 01 33 00 -  
SUBMITTAL PROCEDURES

- a. Architect will return annotated file. Annotate and retain one copy of file as a digital Project Record Document file.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.
  3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
  4. Coordinate transmittal of submittals for related parts of the Work specified in different Sections, so processing will not be delayed because of need to review submittals concurrently for coordination.
    - a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- C. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
1. Initial Review: Allow 15 days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
  2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
  3. Resubmittal Review: Allow 15 days for review of each resubmittal.
  4. Sequential Review: Where sequential review of submittals by Architect's consultants, Owner, or other parties is indicated, allow 21 days for initial review of each submittal.
    - a. Sections requiring sequential review include but are not limited to the following sections: .
      - 1) Structural Engineering sections found in Divisions 03 and 05.
      - 2) Civil Engineering sections found in Divisions 01, 31, 32, 33, and 34.
      - 3) Mechanical, Electrical, Plumbing, and Fire Protection Engineering sections found in Divisions 00, 21, 22, 23, 26, 28, and 33.
- D. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
1. Note date and content of previous submittal.
  2. Note date and content of revision in label or title block, and clearly indicate extent of revision.
  3. Resubmit submittals until they are marked with approval notation from Architect's action stamp.



- E. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- F. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect's action stamp.

## 1.6 SUBMITTAL REQUIREMENTS

- A. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
  - 1. If information must be specially prepared for submittal because standard published data are unsuitable for use, submit as Shop Drawings, not as Product Data.
  - 2. Mark each copy of each submittal to show which products and options are applicable.
  - 3. Include the following information, as applicable:
    - a. Manufacturer's catalog cuts.
    - b. Manufacturer's product specifications.
    - c. Standard color charts.
    - d. Statement of compliance with specified referenced standards.
    - e. Testing by recognized testing agency.
    - f. Application of testing agency labels and seals.
    - g. Notation of coordination requirements.
  - 4. For equipment, include the following in addition to the above, as applicable:
    - a. Wiring diagrams that show factory-installed wiring.
    - b. Printed performance curves.
    - c. Operational range diagrams.
    - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.
  - 5. Submit Product Data before Shop Drawings, and before or concurrently with Samples.
- B. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data.
  - 1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Identification of products.
    - b. Schedules.
    - c. Compliance with specified standards.
    - d. Notation of coordination requirements.
    - e. Notation of dimensions established by field measurement.
    - f. Relationship and attachment to adjoining construction clearly indicated.
    - g. Seal and signature of professional engineer if specified.

- C. Samples: Submit Samples for review of type, color, pattern, and texture for a check of these characteristics with other materials.
1. Transmit Samples that contain multiple, related components, such as accessories together in one submittal package.
  2. Identification: Permanently attach label on unexposed side of Samples that includes the following:
    - a. Project name and submittal number.
    - b. Generic description of Sample.
    - c. Product name and name of manufacturer.
    - d. Sample source.
    - e. Number and title of applicable Specification Section.
    - f. Specification paragraph number and generic name of each item.
  3. Email Transmittal: Provide PDF transmittal. Include digital image file illustrating Sample characteristics and identification information for record.
  4. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
    - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
    - b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
  5. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units, showing the full range of colors, textures, and patterns available.
    - a. Number of Samples: Submit one full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return submittal with options selected.
- D. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- E. Design Data: Prepare and submit written and graphic information indicating compliance with indicated performance and design criteria in individual Specification Sections. Include list of assumptions and summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Number each page of submittal.
- F. Certificates:
1. Certificates and Certifications Submittals: Submit a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity. Provide a notarized signature where indicated.

SECTION 01 33 00 -  
SUBMITTAL PROCEDURES

2. Installer Certificates: Submit written statements on manufacturer's letterhead, certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
3. Manufacturer Certificates: Submit written statements on manufacturer's letterhead, certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
4. Material Certificates: Submit written statements on manufacturer's letterhead, certifying that material complies with requirements in the Contract Documents.
5. Product Certificates: Submit written statements on manufacturer's letterhead, certifying that product complies with requirements in the Contract Documents.
6. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of AWS B2.1/B2.1M on AWS forms. Include names of firms and personnel certified.

G. Test and Research Reports:

1. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for substrate preparation and primers required.
2. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
3. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
4. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
5. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
6. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
  - a. Name of evaluation organization.
  - b. Date of evaluation.
  - c. Time period when report is in effect.
  - d. Product and manufacturers' names.
  - e. Description of product.
  - f. Test procedures and results.
  - g. Limitations of use.

## 1.7 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are insufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF file of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

## 1.8 CONTRACTOR'S REVIEW

- A. Action Submittals and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. Contractor's Approval: Indicate Contractor's approval for each submittal with a uniform approval stamp . Include name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.
  - 1. Architect will not review submittals received from Contractor that do not have Contractor's review and approval.

## 1.9 ARCHITECT'S REVIEW

- A. Action Submittals: Architect will review each submittal, indicate corrections or revisions required , and return.
  - 1. PDF Submittals: Architect will indicate, via markup on each submittal, the appropriate action [.] , as follows:
    - a. Approved - No additional revisions required.
    - b. Approved as Noted - make corrections noted before providing.
    - c. Revise and Resubmit - make corrections noted and resubmit.
    - d. Rejected - items do not meet the specified standards. Provide submittal on product that meets the specified standard.
    - e. Note: Review and approval are only for conformance with the information given and the design concept of the Project as expressed in the Contract Documents. Review and approval of submittals are not conducted for the

SECTION 01 33 00 -  
SUBMITTAL PROCEDURES

purpose of determining the accuracy and completeness of other details, such as dimensions and quantities, or for substantiating instructions for the installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review and approval of the Contractor's submittals shall not relieve the Contractor from any obligation contained in the Contract Documents. The Architect's review and approval shall not constitute approval of any construction means, methods, techniques, sequences, or any safety precautions or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component. Approval does not authorize changes in the Contract Sum or Contract Time unless stated by Change Order or Construction Change Directive.

- B. Informational Submittals: Architect will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- C. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Architect.
- D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.
- E. Architect will return without review submittals received from sources other than Contractor.
- F. Submittals not required by the Contract Documents will be returned by Architect without action.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 33 00

SECTION 01 40 00 - QUALITY REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspection services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specific quality-assurance and quality-control requirements for individual work results are specified in their respective Specification Sections. Requirements in individual Sections may also cover production of standard products.
  - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and quality-control procedures that facilitate compliance with the Contract Document requirements.
  - 3. Requirements for Contractor to provide quality-assurance and quality-control services required by Architect, Owner, Commissioning Authority, or authorities having jurisdiction are not limited by provisions of this Section.

1.3 DEFINITIONS

- A. Experienced: When used with an entity or individual, "experienced," unless otherwise further described, means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- B. Field Quality-Control Tests and Inspections: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- C. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, subcontractor, or sub-subcontractor, to perform a particular construction operation, including installation, erection, application, assembly, and similar operations.
  - 1. Use of trade-specific terminology in referring to a Work result does not require that certain construction activities specified apply exclusively to specific trade(s).

- D. Mockups: Full-size physical assemblies that are constructed either as freestanding temporary built elements or as part of permanent construction. Mockups are constructed to verify selections made under Sample submittals; to demonstrate aesthetic effects and qualities of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
1. Integrated Exterior Mockups: Mockups of the exterior envelope constructed on-site as freestanding temporary built elements as indicated in-place portions of permanent construction, consisting of multiple products, assemblies, and subassemblies, with cutaways enabling inspection of concealed portions of the Work.
    - a. Include each system, assembly, component, and part of the exterior wall and roof to be constructed for the Project. Colors of components shall be those selected by the Architect for use in the Project.
  2. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes; doors; windows; millwork; casework; specialties; furnishings and equipment; and lighting.
  3. Product Mockups: Mockups that may include multiple products, materials, or systems specified in a single Section.
  4. In-Place Mockups: Mockups constructed on-site in their actual final location as part of permanent construction.
- E. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria. Unless otherwise indicated, copies of reports of tests or inspections performed for other than the Project do not meet this definition.
- F. Product Tests: Tests and inspections that are performed by a nationally recognized testing laboratory (NRTL) according to 29 CFR 1910.7, by a testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program (NVLAP), or by a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- G. Source Quality-Control Tests and Inspections: Tests and inspections that are performed at the source (e.g., plant, mill, factory, or shop).
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. The term "testing laboratory" shall have the same meaning as the term "testing agency."
- I. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work, to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- J. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work, to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Contractor's quality-control services do not include contract administration activities performed by Architect.

1.4 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Statement: Submit a statement signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional, indicating that the products and systems are in compliance with performance and design criteria indicated. Include list of codes, loads, and other factors used in performing these services.

1.5 CONFLICTING REQUIREMENTS

- A. Conflicting Standards and Other Requirements: If compliance with two or more standards or requirements is specified and the standards or requirements establish different or conflicting requirements for minimum quantities or quality levels, inform the Architect regarding the conflict and obtain clarification prior to proceeding with the Work. Refer conflicting requirements that are different, but apparently equal, to Architect for clarification before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

1.6 INFORMATIONAL SUBMITTALS

- A. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- B. Reports: Prepare and submit certified written reports and documents as specified.
- C. Permits, Licenses, and Certificates: For Owner's record, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents established for compliance with standards and regulations bearing on performance of the Work.



1.7 REPORTS AND DOCUMENTS

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:
1. Date of issue.
  2. Project title and number.
  3. Name, address, telephone number, and email address of testing agency.
  4. Dates and locations of samples and tests or inspections.
  5. Names of individuals making tests and inspections.
  6. Description of the Work and test and inspection method.
  7. Identification of product and Specification Section.
  8. Complete test or inspection data.
  9. Test and inspection results and an interpretation of test results.
  10. Record of temperature and weather conditions at time of sample-taking and testing and inspection.
  11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
  12. Name and signature of laboratory inspector.
  13. Recommendations on retesting and reinspecting.
- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:
1. Name, address, telephone number, and email address of technical representative making report.
  2. Statement on condition of substrates and their acceptability for installation of product.
  3. Statement that products at Project site comply with requirements.
  4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
  5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
  6. Statement of whether conditions, products, and installation will affect warranty.
  7. Other required items indicated in individual Specification Sections.
- C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:
1. Name, address, telephone number, and email address of factory-authorized service representative making report.
  2. Statement that equipment complies with requirements.
  3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
  4. Statement of whether conditions, products, and installation will affect warranty.
  5. Other required items indicated in individual Specification Sections.

1.8 QUALITY ASSURANCE

- A. Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units. As applicable, procure products from manufacturers able to meet qualification requirements, warranty requirements, and technical or factory-authorized service representative requirements.
- C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Installer Qualifications: A firm or individual experienced in installing, erecting, applying, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that is similar in material, design, and extent to those indicated for this Project.
- F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged in the activities indicated.
  - 1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. Testing and Inspecting Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspection indicated, as documented in accordance with ASTM E329, and with additional qualifications specified in individual Sections; and, where required by authorities having jurisdiction, that is acceptable to authorities.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect, demonstrate, repair, and perform service on installations of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

- J. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
1. Build mockups of size indicated.
  2. Build mockups in location indicated or, if not indicated, as directed by Architect.
  3. Notify Architect seven days in advance of dates and times when mockups will be constructed.
  4. Employ supervisory personnel who will oversee mockup construction. Employ workers who will be employed to perform same tasks during the construction at Project.
  5. Demonstrate the proposed range of aesthetic effects and workmanship.
  6. Obtain Architect's approval of mockups before starting corresponding Work, fabrication, or construction.
    - a. Allow seven days for initial review and each re-review of each mockup.
  7. Promptly correct unsatisfactory conditions noted by Architect's preliminary review, to the satisfaction of the Architect, before completion of final mockup.
  8. Approval of mockups by the Architect does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  9. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
  10. Demolish and remove mockups when directed unless otherwise indicated.
- K. Integrated Exterior Mockups: Construct integrated exterior mockup according to approved Shop Drawings and as indicated on Drawings. Coordinate installation of exterior envelope materials and products for which mockups are required in individual Specification Sections, along with supporting materials. Comply with requirements in "Mockups" Paragraph.
1. Coordinate construction of the mockup to allow observation of air barrier installation, flashings, air barrier integration with fenestration systems, and other portions of the building air/moisture barrier and drainage assemblies, prior to installation of veneer, cladding elements, and other components that will obscure the work.

## 1.9 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspection they are engaged to perform.
  2. Costs for retesting and reinspecting construction that replaces or is necessitated by Work that failed to comply with the Contract Documents will be charged to Contractor.

SECTION 01 40 00 -  
QUALITY REQUIREMENTS

- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Perform additional quality-control activities, whether specified or not, to verify and document that the Work complies with requirements.
1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
  2. Engage a qualified testing agency to perform quality-control services.
  3. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspection will be performed.
  4. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
  5. Testing and inspection requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
  6. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Retesting/Reinspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- D. Testing Agency Responsibilities: Cooperate with Architect and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
1. Notify Architect and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  2. Determine the locations from which test samples will be taken and in which in-situ tests are conducted.
  3. Conduct and interpret tests and inspections, and state in each report whether tested and inspected Work complies with or deviates from requirements.
  4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
  5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
  6. Do not perform duties of Contractor.
- E. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Section 01 33 00 "Submittal Procedures."
- F. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.

- G. Contractor's Associated Requirements and Services: Cooperate with agencies and representatives performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
1. Access to the Work.
  2. Incidental labor and facilities necessary to facilitate tests and inspections.
  3. Adequate quantities of representative samples of materials that require testing and inspection. Assist agency in obtaining samples.
  4. Facilities for storage and field curing of test samples.
  5. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  6. Security and protection for samples and for testing and inspection equipment at Project site.
- H. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and quality-control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspection.
1. Schedule times for tests, inspections, obtaining samples, and similar activities.

#### 1.10 SPECIAL TESTS AND INSPECTIONS

- A. Special Tests and Inspections: Owner will engage a qualified testing agency to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, and as follows:
1. Notifying Architect and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  2. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect with copy to Contractor and to authorities having jurisdiction.
  3. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
  4. Interpreting tests and inspections, and stating in each report whether tested and inspected Work complies with or deviates from the Contract Documents.
  5. Retesting and reinspecting corrected Work.

#### PART 2 - PRODUCTS (Not Used)

#### PART 3 - EXECUTION

##### 3.1 TEST AND INSPECTION LOG

- A. Test and Inspection Log: Prepare a record of tests and inspections. Include the following:

SECTION 01 40 00 -  
QUALITY REQUIREMENTS

1. Date test or inspection was conducted.
  2. Description of the Work tested or inspected.
  3. Date test or inspection results were transmitted to Architect.
  4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and revisions as they occur. Provide access to test and inspection log for Architect's and authorities' having jurisdiction reference during normal working hours.
1. Submit log at Project closeout as part of Project Record Documents.

3.2 REPAIR AND PROTECTION

- A. General: On completion of testing, inspection, sample-taking, and similar services, repair damaged construction and restore substrates and finishes.
1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Section 01 73 00 "Execution."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION 01 40 00

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SECTION 01 40 01 – STRUCTURAL SPECIAL INSPECTIONS

PART 1 - GENERAL

1.1 WORK INCLUDES

- A. The Contractor shall engage a qualified testing agency in accordance with Specification Section 014000. The Contractor shall coordinate special inspections and tests.
- B. Special Inspections and Tests of Structural Components in conformance with the requirements of the 2018 International Building Code Chapter 17 “Special Inspections and Tests” including the following components:
  - 1. Steel Construction.
    - a) Section 05 12 00 “Structural Steel Framing”
    - b) Section 05 21 00 “Steel Joist Framing”
    - c) Section 05 31 00 “Steel Decking”
  - 2. Concrete Construction
    - a) Section 03 30 00 “Cast-In-Place Concrete”
  - 3. Masonry Construction
    - a) Section 04 22 00 “Structural Concrete Unit Masonry”
  - 4. Soils.
    - a) Section 31 20 00 “Earthwork For Structures”
- B. Refer to the Schedule of Special Inspections for specific requirements for this project.
- C. Where related sections require inspections and testing that varies from the testing included in “Structural Special Inspections”, the testing for those sections shall be performed in addition to testing required for Structural Special Inspections.
- D. Additional testing inspection required due to nonconforming material and workmanship shall be provided at the expense of the responsible contractor.

1.2 RELATED WORK

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Other related specification sections include, but are not limited to the following:
  - 1. Division 01 Section “Quality Requirements”
  - 2. Division 03 Section “Cast-In-Place Concrete”
  - 3. Division 04 Section “Structural Unit Masonry”
  - 4. Division 05 Section “Structural Steel Framing”
  - 5. Division 05 Section “Steel Joist Framing”
  - 6. Division 05 Section “Steel Decking”



7. Division 31 Section "Earthwork for Structures"

1.3 DEFINITIONS AND REQUIREMENTS

- A. Special Inspection: Inspection of construction requiring the expertise of an approved *special inspector* in order to ensure compliance with the International Building Code and the Approved Construction Documents.
  - 1. Continuous Special Inspection: Special inspection by the *special inspector* who is present when and where the work is to be inspected is being performed.
  - 2. Periodic Special Inspection: Special inspection by the *special inspector* who is intermittently present where the work to be inspected has been or is being performed.
- B. Special Inspector: A qualified person employed or retained by an approved agency and approved by the building official as having the competence necessary to inspect a particular type of construction requiring *special inspection*.
- C. Authority Having Jurisdiction (AHJ): An organization, office, or individual responsible for enforcing the requirements of a code or standard, or for approving equipment, materials, an installation, or a procedure.

1.4 SUBMITTALS

- A. Refer to Specification Section 01 40 00 "Quality Requirements" for additional submittal requirements.
- B. Structural Special Test and Inspection Reports: The structural inspection agency shall submit reports of special inspections and tests to the architect, structural engineer and contractor for review and subsequent submission to the AHJ.
  - 1. The structural special inspection agency shall keep records of special inspections and tests.
- C. Statement of Responsibility: The Contractor and each sub-contractor responsible for the construction of a lateral-force-resisting system or components noted in the schedule of special inspections shall submit a written statement of responsibility to the architect, structural engineer and contractor for review and subsequent submission to the AHJ prior to the commencement of work on the system or component.
  - 1. Each statement of responsibility shall contain acknowledgement of the awareness of the special requirements contained in the statement of special inspection.
- D. Certificates of Compliance:
  - 1. Provide Certificates of compliance per applicable building code:

SECTION 01 40 01 -  
SPECIAL STRUCTURAL INSPECTIONS

- a. Structural Steel Fabricator: At the completion of structural steel fabrication, fabricator shall submit a certificate of compliance stating that the structural steel fabrication was performed in accordance with the requirements of the approved construction documents.
- b. Structural Steel Erector: At the completion of structural steel erection, erector shall submit a certificate of compliance stating that the structural steel erection was performed in accordance with the requirements of the approved construction documents.
- c. Steel Joist Manufacturer: At the completion of steel joist manufacturing, manufacturer shall submit a certificate of compliance stating that the steel joist manufacture was performed in accordance with the requirements of the approved construction documents.

1.5 NONCONFORMING MATERIAL AND WORKMANSHIP

- A. The structural special inspection agency shall notify the contractor of nonconforming construction or work for correction. If discrepancies are not corrected the architect and structural engineer shall be notified.
- B. Nonconformance reports and reports of repair, replacement, or acceptance of nonconforming items shall be submitted by the structural special inspection agency to the architect, structural engineer and contractor for review and subsequent submission to the AHJ.
- C. Nonconformance items shall be brought into conformance at no cost to the owner.

PART 2 - PRODUCTS

2.1 (NOT USED)

PART 3 - EXECUTION

3.1 TEST AND INSPECTION LOG

- A. Refer to Statement of Structural Special Inspections and Schedule of Structural Special Inspections at the end of this specification section.
  - 1. This shall be submitted by the contractor to the AHJ as part of the permit application process.
- B. Refer to the Final Report of Structural Special Inspections at the end of this specification section. This shall be prepared by the Structural Special Inspection Agency at the completion of special structural inspections for submission to the architect, structural engineer and general contractor for review and subsequent submission to the AHJ.

### 3.2 ACCESS

- A. The construction or work for which special inspections or testing is required shall remain accessible and exposed for special inspection or testing purposes until the completion of the required special inspections.
- B. The contractor shall provide access to the work. Construction or work shall remain accessible and exposed for inspection purposes until approved. Approval as a result of an inspection shall not be construed to be an approval of a violation of the provisions of the IBC or the contract documents. Inspections presuming to give authority to violate or cancel the provisions of this code or of other ordinances of the jurisdiction shall not be valid. The contractor is responsible for any expense entailed in the removal or replacement of any material required to allow inspection.

### 3.3 FREQUENCY

- A. Continuous Special Inspections – The full-time observation of work requiring special inspection by an approved special inspector who is present in the area where work is being performed.
- B. Periodic Special Inspections – The part-time or intermittent observation of work requiring special inspection by an approved special inspector who is present in the area where the work has been or is being performed and at the completion of work.
  - 1. Unless otherwise indicated periodic special inspections shall be performed on a random basis. A minimum of 10% of associated elements (connections, members, work etc. scheduled to be inspected periodically) shall be inspected. The elements selected are to be representative of all of the elements that are scheduled to be inspected periodically within an inspection task or test. No fewer than 1 element of each inspection task or test shall be observed or tested as required for special inspections.

### 3.4 CONCRETE CONSTRUCTION – 03 30 00 CAST-IN-PLACE CONCRETE

- A. Special inspections and tests of concrete construction shall be performed in accordance with IBC requirements.
  - a. Inspection tasks indicated to be observed on a Periodic basis shall be completed at a frequency as indicated for “Periodic Special Inspections” described above.
- B. Testing Services: Testing of composite samples of fresh concrete obtained according to ASTM C 172 shall be performed according to the following requirements:
  - 1. Testing Frequency: Obtain one composite sample for each day's pour of each class of concrete, plus one set for each additional 50 cu. yd. or fraction thereof.

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2. Slump: ASTM C 143; one test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mix. Perform additional tests when concrete consistency appears to change.
  3. Air Content: ASTM C 231, pressure method, for normal-weight concrete; ASTM C 173, volumetric method, for structural lightweight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mix.
  4. Concrete Temperature: ASTM C 1064; one test hourly when air temperature is 40 deg F and below and when 80 deg F and above, and one test for each composite sample.
  5. Unit Weight: ASTM C 567, fresh unit weight of structural lightweight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mix.
  6. Compression Test Specimens: ASTM C 31/C 31M; cast and laboratory cure one set of four standard cylinder specimens for each composite sample.
    - a. Cast and field cure two standard cylinders for each composite sample.
- C. When strength of field-cured cylinders is less than 85 percent of companion laboratory-cured cylinders, Contractor shall evaluate operations and provide corrective procedures for protecting and curing in-place concrete.
- D. Strength of each concrete mix will be satisfactory if every average of any three consecutive compressive-strength tests equals or exceeds specified compressive strength and no compressive-strength test value falls below specified compressive strength by more than 500 psi.
- E. Test results shall be reported in writing to Architect/Engineer, concrete manufacturer, and Contractor within 48 hours of testing. Reports of compressive-strength tests shall contain Project identification name and number, date of concrete placement, name of concrete testing and inspecting agency, location of concrete batch in Work, design compressive strength at 28 days, concrete mix proportions and materials, compressive breaking strength, and type of break for both 7-and 28-day tests.
- F. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by Architect/Engineer will not be used as sole basis for approval or rejection of concrete.
- G. Additional Tests: Testing and inspecting agency shall make additional tests of concrete when test results indicate that slump, air entrainment, compressive strengths, or other requirements have not been met, as directed by Architect/Engineer. Testing and inspecting agency may conduct tests to determine adequacy of concrete by cored cylinders complying with ASTM C 42/C 42M or by other methods as directed by Architect/Engineer.
- H. Inspections:
1. Steel reinforcement placement.
  2. Headed bolts and studs.
  3. Verification of use of required design mixture.

SECTION 01 40 01 -  
SPECIAL STRUCTURAL INSPECTIONS

4. Curing procedures and maintenance of curing temperature.
5. Verification of concrete strength before removal of shores and forms from beams and slabs.

- I. Measure floor and slab flatness and levelness according to ASTM E 1155 within 48 hours of finishing.

3.5 MASONRY CONSTRUCTION - 04 22 00 STRUCTURAL CONCRETE UNIT  
MASONRY

- A. Special inspections shall be completed in accordance with the IBC section 1705.4 and with the quality assurance program requirements of TMS 402/ACI 530/ASCE 5 and TMS 602/ACI 530.1/ASCE 6.

- B. Special inspection of masonry construction shall comply with Level B Quality Assurance. Refer to Table 3.1.2 Level B Quality Assurance of TMS 402/ACI 530/ASCE 5.

1. Inspection tasks indicated to be performed at a periodic frequency shall be completed at a frequency as indicated for "Periodic Special Inspections" described above.

- C. Qualifications

1. The testing agency performing masonry special inspection / testing shall comply with the requirements of ASTM C1093.

- D. Inspections: Level B special inspections according to in TMS 402/ACI 530/ASCE 5.

1. Begin masonry construction only after inspectors have verified proportions of site-prepared mortar.
2. Place grout only after inspectors have verified compliance of grout spaces and of grades, sizes, and locations of reinforcement.
3. Place grout only after inspectors have verified proportions of site-prepared grout.

- E. Testing Frequency: One set of tests for each 5000 sq. ft. of wall area or portion thereof.

- F. Concrete Masonry Unit Test: For each type of unit provided, according to ASTM C 140 for compressive strength.

- G. Mortar Aggregate Ratio Test (Proportion Specification): For each mix provided, according to ASTM C 780.

3.6 STEEL CONSTRUCTION – 05 12 00 STRUCTURAL STEEL

- A. Special inspections and nondestructive testing of structural steel elements in buildings, structures and portions thereof shall be in accordance with the quality assurance

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SPECIAL STRUCTURAL INSPECTIONS

inspection requirements of the IBC and AISC 360 (Refer to AISC 360, Chapter N – Quality Control and Quality Assurance).

1. Exemption: Quality Assurance (QA) inspections performed by the structural special inspection agency may be waived when approved by the AHJ and the work is performed by a certified fabricator (AISC Certified Building Fabricator, Category BU) and a certified erector (AISC Certified Building Erector, Category CSEA). These inspection and tests shall be performed as part of the fabricator's and erector's certification program.
- B. Bolted Connections: Bolted connections shall be tested and inspected according to RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts."
- C. Welded Connections: Field welds shall be visually inspected according to AWS D1.1/D1.1M.
  1. In addition to visual inspection, field welds shall be tested and inspected according to AWS D1.1 and the following inspection procedures, at structural special inspection agency's option:
    - a. Liquid Penetrant Inspection: ASTM E 165.
    - b. Magnetic Particle Inspection: ASTM E 709; performed on root pass and on finished weld. Cracks or zones of incomplete fusion or penetration will not be accepted.
    - c. Ultrasonic Inspection: ASTM E 164.
    - d. Radiographic Inspection: ASTM E 94.
- D. Refer to Schedule of Structural Special Inspections at the end of this specification section.

3.7 STEEL CONSTRUCTION – 05 31 00 STEEL DECKING

- A. Special inspections and qualification of welding special inspectors for steel decking shall be in accordance with the IBC, SDI QA/QC - 2017 "Standard for Quality Control and Quality Assurance for Installation of Steel Deck".
  1. Exemption: Quality Assurance (QA) inspections performed by the structural special inspection agency may be waived when approved by the AHJ and the work is performed by a certified erector (AISC Certified Metal Deck Erector, Category MEE). These inspection and tests shall be performed as part of the erector's certification program.
- B. Refer to Schedule of Structural Special Inspections at the end of this specification section.

3.8 STEEL CONSTRUCTION – 05 21 00 STEEL JOIST FRAMING

- A. Special inspections of steel joist shall be in accordance with the IBC.

SECTION 01 40 01 -  
SPECIAL STRUCTURAL INSPECTIONS

1. Exemption: Quality Assurance (QA) inspections performed by the structural special inspection agency may be waived when approved by the AHJ and the work is performed by a certified manufacturer (SJI Certified Manufacturer) and certified erector (AISC Certified Building Erector, Category CSEA). These inspection and tests shall be performed as part of the manufacturer's and erector's certification program.
  - B. Field welds shall be visually inspected according to AWS D1.1.
  - C. In addition to visual inspection, field welds shall be tested according to AWS D1.1 and the following procedures, as applicable:
    1. Radiographic Testing: ASTM E 94.
    2. Magnetic Particle Inspection: ASTM E 709.
    3. Ultrasonic Testing: ASTM E 164.
    4. Liquid Penetrant Inspection: ASTM E 165.
  - D. High-strength, field-bolted connections shall be tested and verified according to procedures in RCSC's "Specification for Structural Joints Using ASTM A 325 or ASTM A 490 Bolts."
  - E. Correct deficiencies in Work that test and inspection reports have indicated are not in compliance with specified requirements.
  - F. Additional testing will be performed to determine compliance of corrected Work with specified requirements.
  - G. Refer to Schedule of Structural Special Inspections at the end of this specification section.
- 3.9 SOILS – 31 20 00 EARTHWORK FOR STRUCTURES
- A. Special inspections shall be completed in accordance with the IBC.
    1. Inspection tasks indicated to be performed at a periodic frequency shall be completed at a frequency as indicated for "Periodic Special Inspections" described above.
  - B. Allow testing agency to inspect and test subgrades and each fill or backfill layer. Proceed with subsequent earth moving only after test results for previously completed work comply with requirements.
  - C. Footing Subgrade: At footing subgrades, at least one test of each soil stratum will be performed to verify design bearing capacities. Subsequent verification and approval of other footing subgrades may be based on a visual comparison of subgrade with tested subgrade when approved by Architect/Engineer.

SECTION 01 40 01 -  
SPECIAL STRUCTURAL INSPECTIONS

- D. Testing agency will test compaction of soils in place according to ASTM D 1556, ASTM D 2167, ASTM D 2922, and ASTM D 2937, as applicable. Tests will be performed at the following locations and frequencies:
1. Building Slab Areas: At subgrade and at each compacted fill and backfill layer, at least one test for every 2000 sq. ft. or less of paved area or building slab, but in no case fewer than three tests.
  2. Foundation Wall Backfill: At each compacted backfill layer, at least one test for every 100 feet or less of wall length, but no fewer than two tests.
  3. Trench Backfill: At each compacted initial and final backfill layer, at least one test for every 150 feet or less of trench length, but no fewer than two tests.
  4. When testing agency reports that subgrades, fills, or backfills have not achieved degree of compaction specified, scarify and moisten or aerate, or remove and replace soil materials to depth required; recompact and retest until specified compaction is obtained.

END OF SECTION 01 40 00



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SECTION 01 42 00 - REFERENCES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Unload, temporarily store, unpack, assemble, erect, place, anchor, apply, work to dimension, finish, cure, protect, clean, and similar operations at Project site.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

1.3 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and

effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.

- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
  - 1. For standards referenced by applicable building codes, comply with dates of standards as listed in building codes.

#### 1.4 ABBREVIATIONS AND ACRONYMS

- A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities indicated in Gale's "Encyclopedia of Associations: National Organizations of the U.S." or in Columbia Books' "National Trade & Professional Associations of the United States."
- B. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is believed to be accurate as of the date of the Contract Documents.
  - 1. IAPMO - International Association of Plumbing and Mechanical Officials; [www.iapmo.org](http://www.iapmo.org).
  - 2. ICC - International Code Council; [www.iccsafe.org](http://www.iccsafe.org).
  - 3. ICC-ES - ICC Evaluation Service, LLC; [www.icc-es.org](http://www.icc-es.org).
- C. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Information is subject to change and is up to date as of the date of the Contract Documents.
  - 1. COE - Army Corps of Engineers; [www.usace.army.mil](http://www.usace.army.mil).
  - 2. CPSC - Consumer Product Safety Commission; [www.cpsc.gov](http://www.cpsc.gov).
  - 3. DOC - Department of Commerce; National Institute of Standards and Technology; [www.nist.gov](http://www.nist.gov).
  - 4. DOD - Department of Defense; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
  - 5. DOE - Department of Energy; [www.energy.gov](http://www.energy.gov).
  - 6. EPA - Environmental Protection Agency; [www.epa.gov](http://www.epa.gov).
  - 7. FAA - Federal Aviation Administration; [www.faa.gov](http://www.faa.gov).
  - 8. FG - Federal Government Publications; [www.gpo.gov/fdsys](http://www.gpo.gov/fdsys).
  - 9. GSA - General Services Administration; [www.gsa.gov](http://www.gsa.gov).
  - 10. HUD - Department of Housing and Urban Development; [www.hud.gov](http://www.hud.gov).
  - 11. LBL - Lawrence Berkeley National Laboratory; Environmental Energy Technologies Division; [www.eetd.lbl.gov](http://www.eetd.lbl.gov).
  - 12. OSHA - Occupational Safety & Health Administration; [www.osha.gov](http://www.osha.gov).
  - 13. SD - Department of State; [www.state.gov](http://www.state.gov).
  - 14. TRB - Transportation Research Board; National Cooperative Highway Research Program; The National Academies; [www.trb.org](http://www.trb.org).

15. USDA - Department of Agriculture; Agriculture Research Service; U.S. Salinity Laboratory; [www.ars.usda.gov](http://www.ars.usda.gov).
16. USDA - Department of Agriculture; Rural Utilities Service; [www.usda.gov](http://www.usda.gov).
17. USDOJ - Department of Justice; Office of Justice Programs; National Institute of Justice; [www.ojp.usdoj.gov](http://www.ojp.usdoj.gov).
18. USP - U.S. Pharmacopeial Convention; [www.usp.org](http://www.usp.org).
19. USPS - United States Postal Service; [www.usps.com](http://www.usps.com).

D. Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.

1. CFR - Code of Federal Regulations; Available from Government Printing Office; [www.govinfo.gov](http://www.govinfo.gov).
2. DOD - Department of Defense; Military Specifications and Standards; Available from DLA Document Services; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
3. DSCC - Defense Supply Center Columbus; (See FS).
4. FED-STD - Federal Standard; (See FS).
5. FS - Federal Specification; Available from DLA Document Services; [www.quicksearch.dla.mil](http://www.quicksearch.dla.mil).
  - a. Available from Defense Standardization Program; [www.dsp.dla.mil](http://www.dsp.dla.mil).
  - b. Available from General Services Administration; [www.gsa.gov](http://www.gsa.gov).
  - c. Available from National Institute of Building Sciences/Whole Building Design Guide; [www.wbdg.org](http://www.wbdg.org).
6. MILSPEC - Military Specification and Standards; (See DOD).
7. USAB - United States Access Board; [www.access-board.gov](http://www.access-board.gov).
8. USATBCB - U.S. Architectural & Transportation Barriers Compliance Board; (See USAB).

E. State Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. This information is subject to change and is believed to be accurate as of the date of the Contract Documents.

1. CBHF; State of California; Department of Consumer Affairs; Bureau of Electronic and Appliance Repair, Home Furnishings and Thermal Insulation; [www.bearhfti.ca.gov](http://www.bearhfti.ca.gov).
2. CCR; California Code of Regulations; Office of Administrative Law; California Title 24 Energy Code; [www.calregs.com](http://www.calregs.com).
3. CDHS; California Department of Health Services; (See CDPH).
4. CDPH; California Department of Public Health; Indoor Air Quality Program; [www.cal-iaq.org](http://www.cal-iaq.org).
5. CPUC; California Public Utilities Commission; [www.cpuc.ca.gov](http://www.cpuc.ca.gov).
6. SCAQMD; South Coast Air Quality Management District; [www.aqmd.gov](http://www.aqmd.gov).
7. TFS; Texas A&M Forest Service; Sustainable Forestry and Economic Development; [www.txforestservicetamu.edu](http://www.txforestservicetamu.edu).

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 42 00

## SECTION 01 43 39 - MOCKUPS

## PART 1 - GENERAL

## 1.1 SUMMARY

## A. Section Includes:

1. Integrated exterior mockups.
2. Preconstruction laboratory mockups.
3. Room mockups.

## B. Related Requirements:

1. Section 014000 "Quality Requirements" for quality assurance requirements for aesthetic and workmanship mockups specified in other Sections.

## 1.2 DEFINITIONS

- A. Integrated Exterior Mockups: Mockups of the exterior envelope constructed on-site as freestanding temporary built elements, consisting of multiple products, assemblies, and subassemblies.
- B. Preconstruction Laboratory Mockups: Integrated exterior mockups constructed at testing facility to verify performance characteristics.
- C. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes; doors; windows; millwork; casework; specialties; furnishings and equipment; and lighting as indicated.

## 1.3 PREINSTALLATION MEETINGS

## A. Preinstallation Conference: Conduct conference at Project site.

1. Meet with Owner, Architect, testing and inspecting agency representative, and installers of major systems whose Work is included in integrated exterior, preconstruction laboratory, and room mockups.
2. Review coordination of equipment and furnishings provided by the Owner for room mockups.
3. Review locations and extent of mockups.
4. Review testing procedures to be performed on mockups.
5. Review and finalize schedule for mockups, and verify availability of materials, personnel, equipment, and facilities needed to complete mockups and testing and maintain schedule for the Work.

## 1.4 QUALITY ASSURANCE

- A. Preconstruction Laboratory Mockup Testing Agency Qualifications: Qualified in accordance with ASTM E699 for testing indicated and accredited by IAS or ILAC Mutual Recognition Arrangement as complying with ISO/IEC 17025.
- B. Testing Agency Qualifications: Qualified in accordance with ASTM E699 for testing indicated and accredited by IAS or ILAC Mutual Recognition Arrangement as complying with ISO/IEC 17025 and acceptable to Owner and Architect.
- C. Build mockups to do the following:
  - 1. Verify selections made under Sample submittals.
  - 2. Demonstrate aesthetic effects.
  - 3. Demonstrate the qualities of products and workmanship.
  - 4. Demonstrate acceptable coordination between components and systems.
  - 5. Perform preconstruction testing, such as window air- and water-leakage testing.
- D. Fabrication: Before fabricating or installing portions of the Work requiring mockups, build mockups for each form of construction and finish required. Use materials and installation methods as required for the Work.
  - 1. Build mockups of size indicated.
  - 2. Build mockups in location indicated or, if not indicated, as directed by Architect.
  - 3. Employ supervisory personnel who will oversee mockup construction. Employ workers who will be employed to perform same tasks during the construction at Project.
  - 4. Demonstrate the proposed range of aesthetic effects and workmanship.
  - 5. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
  - 6. Demolish and remove mockups when directed unless otherwise indicated.
- E. Notifications:
  - 1. Notify Architect seven days in advance of the dates and times when mockups will be constructed.
  - 2. Notify Architect 14 days in advance of the dates and times when mockups will be tested.
  - 3. Allow seven days for initial review and each re-review of each mockup.
- F. Approval: Obtain Architect's approval of mockups before starting fabrication or construction of corresponding Work.
  - 1. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

## 1.5 COORDINATION

- A. Coordinate schedule for construction of mockups, so construction, testing, and review of mockups do not impact Project schedule.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design support structure for free-standing mockups.
- B. Structural Performance:
  1. Seismic Performance: Mockups and support structure to withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
  2. Wind Loads: As indicated on Drawings.

### 2.2 INTEGRATED EXTERIOR MOCKUPS

- A. Construct integrated exterior mockups as indicated on Drawings. Construct mockups to demonstrate constructability, coordination of trades, and sequencing of Work; and to ensure materials, components, subassemblies, assemblies, and interfaces integrate into a system complying with indicated performance and aesthetic requirements.
- B. Design and construct foundation and superstructure to support free-standing integrated exterior mockups.
- C. Build integrated exterior mockups using installers and construction methods that will be used in completed construction.
- D. Use specified products that have been approved by Architect. Coordinate installation of materials and products specified in individual Specification Sections that include Work included in integrated exterior mockups.
- E. The Work of integrated exterior mockups includes, but is not limited to, the following:
  1. Cold-formed metal framing and sheathing.
  2. Air and weather barriers.
  3. Thermal insulation.
  4. Through-wall flashing.
  5. Flashing and sheet metal trim.
  6. Joint sealants.
  7. Metal wall panels.
  8. Aluminum-framed entrances and storefront.
  9. Glazing.
- F. Photographic Documentation: Document construction of integrated exterior mockups



with photographs in accordance with Section 013233 "Photographic Documentation." Provide photographs showing details of interface of different materials and assemblies.

1. Document testing procedures, including water leakage and other deficiencies. Photograph modifications to component interfaces intended to correct deficiencies.
- G. Provide and document modifications to construction details and interfaces between components and systems required to properly sequence the Work, or to pass performance testing requirements. Obtain Architect's approval for modifications.
- H. Retain approved mockups constructed in place. Incorporate fully into the Work.

### 2.3 ROOM MOCKUPS

- A. Build room mockups as indicated on Drawings to evaluate constructability, demonstrate the coordination of trades and sequencing of Work, and to demonstrate aesthetic requirements. Include each visible finish, component, and equipment item within room mockups; include operable lighting.
- B. The Work of room mockups includes, but is not limited to, the following:
  1. Millwork and casework.
  2. Doors and frames.
  3. Access doors and frames.
  4. Glazing.
  5. Gypsum board.
  6. Ceramic tiling.
  7. Acoustical ceilings.
  8. Resilient flooring.
  9. Painting.
  10. Registers and grilles.
  11. Wiring devices.
  12. Lighting.

### PART 3 - EXECUTION

END OF SECTION 01 43 39

SECTION 01 50 00 - TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
- B. Related Requirements:
  - 1. Section 01 10 00 "Summary" for work restrictions and limitations on utility interruptions.

1.3 USE CHARGES

- A. Water and Sewer Service from Existing System: Water from Owner's existing water system is available for use without metering and without payment of use charges. Provide connections and extensions of services and metering as required for construction operations. Contractor to practice conservation as applicable.
- B. Electric Power Service from Existing System: Electric power from Owner's existing system is available for use without metering and without payment of use charges. Provide connections and extensions of services and metering as required for construction operations. Contractor to practice conservation as applicable.

1.4 INFORMATIONAL SUBMITTALS

- A. Site Utilization Plan: Show temporary facilities, temporary utility lines and connections, staging areas, construction site entrances, vehicle circulation, and parking areas for construction personnel.
- B. Implementation and Termination Schedule: Within 15 days of date established for commencement of the Work, submit schedule indicating implementation and termination dates of each temporary utility.

- C. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.
- D. Moisture- and Mold-Protection Plan: Describe procedures and controls for protecting materials and construction from water absorption and damage and mold. Describe delivery, handling, storage, installation, and protection provisions for materials subject to water absorption or water damage.
  - 1. Indicate procedures for discarding water-damaged materials, protocols for mitigating water intrusion into completed Work, and requirements for replacing water-damaged Work.
  - 2. Indicate sequencing of work that requires water, such as sprayed fire-resistive materials, plastering, and terrazzo grinding, and describe plans for dealing with water from these operations. Show procedures for verifying that wet construction has dried sufficiently to permit installation of finish materials.
  - 3. Indicate methods to be used to avoid trapping water in finished work.

#### 1.5 QUALITY ASSURANCE

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.

#### 1.6 PROJECT CONDITIONS

- A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

### PART 2 - PRODUCTS

#### 2.1 MATERIALS

- A. Portable Chain-Link Fencing: Minimum **2-inch, 0.148-inch-** thick, galvanized-steel, chain-link fabric fencing; minimum **6 feet** high with galvanized-steel pipe posts; minimum **2-3/8-inch-** OD line posts and **2-7/8-inch-** OD corner and pull posts, with **1-5/8-inch-** OD top and bottom rails. Provide galvanized-steel bases for supporting posts.
- B. Polyethylene Sheet: Reinforced, fire-resistive sheet, **10-mil** minimum thickness, with flame-spread rating of 15 or less in accordance with ASTM E84 and passing NFPA 701 Test Method 2.

- C. Dust-Control Adhesive-Surface Walk-Off Mats: Provide mats, minimum **36 by 60 inches**.
- D. Insulation: Unfaced mineral-fiber blanket, manufactured from glass, slag wool, or rock wool; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively.

## 2.2 TEMPORARY FACILITIES

- A. Field Offices: Prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading.
- B. Common-Use Field Office: Of sufficient size to accommodate needs of Owner, Architect, and construction personnel office activities and to accommodate Project meetings specified in other Division 01 Sections. Keep office clean and orderly. Furnish and equip offices as follows:
  - 1. Furniture required for Project-site documents, including file cabinets, plan tables, plan racks, and bookcases.
  - 2. Conference room of sufficient size to accommodate meetings of 10 individuals. Provide electrical power service and 120-V ac duplex receptacles, with no fewer than one receptacle on each wall. Furnish room with conference table, chairs, and **4-foot-** square tack and marker boards.
  - 3. Drinking water and private toilet.
  - 4. Heating and cooling equipment necessary to maintain a uniform indoor temperature of **68 to 72 deg F**.
  - 5. Lighting fixtures capable of maintaining average illumination of **20 fc** at desk height.
- C. Storage and Fabrication Sheds: Provide sheds sized, furnished, and equipped to accommodate materials and equipment for construction operations.
  - 1. Store combustible materials apart from building.

## 2.3 EQUIPMENT

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
  - 1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
  - 2. Heating, Cooling, and Dehumidifying Units: Listed and labeled for type of fuel being consumed, by a qualified testing agency acceptable to authorities having jurisdiction, and marked for intended location and application.

3. Permanent HVAC System: If Owner authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 8 at each return-air grille in system and remove at end of construction [.] and clean HVAC system as required in Section 01 77 00 "Closeout Procedures."
- C. Air-Filtration Units: Primary and secondary HEPA-filter-equipped portable units with four-stage filtration. Provide single switch for emergency shutoff. Configure to run continuously.

## PART 3 - EXECUTION

### 3.1 TEMPORARY FACILITIES, GENERAL

- A. Conservation: Coordinate construction and use of temporary facilities with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.
  1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. See other Sections for disposition of salvaged materials that are designated as Owner's property.

### 3.2 INSTALLATION, GENERAL

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
  1. Locate facilities to limit site disturbance as specified in Section 01 10 00 "Summary."
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

### 3.3 TEMPORARY UTILITY INSTALLATION

- A. General: Install temporary service or connect to existing service.
  1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.
- B. Water Service: Install water service and distribution piping in sizes and pressures adequate for construction.
- C. Sanitary Facilities: Provide temporary toilets, wash facilities, safety shower and eyewash facilities, and drinking water for use of construction personnel. Comply with

SECTION 01 50 00 -  
TEMPORARY FACILITIES  
AND CONTROLS

requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities.

1. Use of Permanent Toilets: Use of Owner's existing or new toilet facilities is not permitted .
- D. Temporary Heating and Cooling: Provide temporary heating and cooling required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed.
1. Provide temporary dehumidification systems when required to reduce ambient and substrate moisture levels to level required to allow installation or application of finishes and their proper curing or drying.
- E. Electric Power Service: Provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations.
1. Install electric power service as directed by A/E.
  2. Connect temporary service to Owner's existing power source, as directed by Owner.
- F. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.
- G. Telephone Service: Provide temporary telephone service in common-use facilities for use by all construction personnel. Install one land-based telephone line(s) for each field office.
1. At each telephone, post a list of important telephone numbers.
    - a. Police and fire departments.
    - b. Ambulance service.
    - c. Contractor's home office.
    - d. Contractor's emergency after-hours telephone number.
    - e. Architect's office.
    - f. .
    - g. Engineers' offices.
    - h. Owner's office.
    - i. Principal subcontractors' field and home offices.
- H. Electronic Communication Service: Provide secure WiFi wireless connection to internet with provisions for access by Architect and Owner.

3.4 SUPPORT FACILITIES INSTALLATION

- A. Comply with the following:
1. Provide construction for temporary field offices, shops, and sheds located within construction area or within **30 feet** of building lines that is noncombustible in accordance with ASTM E136. Comply with NFPA 241.
  2. Maintain support facilities until Architect schedules Substantial Completion inspection. Remove before Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to Owner.
- B. Temporary Roads and Paved Areas: Construct and maintain temporary roads and paved areas adequate for construction operations. Locate temporary roads and paved areas as indicated on Drawings.
1. Provide dust-control treatment that is nonpolluting and nontracking. Reapply treatment as required to minimize dust.
- C. Temporary Use of Planned Permanent Roads and Paved Areas: Locate temporary roads and paved areas in same location as permanent roads and paved areas. Construct and maintain temporary roads and paved areas adequate for construction operations. Extend temporary roads and paved areas, within construction limits indicated, as necessary for construction operations.
1. Coordinate elevations of temporary roads and paved areas with permanent roads and paved areas.
  2. Prepare subgrade and install subbase and base for temporary roads and paved areas in accordance with Section 31 20 00 "Earth Moving."
  3. Delay installation of final course of permanent hot-mix asphalt pavement until immediately before Substantial Completion. Repair hot-mix asphalt base-course pavement before installation of final course in accordance with Section 32 12 16 "Asphalt Paving."
- D. Traffic Controls: Comply with requirements of authorities having jurisdiction.
1. Protect existing site improvements to remain, including curbs, pavement, and utilities.
  2. Maintain access for fire-fighting equipment and access to fire hydrants.
- E. Parking: Use designated areas of Owner's existing parking areas for construction personnel.
- F. Storage and Staging: Use designated areas of Project site for storage and staging needs.
- G. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.

SECTION 01 50 00 -  
TEMPORARY FACILITIES  
AND CONTROLS

1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties or endanger permanent Work or temporary facilities.
  2. Remove snow and ice as required to minimize accumulations.
- H. Project Signs: Provide Project signs as indicated. Unauthorized signs are not permitted.
1. Identification Signs: Provide Project identification signs as indicated on Drawings.
  2. Temporary Signs: Provide other signs as indicated and as required to inform public and individuals seeking entrance to Project.
    - a. Provide temporary, directional signs for construction personnel and visitors.
  3. Maintain and touch up signs, so they are legible at all times.
- I. Waste Disposal Facilities: Comply with requirements specified in Section 01 74 19 "Construction Waste Management and Disposal."
- J. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.

3.5 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
1. Where access to adjacent properties is required in order to affect protection of existing facilities, obtain written permission from adjacent property owner to access property for that purpose.
- B. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
1. Comply with work restrictions specified in Section 01 10 00 "Summary."
- C. Temporary Erosion and Sedimentation Control: Comply with requirements of EPA Construction General Permit or authorities having jurisdiction, whichever is more stringent and requirements specified in Section 31 10 00 "Site Clearing."
- D. Stormwater Control: Comply with requirements of authorities having jurisdiction. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.
- E. Tree and Plant Protection: Comply with requirements specified in Section 01 56 39 "Temporary Tree and Plant Protection."



SECTION 01 50 00 -  
TEMPORARY FACILITIES  
AND CONTROLS

- F. Pest Control: Engage pest-control service to recommend practices to minimize attraction and harboring of rodents, roaches, and other pests and to perform extermination and control procedures at regular intervals, so Project will be free of pests and their residues at Substantial Completion. Perform control operations lawfully, using materials approved by authorities having jurisdiction.
- G. Site Enclosure Fence: Before construction operations begin , furnish and install site enclosure fence in a manner that will prevent people from easily entering site except by entrance gates.
  - 1. Extent of Fence: As indicated on Drawings.
  - 2. Maintain security by limiting number of keys and restricting distribution to authorized personnel. Furnish one set of keys to Owner.
- H. Security Enclosure and Lockup: Install temporary enclosure around partially completed areas of construction. Provide lockable entrances to prevent unauthorized entrance, vandalism, theft, and similar violations of security. Lock entrances at end of each workday.
- I. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
- J. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.
  - 1. Where heating or cooling is needed and permanent enclosure is incomplete, insulate temporary enclosures.
- K. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.
  - 1. Prohibit smoking in construction areas. Comply with additional limits on smoking specified in other Sections.
  - 2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition in accordance with requirements of authorities having jurisdiction.
  - 3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.
  - 4. Provide temporary standpipes and hoses for fire protection. Hang hoses with a warning sign, stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.

3.6 MOISTURE AND MOLD CONTROL

- A. Moisture and Mold Protection: Protect stored materials and installed Work in accordance with Moisture and Mold Protection Plan.
- B. Exposed Construction Period: Before installation of weather barriers, when materials are subject to wetting and exposure and to airborne mold spores, protect as follows:
1. Protect porous materials from water damage.
  2. Protect stored and installed material from flowing or standing water.
  3. Keep porous and organic materials from coming into prolonged contact with concrete.
  4. Remove standing water from decks.
  5. Keep deck openings covered or dammed.
- C. Partially Enclosed Construction Period: After installation of weather barriers but before full enclosure and conditioning of building, when installed materials are still subject to infiltration of moisture and ambient mold spores, protect as follows:
1. Do not load or install drywall or other porous materials or components, or items with high organic content, into partially enclosed building.
  2. Keep interior spaces reasonably clean and protected from water damage.
  3. Periodically collect and remove waste containing cellulose or other organic matter.
  4. Discard or replace water-damaged material.
  5. Do not install material that is wet.
  6. Discard and replace stored or installed material that begins to grow mold.
  7. Perform work in a sequence that allows wet materials adequate time to dry before enclosing the material in gypsum board or other interior finishes.
- D. Controlled Construction Period: After completing and sealing of the building enclosure but prior to the full operation of permanent HVAC systems, maintain as follows:
1. Control moisture and humidity inside building by maintaining effective dry-in conditions.
  2. Use temporary or permanent HVAC system to control humidity within ranges specified for installed and stored materials.
  3. Comply with manufacturer's written instructions for temperature, relative humidity, and exposure to water limits.
    - a. Hygroscopic materials that may support mold growth, including wood and gypsum-based products, that become wet during the course of construction and remain wet for 48 hours are considered defective and require replacing.
    - b. Measure moisture content of materials that have been exposed to moisture during construction operations or after installation. Record readings beginning at time of exposure and continuing daily for 48 hours. Identify materials containing moisture levels higher than allowed. Report findings in writing to Architect.
    - c. Remove and replace materials that cannot be completely restored to their manufactured moisture level within 48 hours.

3.7 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
  - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
  - 1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.
  - 2. Remove temporary roads and paved areas not intended for or acceptable for integration into permanent construction. Where area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace street paving, curbs, and sidewalks at temporary entrances, as required by authorities having jurisdiction.
  - 3. At Substantial Completion, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Section 01 77 00 "Closeout Procedures."

END OF SECTION 01 50 00

SECTION 01 60 00 - PRODUCT REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.
- B. Related Requirements:
  - 1. Section 01 10 00 "Summary" for Contractor requirements related to Owner-furnished products.
  - 2. Section 01 23 00 "Alternates" for products selected under an alternate.
  - 3. Section 01 25 00 "Substitution Procedures" for requests for substitutions.
  - 4. Section 01 42 00 "References" for applicable industry standards for products specified.
  - 5. Section 01770 "Closeout Procedures" for submitting warranties.

1.3 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility. Salvaged items or items reused from other projects are not considered new products. Items that are manufactured or fabricated to include recycled content materials are considered new products, unless indicated otherwise.
  - 3. Comparable Product: Product by named manufacturer that is demonstrated and approved through the comparable product submittal process described in Part 2 "Comparable Products" Article, to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.

- B. Basis-of-Design Product Specification: A specification in which a single manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation. Published attributes and characteristics of basis-of-design product establish salient characteristics of products.
- C. Subject to Compliance with Requirements: Where the phrase "Subject to compliance with requirements" introduces a product selection procedure in an individual Specification Section, provide products qualified under the specified product procedure. In the event that a named product or product by a named manufacturer does not meet the other requirements of the specifications, select another named product or product from another named manufacturer that does meet the requirements of the specifications; submit a comparable product request or substitution request, if applicable.
- D. Comparable Product Request Submittal: An action submittal requesting consideration of a comparable product, including the following information:
  - 1. Identification of basis-of-design product or fabrication or installation method to be replaced, including Specification Section number and title and Drawing numbers and titles.
  - 2. Data indicating compliance with the requirements specified in Part 2 "Comparable Products" Article.
- E. Basis-of-Design Product Specification Submittal: An action submittal complying with requirements in Section 01 33 00 "Submittal Procedures."
- F. Substitution: Refer to Section 01 25 00 "Substitution Procedures" for definition and limitations on substitutions.

#### 1.4 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
  - 1. Resolution of Compatibility Disputes between Multiple Contractors:
    - a. Contractors are responsible for providing products and construction methods compatible with products and construction methods of other contractors.
    - b. If a dispute arises between the multiple contractors over concurrently selectable but incompatible products, Architect will determine which products shall be used.
- B. Identification of Products: Except for required labels and operating data, do not attach or imprint manufacturer or product names or trademarks on exposed surfaces of products or equipment that will be exposed to view in occupied spaces or on the exterior.

1. Labels: Locate required product labels and stamps on a concealed surface, or, where required for observation following installation, on a visually accessible surface that is not conspicuous.
2. Equipment Nameplates: Provide a permanent nameplate on each item of service- or power-operated equipment. Locate on a visually accessible but inconspicuous surface. Include information essential for operation, including the following:
  - a. Name of product and manufacturer.
  - b. Model and serial number.
  - c. Capacity.
  - d. Speed.
  - e. Ratings.
3. See individual identification Sections in Divisions 21, 22, 23, and 26 for additional equipment identification requirements.

#### 1.5 COORDINATION

- A. Modify or adjust affected work as necessary to integrate work of approved comparable products and approved substitutions.

#### 1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products, using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.

- B. Delivery and Handling:

1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
4. Inspect products on delivery to determine compliance with the Contract Documents and that products are undamaged and properly protected.

- C. Storage:

1. Provide a secure location and enclosure at Project site for storage of materials and equipment.
2. Store products to allow for inspection and measurement of quantity or counting of units.
3. Store materials in a manner that will not endanger Project structure.
4. Store products that are subject to damage by the elements under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation and with adequate protection from wind.

5. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
6. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
7. Protect stored products from damage and liquids from freezing.

## 1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
  1. Manufacturer's Warranty: Written standard warranty form furnished by individual manufacturer for a particular product and issued in the name of the Owner or endorsed by manufacturer to Owner.
  2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner and issued in the name of the Owner or endorsed by manufacturer to Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
  1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  2. Specified Form: When specified forms are included in the Project Manual, prepare a written document, using indicated form properly executed.
  3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time: Comply with requirements in Section 01 77 00 "Closeout Procedures."

## PART 2 - PRODUCTS

### 2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
  1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  3. Owner reserves the right to limit selection to products with warranties meeting requirements of the Contract Documents.

4. Where products are accompanied by the term "as selected," Architect will make selection.
5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.

B. Product Selection Procedures:

1. Sole Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
  - a. Sole product may be indicated by the phrase "Subject to compliance with requirements, provide the following."
2. Sole Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
  - a. Sole manufacturer/source may be indicated by the phrase "Subject to compliance with requirements, provide products by the following."
3. Limited List of Products: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
  - a. Limited list of products may be indicated by the phrase "Subject to compliance with requirements, provide one of the following."
4. Limited List of Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered unless otherwise indicated.
  - a. Limited list of manufacturers is indicated by the phrase "Subject to compliance with requirements, provide products by one of the following."
5. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications may additionally indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.
  - a. For approval of products by unnamed manufacturers, comply with requirements in Section 01 25 00 "Substitution Procedures" for substitutions for convenience.

## 2.2 COMPARABLE PRODUCTS

- A. Conditions for Consideration of Comparable Products: Architect will consider Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Architect may return requests without action, except to record noncompliance with the following requirements:



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PRODUCT REQUIREMENTS

1. Evidence that proposed product does not require revisions to the Contract Documents, is consistent with the Contract Documents, will produce the indicated results, and is compatible with other portions of the Work.
  2. Detailed comparison of significant qualities of proposed product with those of the named basis-of-design product. Significant product qualities include attributes, such as type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other specific features and requirements.
  3. Evidence that proposed product provides specified warranty.
  4. List of similar installations for completed projects, with project names and addresses and names and addresses of architects and owners, if requested.
  5. Samples, if requested.
- B. Architect's Action on Comparable Products Submittal: If necessary, Architect will request additional information or documentation for evaluation, as specified in Section 01 33 00 "Submittal Procedures."
1. Form of Approval of Submittal: As specified in Section 01 33 00 "Submittal Procedures."
  2. Use product specified if Architect does not issue a decision on use of a comparable product request within time allocated.
- C. Submittal Requirements, Two-Step Process: Approval by the Architect of Contractor's request for use of comparable product is not intended to satisfy other submittal requirements. Comply with specified submittal requirements.

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 60 00

SECTION 01 73 00 - EXECUTION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work, including, but not limited to, the following:
  - 1. Construction layout.
  - 2. Field engineering and surveying.
  - 3. Installation of the Work.
  - 4. Cutting and patching.
  - 5. Progress cleaning.
  - 6. Starting and adjusting.
  - 7. Protection of installed construction.

1.3 DEFINITIONS

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of subsequent work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of subsequent work.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For land surveyor .
- B. Cutting and Patching Plan: Submit plan describing procedures at least 10 days prior to the time cutting and patching will be performed. Include the following information:
  - 1. Extent: Describe reason for and extent of each occurrence of cutting and patching.
  - 2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
  - 3. Products: List products to be used for patching and firms or entities that will perform patching work.

4. Dates: Indicate when cutting and patching will be performed.

## 1.5 QUALITY ASSURANCE

- A. Land Surveyor Qualifications: A professional land surveyor who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing land-surveying services of the kind indicated.
- B. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
  1. Structural Elements: When cutting and patching structural elements, or when encountering the need for cutting and patching of elements whose structural function is not known, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection.
  2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety. Operational elements include the following:
    - a. Primary operational systems and equipment.
    - b. Fire separation assemblies.
    - c. Air or smoke barriers.
    - d. Fire-suppression systems.
    - e. Plumbing piping systems.
    - f. Mechanical systems piping and ducts.
    - g. Control systems.
    - h. Communication systems.
    - i. Fire-detection and -alarm systems.
    - j. Electrical wiring systems.
    - k. Operating systems of special construction.
  3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Other construction elements include but are not limited to the following:
    - a. Water, moisture, or vapor barriers.
    - b. Membranes and flashings.
    - c. Exterior curtain-wall construction.
    - d. Sprayed fire-resistive material.
    - e. Equipment supports.
    - f. Piping, ductwork, vessels, and equipment.
    - g. Noise- and vibration-control elements and systems.
  4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.

- C. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of specified products and equipment.

## PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials. Use materials that are not considered hazardous.
- C. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, and other construction affecting the Work.
  - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, gas service piping, and water-service piping; underground electrical services; and other utilities.
  - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
  - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  - 2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.

3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:
1. Description of the Work, including Specification Section number and paragraph, and Drawing sheet number and detail, where applicable.
  2. List of detrimental conditions, including substrates.
  3. List of unacceptable installation tolerances.
  4. Recommended corrections.
- D. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

### 3.2 PREPARATION

- A. Existing Utility Information: Furnish information to local utility that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents, submit a request for information to Architect in accordance with requirements in Section 01 31 00 "Project Management and Coordination."

### 3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks and existing conditions. If discrepancies are discovered, notify Architect promptly.
- B. Engage a land surveyor experienced in laying out the Work, using the following accepted surveying practices:
1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
  2. Establish limits on use of Project site.

3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
  4. Inform installers of lines and levels to which they must comply.
  5. Check the location, level and plumb, of every major element as the Work progresses.
  6. Notify Architect when deviations from required lines and levels exceed allowable tolerances.
  7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.
- D. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- E. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

### 3.4 FIELD ENGINEERING

- A. Identification: Owner will identify existing benchmarks, control points, and property corners.
- B. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
1. Do not change or relocate existing benchmarks or control points without prior written approval of Architect. Report lost or destroyed permanent benchmarks or control points promptly. Report the need to relocate permanent benchmarks or control points to Architect before proceeding.
  2. Replace lost or destroyed permanent benchmarks and control points promptly. Base replacements on the original survey control points.

### 3.5 INSTALLATION

- A. Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
1. Make vertical work plumb, and make horizontal work level.
  2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.

4. Maintain minimum headroom clearance of **96 inches** in occupied spaces and **90 inches** in unoccupied spaces, unless otherwise indicated on Drawings.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure satisfactory results as judged by Architect. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations, so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy of type expected for Project.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on-site and placement in permanent locations.
- F. Tools and Equipment: Select tools or equipment that minimize production of excessive noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for Work specified to be factory prepared and field installed. Check Shop Drawings of other portions of the Work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions with manufacturer.
  1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  2. Allow for building movement, including thermal expansion and contraction.
  3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed Work are not indicated, arrange joints for the best visual effect, as judged by Architect. Fit exposed connections together to form hairline joints.
- J. Repair or remove and replace damaged, defective, or nonconforming Work.
  1. Comply with Section 01 77 00 "Closeout Procedures" for repairing or removing and replacing defective Work.

### 3.6 CUTTING AND PATCHING

- A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of Work to be cut.
- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- E. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
  - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  - 6. Proceed with patching after construction operations requiring cutting are complete.
- F. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as practicable, as judged by Architect. Provide materials and comply with installation requirements specified in other Sections, where applicable.
  - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
  - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.



- a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
  - b. Restore damaged pipe covering to its original condition.
  3. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
  4. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
- G. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

### 3.7 PROGRESS CLEANING

- A. Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
  3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
    - a. Use containers intended for holding waste materials of type to be stored.
  4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where Work is in progress to the level of cleanliness necessary for proper execution of the Work.
1. Remove liquid spills promptly.
  2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.

- G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 01 74 19 "Construction Waste Management and Disposal."
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J. Limiting Exposures: Supervise construction operations to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

### 3.8 STARTING AND ADJUSTING

- A. Coordinate startup and adjusting of equipment and operating components with requirements in Section 01 91 13 "General Commissioning Requirements."
- B. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- C. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- D. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- E. Manufacturer's Field Service: Comply with qualification requirements in Section 01 40 00 "Quality Requirements."

### 3.9 PROTECTION AND REPAIR OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Repair Work previously completed and subsequently damaged during construction period. Repair to like-new condition.
- C. Comply with manufacturer's written instructions for temperature and relative humidity.

END OF SECTION 01 73 00

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SECTION 01 74 19 - CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for the following:
  - 1. Recycling nonhazardous construction waste.
  - 2. Disposing of nonhazardous construction waste.

1.3 DEFINITIONS

- A. Construction Waste: Building, structure, and site improvement materials and other solid waste resulting from construction, remodeling, renovation, or repair operations. Construction waste includes packaging.
- B. Disposal: Removal of demolition or construction waste and subsequent salvage, sale, recycling, or deposit in landfill, incinerator acceptable to authorities having jurisdiction, or designated spoil areas on Owner's property.
- C. Recycle: Recovery of demolition or construction waste for subsequent processing in preparation for reuse.

1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition and construction waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
  - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

1.5 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with transportation and disposal regulations of authorities having jurisdiction.

PART 2 - PRODUCTS

PART 3 - EXECUTION

3.1 RECYCLING CONSTRUCTION WASTE, GENERAL

- A. General: Recycle paper and beverage containers used by on-site workers to the greatest extent possible.
- B. Recycling Incentives: Revenues, savings, rebates, tax credits, and other incentives received for recycling waste materials shall accrue to Contractor .
- C. Preparation of Waste: Prepare and maintain recyclable waste materials according to recycling or reuse facility requirements. Maintain materials free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process.

3.2 RECYCLING CONSTRUCTION WASTE

- A. Packaging:
  - 1. Cardboard and Boxes: Break down packaging into flat sheets. Bundle and store in a dry location.
  - 2. Polystyrene Packaging: Separate and bag materials.
- B. Paint: Seal containers and store by type.
- C. Recycle to greatest extent possible.

3.3 DISPOSAL OF WASTE

- A. General: Except for items or materials to be salvaged or recycled, remove waste materials from Project site and legally dispose of them in a landfill or incinerator acceptable to authorities having jurisdiction.
  - 1. Except as otherwise specified, do not allow waste materials that are to be disposed of accumulate on-site.
  - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.

SECTION 01 74 19 -  
CONSTRUCTION WASTE  
MANAGEMENT AND  
DISPOSAL

B. Burning: Do not burn waste materials.

END OF SECTION 01 74 19

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SECTION 01 77 00 - CLOSEOUT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for Contract closeout, including, but not limited to, the following:
  - 1. Substantial Completion procedures.
  - 2. Final completion procedures.
  - 3. Warranties.
  - 4. Final cleaning.
- B. Related Requirements:
  - 1. Section 01 29 00 "Payment Procedures" for requirements for Applications for Payment for Substantial Completion and Final Completion.
  - 2. Section 01 32 33 "Photographic Documentation" for submitting Final Completion construction photographic documentation.
  - 3. Section 01 78 23 "Operation and Maintenance Data" for additional operation and maintenance manual requirements.
  - 4. Section 01 78 39 "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.
  - 5. Section 01 79 00 "Demonstration and Training" for requirements to train the Owner's maintenance personnel to adjust, operate, and maintain products, equipment, and systems.

1.3 DEFINITIONS

- A. List of Incomplete Items: Contractor-prepared list of items to be completed or corrected, prepared for the Architect's use prior to Architect's inspection, to determine if the Work is substantially complete.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of cleaning agent.
- B. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.



- C. Certified List of Incomplete Items: Final submittal at Final Completion.

#### 1.5 CLOSEOUT SUBMITTALS

- A. Certificates of Release: From authorities having jurisdiction.
- B. Certificate of Insurance: For continuing coverage.
- C. Field Report: For pest-control inspection.

#### 1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Schedule of Maintenance Material Items: For maintenance material submittal items required by other Sections.

#### 1.7 SUBSTANTIAL COMPLETION PROCEDURES

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's "punch list"), indicating the value of each item on the list and reasons why the Work is incomplete.
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction, permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 2. Submit closeout submittals specified in other Division 01 Sections, including Project Record Documents, operation and maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
  - 3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Architect. Label with manufacturer's name and model number.
    - a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Architect's signature for receipt of submittals.
  - 5. Submit testing, adjusting, and balancing records.
  - 6. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.

- C. Procedures Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
1. Advise Owner of pending insurance changeover requirements.
  2. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
  3. Complete startup and testing of systems and equipment.
  4. Perform preventive maintenance on equipment used prior to Substantial Completion.
  5. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings specified in Section 01 79 00 "Demonstration and Training."
  6. Advise Owner of changeover in utility services.
  7. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
  8. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
  9. Complete final cleaning requirements.
  10. Touch up paint and otherwise repair and restore marred exposed finishes to eliminate visual defects.
- D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of 10 days prior to date the Work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.
1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
  2. Results of completed inspection will form the basis of requirements for Final Completion.

## 1.8 FINAL COMPLETION PROCEDURES

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining Final Completion, complete the following:
1. Submit a final Application for Payment in accordance with Section 01 29 00 "Payment Procedures."
  2. Certified List of Incomplete Items: Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
  3. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements.
  4. Submit pest-control final inspection report.
  5. Submit Final Completion photographic documentation.

- B. Inspection: Submit a written request for final inspection to determine acceptance a minimum of 10 days prior to date the Work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
  - 1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

#### 1.9 LIST OF INCOMPLETE ITEMS

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
  - 1. Organize list of spaces in sequential order, starting with exterior areas first , listed by room or space number.
  - 2. Organize items applying to each space by major element, including categories for ceilings, individual walls, floors, equipment, and building systems.
  - 3. Include the following information at the top of each page:
    - a. Project name.
    - b. Date.
    - c. Name of Architect.
    - d. Name of Contractor.
    - e. Page number.
  - 4. Submit list of incomplete items in the following format:
    - a. PDF Electronic File: Architect will return annotated file.

#### 1.10 SUBMITTAL OF PROJECT WARRANTIES

- A. Time of Submittal: Submit written warranties on request of Architect for designated portions of the Work where warranties are indicated to commence on dates other than date of Substantial Completion, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Partial Occupancy: Submit properly executed warranties within 15 days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.
- C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
- D. Warranty Electronic File: Provide warranties and bonds in PDF format. Assemble complete warranty and bond submittal package into a single electronic PDF file with bookmarks enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
  - 1. Submit on flash drive. Provide two (2) flash drives.

- E. Warranties in Paper Form (Provide one (1) hard copy):
1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
  2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
  3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
- F. Provide additional copies of each warranty to include in operation and maintenance manuals.

## PART 2 - PRODUCTS

### 2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

## PART 3 - EXECUTION

### 3.1 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:
    - a. Clean Project site of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Rake grounds that are not planted, mulched, or paved to a smooth, even-textured surface.
    - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - e. Remove snow and ice to provide safe access to building.

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- f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
  - g. Remove debris and surface dust from limited-access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
  - h. Clean flooring, removing debris, dirt, and staining; clean according to manufacturer's recommendations.
  - i. Vacuum and mop concrete.
  - j. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
  - k. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.
  - l. Remove labels that are not permanent.
  - m. Wipe surfaces of mechanical and electrical equipment and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
  - n. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
  - o. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
  - p. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter on inspection.
  - q. Clean luminaires, lamps, globes, and reflectors to function with full efficiency.
  - r. Clean strainers.
  - s. Leave Project clean and ready for occupancy.
- C. Pest Control: Comply with pest control requirements in Section 01 50 00 "Temporary Facilities and Controls." Prepare written report.
- D. Construction Waste Disposal: Comply with waste-disposal requirements in Section 01 74 19 "Construction Waste Management and Disposal."

3.2 REPAIR OF THE WORK

- A. Complete repair and restoration operations required by Section 01 73 00 "Execution" before requesting inspection for determination of Substantial Completion.

END OF SECTION 01 77 00

SECTION 01 78 23 - OPERATION AND MAINTENANCE DATA

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory manuals.
  - 2. Emergency manuals.
  - 3. Systems and equipment operation manuals.
  - 4. Systems and equipment maintenance manuals.
  - 5. Product maintenance manuals.
- B. Related Requirements:
  - 1. Section 01 33 00 "Submittal Procedures" for submitting copies of submittals for operation and maintenance manuals.
  - 2. Section 01 91 13 "General Commissioning Requirements" for verification and compilation of data into operation and maintenance manuals.

1.3 DEFINITIONS

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

1.4 CLOSEOUT SUBMITTALS

- A. Submit operation and maintenance manuals indicated. Provide content for each manual as specified in individual Specification Sections, and as reviewed and approved at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
  - 1. Architect will comment on whether content of operation and maintenance submittals is acceptable.

2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operation and maintenance manuals in the following format:
1. Submit on digital media acceptable to Architect. Enable reviewer comments on draft submittals. For final submittal, provide content on two (2) flash drives.
  2. Submit one paper copies. Architect will submit one copy to Owner. copies.
- C. Initial Manual Submittal: Submit draft copy of each manual at least 30 days before commencing demonstration and training. Architect will comment on whether general scope and content of manual are acceptable.
- D. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least 15 days before commencing demonstration and training. Architect will return copy with comments.
1. Correct or revise each manual to comply with Architect's comments. Submit copies of each corrected manual within 15 days of receipt of Architect's comments and prior to commencing demonstration and training.
- E. Comply with Section 01 77 00 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

#### 1.5 FORMAT OF OPERATION AND MAINTENANCE MANUALS

- A. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
  2. File Names and Bookmarks: Bookmark individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.
- B. Manuals, Paper Copy: Submit manuals in the form of hard-copy, bound and labeled volumes.
1. Binders: Heavy-duty, three-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold **8-1/2-by-11-inch** paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
    - a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related

components. Cross-reference other binders if necessary to provide essential information for proper operation or maintenance of equipment or system.

- b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents, and indicate Specification Section number on bottom of spine. Indicate volume number for multiple-volume sets.
  2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section of the manual. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
  3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software storage media for computerized electronic equipment. Enclose title pages and directories in clear plastic sleeves.
  4. Supplementary Prepared on 8-1/2-by-11-inch white bond paper.
  5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
    - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
    - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.
- C. Final Submittal: Provide one (1) paper copy and electronic files on two (2) flash drives.

## 1.6 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Organization of Manuals: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:

1. Title page.
2. Table of contents.
3. Manual contents.

- B. Title Page: Include the following information:

1. Subject matter included in manual.
2. Name and address of Project.
3. Name and address of Owner.
4. Date of submittal.
5. Name and contact information for Contractor.
6. Name and contact information for Architect.
7. Name and contact information for Commissioning Authority.
8. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
9. Cross-reference to related systems in other operation and maintenance manuals.



- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
- D. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

## 1.7 EMERGENCY MANUALS

- A. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- B. Content: Organize manual into a separate section for each of the following:
  - 1. Type of emergency.
  - 2. Emergency instructions.
  - 3. Emergency procedures.
- C. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:
  - 1. Fire.
  - 2. Flood.
  - 3. Gas leak.
  - 4. Water leak.
  - 5. Power failure.
  - 6. Water outage.
  - 7. System, subsystem, or equipment failure.
  - 8. Chemical release or spill.
- D. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- E. Emergency Procedures: Include the following, as applicable:
  - 1. Instructions on stopping.
  - 2. Shutdown instructions for each type of emergency.
  - 3. Operating instructions for conditions outside normal operating limits.
  - 4. Required sequences for electric or electronic systems.
  - 5. Special operating instructions and procedures.

1.8 SYSTEMS AND EQUIPMENT OPERATION MANUALS

- A. Systems and Equipment Operation Manual: Assemble a complete set of data indicating operation of each system, subsystem, and piece of equipment not part of a system. Include information required for daily operation and management, operating standards, and routine and special operating procedures.
1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
  2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- B. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:
1. System, subsystem, and equipment descriptions. Use designations for systems and equipment indicated on Contract Documents.
  2. Performance and design criteria if Contractor has delegated design responsibility.
  3. Operating standards.
  4. Operating procedures.
  5. Operating logs.
  6. Wiring diagrams.
  7. Control diagrams.
  8. Piped system diagrams.
  9. Precautions against improper use.
  10. License requirements including inspection and renewal dates.
- C. Descriptions: Include the following:
1. Product name and model number. Use designations for products indicated on Contract Documents.
  2. Manufacturer's name.
  3. Equipment identification with serial number of each component.
  4. Equipment function.
  5. Operating characteristics.
  6. Limiting conditions.
  7. Performance curves.
  8. Engineering data and tests.
  9. Complete nomenclature and number of replacement parts.
- D. Operating Procedures: Include the following, as applicable:
1. Startup procedures.
  2. Equipment or system break-in procedures.
  3. Routine and normal operating instructions.
  4. Regulation and control procedures.
  5. Instructions on stopping.
  6. Normal shutdown instructions.

7. Seasonal and weekend operating instructions.
8. Required sequences for electric or electronic systems.
9. Special operating instructions and procedures.

- E. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- F. Piped Systems: Diagram piping as installed, and identify color coding where required for identification.

#### 1.9 SYSTEMS AND EQUIPMENT MAINTENANCE MANUALS

- A. Systems and Equipment Maintenance Manuals: Assemble a complete set of data indicating maintenance of each system, subsystem, and piece of equipment not part of a system. Include manufacturers' maintenance documentation, preventive maintenance procedures and frequency, repair procedures, wiring and systems diagrams, lists of spare parts, and warranty information.
  1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.
  2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.
- B. Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranties and bonds as described below.
- C. Source Information: List each system, subsystem, and piece of equipment included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- D. Manufacturers' Maintenance Documentation: Include the following information for each component part or piece of equipment:
  1. Standard maintenance instructions and bulletins; include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
    - a. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.

2. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement, and assembly.
  3. Identification and nomenclature of parts and components.
  4. List of items recommended to be stocked as spare parts.
- E. Maintenance Procedures: Include the following information and items that detail essential maintenance procedures:
1. Test and inspection instructions.
  2. Troubleshooting guide.
  3. Precautions against improper maintenance.
  4. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  5. Aligning, adjusting, and checking instructions.
  6. Demonstration and training video recording, if available.
- F. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
1. Scheduled Maintenance and Service: Tabulate actions for daily, weekly, monthly, quarterly, semiannual, and annual frequencies.
  2. Maintenance and Service Record: Include manufacturers' forms for recording maintenance.
- G. Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
- H. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- I. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
1. Include procedures to follow and required notifications for warranty claims.
- J. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in record Drawings to ensure correct illustration of completed installation.
1. Do not use original project record documents as part of maintenance manuals.
- 1.10 PRODUCT MAINTENANCE MANUALS
- A. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.

SECTION 01 78 23 -  
OPERATION AND  
MAINTENANCE DATA

- B. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- C. Source Information: List each product included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual and drawing or schedule designation or identifier where applicable.
- D. Product Information: Include the following, as applicable:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Color, pattern, and texture.
  - 4. Material and chemical composition.
  - 5. Reordering information for specially manufactured products.
- E. Maintenance Procedures: Include manufacturer's written recommendations and the following:
  - 1. Inspection procedures.
  - 2. Types of cleaning agents to be used and methods of cleaning.
  - 3. List of cleaning agents and methods of cleaning detrimental to product.
  - 4. Schedule for routine cleaning and maintenance.
  - 5. Repair instructions.
- F. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- G. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
  - 1. Include procedures to follow and required notifications for warranty claims.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 78 23

SECTION 01 78 39 - PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for Project Record Documents, including the following:
1. Record Drawings.
  2. Record specifications.
  3. Record Product Data.
  4. Miscellaneous record submittals.
- B. Related Requirements:
1. Section 01 77 00 "Closeout Procedures" for general closeout procedures.
  2. Section 01 78 23 "Operation and Maintenance Data" for operation and maintenance manual requirements.

1.3 CLOSEOUT SUBMITTALS

- A. Record Drawings: Comply with the following:
1. Number of Copies: Submit one set(s) of marked-up record prints. Submit electronic files on two (2) flash drives.
  2. Number of Copies: Submit copies of Record Drawings as follows:
    - a. Initial Submittal:
      - 1) Submit one paper-copy set(s) of marked-up record prints.
      - 2) Architect will indicate whether general scope of changes, additional information recorded, and quality of drafting are acceptable.
    - b. Final Submittal:
      - 1) Submit one paper-copy set(s) of marked-up record prints.
      - 2) Submit PDF electronic files of scanned Record Prints on two (2) flash drives.
      - 3) Print each drawing, whether or not changes and additional information were recorded.

- B. Record Specifications: Submit annotated PDF electronic files and one paper copies of Project's Specifications, including addenda and Contract modifications.
  - 1. Provide electronic files on two (2) flash drives.
- C. Record Product Data: Submit annotated PDF electronic files and directories and one paper copies of each submittal.
  - 1. Provide electronic files on two (2) flash drives.

#### 1.4 RECORD DRAWINGS

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
  - 1. Preparation: Mark record prints to show the actual installation, where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
    - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
    - b. Accurately record information in an acceptable drawing technique.
    - c. Record data as soon as possible after obtaining it.
    - d. Record and check the markup before enclosing concealed installations.
    - e. Cross-reference record prints to corresponding photographic documentation.
  - 2. Content: Types of items requiring marking include, but are not limited to, the following:
    - a. Dimensional changes to Drawings.
    - b. Revisions to details shown on Drawings.
    - c. Depths of foundations.
    - d. Locations and depths of underground utilities.
    - e. Revisions to routing of piping and conduits.
    - f. Revisions to electrical circuitry.
    - g. Actual equipment locations.
    - h. Duct size and routing.
    - i. Locations of concealed internal utilities.
    - j. Changes made by Change Order or Construction Change Directive.
    - k. Changes made following Architect's written orders.
    - l. Details not on the original Contract Drawings.
    - m. Field records for variable and concealed conditions.
    - n. Record information on the Work that is shown only schematically.
  - 3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
  - 4. Mark record prints with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.

5. Mark important additional information that was either shown schematically or omitted from original Drawings.
  6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- B. Format: Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
1. Record Prints: Organize record prints into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
  2. Format: Annotated PDF electronic file with comment function enabled.
  3. Record Digital Data Files: Organize digital data information into separate electronic files that correspond to each sheet of the Contract Drawings. Name each file with the sheet identification. Include identification in each digital data file.
  4. Identification: As follows:
    - a. Project name.
    - b. Date.
    - c. Designation "PROJECT RECORD DRAWINGS."
    - d. Name of Architect.
    - e. Name of Contractor.
- C. Provide one (1) paper copy. Scan drawings into electronic PDF format and provide two (2) copies on separate flash drives.

#### 1.5 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation, where installation varies from that indicated in Specifications, addenda, and Contract modifications.
1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  3. For each principal product, indicate whether Record Product Data has been submitted in operation and maintenance manuals instead of submitted as Record Product Data.
  4. Note related Change Orders, Record Product Data, and Record Drawings where applicable.
- B. Format: Submit record specifications as paper copy and scanned PDF electronic file(s) of marked-up paper copy of Specifications. Provide two (2) electronic copies on separate flash drives.



1.6 RECORD PRODUCT DATA

- A. Recording: Maintain one copy of each submittal during the construction period for Project Record Document purposes. Post changes and revisions to Project Record Documents as they occur; do not wait until end of Project.
- B. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  - 3. Note related Change Orders, Record Specifications, and Record Drawings where applicable.
- C. Format: Submit Record Product Data as paper copy and scanned PDF electronic file(s) of marked-up paper copy of Product Data. Provide two (2) electronic copies on separate flash drives.
  - 1. Include Record Product Data directory organized by Specification Section number and title, electronically linked to each item of Record Product Data.

1.7 MISCELLANEOUS RECORD SUBMITTALS

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.
- B. Format: Submit miscellaneous record submittals as paper copy and scanned PDF electronic file(s) of marked-up miscellaneous record submittals. Provide two (2) electronic copies on separate flash drives.
  - 1. Include miscellaneous record submittals directory organized by Specification Section number and title, electronically linked to each item of miscellaneous record submittals.

1.8 MAINTENANCE OF RECORD DOCUMENTS

- A. Maintenance of Record Documents: Store Record Documents in the field office apart from the Contract Documents used for construction. Do not use Project Record Documents for construction purposes. Maintain Record Documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to Project Record Documents for Architect's reference during normal working hours.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 78 39

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SECTION 01 79 00 - DEMONSTRATION AND TRAINING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:
  - 1. Instruction in operation and maintenance of systems, subsystems, and equipment.
  - 2. Demonstration and training video recordings.

1.3 CLOSEOUT SUBMITTALS

- A. Demonstration and Training Video Recordings: Submit two copies within seven days of end of each training module.
  - 1. Identification: On each copy, provide an applied label with the following information:
    - a. Name of Project.
    - b. Name and address of videographer.
    - c. Name of Architect.
    - d. Name of Contractor.
    - e. Date of video recording.
  - 2. Transcript: Prepared in PDF electronic format. Include a cover sheet with same label information as the corresponding video recording and a table of contents with links to corresponding training components. Include name of Project and date of video recording on each page.
  - 3. At completion of training, submit complete training manual(s) for Owner's use prepared in same PDF file format required for operation and maintenance manuals specified in Section 01 78 23 "Operation and Maintenance Data."

1.4 COORDINATION

- A. Coordinate instruction schedule with Owner's operations. Adjust schedule as required to minimize disrupting Owner's operations and to ensure availability of Owner's personnel.

- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.
- C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data have been reviewed and approved by Architect.

#### 1.5 INSTRUCTION PROGRAM

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and for equipment not part of a system, as required by individual Specification Sections.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following as applicable to the system, equipment, or component:
  - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
    - a. System, subsystem, and equipment descriptions.
    - b. Performance and design criteria if Contractor is delegated design responsibility.
    - c. Operating standards.
    - d. Regulatory requirements.
    - e. Equipment function.
    - f. Operating characteristics.
    - g. Limiting conditions.
    - h. Performance curves.
  - 2. Documentation: Review the following items in detail:
    - a. Emergency manuals.
    - b. Systems and equipment operation manuals.
    - c. Systems and equipment maintenance manuals.
    - d. Product maintenance manuals.
    - e. Project Record Documents.
    - f. Identification systems.
    - g. Warranties and bonds.
    - h. Maintenance service agreements and similar continuing commitments.
  - 3. Emergencies: Include the following, as applicable:
    - a. Instructions on meaning of warnings, trouble indications, and error messages.
    - b. Instructions on stopping.
    - c. Shutdown instructions for each type of emergency.
    - d. Operating instructions for conditions outside of normal operating limits.
    - e. Sequences for electric or electronic systems.
    - f. Special operating instructions and procedures.
  - 4. Operations: Include the following, as applicable:
    - a. Startup procedures.
    - b. Equipment or system break-in procedures.

- c. Routine and normal operating instructions.
  - d. Regulation and control procedures.
  - e. Control sequences.
  - f. Safety procedures.
  - g. Instructions on stopping.
  - h. Normal shutdown instructions.
  - i. Operating procedures for emergencies.
  - j. Operating procedures for system, subsystem, or equipment failure.
  - k. Seasonal and weekend operating instructions.
  - l. Required sequences for electric or electronic systems.
  - m. Special operating instructions and procedures.
5. Adjustments: Include the following:
- a. Alignments.
  - b. Checking adjustments.
  - c. Noise and vibration adjustments.
  - d. Economy and efficiency adjustments.
6. Troubleshooting: Include the following:
- a. Diagnostic instructions.
  - b. Test and inspection procedures.
7. Maintenance: Include the following:
- a. Inspection procedures.
  - b. Types of cleaning agents to be used and methods of cleaning.
  - c. List of cleaning agents and methods of cleaning detrimental to product.
  - d. Procedures for routine cleaning.
  - e. Procedures for preventive maintenance.
  - f. Procedures for routine maintenance.
  - g. Instruction on use of special tools.
8. Repairs: Include the following:
- a. Diagnosis instructions.
  - b. Repair instructions.
  - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  - d. Instructions for identifying parts and components.
  - e. Review of spare parts needed for operation and maintenance.

## 1.6 PREPARATION

- A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a training manual organized in coordination with requirements in Section 01 78 23 "Operation and Maintenance Data."
- B. Set up instructional equipment at instruction location.

## 1.7 INSTRUCTION

- A. Scheduling: Provide instruction at mutually agreed-on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.

1. Schedule training with Owner, through Architect, with at least seven days' advance notice.
- B. Training Location and Reference Material: Conduct training on-site in the completed and fully operational facility using the actual equipment in-place. Conduct training using final operation and maintenance data submittals.
- C. Cleanup: Collect used and leftover educational materials and give to Owner. Remove instructional equipment. Restore systems and equipment to condition existing before initial training use.

#### 1.8 DEMONSTRATION AND TRAINING VIDEO RECORDINGS

- A. Digital Video Recordings: Provide high-resolution, digital video in MPEG format, produced by a digital camera with minimum sensor resolution of 12 megapixels and capable of recording in full HD mode.
  1. Submit video recordings on CD-ROM or thumb drive.
  2. File Hierarchy: Organize folder structure and file locations according to Project Manual table of contents. Provide complete screen-based menu.
  3. File Names: Utilize file names based on name of equipment generally described in video segment, as identified in Project specifications.
  4. Contractor and Installer Contact File: Using appropriate software, create a file for inclusion on the equipment demonstration and training recording that describes the following for each Contractor involved on the Project, arranged according to Project Manual table of contents:
    - a. Name of Contractor/Installer.
    - b. Business address.
    - c. Business phone number.
    - d. Point of contact.
    - e. Email address.
- B. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to adequately cover area of demonstration and training. Display continuous running time.
  1. Film training session(s) in segments not to exceed 15 minutes.
    - a. Produce segments to present a single significant piece of equipment per segment.
    - b. Organize segments with multiple pieces of equipment to follow order of Project Manual table of contents.
    - c. Where a training session on a particular piece of equipment exceeds 15 minutes, stop filming and pause training session. Begin training session again upon commencement of new filming segment.
- C. Light Levels: Verify light levels are adequate to properly light equipment. Verify equipment markings are clearly visible prior to recording.
  1. Furnish additional portable lighting as required.

SECTION 01 79 00 -  
DEMONSTRATION AND  
TRAINING

PART 2 - PRODUCTS

PART 3 - EXECUTION

END OF SECTION 01 79 00



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SECTION 03 05 16 - UNDERSLAB VAPOR BARRIER

PART 1 - GENERAL

1.1 SECTION INCLUDES

A. Base Bid:

1. Sheet vapor barrier under concrete slabs on grade.

1.2 RELATED REQUIREMENTS

- A. Section 03 10 00 - Concrete Forming and Accessories: Forms and accessories for formwork.
- B. Section 03 20 00 - Concrete Reinforcing.
- C. Section 03 30 00 - Cast-in-Place Concrete: Preparation of subgrade, granular fill, placement of concrete.

1.3 REFERENCE STANDARDS

- A. ASTM E1643 - Standard Practice for Selection, Design, Installation and Inspection of Water Vapor Retarders Used in Contact with Earth or Granular Fill Under Concrete Slabs; 2011 (Reapproved 2017).
- B. ASTM E1745 - Standard Specification for Plastic Water Vapor Retarders Used in Contact with Soil or Granular Fill under Concrete Slabs; 2011.

1.4 SUBMITTALS

- A. See Section 01 33 00 - Submittal Procedures.
- B. Product Data: Submit manufacturers' data on manufactured products.
- C. Test Data: Submit report of tests showing compliance with specified requirements.
- D. Samples: Submit samples of underslab vapor barrier to be used.
- E. Manufacturer's Installation Instructions: Indicate installation procedures and interface required with adjacent construction.

## PART 2 - PART 2 PRODUCTS

### 2.1 MATERIALS

#### A. Underslab Vapor Barrier:

1. Water Vapor Permeance: Not more than 0.010 perms, maximum.
2. Thickness: 15 mils.
3. Acceptable Manufacturers:
  - a. W.R. Meadows; Perminator: [www.wrmeadows.com](http://www.wrmeadows.com)
  - b. Stego Industries LLC; Stego Wrap Vapor Barrier (15-mil): [www.stegoindustries.com/#sle](http://www.stegoindustries.com/#sle).
  - c. or Approved Equal.

#### B. Accessory Products: Vapor barrier manufacturer's recommended tape, adhesive, mastic, etc., for sealing seams and penetrations in vapor barrier.

## PART 3 - PART 3 EXECUTION

### 3.1 EXAMINATION

- #### A. Verify that surface over which vapor barrier is to be installed is complete and ready before proceeding with installation of vapor barrier.

### 3.2 INSTALLATION

- #### A. Install vapor barrier in accordance with manufacturer's instructions and ASTM E1643.
- #### B. Install vapor barrier under interior slabs on grade; lap sheet over footings and seal to foundation walls.
- #### C. Lap joints minimum 6 inches.
- #### D. Seal joints, seams and penetrations watertight with manufacturer's recommended products and follow manufacturer's written instructions.
- #### E. No penetration of vapor barrier is allowed except for reinforcing steel and permanent utilities.
- #### F. Repair damaged vapor retarder before covering with other materials.

END OF SECTION 03 05 16

SECTION 03 30 00 – CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes cast-in-place concrete, including formwork, reinforcement, concrete materials, mixture design, placement procedures, and finishes.
- B. Related Requirements:
  - 1. Section 312001 "Earth Moving for Structures" for drainage fill under slabs-on-grade.

1.3 DEFINITIONS

- A. Cementitious Materials: Portland cement alone or in combination with one or more of the following: blended hydraulic cement, fly ash, slag cement, other pozzolans, and silica fume; materials subject to compliance with requirements.
- B. W/C Ratio: The ratio by weight of water to cementitious materials.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Design Mixtures: For each concrete mixture. Submit alternate design mixtures when characteristics of materials, Project conditions, weather, test results, or other circumstances warrant adjustments.
  - 1. Indicate amounts of mixing water to be withheld for later addition at Project site.
- C. Steel Reinforcement Shop Drawings: Placing Drawings that detail fabrication, bending, and placement. Include bar sizes, lengths, material, grade, bar schedules, stirrup spacing, bent bar diagrams, bar arrangement, splices and laps, mechanical connections, tie spacing, hoop spacing, and supports for concrete reinforcement.
- D. Construction Joint Layout: Indicate proposed construction joints required to construct the structure.

1. Location of construction joints is subject to approval of the Architect.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For testing agency, upon request.
- B. Welding certificates, upon request.
- C. Material Certificates: For each of the following, signed by manufacturers:
  1. Cementitious materials.
  2. Admixtures.
  3. Form materials and form-release agents.
  4. Steel reinforcement and accessories.
  5. Fiber reinforcement.
  6. Curing compounds.
  7. Floor and slab treatments.
  8. Bonding agents.
  9. Adhesives.
  10. Vapor retarders.
  11. Semirigid joint filler.
  12. Joint-filler strips.
  13. Repair materials.
- D. Material Test Reports: For the following, from a qualified testing agency:
  1. Aggregates: Include service record data indicating absence of deleterious expansion of concrete due to alkali aggregate reactivity.
- E. Formwork Shop Drawings: Prepared by or under the supervision of a qualified professional engineer, detailing fabrication, assembly, and support of formwork.
- F. Floor surface flatness and levelness measurements indicating compliance with specified tolerances.
- G. Field quality-control reports.

#### 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified installer who employs on Project personnel qualified as ACI-certified Flatwork Technician and Finisher and a supervisor who is an ACI-certified Concrete Flatwork Technician.
- B. Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products and that complies with ASTM C 94/C 94M requirements for production facilities and equipment.

1. Manufacturer certified according to NRMCA's "Certification of Ready Mixed Concrete Production Facilities."
- C. Testing Agency Qualifications: An independent agency, acceptable to authorities having jurisdiction, qualified according to ASTM C 1077 and ASTM E 329 for testing indicated.
1. Personnel conducting field tests shall be qualified as ACI Concrete Field Testing Technician, Grade 1, according to ACI CP-1 or an equivalent certification program.
  2. Personnel performing laboratory tests shall be ACI-certified Concrete Strength Testing Technician and Concrete Laboratory Testing Technician, Grade I. Testing agency laboratory supervisor shall be an ACI-certified Concrete Laboratory Testing Technician, Grade II.
- D. Welding Qualifications: Qualify procedures and personnel according to AWS D1.4/D 1.4M.
- 1.7 DELIVERY, STORAGE, AND HANDLING
- A. Steel Reinforcement: Deliver, store, and handle steel reinforcement to prevent bending and damage.
- 1.8 FIELD CONDITIONS
- A. Cold-Weather Placement: Comply with ACI 306.1 and as follows. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures.
1. When average high and low temperature is expected to fall below 40 deg F (4.4 deg C) for three successive days, maintain delivered concrete mixture temperature within the temperature range required by ACI 301 (ACI 301M).
  2. Do not use frozen materials or materials containing ice or snow. Do not place concrete on frozen subgrade or on subgrade containing frozen materials.
  3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in mixture designs.
- B. Hot-Weather Placement: Comply with ACI 301 (ACI 301M) and ACI 305.1 (ACI 305.1M), and as follows:
1. Maintain concrete temperature below 90 deg F (32 deg C) at time of placement. Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated to total amount of mixing water. Using liquid nitrogen to cool concrete is Contractor's option.
  2. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade uniformly moist without standing water, soft spots, or dry areas.

PART 2 - PRODUCTS

2.1 CONCRETE, GENERAL

- A. ACI Publications: Comply with the following unless modified by requirements in the Contract Documents:
1. ACI 301 (ACI 301M).
  2. ACI 117 (ACI 117M).

2.2 FORM-FACING MATERIALS

- A. Smooth-Formed Finished Concrete: Form-facing panels that provide continuous, true, and smooth concrete surfaces. Furnish in largest practicable sizes to minimize number of joints.
1. Plywood, metal, or other approved panel materials.
  2. Exterior-grade plywood panels, suitable for concrete forms, complying with DOC PS 1, and as follows:
    - a. High-density overlay, Class 1 or better.
    - b. Medium-density overlay, Class 1 or better; mill-release agent treated and edge sealed.
    - c. Structural 1, B-B or better; mill oiled and edge sealed.
    - d. B-B (Concrete Form), Class 1 or better; mill oiled and edge sealed.
  3. Overlaid Finnish birch plywood.
- B. Rough-Formed Finished Concrete: Plywood, lumber, metal, or another approved material. Provide lumber dressed on at least two edges and one side for tight fit.
- C. Forms for Cylindrical Columns, Pedestals, and Supports: Metal, glass-fiber-reinforced plastic, paper, or fiber tubes that produce surfaces with gradual or abrupt irregularities not exceeding specified formwork surface class. Provide units with sufficient wall thickness to resist plastic concrete loads without detrimental deformation.
- D. Void Forms: Biodegradable paper surface, treated for moisture resistance, structurally sufficient to support weight of plastic concrete and other superimposed loads.
- E. Chamfer Strips: Wood, metal, PVC, or rubber strips, 3/4 by 3/4 inch (19 by 19 mm), minimum.
- F. Rustication Strips: Wood, metal, PVC, or rubber strips, kerfed for ease of form removal.
- G. Form-Release Agent: Commercially formulated form-release agent that does not bond with, stain, or adversely affect concrete surfaces and does not impair subsequent treatments of concrete surfaces.
1. Formulate form-release agent with rust inhibitor for steel form-facing materials.

- H. Form Ties: Factory-fabricated, removable or snap-off glass-fiber-reinforced plastic or metal form ties designed to resist lateral pressure of fresh concrete on forms and to prevent spalling of concrete on removal.
  - 1. Furnish units that leave no corrodible metal closer than 1 inch (25 mm) to the plane of exposed concrete surface.
  - 2. Furnish ties that, when removed, leave holes no larger than 1 inch (25 mm) in diameter in concrete surface.
  - 3. Furnish ties with integral water-barrier plates to walls indicated to receive dampproofing or waterproofing.

### 2.3 STEEL REINFORCEMENT

- A. Reinforcing Bars: ASTM A706, Grade 60, deformed.
- B. Epoxy-Coated Reinforcing Bars:
  - 1. Steel Bars: ASTM A706, Grade 60, deformed bars.
  - 2. Epoxy Coating: ASTM A775/A775M with less than 2 percent damaged coating in each 12-inch (305-mm) bar length
- C. Plain-Steel Welded-Wire Reinforcement: ASTM A 1064/A 1064M, plain, fabricated from as-drawn steel wire into flat sheets.

### 2.4 REINFORCEMENT ACCESSORIES

- A. Joint Dowel Bars: ASTM A 615/A 615M, Grade 60 (Grade 420), plain-steel bars, cut true to length with ends square and free of burrs.
- B. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing bars and welded-wire reinforcement in place. Manufacture bar supports from steel wire, plastic, or precast concrete according to CRSI's "Manual of Standard Practice," of greater compressive strength than concrete and as follows:
  - 1. For concrete surfaces exposed to view, where legs of wire bar supports contact forms, use CRSI Class 1 plastic-protected steel wire or CRSI Class 2 stainless-steel bar supports.
- C. Epoxy Repair Coating: Liquid, two-part, epoxy repair coating; compatible with epoxy coating on reinforcement and complying with ASTM A775/A775M.

### 2.5 CONCRETE MATERIALS

- A. Source Limitations: Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant, obtain aggregate from single source, and obtain admixtures from single source from single manufacturer.
- B. Cementitious Materials:



1. Portland Cement: ASTM C 150/C 150M, Type I, Type II, or Type I/II, gray.
  2. Fly Ash: ASTM C 618, Class F.
- C. Normal-Weight Aggregates: ASTM C 33/C 33M, Class 3S coarse aggregate or better, graded. Provide aggregates from a single source with documented service record data of at least 10 years' satisfactory service in similar applications and service conditions using similar aggregates and cementitious materials.
1. Maximum Coarse-Aggregate Size: 1 inch (25 mm) nominal.
  2. Fine Aggregate: Free of materials with deleterious reactivity to alkali in cement.
- D. Air-Entraining Admixture: ASTM C 260/C 260M.
- E. Chemical Admixtures: Certified by manufacturer to be compatible with other admixtures and that do not contribute water-soluble chloride ions exceeding those permitted in hardened concrete. Do not use calcium chloride or admixtures containing calcium chloride.
1. Water-Reducing Admixture: ASTM C 494/C 494M, Type A.
  2. Retarding Admixture: ASTM C 494/C 494M, Type B.
  3. Water-Reducing and Retarding Admixture: ASTM C 494/C 494M, Type D.
  4. High-Range, Water-Reducing Admixture: ASTM C 494/C 494M, Type F.
  5. High-Range, Water-Reducing and Retarding Admixture: ASTM C 494/C 494M, Type G.
  6. Plasticizing and Retarding Admixture: ASTM C 1017/C 1017M, Type II.
- F. Water: ASTM C 94/C 94M and potable.

## 2.6 VAPOR RETARDERS

- A. Sheet Vapor Retarder: ASTM E 1745, Class A. Include manufacturer's recommended adhesive or pressure-sensitive tape.

## 2.7 CURING MATERIALS

- A. Evaporation Retarder: Waterborne, monomolecular film forming, manufactured for application to fresh concrete.
- B. Absorptive Cover: AASHTO M 182, Class 2, burlap cloth made from jute or kenaf, weighing approximately 9 oz./sq. yd. (305 g/sq. m) when dry.
- C. Moisture-Retaining Cover: ASTM C 171, polyethylene film or white burlap-polyethylene sheet.
- D. Water: Potable.
- E. Clear, Waterborne, Membrane-Forming Curing Compound: ASTM C 309, Type 1, Class B, dissipating.

## 2.8 RELATED MATERIALS

- A. Expansion- and Isolation-Joint-Filler Strips: ASTM D 1751, asphalt-saturated cellulosic fiber.
- B. Semirigid Joint Filler: Two-component, semirigid, 100 percent solids, epoxy resin with a Type A shore durometer hardness of 80 according to ASTM D 2240.
- C. Epoxy Bonding Adhesive: ASTM C 881, two-component epoxy resin, capable of humid curing and bonding to damp surfaces, of class suitable for application temperature and of grade to suit requirements, and as follows:
  - 1. Types IV and V, load bearing, for bonding hardened or freshly mixed concrete to hardened concrete.

## 2.9 REPAIR MATERIALS

- A. Repair Underlayment: Cement-based, polymer-modified, self-leveling product that can be applied in thicknesses from 1/8 inch (3.2 mm) and that can be feathered at edges to match adjacent floor elevations.
  - 1. Cement Binder: ASTM C 150/C 150M, Portland cement or hydraulic or blended hydraulic cement as defined in ASTM C 219.
  - 2. Primer: Product of underlayment manufacturer recommended for substrate, conditions, and application.
  - 3. Aggregate: Well-graded, washed gravel, 1/8 to 1/4 inch (3.2 to 6 mm) or coarse sand as recommended by underlayment manufacturer.
  - 4. Compressive Strength: Not less than 5000 psi (34.5 MPa) at 28 days when tested according to ASTM C 109/C 109M.
- B. Repair Overlayment: Cement-based, polymer-modified, self-leveling product that can be applied in thicknesses from 1/4 inch (6.4 mm) and that can be filled in over a scarified surface to match adjacent floor elevations.
  - 1. Cement Binder: ASTM C 150/C 150M, Portland cement or hydraulic or blended hydraulic cement as defined in ASTM C 219.
  - 2. Primer: Product of topping manufacturer recommended for substrate, conditions, and application.
  - 3. Aggregate: Well-graded, washed gravel, 1/8 to 1/4 inch (3.2 to 6 mm) or coarse sand as recommended by topping manufacturer.
  - 4. Compressive Strength: Not less than 5000 psi (34.5 MPa) at 28 days when tested according to ASTM C 109/C 109M.

## 2.10 CONCRETE MIXTURES, GENERAL

- A. Prepare design mixtures for each type and strength of concrete, proportioned on the basis of laboratory trial mixture or field test data, or both, according to ACI 301 (ACI 301M).

1. Use a qualified independent testing agency for preparing and reporting proposed mixture designs based on laboratory trial mixtures.
- B. Cementitious Materials: Limit percentage, by weight, of cementitious materials other than Portland cement in concrete as follows:
  1. Fly Ash: 25 percent.
- C. Limit water-soluble, chloride-ion content in hardened concrete to 0.06 percent by weight of cement.
- D. Admixtures: Use admixtures according to manufacturer's written instructions.
  1. Use water-reducing, high-range water-reducing or plasticizing admixture in concrete, as required, for placement and workability.
  2. Use water-reducing and -retarding admixture when required by high temperatures, low humidity, or other adverse placement conditions.
  3. Use water-reducing admixture in pumped concrete, concrete for heavy-use industrial slabs and parking structure slabs, concrete required to be watertight, and concrete with a w/c ratio below 0.50.

#### 2.11 CONCRETE MIXTURES FOR BUILDING ELEMENTS

- A. Footings and Foundation Walls: Normal-weight concrete.
  1. Minimum Compressive Strength: 4500 psi (31 MPa) at 28 days.
  2. Maximum W/C Ratio: 0.45.
  3. Slump Limit: 4 inches (100 mm) for concrete with verified slump of 2 to 4 inches (50 to 100 mm) before adding high-range water-reducing admixture or plasticizing admixture, plus or minus 1 inch (25 mm).
  4. Air Content: 6 percent, plus or minus 1.5 percent at point of delivery for 1-inch (25-mm) nominal maximum aggregate size.
- B. Slabs-on-Grade: Normal-weight concrete.
  1. Minimum Compressive Strength: 4500 psi (31 MPa) at 28 days.
  2. Maximum W/C Ratio: 0.45.
  3. Slump Limit: 4 inches (100 mm), plus or minus 1 inch (25 mm).
  4. Air Content: Do not allow air content of trowel-finished floors to exceed 3 percent.

#### 2.12 FABRICATING REINFORCEMENT

- A. Fabricate steel reinforcement according to CRSI's "Manual of Standard Practice."

#### 2.13 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete according to ASTM C 94/C 94M and furnish batch ticket information.

1. When air temperature is between 85 and 90 deg F (30 and 32 deg C), reduce mixing and delivery time from 1-1/2 hours to 75 minutes; when air temperature is above 90 deg F (32 deg C), reduce mixing and delivery time to 60 minutes.

### PART 3 - EXECUTION

#### 3.1 FORMWORK INSTALLATION

- A. Design, erect, shore, brace, and maintain formwork, according to ACI 301 (ACI 301M), to support vertical, lateral, static, and dynamic loads, and construction loads that might be applied, until structure can support such loads.
- B. Construct formwork so concrete members and structures are of size, shape, alignment, elevation, and position indicated, within tolerance limits of ACI 117 (ACI 117M).
- C. Limit concrete surface irregularities, designated by ACI 347 as abrupt or gradual, as follows:
  1. Class A, 1/8 inch (3.2 mm) for smooth-formed finished surfaces exposed to view.
  2. Class B, 1/4 inch (6 mm) for rough-formed finished surfaces not exposed to view.
- D. Construct forms tight enough to prevent loss of concrete mortar.
- E. Construct forms for easy removal without hammering or prying against concrete surfaces. Provide crush or wrecking plates where stripping may damage cast-concrete surfaces. Provide top forms for inclined surfaces steeper than 1.5 horizontal to 1 vertical.
  1. Install keyways, reglets, recesses, and the like, for easy removal.
  2. Do not use rust-stained steel form-facing material.
- F. Set edge forms, bulkheads, and intermediate screed strips for slabs to achieve required elevations and slopes in finished concrete surfaces. Provide and secure units to support screed strips; use strike-off templates or compacting-type screeds.
- G. Provide temporary openings for cleanouts and inspection ports where interior area of formwork is inaccessible. Close openings with panels tightly fitted to forms and securely braced to prevent loss of concrete mortar. Locate temporary openings in forms at inconspicuous locations.
- H. Chamfer exterior corners and edges of permanently exposed concrete.
- I. Form openings, chases, offsets, sinkages, keyways, reglets, blocking, screeds, and bulkheads required in the Work. Determine sizes and locations from trades providing such items.
- J. Clean forms and adjacent surfaces to receive concrete. Remove chips, wood, sawdust, dirt, and other debris just before placing concrete.

- K. Retighten forms and bracing before placing concrete, as required, to prevent mortar leaks and maintain proper alignment.
- L. Coat contact surfaces of forms with form-release agent, according to manufacturer's written instructions, before placing reinforcement.

### 3.2 EMBEDDED ITEM INSTALLATION

- A. Place and secure anchorage devices and other embedded items required for adjoining work that is attached to or supported by cast-in-place concrete. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 1. Install anchor rods, accurately located, to elevations required and complying with tolerances in Section 7.5 of AISC 303.

### 3.3 REMOVING AND REUSING FORMS

- A. General: Formwork for sides of beams, walls, columns, and similar parts of the Work that does not support weight of concrete may be removed after cumulatively curing at not less than 50 deg F (10 deg C) for 24 hours after placing concrete. Concrete has to be hard enough to not be damaged by form-removal operations and curing and protection operations need to be maintained.
  - 1. Leave formwork for beam soffits, joists, slabs, and other structural elements that support weight of concrete in place until concrete has achieved at least 75 percent of its 28-day design compressive strength.
  - 2. Remove forms only if shores have been arranged to permit removal of forms without loosening or disturbing shores.
- B. Clean and repair surfaces of forms to be reused in the Work. Split, frayed, delaminated, or otherwise damaged form-facing material are not acceptable for exposed surfaces. Apply new form-release agent.
- C. When forms are reused, clean surfaces, remove fins and laitance, and tighten to close joints. Align and secure joints to avoid offsets. Do not use patched forms for exposed concrete surfaces unless approved by Architect.

### 3.4 SHORING AND RESHORING INSTALLATION

- A. Comply with ACI 318 (ACI 318M) and ACI 301 (ACI 301M) for design, installation, and removal of shoring and reshoring.

### 3.5 VAPOR-RETARDER INSTALLATION

- A. Sheet Vapor Retarders: Place, protect, and repair sheet vapor retarder according to ASTM E 1643 and manufacturer's written instructions.

1. Lap joints 6 inches (150 mm) and seal with manufacturer's recommended tape.

### 3.6 STEEL REINFORCEMENT INSTALLATION

- A. General: Comply with CRSI's "Manual of Standard Practice" for fabricating, placing, and supporting reinforcement.
  1. Do not cut or puncture vapor retarder. Repair damage and reseal vapor retarder before placing concrete.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, and other foreign materials that reduce bond to concrete.
- C. Accurately position, support, and secure reinforcement against displacement. Locate and support reinforcement with bar supports to maintain minimum concrete cover. Do not tack weld crossing reinforcing bars.
- D. Set wire ties with ends directed into concrete, not toward exposed concrete surfaces.
- E. Install welded-wire reinforcement in longest practicable lengths on bar supports spaced to minimize sagging. Lap edges and ends of adjoining sheets at least one mesh spacing. Offset laps of adjoining sheet widths to prevent continuous laps in either direction. Lace overlaps with wire.
- F. Epoxy-Coated Reinforcement: Repair cut and damaged epoxy coatings with epoxy repair coating in accordance with ASTM D3963/D3963M.

### 3.7 JOINTS

- A. General: Construct joints true to line with faces perpendicular to surface plane of concrete.
- B. Construction Joints: Install so strength and appearance of concrete are not impaired, at locations indicated or as approved by Architect.
  1. Place joints perpendicular to main reinforcement. Continue reinforcement across construction joints unless otherwise indicated. Do not continue reinforcement through sides of strip placements of floors and slabs.
  2. Form keyed joints as indicated. Embed keys at least 1-1/2 inches (38 mm) into concrete.
  3. Locate horizontal joints in walls and columns at underside of floors, slabs, beams, and girders and at the top of footings or floor slabs.
  4. Space vertical joints in walls as indicated. Locate joints beside piers integral with walls, near corners, and in concealed locations where possible.
  5. Use epoxy-bonding adhesive at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.

- C. Contraction Joints in Slabs-on-Grade: Form weakened-plane contraction joints, sectioning concrete into areas as indicated. Construct contraction joints for a depth equal to at least one-fourth of concrete thickness as follows:
  - 1. Sawed Joints: Form contraction joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch- (3.2-mm-) wide joints into concrete when cutting action does not tear, abrade, or otherwise damage surface and before concrete develops random contraction cracks.
- D. Isolation Joints in Slabs-on-Grade: After removing formwork, install joint-filler strips at slab junctions with vertical surfaces, such as column pedestals, foundation walls, grade beams, and other locations, as indicated.
  - 1. Extend joint-filler strips full width and depth of joint, terminating flush with finished concrete surface unless otherwise indicated.
  - 2. Terminate full-width joint-filler strips not less than 1/2 inch (13 mm) or more than 1 inch (25 mm) below finished concrete surface where joint sealants, specified in Section 079200 "Joint Sealants," are indicated.
  - 3. Install joint-filler strips in lengths as long as practicable. Where more than one length is required, lace or clip sections together.
- E. Doweled Joints: Install dowel bars and support assemblies at joints where indicated. Lubricate or asphalt coat one-half of dowel length to prevent concrete bonding to one side of joint.

### 3.8 CONCRETE PLACEMENT

- A. Before placing concrete, verify that installation of formwork, reinforcement, and embedded items is complete and that required inspections are completed.
- B. Do not add water to concrete during delivery, at Project site, or during placement unless approved by Architect.
- C. Before test sampling and placing concrete, water may be added at Project site, subject to limitations of ACI 301 (ACI 301M).
  - 1. Do not add water to concrete after adding high-range water-reducing admixtures to mixture.
- D. Deposit concrete continuously in one layer or in horizontal layers of such thickness that no new concrete is placed on concrete that has hardened enough to cause seams or planes of weakness. If a section cannot be placed continuously, provide construction joints as indicated. Deposit concrete to avoid segregation.
  - 1. Deposit concrete in horizontal layers of depth not to exceed formwork design pressures and in a manner to avoid inclined construction joints.
  - 2. Consolidate placed concrete with mechanical vibrating equipment according to ACI 301 (ACI 301M).

3. Do not use vibrators to transport concrete inside forms. Insert and withdraw vibrators vertically at uniformly spaced locations to rapidly penetrate placed layer and at least 6 inches (150 mm) into preceding layer. Do not insert vibrators into lower layers of concrete that have begun to lose plasticity. At each insertion, limit duration of vibration to time necessary to consolidate concrete and complete embedment of reinforcement and other embedded items without causing mixture constituents to segregate.
- E. Deposit and consolidate concrete for floors and slabs in a continuous operation, within limits of construction joints, until placement of a panel or section is complete.
1. Consolidate concrete during placement operations, so concrete is thoroughly worked around reinforcement and other embedded items and into corners.
  2. Maintain reinforcement in position on chairs during concrete placement.
  3. Screed slab surfaces with a straightedge and strike off to correct elevations.
  4. Slope surfaces uniformly to drains where required.
  5. Begin initial floating using bull floats or darbies to form a uniform and open-textured surface plane, before excess bleedwater appears on the surface. Do not further disturb slab surfaces before starting finishing operations.

### 3.9 FINISHING FORMED SURFACES

- A. Rough-Formed Finish: As-cast concrete texture imparted by form-facing material with tie holes and defects repaired and patched. Remove fins and other projections that exceed specified limits on formed-surface irregularities.
1. Apply to concrete surfaces not exposed to public view.
- B. Smooth-Formed Finish: As-cast concrete texture imparted by form-facing material, arranged in an orderly and symmetrical manner with a minimum of seams. Repair and patch tie holes and defects. Remove fins and other projections that exceed specified limits on formed-surface irregularities.
1. Apply to concrete surfaces exposed to public view, to receive a rubbed finish, or to be covered with a coating or covering material applied directly to concrete.
- C. Rubbed Finish: Apply the following to smooth-formed-finished as-cast concrete where indicated:
1. Smooth-Rubbed Finish: Not later than one day after form removal, moisten concrete surfaces and rub with carborundum brick or another abrasive until producing a uniform color and texture. Do not apply cement grout other than that created by the rubbing process.
- D. Related Unformed Surfaces: At tops of walls, horizontal offsets, and similar unformed surfaces adjacent to formed surfaces, strike off smooth and finish with a texture matching adjacent formed surfaces. Continue final surface treatment of formed surfaces uniformly across adjacent unformed surfaces unless otherwise indicated.



3.10 FINISHING FLOORS AND SLABS

- A. General: Comply with ACI 302.1R recommendations for screeding, restraighening, and finishing operations for concrete surfaces. Do not wet concrete surfaces.
- B. Float Finish: Consolidate surface with power-driven floats or by hand floating if area is small or inaccessible to power-driven floats. Restraighten, cut down high spots, and fill low spots. Repeat float passes and restraighening until surface is left with a uniform, smooth, granular texture.
  - 1. Apply float finish to surfaces to receive trowel finish.
- C. Trowel Finish: After applying float finish, apply first troweling and consolidate concrete by hand or power-driven trowel. Continue troweling passes and restraighten until surface is free of trowel marks and uniform in texture and appearance. Grind smooth any surface defects that would telegraph through applied coatings or floor coverings.
  - 1. Apply a trowel finish to interior surfaces exposed to view.
  - 2. Finish surfaces to the following tolerances, according to ASTM E 1155 (ASTM E 1155M), for a randomly trafficked floor surface:
    - a. Specified overall values of flatness, F(F) 35; and of levelness, F(L) 25; with minimum local values of flatness, F(F) 24; and of levelness, F(L) 17; for slabs-on-grade.
  - 3. Finish and measure surface, so gap at any point between concrete surface and an unlevelled, freestanding, 10-ft.- (3.05-m-) long straightedge resting on two high spots and placed anywhere on the surface does not exceed 1/8 inch (3.2 mm).
- D. Slip-Resistive Finish: Before final floating, apply slip-resistive aggregate finish where indicated and to concrete stoops and walkways. Apply according to manufacturer's written instructions and as follows:
  - 1. Uniformly spread 25 lb/100 sq. ft. (12 kg/10 sq. m) of dampened slip-resistive aggregate over surface in one or two applications. Tamp aggregate flush with surface, but do not force below surface.
  - 2. After broadcasting and tamping, apply float finish.
  - 3. After curing, lightly work surface with a steel wire brush or an abrasive stone and water to expose slip-resistive aggregate.

3.11 MISCELLANEOUS CONCRETE ITEM INSTALLATION

- A. Filling In: Fill in holes and openings left in concrete structures after work of other trades is in place unless otherwise indicated. Mix, place, and cure concrete, as specified, to blend with in-place construction. Provide other miscellaneous concrete filling indicated or required to complete the Work.
- B. Curbs: Provide monolithic finish to interior curbs by stripping forms while concrete is still green and by steel-troweling surfaces to a hard, dense finish with corners, intersections, and terminations slightly rounded.

C. Equipment Bases and Foundations:

1. Coordinate sizes and locations of concrete bases with actual equipment provided.
2. Construct concrete bases 4 inches (100 mm) high unless otherwise indicated and extend base not less than 6 inches (150 mm) in each direction beyond the maximum dimensions of supported equipment unless otherwise indicated or unless required for seismic anchor support.
3. Minimum Compressive Strength: 4500 psi (31 MPa) at 28 days.
4. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch (450-mm) centers around the full perimeter of concrete base.
5. For supported equipment, install epoxy-coated anchor bolts that extend through concrete base and anchor into structural concrete substrate.
6. Prior to pouring concrete, place and secure anchorage devices. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
7. Cast anchor-bolt insert into bases. Install anchor bolts to elevations required for proper attachment to supported equipment.

3.12 CONCRETE PROTECTING AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures. Comply with ACI 306.1 for cold-weather protection and ACI 305.1 (ACI 305.1M) for hot-weather protection during curing.
- B. Evaporation Retarder: Apply evaporation retarder to unformed concrete surfaces if hot, dry, or windy conditions cause moisture loss approaching 0.2 lb/sq. ft. x h (1 kg/sq. m x h) before and during finishing operations. Apply according to manufacturer's written instructions after placing, screeding, and bull floating or darbying concrete, but before float finishing.
- C. Formed Surfaces: Cure formed concrete surfaces, including underside of beams, supported slabs, and other similar surfaces. If forms remain during curing period, moist cure after loosening forms. If removing forms before end of curing period, continue curing for remainder of curing period.
- D. Unformed Surfaces: Begin curing immediately after finishing concrete. Cure unformed surfaces, including floors and slabs, concrete floor toppings, and other surfaces.
- E. Cure concrete according to ACI 308.1, by one or a combination of the following methods:
  1. Moisture Curing: Keep surfaces continuously moist for not less than seven days with the following materials:
    - a. Water.
    - b. Continuous water-fog spray.

- c. Absorptive cover, water saturated, and kept continuously wet. Cover concrete surfaces and edges with 12-inch (300-mm) lap over adjacent absorptive covers.
2. Moisture-Retaining-Cover Curing: Cover concrete surfaces with moisture-retaining cover for curing concrete, placed in widest practicable width, with sides and ends lapped at least 12 inches (300 mm), and sealed by waterproof tape or adhesive. Cure for not less than seven days. Immediately repair any holes or tears during curing period, using cover material and waterproof tape.
3. Curing Compound: Apply uniformly in continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Maintain continuity of coating and repair damage during curing period.
  - a. Removal: After curing period has elapsed, remove curing compound without damaging concrete surfaces by method recommended by curing compound manufacturer.
4. Curing and Sealing Compound: Apply uniformly to floors and slabs indicated in a continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Repeat process 24 hours later and apply a second coat. Maintain continuity of coating and repair damage during curing period.

### 3.13 JOINT FILLING

- A. Prepare, clean, and install joint filler according to manufacturer's written instructions.
  1. Defer joint filling until concrete has aged at least one month. Do not fill joints until construction traffic has permanently ceased.
- B. Remove dirt, debris, saw cuttings, curing compounds, and sealers from joints; leave contact faces of joints clean and dry.
- C. Install semirigid joint filler full depth in saw-cut joints and at least 2 inches (50 mm) deep in formed joints. Overfill joint and trim joint filler flush with top of joint after hardening.

### 3.14 CONCRETE SURFACE REPAIRS

- A. Defective Concrete: Repair and patch defective areas when approved by Architect. Remove and replace concrete that cannot be repaired and patched to Architect's approval.
- B. Patching Mortar: Mix dry-pack patching mortar, consisting of 1 part Portland cement to 2-1/2 parts fine aggregate passing a No. 16 (1.18-mm) sieve, using only enough water for handling and placing.

- C. Repairing Formed Surfaces: Surface defects include color and texture irregularities, cracks, spalls, air bubbles, honeycombs, rock pockets, fins and other projections on the surface, and stains and other discolorations that cannot be removed by cleaning.
1. Immediately after form removal, cut out honeycombs, rock pockets, and voids more than 1/2 inch (13 mm) in any dimension to solid concrete. Limit cut depth to 3/4 inch (19 mm). Make edges of cuts perpendicular to concrete surface. Clean, dampen with water, and brush-coat holes and voids with bonding agent. Fill and compact with patching mortar before bonding agent has dried. Fill form-tie voids with patching mortar or cone plugs secured in place with bonding agent.
  2. Repair defects on surfaces exposed to view by blending white Portland cement and standard Portland cement so that, when dry, patching mortar matches surrounding color. Patch a test area at inconspicuous locations to verify mixture and color match before proceeding with patching. Compact mortar in place and strike off slightly higher than surrounding surface.
  3. Repair defects on concealed formed surfaces that affect concrete's durability and structural performance as determined by Architect.
- D. Repairing Unformed Surfaces: Test unformed surfaces, such as floors and slabs, for finish and verify surface tolerances specified for each surface. Correct low and high areas. Test surfaces sloped to drain for trueness of slope and smoothness; use a sloped template.
1. Repair finished surfaces containing defects. Surface defects include spalls, popouts, honeycombs, rock pockets, crazing and cracks in excess of 0.01 inch (0.25 mm) wide or that penetrate to reinforcement or completely through unreinforced sections regardless of width, and other objectionable conditions.
  2. After concrete has cured at least 14 days, correct high areas by grinding.
  3. Correct localized low areas during or immediately after completing surface finishing operations by cutting out low areas and replacing with patching mortar. Finish repaired areas to blend into adjacent concrete.
  4. Correct other low areas scheduled to receive floor coverings with a repair underlayment. Prepare, mix, and apply repair underlayment and primer according to manufacturer's written instructions to produce a smooth, uniform, plane, and level surface. Feather edges to match adjacent floor elevations.
  5. Correct other low areas scheduled to remain exposed with a repair topping. Cut out low areas to ensure a minimum repair topping depth of 1/4 inch (6 mm) to match adjacent floor elevations. Prepare, mix, and apply repair topping and primer according to manufacturer's written instructions to produce a smooth, uniform, plane, and level surface.
  6. Repair defective areas, except random cracks and single holes 1 inch (25 mm) or less in diameter, by cutting out and replacing with fresh concrete. Remove defective areas with clean, square cuts and expose steel reinforcement with at least a 3/4-inch (19-mm) clearance all around. Dampen concrete surfaces in contact with patching concrete and apply bonding agent. Mix patching concrete of same materials and mixture as original concrete, except without coarse aggregate. Place, compact, and finish to blend with adjacent finished concrete. Cure in same manner as adjacent concrete.
  7. Repair random cracks and single holes 1 inch (25 mm) or less in diameter with patching mortar. Groove top of cracks and cut out holes to sound concrete and

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CAST-IN-PLACE CONCRETE

clean off dust, dirt, and loose particles. Dampen cleaned concrete surfaces and apply bonding agent. Place patching mortar before bonding agent has dried. Compact patching mortar and finish to match adjacent concrete. Keep patched area continuously moist for at least 72 hours.

- E. Perform structural repairs of concrete, subject to Architect's approval, using epoxy adhesive and patching mortar.
- F. Repair materials and installation not specified above may be used, subject to Architect's approval.

3.15 FIELD QUALITY CONTROL

- A. Special Inspections: Engage a special inspector and qualified testing and inspecting agency to perform field tests and inspections and prepare test reports.
- B. Inspections: Refer to Section 014001 for Special Inspection requirements.

END OF SECTION 03 30 00

SECTION 03 35 43 - POLISHED CONCRETE FINISHING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Polished concrete finishing, including dye and scoring.
2. Concrete for polished concrete, including concrete materials, mixture design, placement procedures, initial finishing, and curing is specified in Section 03 30 00 "Cast-in-Place Concrete."
3. Cellulose fiber reinforcement.
4. Colloidal silica-based finishing aid.

B. Related Requirements:

1. Section 03 30 00 "Cast-in-Place Concrete" for concrete not designated as polished concrete.

1.3 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site .

1. Before submitting design mixtures, review concrete design mixture and examine procedures for ensuring quality of concrete materials. Require representatives of each entity directly concerned with polished concrete to attend, including the following:
  - a. Contractor's superintendent.
  - b. Independent testing agency responsible for concrete design mixtures.
  - c. Ready-mix concrete manufacturer.
  - d. Cast-in-place concrete subcontractor.
  - e. Polished concrete finishing Subcontractor.
2. Review cold- and hot-weather concreting procedures, curing procedures, construction joints, concrete repair procedures, concrete finishing, and protection of polished concrete.
  - a. Additional review topics to include, but not be limited to: floor flatness requirements, polish level, aggregate class, color choices and expectations.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Polishing Schedule: Submit plan showing polished concrete surfaces and schedule of polishing operations for each area of polished concrete before start of polishing operations. Include locations of all joints, including construction joints.
- C. Samples for Initial Selection: For each type of product requiring color selection.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
  - 1. Minimum 5 years documented experience in work of this Section.
    - a. Installer experienced in producing dyed stencil designs and logos.
  - 2. Provide manufacturer certification.
- B. Material Certificates: For each of the following, signed by manufacturers:
  - 1. Repair materials.
  - 2. Dye materials.
  - 3. Liquid floor treatments.

1.6 QUALITY ASSURANCE

- A. Field Sample Panels: After approval of verification sample and before casting concrete, produce field sample panels to demonstrate the approved range of selections made under Sample submittals. Produce a minimum of three sets of full-scale panels, approximately 48 by 48 inches minimum, to demonstrate the expected range of finish, color, and appearance variations.
  - 1. Locate panels as indicated or, if not indicated, as directed by Architect.
  - 2. Maintain field sample panels during construction in an undisturbed condition as a standard for judging the completed Work.
  - 3. Demolish and remove field sample panels when directed.
- B. Mockups: Before casting concrete, build mockups to verify selections made under Sample submittals and to demonstrate typical joints, surface finish, tolerances, and standard of workmanship. Build mockups to comply with the following requirements, using materials indicated for the completed Work:
  - 1. Build mockups in the location as directed by Architect.
    - a. Size: 10 foot square area minimum to demonstrate finish.
  - 2. Demonstrate curing, finishing, and protecting of polished concrete.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.7 FIELD CONDITIONS

- A. Traffic Control: Maintain access for vehicular and pedestrian traffic as required for other construction activities.

PART 2 - PRODUCTS

2.1 DYE MATERIALS

- A. Dye: Water or acetone-diluted dye spray applied to concrete. Dye penetrates porous concrete surface to create durable, quick-drying color with minimal residue.
1. Brickform; Pro-Dye Plus; [www.solomoncolors.com/pages/brickform/stains-dyes.php](http://www.solomoncolors.com/pages/brickform/stains-dyes.php)

2.2 LIQUID FLOOR TREATMENTS

- A. Hardener and Densifier: Water-based, colloidal silica, odorless, penetrating hardener.
1. Product: Lythic Densifier by Solomon Colors.
  2. VOC Content: 0 grams per liter, CPDH, LEED v4 Compliant.
  3. pH level: 10.5 pH or less, non-hazardous waster per EPA requirements.
- B. Protector: Water-based, penetrating film forming protector, colloidal silica co-polymer acrylic.
1. Product: Lythic Protector by Solomon Colors.
  2. VOC Content: CPDH, LEED v4 Compliant.
  3. pH level: 10.5 pH or less, non-hazardous waste per EPA requirements.
- C. Surface Cleaner: Water-based, colloidal silica blended surfactant, silica dust reducer.
1. Product: Lythic Cleaner by Solomon Colors.
  2. VOC Content: CPDH, LEED v4 Compliant.
  3. pH level: 10.5 pH, non-hazardous waste per EPA requirements.

2.3 CELLULOSE FIBER REINFORCEMENT

- A. Alkali-resistant, virgin cellulose concrete reinforcing fiber.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. UltraFiber 500; Solomon Colors Inc.
      - 1) Material: Alkali-resistant, virgin cellulose fibers.
      - 2) Average Length: 2.1 mm (0.083 inch).
      - 3) Average Denier: 2.5 g/9,000 m.



- 4) Average Diameter: 18  $\mu$  (0.63 x 10<sup>-3</sup> inch).
- 5) Count: 1,590,000 fibers/g (720,000,000 fibers/pound).
- 6) Density: 1.10 g/cubic centimeter.
- 7) Surface Area: 15,000 square centimeters/g (12,200 square feet/pound).
- 8) Average Tensile Strength: 750 N/square millimeters (110 ksi).
- 9) Average Elastic Modulus: 8,500 N/square millimeters (1,200 ksi).
- 10) Fiber Spacing: 550  $\mu$ m at 0.9 kg/cubic meter dosage rate (0.026 inch at 1.5 pounds/cubic yard dosage rate).

## 2.4 COLLOIDAL SILICA-BASED TOPICAL CONCRETE FINISHING AID

- A. Colloidal Silica Concrete Finishing Aid: Spray-applied concrete finishing aid for lubricating surface during finishing operations. Single component colloidal silica-based admixture for improving surface properties, even colored concrete surfaces by reducing efflorescence. Improves workability of high performance concretes that include silica fume, water reducers or other pozzolan materials. Allows for near surface, workable moisture in hot, windy adverse conditions that are unfavorable to concrete finishing.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. DAY1 Finishing Aid; Solomon Colors Inc.
      - 1) Material Type: Water-based, colloidal silica blended surfactant.
      - 2) VOC Content: 0.5 grams per liter.
      - 3) pH level: Maximum of 10.0 pH and non-hazardous waste per EPA.
      - 4) Scaling Resistance: 70% improvement, 50 cycles, ASTM C672.
      - 5) Freeze Thaw: 85% resistance improvement, ASTM C666.
      - 6) Abrasion Resistance: 35% improvement, ASTM C779.
      - 7) Chloride Ion Penetration: 95% resistant, AASHTO T 259/260.

## 2.5 ACCESSORIES

- A. Crack and Joint Treatment: Polyurea-based joint filler by one of the following:
1. Metzger McGuire; [www.metzgermcguire.com](http://www.metzgermcguire.com)
  2. Seal Boss; [www.sealboss.com](http://www.sealboss.com)
  3. VersaFlex; [www.versaflex.com](http://www.versaflex.com)
- B. Spall, Surface Defect and Resin Grout Material: Epoxy-Polyurea-based material by one of the following:
1. Quick Mender by VersaFlex; [www.versaflex.com](http://www.versaflex.com)
  2. TX3 by Hi-Tech Systems; [www.hitechpolyurea.com](http://www.hitechpolyurea.com)
  3. Match Patch Pro; [www.matchpatchpro.com](http://www.matchpatchpro.com)
- C. Cementitious Grout: Unsanded, fast curing, hydraulic cement-based floor material, as needed or dependent on slab conditions, by one of the following:

1. CTS RapidSet Skim Coat; [www.ctscements.com](http://www.ctscements.com)
- D. Temporary Protection: Seamless, spill, stain and water resistant sheeting.
1. Ramboard; [www.ramboard.com](http://www.ramboard.com)
  2. Skudo Commercial Mat System; [www.skudousa.com](http://www.skudousa.com)

## PART 3 - EXECUTION

### 3.1 POLISHING

- A. Polish: Level 3: High sheen, 800 grit .
1. Polished concrete finish in accordance with specified requirements to produce:
    - a. Aggregate Exposure: Class B
- B. Apply polished concrete finish system to cured and prepared slabs to match accepted mockup.
1. Machine grind floor surfaces to receive polished finishes level and smooth and to depth required to reveal aggregate to match approved mockup.
  2. Apply dyes for polished concrete in polishing sequence and according to manufacturer's written instructions.
  3. Apply penetrating liquid floor treatment for polished concrete in polishing sequence and according to manufacturer's written instructions, allowing recommended drying time between successive coats.
  4. Continue polishing with progressively finer-grit diamond polishing pads to gloss level, to match approved mockup.
  5. Control and dispose of waste products produced by grinding and polishing operations.
  6. Neutralize and clean polished floor surfaces.

### 3.2 FLOOR FLATNESS (FF AND FL) REQUIREMENTS

- A. Provide: FF45 / FL35.

### 3.3 CELLULOSE FIBER REINFORCEMENT MIXING

- A. Add reinforcing fibers into concrete mixture in accordance with manufacturer's instructions and ASTM C 94.
- B. Add reinforcing fibers at a dosage rate of 1.5 pounds/cubic yard (0.6 kg/cubic meter) of concrete directly into concrete mixer at beginning of batch cycle. Higher dosage rates of 2 -4 pounds can be used for replacement of welded wire or mild temperature steel. Please refer to manufacturer's recommendations.

- C. Allow a minimum of 4 minutes at mixing speed in concrete mixer for full reinforcing fiber dispersion.
- D. Concrete shall be as specified in Section 03 30 00
- E. Confirm uniform fiber dispersion throughout concrete in accordance with manufacturer's instructions.

#### 3.4 COLLOIDAL SILICA-BASED TOPICAL CONCRETE FINISHING AID INSTALLATION

- A. Spray-apply directly to concrete surface during finishing process in accordance with manufacturers recommendations and the following:
  - 1. Performance: Apply after screed and before float operations at a rate of 500 to 750 square feet per gallon.
  - 2. Finishing Aid Only: 800 Apply before trowel operations at a rate of 750 to 1000 square feet per gallon. No single application should exceed 250 square feet per gallon.
- B. Maintain uniform coverage to provide manufacturer published performance properties.
- C. Prevent overspray of material to adjacent equipment and construction materials.
- D. Close areas to traffic until concrete has cured to allow walking foot traffic without marring surface.

#### 3.5 DECORATIVE SCORING

- A. Scoring (as indicated): Score decorative jointing in concrete surfaces deep with diamond blades to match pattern indicated. Rinse until water is clear. Score after 1/4 inch deep with diamond blades to match pattern indicated. Rinse until water is clear. Score
  - 1. Decorative Scoring Joint Width: 1/8 inch.

END OF SECTION 03 35 43

SECTION 04 20 00 - UNIT MASONRY

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Concrete masonry units.
2. Mortar and grout materials.
3. Reinforcement.
4. Ties and anchors.
5. Accessories.
6. Mortar and grout mixes.

B. Products Installed but not Furnished under This Section:

1. Steel lintels in unit masonry.
2. Steel shelf angles for supporting unit masonry.

1.2 DEFINITIONS

A. CMU(s): Concrete masonry unit(s).

B. Reinforced Masonry: Masonry containing reinforcing steel in grouted cells.

1.3 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

B. Shop Drawings: For the following:

1. Masonry Units: Indicate sizes, profiles, coursing, and locations of special shapes.
2. Reinforcing Steel: Indicate bending, lap lengths, and placement of unit masonry reinforcing bars. Comply with ACI 315R.

C. Samples for Verification: For each type and color of the following:

1. Exposed CMUs.
2. Pigmented, colored-aggregate mortar. Make Samples using same sand and mortar ingredients to be used on Project.
3. Accessories embedded in masonry.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Material Certificates: For each type of the following:
  - 1. Masonry units.
    - a. Include data on material properties.
  - 2. Mortar admixtures.
- B. Mix Designs: For each type of mortar and grout. Include description of type and proportions of ingredients.
  - 1. Include test reports for mortar mixes required to comply with property specification. Test in accordance with ASTM C109/C109M for compressive strength, ASTM C1506 for water retention, and ASTM C91/C91M for air content.
  - 2. Include test reports, in accordance with ASTM C1019, for grout mixes required to comply with compressive strength requirement.
- C. Cold-Weather, and, Hot-Weather Procedures: Detailed description of methods, materials, and equipment to be used to comply with requirements.

## 1.6 MOCKUPS

- A. Sample Panel Mockups: Build sample panels to verify selections made under Sample submittals and to demonstrate aesthetic effects. Comply with requirements in Section 014000 "Quality Requirements" for mockups.
  - 1. Build sample panels for each type of exposed unit masonry construction in sizes approximately 60 inches long by 48 inches high by full thickness.
  - 2. Approval of sample panels is for color, texture, and blending of masonry units; relationship of mortar and sealant colors to masonry unit colors; tooling of joints; aesthetic qualities of workmanship; and other material and construction qualities specifically approved by Architect in writing.
    - a. Approval of sample panels does not constitute approval of deviations from the Contract Documents contained in sample panels unless Architect specifically approves such deviations in writing.

## 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Store masonry units on elevated platforms in a dry location. If units are not stored in an enclosed location, cover tops and sides of stacks with waterproof sheeting, securely tied. If units become wet, do not install until they are dry.
- B. Store cementitious materials on elevated platforms, under cover, and in a dry location. Do not use cementitious materials that have become damp.
- C. Store aggregates where grading and other required characteristics can be maintained

and contamination avoided.

- D. Deliver preblended, dry mortar mix in moisture-resistant containers. Store preblended, dry mortar mix in delivery containers on elevated platforms in a dry location or in covered weatherproof dispensing silos.
- E. Store masonry accessories, including metal items, to prevent corrosion and accumulation of dirt and oil.

## 1.8 FIELD CONDITIONS

- A. Protection of Masonry: During construction, cover tops of walls, projections, and sills with waterproof sheeting at end of each day's work. Cover partially completed masonry when construction is not in progress.
- B. Do not apply uniform floor or roof loads for at least 12 hours and concentrated loads for at least three days after building masonry walls or columns.
- C. Stain Prevention: Prevent grout, mortar, and soil from staining the face of masonry to be left exposed or painted. Immediately remove grout, mortar, and soil that come in contact with such masonry.
  - 1. Protect base of walls from rain-splashed mud and from mortar splatter by spreading coverings on ground and over wall surface.
  - 2. Protect sills, ledges, and projections from mortar droppings.
  - 3. Protect surfaces of window and door frames, as well as similar products with painted and integral finishes, from mortar droppings.
  - 4. Turn scaffold boards near the wall on edge at the end of each day to prevent rain from splashing mortar and dirt onto completed masonry.
- D. Cold-Weather Requirements: Do not use frozen materials or materials mixed or coated with ice or frost. Do not build on frozen substrates. Remove and replace unit masonry damaged by frost or by freezing conditions. Comply with cold-weather construction requirements contained in TMS 602.
  - 1. Cold-Weather Cleaning: Use liquid cleaning methods only when air temperature is 40 deg F and higher and will remain so until masonry has dried, but not less than seven days after completing cleaning.
- E. Hot-Weather Requirements: Comply with hot-weather construction requirements contained in TMS 602.

## PART 2 - PRODUCTS

### 2.1 SOURCE LIMITATIONS

- A. For exposed masonry units, and, cementitious mortar components, obtain each color and grade from single source with resources to provide materials of consistent quality

in appearance and physical properties.

## 2.2 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Masonry to withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
- B. Provide structural unit masonry that develops indicated net-area compressive strengths at 28 days.

## 2.3 UNIT MASONRY, GENERAL

- A. Masonry Standard: Comply with TMS 602, except as modified by requirements in the Contract Documents.
- B. Defective Units: Referenced masonry unit standards may allow a certain percentage of units to contain chips, cracks, or other defects exceeding limits stated. Do not use units where such defects are exposed in the completed Work and will be within 20 ft. vertically and horizontally of a walking surface.

## 2.4 CONCRETE MASONRY UNITS

- A. Shapes: Provide shapes indicated and as follows, with exposed surfaces matching exposed faces of adjacent units unless otherwise indicated.
  - 1. Provide special shapes for lintels, corners, jambs, sashes, movement joints, headers, bonding, and other special conditions.
  - 2. Provide bullnose units for outside corners unless otherwise indicated.
- B. CMUs: ASTM C90, normal weight.
  - 1. Unit Compressive Strength: Provide units with minimum average net-area compressive strength of as outlined in structural drawings.
  - 2. Size (Width): Manufactured to dimensions 3/8 inch less than nominal dimensions.
  - 3. Exposed Faces: Provide color and texture matching the range represented by Architect's sample.

## 2.5 LINTELS

- A. Masonry Lintels: Prefabricated or built-in-place masonry lintels made from bond beam CMUs matching adjacent CMUs in color, texture, and density classification, with reinforcing bars placed as indicated and filled with coarse grout. Cure precast lintels before handling and installing. Temporarily support built-in-place lintels until cured.

## 2.6 MORTAR AND GROUT MATERIALS

- A. Portland Cement: ASTM C150/C150M, Type I or II, except Type III may be used for cold-weather construction. Provide natural color or white cement as required to produce mortar color indicated.
  - 1. Alkali content will not be more than 0.1 percent when tested in accordance with ASTM C114.
- B. Hydrated Lime: ASTM C207, Type S.
- C. Portland Cement-Lime Mix: Packaged blend of portland cement and hydrated lime containing no other ingredients.
- D. Mortar Pigments: Natural and synthetic iron oxides and chromium oxides, compounded for use in mortar mixes and complying with ASTM C979/C979M. Use only pigments with a record of satisfactory performance in masonry mortar.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Euclid Chemical Company (The); a subsidiary of RPM International, Inc.
    - b. Lanxess Corporation
    - c. Solomon Colors Inc.
- E. Colored Cement Products: Packaged blend made from portland cement and hydrated lime and mortar pigments, all complying with specified requirements, and containing no other ingredients.
  - 1. Colored Portland Cement-Lime Mix:
    - a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
      - 1) Argos USA LLC
      - 2) Holcim (US) Inc
      - 3) Lehigh Hanson; Heidelberg Cement Group
  - 2. Formulate blend as required to produce color indicated or, if not indicated, as selected from manufacturer's standard colors.
  - 3. Pigments do not exceed 10 percent of portland cement by weight.
- F. Preblended Dry Mortar Mix: Packaged blend made from portland cement and hydrated lime, sand, mortar pigments and admixtures and complying with ASTM C1714/C1714M.
- G. Aggregate for Mortar: ASTM C144.
  - 1. For mortar that is exposed to view, use washed aggregate consisting of natural



- sand or crushed stone.
  - 2. For joints less than 1/4 inch thick, use aggregate graded with 100 percent passing the No. 16 sieve.
  - 3. White-Mortar Aggregates: Natural white sand or crushed white stone.
  - 4. Colored-Mortar Aggregates: Natural sand or crushed stone of color necessary to produce required mortar color.
- H. Aggregate for Grout: ASTM C404.
- I. Cold-Weather Admixture: Nonchloride, noncorrosive, accelerating admixture complying with ASTM C494/C494M, Type C, and recommended by manufacturer for use in masonry mortar of composition indicated.
- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Euclid Chemical Company (The); a subsidiary of RPM International, Inc.
    - b. GCP Applied Technologies Inc.
- J. Water: Potable.

## 2.7 REINFORCEMENT

- A. Uncoated-Steel Reinforcing Bars: ASTM A615/A615M or ASTM A996/A996M, Grade 60.
- B. Masonry-Joint Reinforcement, General: ASTM A951/A951M.
- 1. Interior Walls: Hot-dip galvanized carbon steel.
  - 2. Exterior Walls: Hot-dip galvanized carbon steel.
  - 3. Wire Size for Side Rods: 0.187-inch diameter.
  - 4. Wire Size for Cross Rods: 0.148-inch diameter.
  - 5. Wire Size for Veneer Ties: 0.187-inch diameter.
  - 6. Spacing of Cross Rods, Tabs, and Cross Ties: Not more than 16 inches o.c.
  - 7. Provide in lengths of not less than 10 ft., with prefabricated corner and tee units.
- C. Masonry-Joint Reinforcement for Single-Wythe Masonry: Ladder type with single pair of side rods.
- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Hohmann & Barnard, Inc
    - b. Wire-Bond
- D. Masonry-Joint Reinforcement for Veneers Anchored with Seismic Masonry-Veneer Anchors: Single 0.187-inch- diameter, hot-dip galvanized carbon steel continuous wire.

## 2.8 ACCESSORIES

- A. Compressible Filler: Premolded filler strips complying with ASTM D1056, Grade 2A1; compressible up to 35 percent; of width and thickness indicated; formulated from neoprene, urethane, or PVC.
- B. Preformed Control-Joint Gaskets: Made from styrene-butadiene-rubber compound, complying with ASTM D2000, Designation M2AA-805, or PVC, complying with ASTM D2287, Type PVC-65406 and designed to fit standard sash block and to maintain lateral stability in masonry wall; size and configuration as indicated.
- C. Bond-Breaker Strips: Asphalt-saturated felt complying with ASTM D226/D226M, Type I (No. 15 asphalt felt).

## 2.9 MORTAR AND GROUT MIXES

- A. General: Do not use admixtures, including pigments, air-entraining agents, accelerators, retarders, water-repellent agents, antifreeze compounds, or other admixtures unless otherwise indicated.
  - 1. Do not use calcium chloride in mortar or grout.
  - 2. Use portland cement-lime mortar unless otherwise indicated.
  - 3. For exterior masonry, use portland cement-lime mortar.
  - 4. For reinforced masonry, use portland cement-lime mortar.
  - 5. Add cold-weather admixture (if used) at same rate for all mortar that will be exposed to view, regardless of weather conditions, to ensure that mortar color is consistent.
- B. Preblended, Dry Mortar Mix: Furnish dry mortar ingredients in form of a preblended mix. Measure quantities by weight to ensure accurate proportions, and thoroughly blend ingredients before delivering to Project site.
- C. Mortar for Unit Masonry: Comply with ASTM C270, Proportion Specification. Provide the following types of mortar for applications stated unless another type is indicated or needed to provide required compressive strength of masonry.
  - 1. For masonry below grade or in contact with earth, use Type M.
  - 2. For reinforced masonry, use Type S.
  - 3. For exterior, above-grade, load-bearing, nonload-bearing walls, and parapet walls; for interior load-bearing walls; for interior nonload-bearing partitions; and for other applications where another type is not indicated, use Type N.
  - 4. For interior nonload-bearing partitions, Type O may be used instead of Type N.
- D. Pigmented Mortar: Use colored cement product or select and proportion pigments with other ingredients to produce color required. Do not add pigments to colored cement products.
  - 1. Pigments do not exceed 10 percent of portland cement by weight.
  - 2. Mix to match Architect's sample.
  - 3. Application: Use pigmented mortar for exposed mortar joints.

- E. Colored-Aggregate Mortar: Produce required mortar color by using colored aggregates and natural color or white cement as necessary to produce required mortar color.
  - 1. Mix to match Architect's sample.
  - 2. Application: Use colored-aggregate mortar for exposed mortar joints.
- F. Grout for Unit Masonry: Comply with ASTM C476.
  - 1. Use grout of type indicated or, if not otherwise indicated, of type (fine or coarse) that will comply with TMS 602 for dimensions of grout spaces and pour height.
  - 2. Proportion grout in accordance with ASTM C476, Table 1, or, paragraph 4.2.1.2 for specified 28-day compressive strength indicated, but not less than 2000 psi.
  - 3. Provide grout with a slump of 10 to 11 inches as measured in accordance with ASTM C143/C143M.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
  - 1. For the record, prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
  - 2. Verify that foundations are within tolerances specified.
  - 3. Verify that reinforcing dowels are properly placed.
  - 4. Verify that substrates are free of substances that impair mortar bond.
- B. Before installation, examine rough-in and built-in construction for piping systems to verify actual locations of piping connections.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION, GENERAL

- A. Thickness: Build cavity and composite walls and other masonry construction to full thickness shown. Build single-wythe walls to actual widths of masonry units, using units of widths indicated.
- B. Leave openings for equipment to be installed before completing masonry. After installing equipment, complete masonry to match construction immediately adjacent to opening.
- C. Use full-size units without cutting if possible. If cutting is required to provide a continuous pattern or to fit adjoining construction, cut units with motor-driven saws; provide clean, sharp, unchipped edges. Allow units to dry before laying unless wetting of units is specified. Install cut units with cut surfaces and, where possible, cut edges concealed.

- D. Select and arrange units for exposed unit masonry to produce a uniform blend of colors and textures. Mix units from several pallets or cubes as they are placed.
- E. Wetting of Brick: Wet brick before laying if initial rate of absorption exceeds 30 g/30 sq. in. per minute when tested in accordance with ASTM C67/C67M. Allow units to absorb water so they are damp but not wet at time of laying.

### 3.3 TOLERANCES

#### A. Dimensions and Locations of Elements:

1. For dimensions in cross section or elevation, do not vary by more than plus 1/2 inch or minus 1/4 inch.
2. For location of elements in plan, do not vary from that indicated by more than plus or minus 1/2 inch.
3. For location of elements in elevation, do not vary from that indicated by more than plus or minus 1/4 inch in a story height or 1/2 inch total.

#### B. Lines and Levels:

1. For bed joints and top surfaces of bearing walls, do not vary from level by more than 1/4 inch in 10 ft., or 1/2-inch maximum.
2. For conspicuous horizontal lines, such as lintels, sills, parapets, and reveals, do not vary from level by more than 1/8 inch in 10 ft., 1/4 inch in 20 ft., or 1/2-inch maximum.
3. For vertical lines and surfaces, do not vary from plumb by more than 1/4 inch in 10 ft., 3/8 inch in 20 ft., or 1/2-inch maximum.
4. For conspicuous vertical lines, such as external corners, door jambs, reveals, and expansion and control joints, do not vary from plumb by more than 1/8 inch in 10 ft., 1/4 inch in 20 ft., or 1/2-inch maximum.
5. For lines and surfaces, do not vary from straight by more than 1/4 inch in 10 ft., 3/8 inch in 20 ft., or 1/2-inch maximum.
6. For vertical alignment of exposed head joints, do not vary from plumb by more than 1/4 inch in 10 ft., or 1/2-inch maximum.
7. For faces of adjacent exposed masonry units, do not vary from flush alignment by more than 1/16 inch except due to warpage of masonry units within tolerances specified for warpage of units.

#### C. Joints:

1. For bed joints, do not vary from thickness indicated by more than plus or minus 1/8 inch, with a maximum thickness limited to 1/2 inch.
2. For exposed bed joints, do not vary from bed-joint thickness of adjacent courses by more than 1/8 inch.
3. For head and collar joints, do not vary from thickness indicated by more than plus 3/8 inch or minus 1/4 inch.
4. For exposed head joints, do not vary from thickness indicated by more than plus or minus 1/8 inch. Do not vary from adjacent bed-joint and head-joint thicknesses by more than 1/8 inch.
5. For exposed bed joints and head joints of stacked bond, do not vary from a

straight line by more than 1/16 inch from one masonry unit to the next.

### 3.4 LAYING MASONRY WALLS

- A. Lay out walls in advance for accurate spacing of surface bond patterns with uniform joint thicknesses and for accurate location of openings, movement-type joints, returns, and offsets. Avoid using less-than-half-size units, particularly at corners, jambs, and, where possible, at other locations.
- B. Bond Pattern for Exposed Masonry: Unless otherwise indicated, lay exposed masonry in running bond; do not use units with less-than-nominal 4-inch horizontal face dimensions at corners or jambs.
- C. Stopping and Resuming Work: Stop work by stepping back units in each course from those in course below; do not tooth. When resuming work, clean masonry surfaces that are to receive mortar, remove loose masonry units and mortar, and wet brick if required before laying fresh masonry.
- D. Built-in Work: As construction progresses, build in items specified in this and other Sections. Fill in solidly with masonry around built-in items.
- E. Fill space between steel frames and masonry solidly with mortar unless otherwise indicated.
- F. Where built-in items are to be embedded in cores of hollow masonry units, place a layer of metal lath, wire mesh, or plastic mesh in the joint below, and rod mortar or grout into core.
- G. Fill cores in hollow CMUs with grout 24 inches under bearing plates, beams, lintels, posts, and similar items unless otherwise indicated.
- H. Build nonload-bearing interior partitions full height of story to underside of solid floor or roof structure above unless otherwise indicated.
  - 1. Install compressible filler in joint between top of partition and underside of structure above.
  - 2. Fasten partition top anchors to structure above and build into top of partition. Grout cells of CMUs solidly around plastic tubes of anchors, and push tubes down into grout to provide 1/2-inch clearance between end of anchor rod and end of tube. Space anchors 48 inches o.c. unless otherwise indicated.
  - 3. Wedge nonload-bearing partitions against structure above with small pieces of tile, slate, or metal. Fill joint with mortar after dead-load deflection of structure above approaches final position.
  - 4. At fire-rated partitions, treat joint between top of partition and underside of structure above to comply with Section 078443 "Joint Firestopping."

### 3.5 MORTAR BEDDING AND JOINTING

- A. Lay CMUs as follows:

1. Bed face shells in mortar and make head joints of depth equal to bed joints.
  2. Bed webs in mortar in all courses of piers, columns, and pilasters.
  3. Bed webs in mortar in grouted masonry, including starting course on footings.
  4. Fully bed entire units, including areas under cells, at starting course on footings where cells are not grouted.
  5. Fully bed units and fill cells with mortar at anchors and ties as needed to fully embed anchors and ties in mortar.
- B. Tool exposed joints slightly concave when thumbprint hard, using a jointer larger than joint thickness unless otherwise indicated.
1. For glazed masonry units, use a nonmetallic jointer 3/4 inch or more in width.
- C. Cut joints flush for masonry walls to receive plaster or other direct-applied finishes (other than paint) unless otherwise indicated.

### 3.6 MASONRY-JOINT REINFORCEMENT

- A. General: Install entire length of longitudinal side rods in mortar with a minimum cover of 5/8 inch on exterior side of walls, 1/2 inch elsewhere. Lap reinforcement a minimum of 6 inches.
1. Space reinforcement not more than 16 inches o.c.
  2. Space reinforcement not more than 8 inches o.c. in foundation walls and parapet walls.
  3. Provide reinforcement not more than 8 inches above and below wall openings and extending 12 inches beyond openings in addition to continuous reinforcement.
- B. Interrupt joint reinforcement at control and expansion joints unless otherwise indicated.
- C. Provide continuity at corners by using prefabricated L-shaped units.

### 3.7 ANCHORING MASONRY TO STRUCTURAL STEEL AND CONCRETE

- A. Anchor masonry to structural steel and concrete, where masonry abuts or faces structural steel or concrete, to comply with the following:
1. Provide an open space not less than 1 inch wide between masonry and structural steel or concrete unless otherwise indicated. Keep open space free of mortar and other rigid materials.
  2. Anchor masonry with anchors embedded in masonry joints and attached to structure.
  3. Space anchors as indicated, but not more than 24 inches o.c. vertically and 36 inches o.c. horizontally.

### 3.8 CONTROL AND EXPANSION JOINTS

- A. General: Install control- and expansion-joint materials in unit masonry as masonry progresses. Do not allow materials to span control and expansion joints without provision to allow for in-plane wall or partition movement.
- B. Form control joints in concrete masonry as follows:
  - 1. Install preformed control-joint gaskets designed to fit standard sash block.
- C. Provide horizontal, pressure-relieving joints by either leaving an airspace or inserting a compressible filler of width required for installing sealant and backer rod specified in Section 079200 "Joint Sealants," but not less than 3/8 inch.
  - 1. Locate horizontal, pressure-relieving joints beneath shelf angles supporting masonry.

### 3.9 LINTELS

- A. Install steel lintels where indicated.
- B. Provide concrete or offset angle support lintels where indicated and where openings of more than 12 inches for brick-size units and 24 inches for block-size units are indicated without structural steel or other supporting lintels.
- C. Provide minimum bearing of 8 inches at each jamb unless otherwise indicated.

### 3.10 REINFORCED UNIT MASONRY

- A. Temporary Formwork and Shores: Construct formwork and shores as needed to support reinforced masonry elements during construction.
  - 1. Construct formwork to provide shape, line, and dimensions of completed masonry as indicated. Make forms sufficiently tight to prevent leakage of mortar and grout. Brace, tie, and support forms to maintain position and shape during construction and curing of reinforced masonry.
  - 2. Do not remove forms and shores until reinforced masonry members have hardened sufficiently to carry their own weight and that of other loads that may be placed on them during construction.
- B. Placing Reinforcement: Comply with requirements in TMS 602.
- C. Grouting: Do not place grout until entire height of masonry to be grouted has attained enough strength to resist grout pressure.
  - 1. Comply with requirements in TMS 602 for cleanouts and for grout placement, including minimum grout space and maximum pour height.
  - 2. Limit height of vertical grout pours to not more than 60 inches.

### 3.11 REPAIRING, POINTING, AND CLEANING

- A. Remove and replace masonry units that are loose, chipped, broken, stained, or otherwise damaged or that do not match adjoining units. Install new units to match adjoining units; install in fresh mortar, pointed to eliminate evidence of replacement.
- B. Pointing: During the tooling of joints, enlarge voids and holes, except weep holes, and completely fill with mortar. Point up joints, including corners, openings, and adjacent construction, to provide a neat, uniform appearance. Prepare joints for sealant application, where indicated.
- C. In-Progress Cleaning: Clean unit masonry as work progresses by dry brushing to remove mortar fins and smears before tooling joints.
- D. Final Cleaning: After mortar is thoroughly set and cured, clean exposed masonry as follows:
  - 1. Remove large mortar particles by hand with wooden paddles and nonmetallic scrape hoes or chisels.
  - 2. Test cleaning methods on sample wall panel; leave one-half of panel uncleaned for comparison purposes. Obtain Architect's approval of sample cleaning before proceeding with cleaning of masonry.
  - 3. Protect adjacent stone and nonmasonry surfaces from contact with cleaner by covering them with liquid strippable masking agent or polyethylene film and waterproof masking tape.
  - 4. Wet wall surfaces with water before applying cleaners; remove cleaners promptly by rinsing surfaces thoroughly with clear water.
  - 5. Clean concrete masonry by applicable cleaning methods indicated in NCMA TEK 8-4A.
  - 6. Clean masonry with a proprietary acidic masonry cleaner applied according to manufacturer's written instructions.

### 3.12 MASONRY WASTE DISPOSAL

- A. Salvageable Materials: Unless otherwise indicated, excess masonry materials are Contractor's property. At completion of unit masonry work, remove from Project site.

END OF SECTION 04 20 00



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SECTION 042200 – CONCRETE MASONRY UNITS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Concrete masonry units (**CMU-1 and CMU-2**).
2. Mortar and grout.
3. Steel reinforcing bars.
4. Masonry-joint reinforcement.
5. Embedded flashing.
6. Miscellaneous masonry accessories.

1.2 DEFINITIONS

- A. CMU(s): Concrete masonry unit(s).
- B. Reinforced Masonry: Masonry containing reinforcing steel in grouted cells.

1.3 PERFORMANCE REQUIREMENTS

- A. Provide structural unit masonry that develops indicated net-area compressive strengths at 28 days.
1. Determine net-area compressive strength of masonry from average net-area compressive strengths of masonry units and mortar types (unit-strength method) according to Tables 1 and 2 in ACI 530.1/ASCE 6/TMS 602.

1.4 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: For the following:
1. Masonry Units: Show sizes, profiles, coursing, and locations of special shapes.
  2. Reinforcing Steel: Detail bending and placement of unit masonry reinforcing bars. Comply with ACI 315, "Details and Detailing of Concrete Reinforcement." Show elevations of reinforced walls.
- C. Qualification Data: For testing agency, upon request.
- D. Material Certificates: For each type and size of the following:

1. Masonry units.
    - a. Include data on material properties and material test reports substantiating compliance with requirements.
    - b. For masonry units used in structural masonry, include data and calculations establishing average net-area compressive strength of units.
  2. Cementitious materials. Include brand, type, and name of manufacturer.
  3. Preblended, dry mortar mixes. Include description of type and proportions of ingredients.
  4. Grout mixes. Include description of type and proportions of ingredients.
  5. Reinforcing bars.
  6. Joint reinforcement.
  7. Anchors, ties, and metal accessories.
- E. Mix Designs: For each type of mortar and grout. Include description of type and proportions of ingredients.
1. Include test reports for mortar mixes required to comply with property specification. Test according to ASTM C 109/C 109M for compressive strength, ASTM C 1506 for water retention, and ASTM C 91 for air content.
  2. Include test reports, according to ASTM C 1019, for grout mixes required to comply with compressive strength requirement.
- F. Statement of Compressive Strength of Masonry: For each combination of masonry unit type and mortar type, provide statement of average net-area compressive strength of masonry units, mortar type, and resulting net-area compressive strength of masonry determined according to Tables 1 and 2 in ACI 530.1/ASCE 6/TMS 602.
- G. Cold-Weather and Hot-Weather Procedures: Detailed description of methods, materials, and equipment to be used to comply with requirements.
- 1.5 QUALITY ASSURANCE
- A. Testing Agency Qualifications: Qualified according to ASTM C 1093 for testing indicated.
  - B. Source Limitations for Masonry Units: Obtain exposed masonry units of a uniform texture and color, or a uniform blend within the ranges accepted for these characteristics, from single source from single manufacturer for each product required.
  - C. Source Limitations for Mortar Materials: Obtain mortar ingredients of a uniform quality, including color for exposed masonry, from single manufacturer for each cementitious component and from single source or producer for each aggregate.
  - D. Masonry Standard: Comply with ACI 530.1/ASCE 6/TMS 602 unless modified by requirements in the Contract Documents.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Store masonry units on elevated platforms in a dry location. If units are not stored in an enclosed location, cover tops and sides of stacks with waterproof sheeting, securely tied. If units become wet, do not install until they are dry.
- B. Store cementitious materials on elevated platforms, under cover, and in a dry location. Do not use cementitious materials that have become damp.
- C. Store aggregates where grading and other required characteristics can be maintained, and contamination avoided.
- D. Deliver preblended, dry mortar mix in moisture-resistant containers. Store preblended, dry mortar mix in delivery containers on elevated platforms in a dry location or in covered weatherproof dispensing silos.
- E. Store masonry accessories, including metal items, to prevent corrosion and accumulation of dirt and oil.

1.7 PROJECT CONDITIONS

- A. Protection of Masonry: During construction, cover tops of walls, projections, and sills with waterproof sheeting at end of each day's work. Cover partially completed masonry when construction is not in progress.
  - 1. Extend cover a minimum of 24 inches down both sides of walls and hold cover securely in place.
- B. Do not apply uniform floor or roof loads for at least 12 hours and concentrated loads for at least three days after building masonry walls or columns.
- C. Stain Prevention: Prevent grout, mortar, and soil from staining the face of masonry to be left exposed or painted. Immediately remove grout, mortar, and soil that come in contact with such masonry.
  - 1. Protect base of walls from rain-splashed mud and from mortar splatter by spreading coverings on ground and over wall surface.
  - 2. Protect sills, ledges, and projections from mortar droppings.
  - 3. Protect surfaces of window and door frames, as well as similar products with painted and integral finishes, from mortar droppings.
  - 4. Turn scaffold boards near the wall on edge at the end of each day to prevent rain from splashing mortar and dirt onto completed masonry.
- D. Cold-Weather Requirements: Do not use frozen materials or materials mixed or coated with ice or frost. Do not build on frozen substrates. Remove and replace unit masonry damaged by frost or by freezing conditions. Comply with cold-weather construction requirements contained in TMS 602/ACI 530.1/ASCE 6.

1. Cold-Weather Cleaning: Use liquid cleaning methods only when air temperature is 40 deg F and higher and will remain so until masonry has dried, but not less than seven days after completing cleaning.
- E. Hot-Weather Requirements: Comply with hot-weather construction requirements contained in TMS 602/ACI 530.1/ASCE 6.

## PART 2 - PRODUCTS

### 2.1 MASONRY UNITS, GENERAL

- A. Defective Units: Referenced masonry unit standards may allow a certain percentage of units to contain chips, cracks, or other defects exceeding limits stated in the standard. Do not use units where such defects will be exposed in the completed Work.

### 2.2 CONCRETE MASONRY UNITS

- A. Shapes: Provide shapes indicated and as follows, with exposed surfaces matching exposed faces of adjacent units unless otherwise indicated.
1. Provide special shapes for lintels, corners, jambs, sashes, movement joints, headers, bonding, and other special conditions.
  2. Provide square-edged units for outside corners unless otherwise indicated.
- B. CMUs: ASTM C90.
1. Unit Compressive Strength: Provide units with minimum average net-area compressive strength of 2150 psi.
  2. Density Classification: Medium weight unless otherwise indicated.
  3. Size (Width): Manufactured to dimensions 3/8 inch less-than-nominal dimensions.

### 2.3 MASONRY LINTELS

- A. Masonry Lintels: Where steel lintels are not otherwise specified, provide prefabricated or built-in-place masonry lintels made from bond beam CMUs with reinforcing bars placed as indicated and filled with coarse grout. Cure precast lintels before handling and installing. Temporarily support built-in-place lintels until cured.

### 2.4 MORTAR AND GROUT MATERIALS

- A. Portland Cement: ASTM C150/C150M, Type I or II, except Type III may be used for cold-weather construction. Provide natural color or white cement as required to produce mortar color indicated.
- B. Hydrated Lime: ASTM C207, Type S.

- C. Portland Cement-Lime Mix: Packaged blend of Portland cement and hydrated lime containing no other ingredients.
- D. Aggregate for Mortar: ASTM C144.
  - 1. For mortar that is exposed to view, use washed aggregate consisting of natural sand or crushed stone.
  - 2. For joints less than 1/4-inch-thick, use aggregate graded with 100 percent passing the No. 16 sieve.
  - 3. White-Mortar Aggregates: Natural white sand or crushed white stone.
  - 4. Colored-Mortar Aggregates: Natural sand or crushed stone of color necessary to produce required mortar color.
- E. Aggregate for Grout: ASTM C404.
- F. Cold-Weather Admixture: Non-chloride, noncorrosive, accelerating admixture complying with ASTM C494/C494M, Type C, and recommended by manufacturer for use in masonry mortar of composition indicated.
  - 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Euclid Chemical Company (The); Accelguard 80.
    - b. Grace Construction Products, W. R. Grace & Co. - Conn.; Morset.
    - c. Sonneborn Products, BASF Aktiengesellschaft; Trimix-NCA.
- G. Water: Potable.

## 2.5 REINFORCEMENT

- A. Uncoated Steel Reinforcing Bars ASTM A 706, Grade 60.
- B. Masonry Joint Reinforcement, General: ASTM A 951/A 951M.
  - 1. Interior Walls: Mill-galvanized, carbon steel.
  - 2. Wire Size for Side Rods: 0.148-inch diameter.
  - 3. Wire Size for Cross Rods: 0.148-inch diameter.
  - 4. Wire Size for Veneer Ties: 0.148-inch diameter.
  - 5. Spacing of Cross Rods, Tabs, and Cross Ties: Not more than 16 inches o.c.
  - 6. Provide in lengths of not less than 10 feet, with prefabricated corner and tee units.
- C. Masonry Joint Reinforcement for Single-Wythe Masonry: Ladder type with single pair of side rods.

## 2.6 TIES AND ANCHORS

- A. Materials: Provide ties and anchors specified in this article that are made from materials that comply with the following unless otherwise indicated.

1. Steel Plates, Shapes, and Bars: ASTM A 36/A 36M.

## 2.7 MISCELLANEOUS MASONRY ACCESSORIES

- A. Compressible Filler: Premolded filler strips complying with ASTM D 1056, Grade 2A1; compressible up to 35 percent; of width and thickness indicated; formulated from PVC.
- B. Preformed Control-Joint Gaskets: Made from PVC, complying with ASTM D 2287, Type PVC-65406 and designed to fit standard sash block and to maintain lateral stability in masonry wall; size and configuration as indicated.
- C. Bond-Breaker Strips: Asphalt-saturated, organic roofing felt complying with ASTM D 226, Type I (No. 15 asphalt felt).
- D. Reinforcing Bar Positioners: Wire units designed to fit into mortar bed joints spanning masonry unit cells and hold reinforcing bars in center of cells. Units are formed from 0.148-inch steel wire, hot-dip galvanized after fabrication. Provide units designed for number of bars indicated.
  1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Dayton Superior Corporation, Dur-O-Wal Division; D/A 810, D/A 812 or D/A 817.
    - b. Heckmann Building Products Inc.; No. 376 Rebar Positioner.
    - c. Hohmann & Barnard, Inc.; #RB or #RB-Twin Rebar Positioner.
    - d. Wire-Bond; O-Ring or Double O-Ring Rebar Positioner.

## 2.8 MASONRY CLEANERS

- A. Proprietary Acidic Cleaner: Manufacturer's standard-strength cleaner designed for removing mortar/grout stains, efflorescence, and other new construction stains from new masonry without discoloring or damaging masonry surfaces. Use product expressly approved for intended use by cleaner manufacturer and manufacturer of masonry units being cleaned.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Diedrich Technologies, Inc.
    - b. EaCo Chem, Inc.
    - c. ProSoCo, Inc.

## 2.9 MORTAR AND GROUT MIXES

- A. General: Do not use admixtures, including pigments, air-entraining agents, accelerators, retarders, water-repellent agents, antifreeze compounds, or other admixtures, unless otherwise indicated.
1. Do not use calcium chloride in mortar or grout.
  2. Use Portland cement-lime mortar unless otherwise indicated.
  3. Add cold-weather admixture (if used) at same rate for all mortar that will be exposed to view, regardless of weather conditions, to ensure that mortar color is consistent.
- B. Preblended, Dry Mortar Mix: Furnish dry mortar ingredients in form of a preblended mix. Measure quantities by weight to ensure accurate proportions, and thoroughly blend ingredients before delivering to Project site.
- C. Mortar for Unit Masonry: Comply with ASTM C 270, Proportion Specification. Provide the following types of mortar for applications stated unless another type is indicated or needed to provide required compressive strength of masonry.
1. For masonry below grade or in contact with earth, use Type S.
  2. For reinforced masonry, use Type S.
  3. For mortar parge coats, use Type S.
  4. For exterior, above-grade, load-bearing and non-load-bearing walls and parapet walls; for interior load-bearing walls; for interior non-load-bearing partitions; and for other applications where another type is not indicated, use Type N.
- D. Grout for Unit Masonry: Comply with ASTM C 476.
1. Use grout of type indicated or, if not otherwise indicated, of type (fine or coarse) that will comply with Table 1.15.1 in ACI 530.1/ASCE 6/TMS 602 for dimensions of grout spaces and pour height.
  2. Proportion grout in accordance with ASTM C 476, Table 1 or paragraph 4.2.2 for specified 28-day compressive strength indicated, but not less than 2000 psi.
  3. Provide grout with a slump of 8 to 11 inches as measured according to ASTM C 143/C 143M.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
1. For the record, prepare written report, endorsed by Installer, listing conditions detrimental to performance of work.
  2. Verify that foundations are within tolerances specified.
  3. Verify that reinforcing dowels are properly placed.



- B. Before installation, examine rough-in and built-in construction for piping systems to verify actual locations of piping connections.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION, GENERAL

- A. Thickness: Build cavity and composite walls and other masonry construction to full thickness shown. Build single-wythe walls to actual widths of masonry units, using units of widths indicated.
- B. Build chases and recesses to accommodate items specified in this and other Sections.
- C. Leave openings for equipment to be installed before completing masonry. After installing equipment, complete masonry to match the construction immediately adjacent to opening.
- D. Use full-size units without cutting if possible. If cutting is required to provide a continuous pattern or to fit adjoining construction, cut units with motor-driven saws; provide clean, sharp, unchipped edges. Allow units to dry before laying unless wetting of units is specified. Install cut units with cut surfaces and, where possible, cut edges concealed.
- E. Select and arrange units for exposed unit masonry to produce a uniform blend of colors and textures.
  - 1. Mix units from several pallets or cubes as they are placed.

### 3.3 TOLERANCES

- A. Dimensions and Locations of Elements:
  - 1. For dimensions in cross section or elevation do not vary by more than plus 1/2 inch or minus 1/4 inch.
  - 2. For location of elements in plan do not vary from that indicated by more than plus or minus 1/2 inch.
  - 3. For location of elements in elevation do not vary from that indicated by more than plus or minus 1/4 inch in a story height or 1/2-inch total.
- B. Lines and Levels:
  - 1. For bed joints and top surfaces of bearing walls do not vary from level by more than 1/4 inch in 10 feet, or 1/2 inch maximum.
  - 2. For conspicuous horizontal lines, such as lintels, sills, parapets, and reveals, do not vary from level by more than 1/8 inch in 10 feet, 1/4 inch in 20 feet, or 1/2 inch maximum.
  - 3. For vertical lines and surfaces do not vary from plumb by more than 1/4 inch in 10 feet, 3/8 inch in 20 feet, or 1/2 inch maximum.

4. For conspicuous vertical lines, such as external corners, door jambs, reveals, and expansion and control joints, do not vary from plumb by more than 1/8 inch in 10 feet, 1/4 inch in 20 feet, or 1/2 inch maximum.
5. For lines and surfaces do not vary from straight by more than 1/4 inch in 10 feet, 3/8 inch in 20 feet, or 1/2 inch maximum.
6. For vertical alignment of exposed head joints, do not vary from plumb by more than 1/4 inch in 10 feet, or 1/2 inch maximum.
7. For faces of adjacent exposed masonry units, do not vary from flush alignment by more than 1/16 inch except due to warpage of masonry units within tolerances specified for warpage of units.

C. Joints:

1. For bed joints, do not vary from thickness indicated by more than plus or minus 1/8 inch, with a maximum thickness limited to 1/2 inch.
2. For exposed bed joints, do not vary from bed-joint thickness of adjacent courses by more than 1/8 inch.
3. For head and collar joints, do not vary from thickness indicated by more than plus 3/8 inch or minus 1/4 inch.
4. For exposed head joints, do not vary from thickness indicated by more than plus or minus 1/8 inch. Do not vary from adjacent bed-joint and head-joint thicknesses by more than 1/8 inch.

3.4 LAYING MASONRY WALLS

- A. Lay out walls in advance for accurate spacing of surface bond patterns with uniform joint thicknesses and for accurate location of openings, movement-type joints, returns, and offsets. Avoid using less-than-half-size units, particularly at corners, jambs, and, where possible, at other locations.
- B. Bond Pattern for Exposed Masonry: Unless otherwise indicated, lay exposed masonry in running bond; do not use units with less than nominal 4-inch horizontal face dimensions at corners or jambs.
- C. Lay concealed masonry with all units in a wythe in running bond. Bond and interlock each course of each wythe at corners. Do not use units with less than nominal 4-inch horizontal face dimensions at corners or jambs.
- D. Stopping and Resuming Work: Stop work by racking back units in each course from those in course below; do not tooth. When resuming work, clean masonry surfaces that are to receive mortar, remove loose masonry units and mortar, and wet brick if required before laying fresh masonry.
- E. Built-in Work: As construction progresses, build in items specified in this and other Sections. Fill in solidly with masonry around built-in items.

- F. Where built-in items are to be embedded in cores of hollow masonry units, place a layer of metal lath, wire mesh, or plastic mesh in the joint below and rod mortar or grout into core.
- G. Fill cores in hollow CMUs with grout 24 inches under bearing plates, beams, lintels, posts, and similar items unless otherwise indicated.
- H. Build non-load-bearing interior partitions full height of story to underside of solid floor or roof structure above unless otherwise indicated.
  - 1. Install compressible filler in joint between top of partition and underside of structure above.
  - 2. Unless other anchorage is specified, fasten partition top anchors to structure above and build into top of partition. Grout cells of CMUs solidly around plastic tubes of anchors and push tubes down into grout to provide 1/2-inch clearance between end of anchor rod and end of tube. Space anchors 48 inches o.c. unless otherwise indicated.
  - 3. At fire-rated partitions, treat joint between top of partition and underside of structure above to comply with Section 07 84 43 "Joint Firestopping."

### 3.5 MORTAR BEDDING AND JOINTING

- A. Lay hollow CMUs as follows:
  - 1. With face shells fully bedded in mortar and with head joints of depth equal to bed joints.
  - 2. With webs fully bedded in mortar in all courses of piers, columns, and pilasters.
  - 3. With webs fully bedded in mortar in grouted masonry, including starting course on footings.
  - 4. With entire units, including areas under cells, fully bedded in mortar at starting course on footings where cells are not grouted.
- B. Lay solid masonry units with completely filled bed and head joints; butter ends with sufficient mortar to fill head joints and shove into place. Do not deeply furrow bed joints or slush head joints.
- C. Tool exposed joints slightly concave when thumbprint hard, using a jointer larger than joint thickness unless otherwise indicated.
- D. Cut joints flush for masonry walls to receive plaster or other direct-applied finishes (other than paint) unless otherwise indicated.

### 3.6 MASONRY JOINT REINFORCEMENT

- A. General: Install entire length of longitudinal side rods in mortar with a minimum cover of 5/8 inch on exterior side of walls, 1/2 inch elsewhere. Lap reinforcement a minimum of 6 inches.

1. Space reinforcement not more than 16 inches o.c.
  2. Space reinforcement not more than 8 inches o.c. in foundation walls and parapet walls.
  3. Provide reinforcement not more than 8 inches above and below wall openings and extending 12 inches beyond openings in addition to continuous reinforcement.
- B. Interrupt joint reinforcement at control and expansion joints unless otherwise indicated.
- C. Unless noted otherwise, provide continuity at wall intersections by using prefabricated T-shaped units.
- D. Provide continuity at corners by using prefabricated L-shaped units.

### 3.7 CONTROL AND EXPANSION JOINTS

- A. General: Install control and expansion joint materials in unit masonry as masonry progresses. Do not allow materials to span control and expansion joints without provision to allow for in-plane wall or partition movement.
- B. Form control joints in concrete masonry using one of the following methods:
1. Install preformed control-joint gaskets designed to fit standard sash block.
  2. Install interlocking units designed for control joints. Install bond-breaker strips at joint. Keep head joints free and clear of mortar or rake out joint for application of sealant.
- C. Provide horizontal, pressure-relieving joints by either leaving an air space or inserting a compressible filler of width required for installing sealant and backer rod, or as otherwise indicated.

### 3.8 LINTELS

- A. Install steel lintels where indicated.
- B. Unless noted otherwise, provide masonry lintels where shown and where openings of more than 24 inches for block-size units are shown without structural steel or other supporting lintels.
- C. Provide minimum bearing of 8 inches at each jamb unless otherwise indicated.

### 3.9 REINFORCED UNIT MASONRY INSTALLATION

- A. Temporary Formwork and Shores: Construct formwork and shores as needed to support reinforced masonry elements during construction.
1. Construct formwork to provide shape, line, and dimensions of completed masonry as indicated. Make forms sufficiently tight to prevent leakage of mortar and grout.

- Brace, tie, and support forms to maintain position and shape during construction and curing of reinforced masonry.
2. Do not remove forms and shores until reinforced masonry members have hardened sufficiently to carry their own weight and other loads that may be placed on them during construction.
- B. Placing Reinforcement: Comply with requirements in ACI 530.1/ASCE 6/TMS 602.
- C. Grouting: Do not place grout until entire height of masonry to be grouted has attained enough strength to resist grout pressure.
1. Comply with requirements in ACI 530.1/ASCE 6/TMS 602 for cleanouts and for grout placement, including minimum grout space and maximum pour height.
  2. Limit height of vertical grout pours to not more than 60 inches.
- 3.10 FIELD QUALITY CONTROL
- A. Special Inspections: Engage a special inspector and qualified testing and inspecting agency to perform field tests and inspections and prepare test reports.
- B. Inspections: Refer to Section 01 40 01 “Structural Special Inspections” for Special Inspection requirements.
- 3.11 REPAIRING, POINTING, AND CLEANING
- A. Remove and replace masonry units that are loose, chipped, broken, stained, or otherwise damaged or that do not match adjoining units. Install new units to match adjoining units; install in fresh mortar, pointed to eliminate evidence of replacement.
- B. Pointing: During the tooling of joints, enlarge voids and holes, except weep holes, and completely fill with mortar. Point up joints, including corners, openings, and adjacent construction, to provide a neat, uniform appearance. Prepare joints for sealant application, where indicated.
- C. In-Progress Cleaning: Clean unit masonry as work progresses by dry brushing to remove mortar fins and smears before tooling joints.
- D. Final Cleaning: After mortar is thoroughly set and cured, clean exposed masonry as follows:
1. Remove large mortar particles by hand with wooden paddles and nonmetallic scrape hoes or chisels.
  2. Test cleaning methods on sample wall panel; leave one-half of panel uncleaned for comparison purposes. Obtain Architect's approval of sample cleaning before proceeding with cleaning of masonry.
  3. Protect adjacent stone and non-masonry surfaces from contact with cleaner by covering them with liquid strippable masking agent or polyethylene film and waterproof masking tape.

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4. Wet wall surfaces with water before applying cleaners; remove cleaners promptly by rinsing surfaces thoroughly with clear water.
5. Clean masonry with a proprietary acidic cleaner applied according to manufacturer's written instructions.
6. Clean concrete masonry by cleaning method indicated in NCMA TEK 8-2A applicable to type of stain on exposed surfaces.

3.12 MASONRY WASTE DISPOSAL

- A. Salvageable Materials: Unless otherwise indicated, excess masonry materials are Contractor's property. At completion of unit masonry work, remove from Project site.
- B. Excess Masonry Waste: Remove excess clean masonry and other masonry waste, and legally dispose of off Owner's property.

END OF SECTION 04 22 01

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SECTION 05 12 00 - STRUCTURAL STEEL FRAMING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

- 1. Structural steel.
- 2. Field-installed shear connectors.
- 3. Grout.

B. Related Requirements:

- 1. Section 053100 "Steel Decking" for field installation of shear connectors through deck.

1.3 DEFINITIONS

- A. Structural Steel: Elements of the structural frame indicated on Drawings and as described in AISC 303, "Code of Standard Practice for Steel Buildings and Bridges."
- B. Seismic-Load-Resisting System: Elements of structural-steel frame designated as "SLRS" or along grid lines designated as "SLRS" on Drawings, including columns, beams, and braces and their connections.
- C. Heavy Sections: Rolled and built-up sections as follows:
  - 1. Shapes included in ASTM A 6/A 6M with flanges thicker than 1-1/2 inches (38 mm).
  - 2. Welded built-up members with plates thicker than 2 inches (50 mm).
  - 3. Column base plates thicker than 2 inches (50 mm).
- D. Protected Zone: Structural members or portions of structural members indicated as "protected zone" on Drawings. Connections of structural and nonstructural elements to protected zones are limited.



- E. Demand-Critical Welds: Those welds, the failure of which would result in significant degradation of the strength and stiffness of the seismic-load-resisting system and which are indicated as "demand critical" or "seismic critical" on Drawings.

#### 1.4 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of anchorage items to be embedded in or attached to other construction without delaying the Work. Provide setting diagrams, sheet metal templates, instructions, and directions for installation.

#### 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: Show fabrication of structural-steel components.
  - 1. Include details of cuts, connections, splices, camber, holes, and other pertinent data.
  - 2. Include embedment Drawings.
  - 3. Indicate welds by standard AWS symbols, distinguishing between shop and field welds, and show size, length, and type of each weld. Show backing bars that are to be removed and supplemental fillet welds where backing bars are to remain.
  - 4. Indicate type, size, and length of bolts, distinguishing between shop and field bolts. Identify pretensioned and slip-critical, high-strength bolted connections.
- C. Welding Procedure Specifications (WPSs) and Procedure Qualification Records (PQRs): Provide according to AWS D1.1/D1.1M, "Structural Welding Code - Steel," for each welded joint whether prequalified or qualified by testing, including the following:
  - 1. Power source (constant current or constant voltage).
  - 2. Electrode manufacturer and trade name, for demand critical welds.
- D. Delegated-Design Submittal: For structural-steel connections indicated to comply with design loads, include analysis data signed and sealed by the qualified structural engineer, licensed in the State of Illinois, responsible for their preparation.

#### 1.6 INFORMATIONAL SUBMITTALS

- A. Welding certificates, upon request.
- B. Paint Compatibility Certificates: From manufacturers of topcoats applied over shop primers, certifying that shop primers are compatible with topcoats.

- C. Mill test reports for structural steel, including chemical and physical properties.
- D. Product Test Reports: For the following:
  - 1. Bolts, nuts, and washers including mechanical properties and chemical analysis.
  - 2. Direct-tension indicators.
  - 3. Tension-control, high-strength, bolt-nut-washer assemblies.
  - 4. Shop primers.
  - 5. Nonshrink grout.
- E. Survey of existing conditions.
- F. Source quality-control reports.
- G. Field quality-control and special inspection reports.

#### 1.7 QUALITY ASSURANCE

- A. Fabricator Qualifications: A qualified fabricator that participates in the AISC Quality Certification Program and is designated an AISC-Certified Plant, Category BU.
- B. Installer Qualifications: A qualified installer who participates in the AISC Quality Certification Program and is designated an AISC-Certified Erector, Category CSE.
- C. Shop-Painting Applicators: Qualified according to AISC's Sophisticated Paint Endorsement P3 or to SSPC-QP 3, "Standard Procedure for Evaluating Qualifications of Shop Painting Applicators."
- D. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- E. Comply with applicable provisions of the following specifications and documents:
  - 1. AISC 303.
  - 2. AISC 360.
  - 3. RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts."

#### 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Store materials to permit easy access for inspection and identification. Keep steel members off ground and spaced by using pallets, dunnage, or other supports and spacers. Protect steel members and packaged materials from corrosion and deterioration.
  - 1. Do not store materials on structure in a manner that might cause distortion, damage, or overload to members or supporting structures. Repair or replace damaged materials or structures as directed.

- B. Store fasteners in a protected place in sealed containers with manufacturer's labels intact.
  - 1. Fasteners may be repackaged provided Owner's testing and inspecting agency observes repackaging and seals containers.
  - 2. Clean and relubricate bolts and nuts that become dry or rusty before use.
  - 3. Comply with manufacturers' written recommendations for cleaning and lubricating ASTM F 1852 fasteners and for retesting fasteners after lubrication.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Connections: Provide details of simple shear connections required by the Contract Documents to be selected or completed by structural-steel fabricator to withstand loads indicated and comply with other information and restrictions indicated.
  - 1. Select and complete connections using schematic details indicated and AISC 360.
  - 2. Use Allowable Stress Design; data are given at service-load level.
- B. Moment Connections: Type FR, fully restrained.
- C. Construction: Combined system of moment frames and braced frames.

### 2.2 STRUCTURAL-STEEL MATERIALS

- A. W-Shapes: ASTM A 992/A 992M.
- B. Channels, Angles, M-Shapes: ASTM A 36/A 36M.
- C. Plate and Bar:
  - 1. For use in non-lateral load resistant connections: ASTM A 36/A 36M.
  - 2. For use in all lateral load resistant connection components: ASTM A572 Gr. 50.
- D. Cold-Formed Hollow Structural Sections: ASTM A 500/A 500M, Grade C, structural tubing.
- E. Steel Pipe: ASTM A 53/A 53M, Type E or Type S, Grade B.
  - 1. Weight Class: As indicated.
  - 2. Finish: Black except where indicated to be galvanized.
- F. Welding Electrodes: Comply with AWS requirements.

2.3 BOLTS, CONNECTORS, AND ANCHORS

- A. High-Strength Bolts, Nuts, and Washers: ASTM A 325 (ASTM A 325M), Type 1, heavy-hex steel structural bolts; ASTM A 563, Grade C, (ASTM A 563M, Class 8S) heavy-hex carbon-steel nuts; and ASTM F 436 (ASTM F 436M), Type 1, hardened carbon-steel washers; all with plain finish.
  - 1. Direct-Tension Indicators: ASTM F 959, Type 325 (ASTM F 959M, Type 8.8), compressible-washer type with plain finish.
- B. Zinc-Coated High-Strength Bolts, Nuts, and Washers: ASTM A 325 (ASTM A 325M), Type 1, heavy-hex steel structural bolts; ASTM A 563, Grade DH (ASTM A 563M, Class 10S) heavy-hex carbon-steel nuts; and ASTM F 436 (ASTM F 436M), Type 1, hardened carbon-steel washers.
  - 1. Finish: Hot-dip zinc coating.
  - 2. Direct-Tension Indicators: ASTM F 959, Type 325 (ASTM F 959M, Type 8.8), compressible-washer type with mechanically deposited zinc coating finish.
- C. Tension-Control, High-Strength Bolt-Nut-Washer Assemblies: ASTM F 1852, Type 1, round head assemblies consisting of steel structural bolts with splined ends, heavy-hex carbon-steel nuts, and hardened carbon-steel washers.
  - 1. Finish: Plain.
- D. Unheaded Anchor Rods: ASTM F 1554, Grade 36.
  - 1. Configuration: Straight.
  - 2. Nuts: ASTM A 563 (ASTM A 563M) heavy-hex carbon steel.
  - 3. Plate Washers: ASTM A 36/A 36M carbon steel.
  - 4. Washers: ASTM F 436 (ASTM F 436M), Type 1, hardened carbon steel.
  - 5. Finish: Plain.
- E. Headed Anchor Rods: ASTM F 1554, Grade 36, straight.
  - 1. Nuts: ASTM A 563 (ASTM A 563M) heavy-hex carbon steel.
  - 2. Plate Washers: ASTM A 36/A 36M carbon steel.
  - 3. Washers: ASTM F 436 (ASTM F 436M), Type 1, hardened carbon steel.
  - 4. Finish: Plain.
- F. Threaded Rods: ASTM A 36/A 36M.
  - 1. Nuts: ASTM A 563 (ASTM A 563M) heavy-hex carbon steel.
  - 2. Washers: ASTM F 436 (ASTM F 436M), Type 1, hardened carbon steel.
  - 3. Finish: Plain.
- G. Eye Bolts and Nuts: Made from cold-finished carbon steel bars, ASTM A 108, Grade 1030.
- H. Sleeve Nuts: Made from cold-finished carbon steel bars, ASTM A 108, Grade 1018.

2.4 PRIMER

- A. Primer: Comply with Section 099114 "Exterior Painting," Section 099124 "Interior Painting," and Section 099600 "High-Performance Coatings."
- B. Galvanizing Repair Paint: ASTM A 780/A 780M.

2.5 GROUT

- A. Nonmetallic, Shrinkage-Resistant Grout: ASTM C 1107/C 1107M, factory-packaged, nonmetallic aggregate grout, noncorrosive and nonstaining, mixed with water to consistency suitable for application and a 30-minute working time.

2.6 FABRICATION

- A. Structural Steel: Fabricate and assemble in shop to greatest extent possible. Fabricate according to AISC 303, "Code of Standard Practice for Steel Buildings and Bridges," and to AISC 360.
  - 1. Camber structural-steel members where indicated.
  - 2. Fabricate beams with rolling camber up.
  - 3. Identify high-strength structural steel according to ASTM A 6/A 6M and maintain markings until structural steel has been erected.
  - 4. Mark and match-mark materials for field assembly.
  - 5. Complete structural-steel assemblies, including welding of units, before starting shop-priming operations.
- B. Thermal Cutting: Perform thermal cutting by machine to greatest extent possible.
  - 1. Plane thermally cut edges to be welded to comply with requirements in AWS D1.1/D1.1M.
- C. Bolt Holes: Cut, drill, or punch standard bolt holes perpendicular to metal surfaces.
- D. Finishing: Accurately finish ends of columns and other members transmitting bearing loads.
- E. Cleaning: Clean and prepare steel surfaces that are to remain unpainted according SSPC-SP 3, "Power Tool Cleaning."
- F. Holes: Provide holes required for securing other work to structural steel and for other work to pass through steel members.
  - 1. Cut, drill, or punch holes perpendicular to steel surfaces. Do not thermally cut bolt holes or enlarge holes by burning.
  - 2. Baseplate Holes: Cut, drill, mechanically thermal cut, or punch holes perpendicular to steel surfaces.

3. Weld threaded nuts to framing and other specialty items indicated to receive other work.

## 2.7 SHOP CONNECTIONS

- A. High-Strength Bolts: Shop install high-strength bolts according to RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts" for type of bolt and type of joint specified.
  1. Joint Type: Snug tightened.
- B. Weld Connections: Comply with AWS D1.1/D1.1M for tolerances, appearances, welding procedure specifications, weld quality, and methods used in correcting welding work.
  1. Assemble and weld built-up sections by methods that maintain true alignment of axes without exceeding tolerances in AISC 303 for mill material.

## 2.8 SHOP PRIMING

- A. Shop prime steel surfaces except the following:
  1. Surfaces embedded in concrete or mortar. Extend priming of partially embedded members to a depth of 2 inches (50 mm).
  2. Surfaces to be field welded.
  3. Surfaces of high-strength bolted, slip-critical connections.
  4. Surfaces to receive sprayed fire-resistive materials (applied fireproofing).
  5. Galvanized surfaces.
- B. Surface Preparation: Clean surfaces to be painted. Remove loose rust and mill scale and spatter, slag, or flux deposits. Prepare surfaces according to the following specifications and standards:
  1. SSPC-SP 3, "Power Tool Cleaning."
- C. Priming: Immediately after surface preparation, apply primer according to manufacturer's written instructions and at rate recommended by SSPC to provide a minimum dry film thickness of 1.5 mils (0.038 mm). Use priming methods that result in full coverage of joints, corners, edges, and exposed surfaces.
  1. Stripe paint corners, crevices, bolts, welds, and sharp edges.
  2. Apply two coats of shop paint to surfaces that are inaccessible after assembly or erection. Change color of second coat to distinguish it from first.

## 2.9 GALVANIZING

- A. Hot-Dip Galvanized Finish: Apply zinc coating by the hot-dip process to structural steel according to ASTM A 123/A 123M.
  - 1. Fill vent and drain holes that are exposed in the finished Work unless they function as weep holes, by plugging with zinc solder and filing off smooth.
  - 2. Galvanize lintels and shelf angles attached to structural-steel frame and located in exterior walls.

## 2.10 SOURCE QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform shop tests and inspections.
  - 1. Provide testing agency with access to places where structural-steel work is being fabricated or produced to perform tests and inspections.
- B. Inspections: Refer to Section 014001 for Special Inspection requirements.
- C. Prepare test and inspection reports.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Verify, with certified steel erector present, elevations of concrete- and masonry-bearing surfaces and locations of anchor rods, bearing plates, and other embedments for compliance with requirements.
  - 1. Prepare a certified survey of existing conditions. Include bearing surfaces, anchor rods, bearing plates, and other embedments showing dimensions, locations, angles, and elevations.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Provide temporary shores, guys, braces, and other supports during erection to keep structural steel secure, plumb, and in alignment against temporary construction loads and loads equal in intensity to design loads. Remove temporary supports when permanent structural steel, connections, and bracing are in place unless otherwise indicated.
  - 1. Do not remove temporary shoring supporting composite deck construction until cast-in-place concrete has attained its design compressive strength.

### 3.3 ERECTION

- A. Set structural steel accurately in locations and to elevations indicated and according to AISC 303 and AISC 360.
- B. Baseplates Bearing Plates and Leveling Plates: Clean concrete- and masonry-bearing surfaces of bond-reducing materials and roughen surfaces prior to setting plates. Clean bottom surface of plates.
  - 1. Set plates for structural members on wedges, shims, or setting nuts as required.
  - 2. Weld plate washers to top of baseplate.
  - 3. Snug-tighten anchor rods after supported members have been positioned and plumbed. Do not remove wedges or shims but, if protruding, cut off flush with edge of plate before packing with grout.
  - 4. Promptly pack grout solidly between bearing surfaces and plates so no voids remain. Neatly finish exposed surfaces; protect grout and allow to cure. Comply with manufacturer's written installation instructions for shrinkage-resistant grouts.
- C. Maintain erection tolerances of structural steel within AISC 303, "Code of Standard Practice for Steel Buildings and Bridges."
- D. Align and adjust various members that form part of complete frame or structure before permanently fastening. Before assembly, clean bearing surfaces and other surfaces that are in permanent contact with members. Perform necessary adjustments to compensate for discrepancies in elevations and alignment.
  - 1. Level and plumb individual members of structure.
  - 2. Make allowances for difference between temperature at time of erection and mean temperature when structure is completed and in service.
- E. Splice members only where indicated.
- F. Do not use thermal cutting during erection.
- G. Do not enlarge unfair holes in members by burning or using drift pins. Ream holes that must be enlarged to admit bolts.

### 3.4 FIELD CONNECTIONS

- A. High-Strength Bolts: Install high-strength bolts according to RCSC's "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts" for type of bolt and type of joint specified.
  - 1. Joint Type: Snug tightened, unless otherwise noted.
- B. Weld Connections: Comply with AWS D1.1/D1.1M for tolerances, appearances, welding procedure specifications, weld quality, and methods used in correcting welding work.



SECTION 05 12 00 -  
STRUCTURAL STEEL FRAMING

1. Comply with AISC 303 and AISC 360 for bearing, alignment, adequacy of temporary connections, and removal of paint on surfaces adjacent to field welds.
2. Remove backing bars or runoff tabs, back gouge, and grind steel smooth.
3. Assemble and weld built-up sections by methods that maintain true alignment of axes without exceeding tolerances in AISC 303, "Code of Standard Practice for Steel Buildings and Bridges," for mill material.

3.5 FIELD QUALITY CONTROL

- A. Special Inspections: Engage a special inspector and qualified testing and inspecting agency to perform field tests and inspections and prepare test reports.
- B. Inspections: Refer to Section 014001 for Special Inspection requirements.

3.6 REPAIRS AND PROTECTION

- A. Galvanized Surfaces: Clean areas where galvanizing is damaged or missing and repair galvanizing to comply with ASTM A 780/A 780M.
- B. Touchup Painting: Immediately after erection, clean exposed areas where primer is damaged or missing and paint with the same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.
  1. Clean and prepare surfaces by SSPC-SP 2 hand-tool cleaning or SSPC-SP 3 power-tool cleaning.
- C. Touchup Painting: Cleaning and touchup painting are specified in Section 099113 "Exterior Painting" and Section 099123 "Interior Painting."
- D. Touchup Priming: Cleaning and touchup priming are specified in Section 099600 "High-Performance Coatings."

END OF SECTION 05 12 00

SECTION 05 21 00 - STEEL JOIST FRAMING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. K-series steel joists.
  - 2. LH-series long-span steel joists.
  - 3. Custom curved joists.
  - 4. Joist accessories.

1.3 DEFINITIONS

- A. SJI's "Specifications": Steel Joist Institute's "Standard Specifications, Load Tables and Weight Tables for Steel Joists and Joist Girders."
- B. Special Joists: Steel joists or joist girders requiring modification by manufacturer to support nonuniform, unequal, or special loading conditions that invalidate load tables in SJI's "Specifications."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of joist, accessory, and product.
- B. Shop Drawings:
  - 1. Include layout, designation, number, type, location, and spacing of joists.
  - 2. Include joining and anchorage details; bracing, bridging, and joist accessories; splice and connection locations and details; and attachments to other construction.
  - 3. Indicate locations and details of bearing plates to be embedded in other construction.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For manufacturer, upon request.
- B. Welding certificates, upon request.
- C. Manufacturer certificates.
- D. Mill Certificates: For each type of bolt.
- E. Comprehensive engineering analysis of special joists signed and sealed by the qualified structural engineer responsible for its preparation.
- F. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Manufacturer Qualifications: A manufacturer certified by SJI to manufacture joists complying with applicable standard specifications and load tables in SJI's "Specifications."
  - 1. Manufacturer's responsibilities include providing professional engineering services for designing special joists to comply with performance requirements.
- B. Welding Qualifications: Qualify field-welding procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle joists as recommended in SJI's "Specifications."
- B. Protect joists from corrosion, deformation, and other damage during delivery, storage, and handling.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Provide special joists and connections capable of withstanding design loads indicated.
  - 1. Use ASD; data are given at service-load level.
  - 2. Design special joists to withstand design loads with live-load deflections no greater than the following:
    - a. Roof Joists: Vertical deflection of 1/240 of the span.

## 2.2 STEEL JOISTS

- A. Manufacture K-Series steel joists of type indicated according to "Standard Specification for Open Web Steel Joists, K-Series" in SJI's "Specifications," with steel-angle top- and bottom-chord members, underslung ends, and parallel top chord.
  - 1. Joist Type: K-series steel joists.
- B. Long-Span Steel Joist: Manufactured steel joists according to "Standard Specification for Longspan Steel Joists, LH-Series and Deep Longspan Steel Joists, DLH-Series" in SJI's "Specifications," with steel-angle top- and bottom-chord members; of joist type and end and top-chord arrangements as indicated on Drawings.
  - 1. Joist Type: LH-Series long-span steel joists.
- C. Steel Joist Substitutes: Manufacture according to "Standard Specifications for Open Web Steel Joists, K-Series" in SJI's "Specifications," with steel-angle or -channel members.
- D. Provide holes in chord members for connecting and securing other construction to joists.
- E. Top-Chord Extensions: Extend top chords of joists with SJI's Type S top-chord extensions where indicated, complying with SJI's "Specifications."
- F. Extended Ends: Extend bearing ends of joists with SJI's Type R extended ends where indicated, complying with SJI's "Specifications."
- G. Camber joists according to SJI's "Specifications."
- H. Equip bearing ends of joists with manufacturer's standard beveled ends or sloped shoes if joist slope exceeds 1/4 inch per 12 inches (1:48).

## 2.3 PRIMERS

- A. Primer: SSPC-Paint 15, or manufacturer's standard shop primer complying with performance requirements in SSPC-Paint 15.

## 2.4 JOIST ACCESSORIES

- A. Bridging: Provide bridging anchors and number of rows of diagonal bridging of material, size, and type required by SJI's "Specifications" for type of joist, chord size, spacing, and span. Furnish additional erection bridging if required for stability.
- B. Fabricate steel bearing plates from ASTM A 36/A 36M steel with integral anchorages of sizes and thicknesses indicated. Shop prime paint.

- C. Steel bearing plates with integral anchorages are specified in Section 055000 "Metal Fabrications."
- D. Furnish ceiling extensions, either extended bottom-chord elements or a separate extension unit of enough strength to support ceiling construction. Extend ends to within 1/2 inch (13 mm) of finished wall surface unless otherwise indicated.
- E. Welding Electrodes: Comply with AWS standards.
- F. Galvanizing Repair Paint: ASTM A 780/A 780M.
- G. Furnish miscellaneous accessories including splice plates and bolts required by joist manufacturer to complete joist assembly.

## 2.5 CLEANING AND SHOP PAINTING

- A. Clean and remove loose scale, heavy rust, and other foreign materials from fabricated joists and accessories by hand-tool cleaning, SSPC-SP 2 or power-tool cleaning, SSPC-SP 3.
- B. Do not prime paint joists and accessories to receive sprayed fire-resistive materials.
- C. Shop priming of joists and joist accessories is specified in Section 099124 "Interior Painting."

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine supporting substrates, embedded bearing plates, and abutting structural framing for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Do not install joists until supporting construction is in place and secured.
- B. Install joists and accessories plumb, square, and true to line; securely fasten to supporting construction according to SJ's "Specifications" joist manufacturer's written instructions, and requirements in this Section.
  - 1. Before installation, splice joists delivered to Project site in more than one piece.
  - 2. Space, adjust, and align joists accurately in location before permanently fastening.

3. Install temporary bracing and erection bridging, connections, and anchors to ensure that joists are stabilized during construction.
  - C. Field weld joists to supporting steel bearing plates. Coordinate welding sequence and procedure with placement of joists. Comply with AWS requirements and procedures for welding, appearance and quality of welds, and methods used in correcting welding work.
  - D. Install and connect bridging concurrently with joist erection, before construction loads are applied. Anchor ends of bridging lines at top and bottom chords if terminating at walls or beams.
- 3.3 FIELD QUALITY CONTROL
- A. Special Inspections: Engage a special inspector and qualified testing and inspecting agency to perform field tests and inspections and prepare test reports.
  - B. Inspections: Refer to Section 014001 for Special Inspection requirements.
- 3.4 PROTECTION
- A. Repair damaged galvanized coatings on galvanized items with galvanized repair paint according to ASTM A 780/A 780M and manufacturer's written instructions.
  - B. Touchup Painting: After installation, promptly clean, prepare, and prime or reprime field connections, rust spots, and abraded surfaces of prime-painted joists, bearing plates and accessories.
    1. Clean and prepare surfaces by hand-tool cleaning according to SSPC-SP 2 or power-tool cleaning according to SSPC-SP 3.
    2. Apply a compatible primer of same type as primer used on adjacent surfaces.
  - C. Touchup Painting: Cleaning and touchup painting are specified in Section 099124 "Interior Painting."

END OF SECTION 05 21 00

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SECTION 05 31 00 - STEEL DECKING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Roof deck.
  - 2. Acoustical roof deck.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of deck, accessory, and product indicated.
- B. Shop Drawings:
  - 1. Include layout and types of deck panels, anchorage details, reinforcing channels, pans, cut deck openings, special jointing, accessories, and attachments to other construction.

1.4 INFORMATIONAL SUBMITTALS

- A. Welding certificates, upon request.
- B. Product Certificates: For each type of steel deck.
- C. Product Test Reports: For tests performed by a qualified testing agency, indicating that each of the following complies with requirements:
  - 1. Power-actuated mechanical fasteners.
  - 2. Acoustical roof deck.
- D. Evaluation Reports: For steel deck, from ICC-ES.
- E. Field quality-control reports.



1.5 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Qualified according to ASTM E 329 for testing indicated.
- B. Welding Qualifications: Qualify procedures and personnel according to AWS D1.3/D1.3M, "Structural Welding Code - Sheet Steel."

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Protect steel deck from corrosion, deformation, and other damage during delivery, storage, and handling.
- B. Stack steel deck on platforms or pallets and slope to provide drainage. Protect with a waterproof covering and ventilate to avoid condensation.
  - 1. Protect and ventilate acoustical cellular roof deck with factory-installed insulation to maintain insulation free of moisture.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. AISI Specifications: Comply with calculated structural characteristics of steel deck according to AISI's "North American Specification for the Design of Cold-Formed Steel Structural Members."
- B. Fire-Resistance Ratings: Comply with ASTM E 119; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Indicate design designations from UL's "Fire Resistance Directory" or from the listings of another qualified testing agency.

2.2 ROOF DECK

- A. Roof Deck: Fabricate panels, without top-flange stiffening grooves, to comply with "SDI Specifications and Commentary for Steel Roof Deck," in SDI Publication No. 31, and with the following:
  - 1. Galvanized-Steel Sheet: ASTM A 653/A 653M, Structural Steel (SS), Grade 33 (230) G90 (Z275) zinc coating.
  - 2. Deck Profile: Type WR, wide rib.
  - 3. Cellular Deck Profile: Type WR, wide rib, with bottom plate.
  - 4. Profile Depth: 1-1/2 inches (38 mm).
  - 5. Design Uncoated-Steel Thickness: As indicated.
  - 6. Design Uncoated-Steel Thicknesses; Deck Unit/Bottom Plate: As indicated.
  - 7. Span Condition: Triple span or more.

8. Side Laps: Overlapped.

### 2.3 ACOUSTICAL ROOF DECK

- A. Acoustical Roof Deck: Fabricate panels, without top-flange stiffening grooves, to comply with "SDI Specifications and Commentary for Steel Roof Deck," in SDI Publication No. 31, and with the following:
  1. Galvanized-Steel Sheet: ASTM A 653/A 653M, Structural Steel (SS), Grade 33 (230), G90 (Z275) zinc coating.
  2. Deck Profile: Type WR, wide rib.
  3. Cellular Deck Profile: Type WR, wide rib, with bottom plate.
  4. Profile Depth: 1-1/2 inches (38 mm).
  5. Design Uncoated-Steel Thickness: As indicated.
  6. Design Uncoated-Steel Thicknesses; Deck Unit/Bottom Plate: 0.0358/0.0358 inch (0.91/0.91 mm).
  7. Span Condition: Triple span or more.
  8. Side Laps: Overlapped.
  9. Acoustical Perforations: Cellular deck units with manufacturer's standard perforated flat-bottom plate welded to ribbed deck.
  10. Sound-Absorbing Insulation: Manufacturer's standard premolded roll or strip of glass or mineral fiber.
    - a. Factory install sound-absorbing insulation into cells of cellular deck.
  11. Acoustical Performance: NRC 0.65, tested according to ASTM C 423.

### 2.4 ACCESSORIES

- A. General: Provide manufacturer's standard accessory materials for deck that comply with requirements indicated.
- B. Mechanical Fasteners: Corrosion-resistant, low-velocity, power-actuated or pneumatically driven carbon-steel fasteners; or self-drilling, self-threading screws.
- C. Side-Lap Fasteners: Corrosion-resistant, hexagonal washer head; self-drilling, carbon-steel screws, No. 10 (4.8-mm) minimum diameter.
- D. Flexible Closure Strips: Vulcanized, closed-cell, synthetic rubber.
- E. Miscellaneous Sheet Metal Deck Accessories: Steel sheet, minimum yield strength of 33,000 psi (230 MPa), not less than 0.0359-inch (0.91-mm) design uncoated thickness, of same material and finish as deck; of profile indicated or required for application.
- F. Pour Stops and Girder Fillers: Steel sheet, minimum yield strength of 33,000 psi (230 MPa), of same material and finish as deck, and of thickness and profile recommended by SDI Publication No. 31 for overhang and slab depth.

- G. Column Closures, End Closures, Z-Closures, and Cover Plates: Steel sheet, of same material, finish, and thickness as deck unless otherwise indicated.
- H. Piercing Hanger Tabs: Piercing steel sheet hanger attachment devices for use with floor deck.
- I. Flat Sump Plates: Single-piece steel sheet, 0.0747 inch (1.90 mm) thick, of same material and finish as deck. For drains, cut holes in the field.
- J. Recessed Sump Pans: Single-piece steel sheet, 0.0747 inch (1.90 mm) thick, of same material and finish as deck, with 3-inch- (76-mm-) wide flanges and [level] [sloped] recessed pans of 1-1/2-inch (38-mm) minimum depth. For drains, cut holes in the field.
- K. Galvanizing Repair Paint: ASTM A 780/A 780M.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine supporting frame and field conditions for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION, GENERAL

- A. Install deck panels and accessories according to applicable specifications and commentary in SDI Publication No. 31, manufacturer's written instructions, and requirements in this Section.
- B. Install temporary shoring before placing deck panels if required to meet deflection limitations.
- C. Locate deck bundles to prevent overloading of supporting members.
- D. Place deck panels on supporting frame and adjust to final position with ends accurately aligned and bearing on supporting frame before being permanently fastened. Do not stretch or contract side-lap interlocks.
  - 1. Align cellular deck panels over full length of cell runs and align cells at ends of abutting panels.
- E. Place deck panels flat and square and fasten to supporting frame without warp or deflection.
- F. Cut and neatly fit deck panels and accessories around openings and other work projecting through or adjacent to deck.

- G. Provide additional reinforcement and closure pieces at openings as required for strength, continuity of deck, and support of other work.
- H. Comply with AWS requirements and procedures for manual shielded metal arc welding, appearance and quality of welds, and methods used for correcting welding work.
- I. Mechanical fasteners may be used in lieu of welding to fasten deck. Locate mechanical fasteners and install according to deck manufacturer's written instructions.

### 3.3 ROOF-DECK INSTALLATION

- A. Fasten roof-deck panels to steel supporting members with mechanical fasteners as indicated.
- B. Side-Lap and Perimeter Edge Fastening: Fasten side laps and perimeter edges of panels between supports, at intervals not exceeding the lesser of one-half of the span or 18 inches (457 mm), and as follows:
  - 1. Mechanically fasten with self-drilling, No. 10 tek or larger, carbon-steel screws.
  - 2. Mechanically clinch or button punch.
- C. End Bearing: Install deck ends over supporting frame with a minimum end bearing of 1-1/2 inches (38 mm), with end joints as follows:
  - 1. End Joints: Lapped 2 inches (51 mm) minimum.
- D. Roof Sump Pans and Sump Plates: Install over openings provided in roof deck and mechanically fasten flanges to top of deck. Space mechanical fasteners not more than 12 inches (305 mm) apart with at least one fastener at each corner.
  - 1. Install reinforcing channels or zees in ribs to span between supports and mechanically fasten.
- E. Miscellaneous Roof-Deck Accessories: Install ridge and valley plates, finish strips, end closures, and reinforcing channels according to deck manufacturer's written instructions. Mechanically fasten to substrate to provide a complete deck installation.
  - 1. Weld cover plates at changes in direction of roof-deck panels unless otherwise indicated.
- F. Flexible Closure Strips: Install flexible closure strips over partitions, walls, and where indicated. Install with adhesive according to manufacturer's written instructions to ensure complete closure.
- G. Sound-Absorbing Insulation: Installation into topside ribs of deck.

3.4 FIELD QUALITY CONTROL

- A. Special Inspections: Engage a special inspector and qualified testing and inspecting agency to perform field tests and inspections and prepare test reports.
- B. Inspections: Refer to Section 014001 for Special Inspection requirements.

3.5 PROTECTION

- A. Galvanizing Repairs: Prepare and repair damaged galvanized coatings on both surfaces of deck with galvanized repair paint according to ASTM A 780/A 780M and manufacturer's written instructions.

END OF SECTION 05 31 00

SECTION 05 40 00 - COLD-FORMED METAL FRAMING

PART 1 - PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Base Bid:
  - 1. Formed steel stud exterior wall and interior wall framing.

1.2 RELATED REQUIREMENTS

- A. Section 04 20 00 - Unit Masonry.
- B. Section 06 10 00 - Rough Carpentry.
- C. Section 07 21 00 - Thermal Insulation: Insulation within framing members.
- D. Section 07 25 00 - Weather Barriers: Weather barrier over sheathing.
- E. Section 07 62 00 - Sheet Metal Flashing and Trim: Head and sill flashings.
- F. Section 07 92 00 - Joint Sealants.

1.3 REFERENCE STANDARDS

- A. AISI S100-12 - North American Specification for the Design of Cold-Formed Steel Structural Members; American Iron and Steel Institute; 2012.
- B. ASTM A153/A153M - Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware; 2009.
- C. ASTM A653/A653M - Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process; 2015.
- D. ASTM C955 - Standard Specification for Load-Bearing (Transverse and Axial) Steel Studs, Runners (Tracks), and Bracing or Bridging for Screw Application of Gypsum Panel Products and Metal Plaster Bases; 2015.
- E. ASTM C1007 - Standard Specification for Installation of Load Bearing (Transverse and Axial) Steel Studs and Related Accessories; 2011a (Reapproved 2015).
- F. AWS D1.1/D1.1M - Structural Welding Code - Steel; 2015 (Errata 2016).
- G. SSPC-Paint 20 - Zinc-Rich Primers (Type I, "Inorganic," and Type II, "Organic"); 2002 (Ed. 2004).

1.4 ADMINISTRATIVE REQUIREMENTS

- A. Coordinate with work of other sections that is to be installed in or adjacent to the metal framing system, including but not limited to structural anchors, cladding anchors, utilities, insulation, and firestopping.

1.5 SUBMITTALS

- A. See Section 01 33 00 - Submittal Procedures.
- B. Product Data: Provide data on standard framing members; describe materials and finish, product criteria, limitations.
- C. Product Data: Provide manufacturer's data on factory-made framing connectors, showing compliance with requirements.
- D. Shop Drawings: Indicate component details, framed openings, bearing, anchorage, loading, welds, and type and location of fasteners, and accessories or items required of related work.
  - 1. Indicate stud layout.
  - 2. Describe method for securing studs to tracks and for bolted and/or welded framing connections.
  - 3. Design data:
- E. Manufacturer's Installation Instructions: Indicate special procedures, conditions requiring special attention.

1.6 QUALITY ASSURANCE

- A. Component Design: Compute structural properties of studs in accordance with AISI "Specifications for the Design of Cold-Formed Steel Structural Members."
- B. Welding Requirements: Comply with AWS code for procedures, appearance, and quality of welds.
- C. Fire-Rated Assemblies: Where framing units are components of assemblies indicated for a fire-resistance rating, including those required for compliance with governing authorities having jurisdiction.
- D. Regulatory Requirements: Comply with the IBC (International Building Code), 2012 edition.
- E. Designer Qualifications: Design framing system under direct supervision of a Professional Structural Engineer experienced in design of this work and licensed in Illinois.

- F. Manufacturer Qualifications: Company specializing in manufacturing the types of products specified in this section, and with minimum three years of documented experience.
- G. Installer Qualifications: Company specializing in performing the work of this section with minimum three years documented experience and approved by manufacturer.

#### 1.7 MOCK-UP

- A. Provide mock-up of exterior framed wall, including components specified elsewhere, such as insulation, sheathing, window frame, door frame, exterior wall finish, and interior wall finish.
- B. Mock-Up Size: 8 by 6 feet, including corner condition.
- C. Location: As directed. Coordinate mock-up with masonry mock-up as specified in Section 04 20 00 - Unit Masonry.
- D. Mock-up may remain as part of the Work.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Metal Framing:
  - 1. CEMCO: [www.cemcosteel.com](http://www.cemcosteel.com).
  - 2. Marino: [www.marinoware.com](http://www.marinoware.com).
  - 3. The Steel Network, Inc: [www.SteelNetwork.com](http://www.SteelNetwork.com).
  - 4. Dale Industries, Inc., Dearborn, Michigan.
  - 5. Superior Steel Studs, Inc., Asonia, New York.
  - 6. USG Building Systems, Chicago, Illinois.
  - 7. or Approved Equal.
- B. Framing Connectors and Accessories:
  - 1. Same manufacturer as metal framing.

#### 2.2 FRAMING SYSTEM

- A. Provide primary and secondary framing members, bridging, bracing, plates, gussets, clips, fittings, reinforcement, and fastenings as required to provide a complete framing system.
- B. Design Requirements: Provide completed framing system having the following characteristics:



1. Design: Calculate structural characteristics of cold-formed steel framing members according to AISI S100-12.
  2. Structural Performance: Design, engineer, fabricate, and erect to withstand specified design loads for project conditions within required limits.
  3. Design Loads: In accordance with applicable codes.
  4. Able to tolerate movement of components without damage, failure of joint seals, undue stress on fasteners, or other detrimental effects when subject to seasonal or cyclic day/night temperature ranges.
  5. Able to accommodate construction tolerances, deflection of building structural members, and clearances of intended openings.
- C. Shop fabricate framing system to the greatest extent possible.
- D. Deliver to site in largest practical sections.

### 2.3 FRAMING MATERIALS

- A. Studs and Track: ASTM C955; studs formed to channel, "C", or "Sigma" shape with punched web; U-shaped track in matching nominal width and compatible height.
1. Interior non-load bearing studs: 20 gage (min.) unless noted otherwise on drawings. Coordinate with gages indicated on drawings prior to bid as required.
  2. Exterior perimeter and load bearing metal studs: 12 gage (min.)
  3. Stud sizes: As indicated on drawings.
  4. Galvanized in accordance with ASTM A653/A653M, G60/Z180 coating.
  5. Comply with L/600 maximum deflection.
- B. Steel Rafters: Manufacturer's standard C-shaped steel sections, of web depths indicated, with stiffened flanges.
1. Minimum base metal thickness: 0.0966 inch.
  2. Flange width: 1-5/8 inch
- C. Runners: Of same material and thickness as studs, bent leg retainer notched to receive studs with provision for crimp locking to stud. Ceiling runners with extended leg retainer.
- D. Furring and Bracing Members: Of same material as studs; thickness to suit purpose.

### 2.4 WALL SHEATHING

- A. As specified elsewhere.

### 2.5 ACCESSORIES

- A. Bracing, Furring, Bridging: Formed sheet steel, thickness determined for conditions encountered; finish to match framing components.

1. Lateral bridging for all exterior walls shall be V bar on both flanges screwed with #10 TEK screws - or welds top and bottom of V bars at studs 1/8" x 3/4" weld. CRC channel may also be used through punchouts with welds 1/8" x 3/8" each side each stud. All of the above to be sized to conform to stud size and spacing. Bridging to be installed at tops of studs under slide track and maximum spacing of 4'-0" o.c. vertically.
    - a. Design wall system to provide for movement of components without damage, failure of joint seals, undue stress on fasteners, or other detrimental effects when subject to seasonal or cyclic day/night temperature ranges.
    - b. Design system to accommodate construction tolerances, deflection of building structural members, and clearances of intended openings.
  2. Furring channels at walls: 7/8" depth or as indicated on drawings.
- B. Plates, Gussets, Clips: Formed Sheet Steel, thickness determined for conditions encountered; finish to match framing components.
- C. Touch-Up Primer for Galvanized Surfaces: SSPC-Paint 20, Type I - Inorganic, complying with VOC limitations of authorities having jurisdiction.
- D. Water-Resistive Barrier: As specified in Section 07 25 00

## 2.6 FASTENERS

- A. Anchorage Devices: Powder actuated, Drilled expansion bolts, and Screws with sleeves.

## PART 3 - PART 3 EXECUTION

### 3.1 EXAMINATION

- A. Verify that building framing components are ready to receive work.
- B. Verify that anchor bolts have been installed.
- C. Verify field measurements and adjust installation as required.
- D. Correct noted deficiencies before beginning of work. Start of work means Contractor's acceptance of existing conditions.

### 3.2 INSTALLATION OF STUDS

- A. Install components in accordance with manufacturers' instructions and ASTM C1007 requirements.

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- B. Align floor and ceiling tracks; locate to wall layout. Secure in place with fasteners at maximum 24 inches on center. Coordinate installation of sealant with floor and ceiling tracks.
- C. Place studs at 16 inches on center; not more than 2 inches from abutting walls and at each side of openings. Connect studs to tracks using the following method.
  - 1. Attachment of lightgauge framing components shall be done by welding using fillet, plug, butt, or seam welds at maximum 24" o.c. Dissimilar stud components shall be attached by welding, screws or bolts. Wire tying of framing components is not permitted.
- D. Construct corners using minimum of three studs. Install double studs at wall openings, door and window jambs.
- E. Install studs full length in one piece. Splicing of studs is not permitted.
- F. Install load bearing studs, brace, and reinforce to develop full strength and achieve design requirements.
- G. Coordinate placement of insulation in multiple stud spaces made inaccessible after erection.
- H. Install intermediate studs above and below openings to align with wall stud spacing.
- I. Provide deflection allowance in stud track, directly below horizontal building framing at non-load bearing framing.
- J. Attach framing to studs for attachment of fixtures anchored to walls.
- K. Install framing between studs for attachment of mechanical and electrical items, and to prevent stud rotation. Coordinate with other trades for installation of wood bucks, anchors and blocking to be placed within or behind stud framing for installation of work of other trades.
- L. Touch-up field welds and damaged galvanized surfaces with primer.
- M. See drawings for locations of partitions extending to ceiling only, and partitions extending through ceiling to structure above. Provide extended leg ceiling runners.
- N. Framing components may be prefabricated into panels prior to erection. Fabricated panels plumb, square, true to line and braces against racking with joints welded. Perform lifting of prefabricated panels in a manner to prevent damage or distortion in any members in the assembly.
- O. Make provisions for erection stresses. Provide temporary alignment and bracing.
- P. Ensure framing provides true and flat surfaces, ready to receive specified finish.

3.3 TOLERANCES

- A. Maximum Variation from True Position: 1/8 inch in 10 feet.
- B. Maximum Variation of any Member from Plane: 1/8 inch in 10 feet.
- C. Maximum Variation from Plumb: 1/8 inch in 10 feet.

END OF SECTION 05 40 00

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SECTION 05 50 00 - METAL FABRICATIONS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

- 1. Steel framing and supports for mechanical and electrical equipment.
- 2. Steel framing and supports for applications where framing and supports are not specified in other Sections.
- 3. Metal bollards.
- 4. Metal downspout boots.

B. Products furnished, but not installed, under this Section include the following:

- 1. Loose steel lintels.
- 2. Steel weld plates and angles for casting into concrete for applications where they are not specified in other Sections.

C. Related Requirements:

- 1. Section 04 20 00 "Unit Masonry" for installing loose lintels, anchor bolts, and other items built into unit masonry.

1.3 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written instructions to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of metal fabrications that are anchored to or that receive other work. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

1.4 ACTION SUBMITTALS

A. Product Data: For the following:

1. Metal bollards.
2. Metal downspout boots.

B. Shop Drawings: Show fabrication and installation details. Include plans, elevations, sections, and details of metal fabrications and their connections. Show anchorage and accessory items. Provide Shop Drawings for the following:

1. Steel framing and supports for overhead doors.
2. Steel framing and supports for mechanical and electrical equipment.
3. Steel framing and supports for applications where framing and supports are not specified in other Sections.
4. Metal bollards.
5. Loose steel lintels.

1.5 INFORMATIONAL SUBMITTALS

A. Qualification Data: For professional engineer's experience with providing delegated-design engineering services of the kind indicated, including documentation that engineer is licensed in the jurisdiction in which Project is located.

B. Welding certificates.

1.6 QUALITY ASSURANCE

A. Welding Qualifications: Qualify procedures and personnel in accordance with the following:

1. AWS D1.1/D1.1M, "Structural Welding Code - Steel."
2. AWS D1.2/D1.2M, "Structural Welding Code - Aluminum."
3. AWS D1.6/D1.6M, "Structural Welding Code - Stainless Steel."

1.7 FIELD CONDITIONS

A. Field Measurements: Verify actual locations of walls, floor slabs, decks, and other construction contiguous with metal fabrications by field measurements before fabrication.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes acting on exterior metal fabrications by preventing buckling, opening of joints, overstressing of components, failure of connections, and other detrimental effects.
  - 1. Temperature Change: 120 deg, ambient; 180 deg F, material surfaces.

### 2.2 METALS

- A. Metal Surfaces, General: Provide materials with smooth, flat surfaces unless otherwise indicated. For metal fabrications exposed to view in the completed Work, provide materials without seam marks, roller marks, rolled trade names, or blemishes.
- B. Steel Plates, Shapes, and Bars: ASTM A36/A36M.
- C. Stainless Steel Sheet, Strip, and Plate: ASTM A240/A240M or ASTM A666, Type 304.
- D. Stainless Steel Bars and Shapes: ASTM A276/A276M, Type 304.
- E. Rolled-Steel Floor Plate: ASTM A786/A786M, rolled from plate complying with ASTM A36/A36M or ASTM A283/A283M, Grade C or D.
- F. Rolled-Stainless Steel Floor Plate: ASTM A793.
- G. Aluminum Plate and Sheet: ASTM B209, Alloy 6061-T6.
- H. Nickel Silver Extrusions: ASTM B151/B151M, Alloy UNS No. C74500.

### 2.3 FASTENERS

- A. General: Unless otherwise indicated, provide Type 304 stainless steel fasteners for exterior use and zinc-plated fasteners with coating complying with ASTM B633 or ASTM F1941/F1941M, Class Fe/Zn 5, at exterior walls. Select fasteners for type, grade, and class required.
- B. Steel Bolts and Nuts: Regular hexagon-head bolts, ASTM A307, Grade A; with hex nuts, ASTM A563; and, where indicated, flat washers.
- C. High-Strength Bolts, Nuts, and Washers: ASTM F3125/F3125M, Grade A325, Type 3, heavy-hex steel structural bolts; ASTM A563, Grade DH3, heavy-hex carbon-steel nuts; and where indicated, flat washers.



- D. Stainless Steel Bolts and Nuts: Regular hexagon-head annealed stainless steel bolts, **ASTM F593**; with hex nuts, **ASTM F594**; and, where indicated, flat washers; Alloy Group 1.
- E. Anchor Bolts: ASTM F1554, Grade 36, of dimensions indicated; with nuts, **ASTM A563**; and, where indicated, flat washers.
  - 1. Hot-dip galvanize or provide mechanically deposited, zinc coating where item being fastened is indicated to be galvanized.
- F. Anchors, General: Capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing in accordance with ASTM E488/E488M, conducted by a qualified independent testing agency.
- G. Cast-in-Place Anchors in Concrete: Either threaded or wedge type unless otherwise indicated; galvanized ferrous castings, either ASTM A47/A47M malleable iron or ASTM A27/A27M cast steel. Provide bolts, washers, and shims as needed, all hot-dip galvanized per ASTM F2329/F2329M.

#### 2.4 MISCELLANEOUS MATERIALS

- A. Shop Primers: Provide primers that comply with Section 09 91 13 "Exterior Painting." Section 09 91 23 "Interior Painting."
- B. Universal Shop Primer: Fast-curing, lead- and chromate-free, universal modified-alkyd primer complying with MPI#79 and compatible with topcoat.
  - 1. Use primer containing pigments that make it easily distinguishable from zinc-rich primer.
- C. Water-Based Primer: Emulsion type, anticorrosive primer for mildly corrosive environments that is resistant to flash rusting when applied to cleaned steel, complying with MPI#107 and compatible with topcoat.
- D. Epoxy Zinc-Rich Primer: Complying with MPI#20 and compatible with topcoat.
- E. Shop Primer for Galvanized Steel: Primer formulated for exterior use over zinc-coated metal and compatible with finish paint systems indicated.
- F. Galvanizing Repair Paint: High-zinc-dust-content paint complying with SSPC-Paint 20 and compatible with paints specified to be used over it.
- G. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D1187/D1187M.

2.5 FABRICATION, GENERAL

- A. Shop Assembly: Preassemble items in the shop to greatest extent possible. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces. Clearly mark units for reassembly and coordinated installation.
- B. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately **1/32 inch** unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Form bent-metal corners to smallest radius possible without causing grain separation or otherwise impairing work.
- D. Form exposed work with accurate angles and surfaces and straight edges.
- E. Weld corners and seams continuously to comply with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- F. Form exposed connections with hairline joints, flush and smooth, using concealed fasteners or welds where possible. Where exposed fasteners are required, use Phillips flat-head (countersunk) fasteners unless otherwise indicated. Locate joints where least conspicuous.
- G. Fabricate seams and other connections that are exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- H. Cut, reinforce, drill, and tap metal fabrications as indicated to receive finish hardware, screws, and similar items.
- I. Provide for anchorage of type indicated; coordinate with supporting structure. Space anchoring devices to secure metal fabrications rigidly in place and to support indicated loads.
- J. Where units are indicated to be cast into concrete or built into masonry, equip with integrally welded steel strap anchors, **1/8 by 1-1/2 inches**, with a minimum **6-inch** embedment and **2-inch** hook, not less than **8 inches** from ends and corners of units and **24 inches** o.c., unless otherwise indicated.

2.6 MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Provide steel framing and supports not specified in other Sections as needed to complete the Work.

- B. Fabricate units from steel shapes, plates, and bars of welded construction unless otherwise indicated. Fabricate to sizes, shapes, and profiles indicated and as necessary to receive adjacent construction.
  - 1. Furnish inserts for units installed after concrete is placed.
- C. Galvanize miscellaneous framing and supports where indicated.
- D. Prime miscellaneous framing and supports with zinc-rich primer where indicated.

## 2.7 METAL BOLLARDS

- A. Fabricate metal bollards from Schedule 80 steel pipe.
  - 1. Bollards to be concrete filled, with bollard cap.
  - 2. Install as detailed on Drawings.
  - 3. Concrete Bollard Caps: Provide pre-manufactured reinforced concrete bollard cap.
    - a. Acceptable Manufacturers:
      - 1) Pipe Bollard Caps; TOPGARD; [www.topgard.com](http://www.topgard.com)
      - 2) Concrete Pipe Bollard Cap; Reliance Foundry; [www.reliance-foundry.com](http://www.reliance-foundry.com)
      - 3) Or Approved Equal.
- B. Prime steel bollards with zinc-rich primer.
  - 1. Finish painting in field.

## 2.8 METAL DOWNSPOUT BOOTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. J.R. Hoe & Sons Inc.
  - 2. Neenah Foundry Company.
- B. Source Limitations: Obtain downspout boots from single source from single manufacturer.
- C. Provide downspout boots made from cast iron in heights indicated with inlets of size and shape to suit downspouts. Provide units with flanges and holes for countersunk anchor bolts. Smooth interior without boxed corners or choke points; include integral lug slots, integral cleanout, cleanout cover, and tamper-proof fasteners.
  - 1. Configuration: Offset.
  - 2. Finish: Manufacturer's standard factory applied powder coat finish.
  - 3. Color: To be selected by Architect from manufacturer's full range of colors.
  - 4. Sized to fit downspout leader.
  - 5. Length: 28 inches.

6. Accessories: Manufacturer's standard stainless-steel fasteners, stainless steel building wall anchors, integral neoprene gaskets, and coupling - adapts rectangular leader to round drain line.

## 2.9 LOOSE STEEL LINTELS

- A. Fabricate loose steel lintels from steel angles and shapes of size indicated for openings and recesses in masonry walls and partitions at locations indicated. Fabricate in single lengths for each opening unless otherwise indicated. Weld adjoining members together to form a single unit where indicated.
- B. Provide stainless steel lintels at exterior installations. Provide prime painted galvanized steel lintels at interior. Provide as detailed on drawings.
  1. Lintels at exterior where combined by welding and welded portions are visible, provide finish coat of paint on exposed surfaces to prevent rust bleed thru on surfaces.

## 2.10 STEEL WELD PLATES AND ANGLES

- A. Provide steel weld plates and angles not specified in other Sections, for items supported from concrete construction as needed to complete the Work. Provide each unit with no fewer than two integrally welded steel strap anchors for embedding in concrete.

## 2.11 GENERAL FINISH REQUIREMENTS

- A. Finish metal fabrications after assembly.
- B. Finish exposed surfaces to remove tool and die marks and stretch lines, and to blend into surrounding surface.

## 2.12 STEEL AND IRON FINISHES

- A. Galvanizing: Hot-dip galvanize items as indicated to comply with ASTM A153/A153M for steel and iron hardware and with ASTM A123/A123M for other steel and iron products.
  1. Do not quench or apply post galvanizing treatments that might interfere with paint adhesion.
- B. Preparation for Shop Priming Galvanized Items: After galvanizing, thoroughly clean galvanized surfaces of grease, dirt, oil, flux, and other foreign matter, and treat with metallic phosphate process.

- C. Shop prime iron and steel items not indicated to be galvanized unless they are to be embedded in concrete, sprayed-on fireproofing, or masonry, or unless otherwise indicated.
  - 1. Shop prime with primers specified in Section 09 91 13 "Exterior Painting" primers specified in Section 09 91 23 "Interior Painting" unless otherwise indicated.
- D. Preparation for Shop Priming: Prepare surfaces to comply with requirements indicated below:
  - 1. Exterior Items: SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
  - 2. Items Indicated to Receive Zinc-Rich Primer: SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
  - 3. Items Indicated to Receive Primers Specified in Section 09 96 00 "High-Performance Coatings": SSPC-SP 6/NACE No. 3, "Commercial Blast Cleaning."
  - 4. Other Steel Items: SSPC-SP 3, "Power Tool Cleaning."
  - 5. Galvanized-Steel Items: SSPC-SP 16, "Brush-off Blast Cleaning of Coated and Uncoated Galvanized Steel, Stainless Steels, and Non-Ferrous Metals."
- E. Shop Priming: Apply shop primer to comply with SSPC-PA 1, "Paint Application Specification No. 1: Shop, Field, and Maintenance Painting of Steel," for shop painting.
  - 1. Stripe paint corners, crevices, bolts, welds, and sharp edges.

## 2.13 ALUMINUM FINISHES

- A. As-Fabricated Finish: AA-M12.

## PART 3 - EXECUTION

### 3.1 INSTALLATION, GENERAL

- A. Cutting, Fitting, and Placement: Perform cutting, drilling, and fitting required for installing metal fabrications. Set metal fabrications accurately in location, alignment, and elevation; with edges and surfaces level, plumb, true, and free of rack; and measured from established lines and levels.
- B. Fit exposed connections accurately together to form hairline joints. Weld connections that are not to be left as exposed joints but cannot be shop welded because of shipping size limitations. Do not weld, cut, or abrade surfaces of exterior units that have been hot-dip galvanized after fabrication and are for bolted or screwed field connections.
- C. Field Welding: Comply with the following requirements:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.

4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- D. Fastening to In-Place Construction: Provide anchorage devices and fasteners where metal fabrications are required to be fastened to in-place construction. Provide threaded fasteners for use with concrete and masonry inserts, toggle bolts, through bolts, lag screws, wood screws, and other connectors.
- E. Provide temporary bracing or anchors in formwork for items that are to be built into concrete, masonry, or similar construction.
- F. Corrosion Protection: Coat concealed surfaces of aluminum that come into contact with grout, concrete, masonry, wood, or dissimilar metals with the following:
  1. Cast Aluminum: Heavy coat of bituminous paint.
  2. Extruded Aluminum: Two coats of clear lacquer.

### 3.2 INSTALLATION OF MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Install framing and supports to comply with requirements of items being supported, including manufacturers' written instructions and requirements indicated on Shop Drawings.
- B. Anchor supports for overhead doors securely to, and rigidly brace from, building structure.

### 3.3 INSTALLATION OF METAL BOLLARDS

- A. Fill bollards solidly with concrete and allow concrete to cure seven days before installing. Crown concrete at top of bollard.
  1. Do not fill removable bollards with concrete.
- B. Anchor bollards in concrete with pipe sleeves preset and anchored into concrete or in formed or core-drilled holes not less than **42 inches** deep and **3/4 inch** larger than OD of bollard. Fill annular space around bollard solidly with shrinkage-resistant grout; mixed and placed to comply with grout manufacturer's written instructions. Slope grout up approximately **1/8 inch** toward bollard.
- C. Anchor bollards in place with concrete footings. Center and align bollards in holes **3 inches** above bottom of excavation. Place concrete and vibrate or tamp for consolidation. Support and brace bollards in position until concrete has cured.
- D. Fill bollards solidly with concrete, mounding top surface to shed water.
  1. Do not fill removable bollards with concrete.

3.4 INSTALLATION OF BEARING AND LEVELING PLATES

- A. Clean concrete and masonry bearing surfaces of bond-reducing materials, and roughen to improve bond to surfaces. Clean bottom surface of plates.
- B. Set bearing and leveling plates on wedges, shims, or leveling nuts. After bearing members have been positioned and plumbed, tighten anchor bolts. Do not remove wedges or shims but, if protruding, cut off flush with edge of bearing plate before packing with shrinkage-resistant grout. Pack grout solidly between bearing surfaces and plates to ensure that no voids remain.

3.5 REPAIRS

- A. Touchup Painting:
  - 1. Immediately after erection, clean field welds, bolted connections, and abraded areas. Paint uncoated and abraded areas with same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.
    - a. Apply by brush or spray to provide a minimum **2.0-mil** dry film thickness.
  - 2. Cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint are specified in Section 09 91 13 "Exterior Painting." Section 09 91 23 "Interior Painting."
- B. Galvanized Surfaces: Clean field welds, bolted connections, and abraded areas and repair galvanizing to comply with ASTM A780/A780M.

END OF SECTION 05 50 00

SECTION 06 10 00 - ROUGH CARPENTRY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Framing with dimension lumber.
2. Rooftop equipment bases and support curbs.
3. Wood blocking and nailers.
4. Wood furring.
5. Plywood backing panels.

B. Related Requirements:

1. Section 06 16 00 "Sheathing" for sheathing, subflooring, and underlayment.

1.3 DEFINITIONS

- A. Boards or Strips: Lumber of less than 2 inches nominal size in least dimension.
- B. Dimension Lumber: Lumber of 2 inches nominal size or greater but less than 5 inches nominal size in least dimension.
- C. Exposed Framing: Framing not concealed by other construction.
- D. OSB: Oriented strand board.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of process and factory-fabricated product. Indicate component materials and dimensions and include construction and application details.
  1. Include data for wood-preservative treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained.
  2. Include data for fire-retardant treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements.



Include physical properties of treated materials based on testing by a qualified independent testing agency.

- B. Fastener Patterns: Full-size templates for fasteners in exposed framing.

## 1.5 QUALITY ASSURANCE

- A. Testing Agency Qualifications: For testing agency providing classification marking for fire-retardant treated material, an inspection agency acceptable to authorities having jurisdiction that periodically performs inspections to verify that the material bearing the classification marking is representative of the material tested.

## 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Stack wood products flat with spacers beneath and between each bundle to provide air circulation. Protect wood products from weather by covering with waterproof sheeting, securely anchored. Provide for air circulation around stacks and under coverings.

## PART 2 - PRODUCTS

### 2.1 WOOD PRODUCTS, GENERAL

- A. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, comply with the applicable rules of any rules-writing agency certified by the ALSC Board of Review. Grade lumber by an agency certified by the ALSC Board of Review to inspect and grade lumber under the rules indicated.
  - 1. Factory mark each piece of lumber with grade stamp of grading agency.
  - 2. Dress lumber, S4S, unless otherwise indicated.
- B. Maximum Moisture Content of Lumber: 19 percent unless otherwise indicated.

### 2.2 WOOD-PRESERVATIVE-TREATED LUMBER

- A. Preservative Treatment by Pressure Process: AWP A U1; Use Category UC2 for interior construction not in contact with ground, Use Category UC3b for exterior construction not in contact with ground, and Use Category UC4a for items in contact with ground.
  - 1. Preservative Chemicals: Acceptable to authorities having jurisdiction and containing no arsenic or chromium. Do not use inorganic boron (SBX) for sill plates.
- B. Kiln-dry lumber after treatment to a maximum moisture content of 19 percent. Do not use material that is warped or that does not comply with requirements for untreated material.

- C. Mark lumber with treatment quality mark of an inspection agency approved by the ALSC Board of Review.
- D. Application: Treat items indicated on Drawings, and the following:
  - 1. Wood cants, nailers, curbs, equipment support bases, blocking, stripping, and similar members in connection with roofing, flashing, vapor barriers, and waterproofing.
  - 2. Wood sills, sleepers, blocking, and similar concealed members in contact with masonry or concrete.

### 2.3 FIRE-RETARDANT-TREATED MATERIALS

- A. General: Where fire-retardant-treated materials are indicated, materials shall comply with requirements in this article, that are acceptable to authorities having jurisdiction, and with fire-test-response characteristics specified as determined by testing identical products per test method indicated by a qualified testing agency.
- B. Fire-Retardant-Treated Lumber and Plywood by Pressure Process: Products with a flame-spread index of 25 or less when tested according to ASTM E84, and with no evidence of significant progressive combustion when the test is extended an additional 20 minutes, and with the flame front not extending more than **10.5 feet** beyond the centerline of the burners at any time during the test.
  - 1. Treatment shall not promote corrosion of metal fasteners.
  - 2. Exterior Type: Treated materials shall comply with requirements specified above for fire-retardant-treated lumber and plywood by pressure process after being subjected to accelerated weathering according to ASTM D2898. Use for exterior locations and where indicated.
  - 3. Interior Type A: Treated materials shall have a moisture content of 28 percent or less when tested according to ASTM D3201 at 92 percent relative humidity. Use where exterior type is not indicated.
- C. Kiln-dry lumber after treatment to maximum moisture content of 19 percent.
- D. Identify fire-retardant-treated wood with appropriate classification marking of qualified testing agency.
- E. Application: Treat rough carpentry as required where installed in fire rated construction.

### 2.4 MISCELLANEOUS LUMBER

- A. General: Provide miscellaneous lumber indicated and lumber for support or attachment of other construction, including the following:
  - 1. Blocking.
  - 2. Nailers.
  - 3. Rooftop equipment bases and support curbs.
  - 4. Furring.

- B. Dimension Lumber Items: Construction or No. 2 grade lumber of any of the following species: the following species:
  - 1. Mixed southern pine or southern pine; SPIB.
  - 2. Douglas Fir; WCLB or WWPA.
- C. Concealed Boards: 19 percent maximum moisture content and any of the following species and grades:
  - 1. Mixed southern pine or southern pine; No. 2 grade; SPIB.
- D. For blocking not used for attachment of other construction, Utility, Stud, or No. 3 grade lumber of any species may be used provided that it is cut and selected to eliminate defects that will interfere with its attachment and purpose.
- E. For blocking and nailers used for attachment of other construction, select and cut lumber to eliminate knots and other defects that will interfere with attachment of other work.
- F. For furring strips for installing plywood or hardboard paneling, select boards with no knots capable of producing bent-over nails and damage to paneling.

## 2.5 PLYWOOD BACKING PANELS

- A. Equipment Backing Panels: Plywood, DOC PS 1, Exterior, A-C, fire-retardant treated, in thickness indicated or, if not indicated, not less than **3/4-inch** nominal thickness.

## 2.6 FASTENERS

- A. General: Fasteners shall be of size and type indicated and shall comply with requirements specified in this article for material and manufacture.
  - 1. Where rough carpentry is exposed to weather, in ground contact, pressure-preservative treated, or in area of high relative humidity, provide fasteners of Type 304 stainless steel.
- B. Nails, Brads, and Staples: ASTM F1667.
- C. Power-Driven Fasteners: Fastener systems with an evaluation report acceptable to authorities having jurisdiction, based on ICC-ES AC70.

## 2.7 MISCELLANEOUS MATERIALS

- A. Flexible Flashing: Composite, self-adhesive, flashing product consisting of a pliable, butyl rubber or rubberized-asphalt compound, bonded to a high-density polyethylene film, aluminum foil, or spunbonded polyolefin to produce an overall thickness of not less than **0.025 inch**.

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

- A. Framing Standard: Comply with AF&PA's WCD 1, "Details for Conventional Wood Frame Construction," unless otherwise indicated.
- B. Set rough carpentry to required levels and lines, with members plumb, true to line, cut, and fitted. Fit rough carpentry accurately to other construction. Locate nailers, blocking, and similar supports to comply with requirements for attaching other construction.
- C. Install plywood backing panels by fastening to studs; coordinate locations with utilities requiring backing panels. Install fire-retardant-treated plywood backing panels with classification marking of testing agency exposed to view.
- D. Install shear wall panels to comply with manufacturer's written instructions.
- E. Install metal framing anchors to comply with manufacturer's written instructions. Install fasteners through each fastener hole.
- F. Install sill sealer gasket to form continuous seal between sill plates and foundation walls.
- G. Do not splice structural members between supports unless otherwise indicated.
- H. Provide blocking and framing as indicated and as required to support facing materials, fixtures, specialty items, and trim.
  - 1. Provide metal clips for fastening gypsum board or lath at corners and intersections where framing or blocking does not provide a surface for fastening edges of panels. Space clips not more than 16 inches o.c.
- I. Provide fire blocking in furred spaces, stud spaces, and other concealed cavities as required.
- J. Sort and select lumber so that natural characteristics do not interfere with installation or with fastening other materials to lumber. Do not use materials with defects that interfere with function of member or pieces that are too small to use with minimum number of joints or optimum joint arrangement.
- K. Comply with AWPA M4 for applying field treatment to cut surfaces of preservative-treated lumber.
  - 1. Use inorganic boron for items that are continuously protected from liquid water.
  - 2. Use copper naphthenate for items not continuously protected from liquid water.
- L. Where wood-preservative-treated lumber is installed adjacent to metal decking, install continuous flexible flashing separator between wood and metal decking.

- M. Securely attach rough carpentry work to substrate by anchoring and fastening as indicated, complying with the following:
  - 1. Table 2304.9.1, "Fastening Schedule," in ICC's International Building Code (IBC).
  - 2. ICC-ES evaluation report for fastener.
- N. Use steel common nails unless otherwise indicated. Select fasteners of size that will not fully penetrate members where opposite side will be exposed to view or will receive finish materials. Make tight connections between members. Install fasteners without splitting wood. Drive nails snug but do not countersink nail heads unless otherwise indicated.
- O. For exposed work, arrange fasteners in straight rows parallel with edges of members, with fasteners evenly spaced, and with adjacent rows staggered.
  - 1. Comply with approved fastener patterns where applicable.
  - 2. Use common nails unless otherwise indicated. Drive nails snug but do not countersink nail heads.

### 3.2 INSTALLATION OF WOOD BLOCKING AND NAILERS

- A. Install where indicated and where required for attaching other work. Form to shapes indicated and cut as required for true line and level of attached work. Coordinate locations with other work involved.
- B. Attach items to substrates to support applied loading. Recess bolts and nuts flush with surfaces unless otherwise indicated.
- C. Provide permanent grounds of dressed, pressure-preservative-treated, key-beveled lumber not less than **1-1/2 inches** wide and of thickness required to bring face of ground to exact thickness of finish material. Remove temporary grounds when no longer required.

### 3.3 PROTECTION

- A. Protect wood that has been treated with inorganic boron (SBX) from weather. If, despite protection, inorganic boron-treated wood becomes wet, apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.
- B. Protect rough carpentry from weather. If, despite protection, rough carpentry becomes wet, apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.

END OF SECTION 06 10 00

SECTION 06 16 00 - SHEATHING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Wall sheathing.
2. Roof sheathing.
3. Composite nail base insulated roof sheathing.
4. Sheathing joint and penetration treatment.

B. Related Requirements:

1. Section 06 10 00 "Rough Carpentry" for plywood backing panels.
2. Section 07 25 00 "Weather Barriers" for water-resistive barrier applied over wall sheathing.

1.3 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Review air-barrier and water-resistant glass-mat gypsum sheathing requirements and installation, special details, transitions, mockups, air-leakage testing, protection, and work scheduling that covers air-barrier and water-resistant glass-mat gypsum sheathing.

1.4 ACTION SUBMITTALS

A. Product Data: For each type of process and factory-fabricated product. Indicate component materials and dimensions and include construction and application details.

1. Include data for wood-preserved treatment from chemical treatment manufacturer and certification by treating plant that treated plywood complies with requirements. Indicate type of preservative used and net amount of preservative retained.

2. For products receiving waterborne treatment, include statement that moisture content of treated materials was reduced to levels specified before shipment to Project site.
  3. For air-barrier and water-resistant glass-mat gypsum sheathing, include manufacturer's technical data and tested physical and performance properties of products.
- B. Shop Drawings: For air-barrier and water-resistant glass-mat gypsum sheathing assemblies.
1. Show locations and extent of sheathing, accessories, and assemblies specific to Project conditions.
  2. Include details for sheathing joints and cracks, counterflashing strips, penetrations, inside and outside corners, terminations, and tie-ins with adjoining construction.
  3. Include details of interfaces with other materials that form part of air barrier.

#### 1.5 QUALITY ASSURANCE

- A. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer of air-barrier and water-resistant glass-mat gypsum sheathing.
- B. Mockups: Build mockups to set quality standards for materials and execution.
1. Build integrated mockups of exterior wall assembly, incorporating backup wall construction, window, storefront, door frame and sill, ties and other penetrations, and flashing to demonstrate crack and joint treatment and sealing of gaps, terminations, and penetrations of air-barrier sheathing assembly.
    - a. Coordinate construction of mockups to permit inspection and testing of sheathing before external insulation and cladding are installed.
    - b. If Architect determines mockups do not comply with requirements, reconstruct mockups until mockups are approved.
  2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Stack panels flat with spacers beneath and between each bundle to provide air circulation. Protect sheathing from weather by covering with waterproof sheeting, securely anchored. Provide for air circulation around stacks and under coverings.

1.7 WARRANTY

- A. MGO Structural Panel Subflooring Manufacturer's Warranty: Standard warranty document executed by authorized company official. Manufacturer's warranty is in addition to, and not a limitation of, other rights Owner may have under Contract Documents.

1. Warranty Period: Two (2) years commencing on Date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Air-Barrier and Water-Resistant Glass-Mat Gypsum Sheathing Performance: Air-barrier and water-resistant glass-mat gypsum sheathing assembly, and seals with adjacent construction, shall be capable of performing as a continuous air barrier and as a liquid-water drainage plane flashed to discharge to the exterior incidental condensation or water penetration. Air-barrier assemblies shall be capable of accommodating substrate movement and of sealing substrate expansion and control joints, construction material changes, penetrations, tie-ins to installed waterproofing, tie-ins to other installed air barriers, and transitions at perimeter conditions without deterioration and air leakage exceeding specified limits.

2.2 WOOD PANEL PRODUCTS

- A. Thickness: As needed to comply with requirements specified, but not less than thickness indicated.
- B. Factory mark panels to indicate compliance with applicable standard.

2.3 PRESERVATIVE-TREATED PLYWOOD

- A. Preservative Treatment by Pressure Process: AWWPA U1; Use Category UC2 for interior construction not in contact with ground, Use Category UC3b for exterior construction not in contact with ground, and Use Category UC4a for items in contact with ground.
1. Preservative Chemicals: Acceptable to authorities having jurisdiction and containing no arsenic or chromium.
- B. Mark plywood with appropriate classification marking of an inspection agency acceptable to authorities having jurisdiction.
- C. Application: Treat items indicated on Drawings and plywood in contact with masonry or concrete or used with roofing, flashing, vapor barriers, and waterproofing.



## 2.4 FIRE-RETARDANT-TREATED PLYWOOD

- A. General: Where fire-retardant-treated materials are indicated, use materials complying with requirements in this article that are acceptable to authorities having jurisdiction and with fire-test-response characteristics specified as determined by testing identical products per test method indicated by a qualified testing agency.
- B. Fire-Retardant-Treated Plywood by Pressure Process: Products with a flame-spread index of 25 or less when tested according to ASTM E84, and with no evidence of significant progressive combustion when the test is extended an additional 20 minutes, and with the flame front not extending more than **10.5 feet** beyond the centerline of the burners at any time during the test.
  - 1. Use treatment that does not promote corrosion of metal fasteners.
  - 2. Interior Type A: Treated materials shall have a moisture content of 28 percent or less when tested according to ASTM D3201/D3201M at 92 percent relative humidity. Use where exterior type is not indicated.
- C. Kiln-dry material after treatment to a maximum moisture content of 15 percent. Do not use material that is warped or does not comply with requirements for untreated material.
- D. Identify fire-retardant-treated plywood with appropriate classification marking of qualified testing agency.
- E. Application: Treat plywood indicated on Drawings, and the following:
  - 1. Equipment boards as indicated.

## 2.5 WALL SHEATHING

- A. Plywood Sheathing: Exterior sheathing.
  - 1. Nominal Thickness: as indicated.
- B. Glass-Mat Gypsum Sheathing: ASTM C1177/C1177M.
  - 1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. CertainTeed Gypsum.
    - b. Georgia-Pacific Gypsum LLC.
    - c. National Gypsum Company.
    - d. USG Corporation.
  - 2. Type and Thickness: Type X, **5/8 inch** thick.
  - 3. Size: **48 by 96 inches** for vertical installation.
- C. Extended Exposure Gypsum Sheathing
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide God Bond eXP Sheathing or comparable product by one of the following:

- a. USG Corporation
- b. Georgia-Pacific Gypsum LLC.

## 2.6 ROOF SHEATHING

- A. Plywood Sheathing: Exterior sheathing.
  - 1. Nominal Thickness: as indicated on Drawings.

## 2.7 COMPOSITE NAIL BASE INSULATED ROOF SHEATHING

- A. Oriented-Strand-Board-Surfaced, Polyisocyanurate-Foam Sheathing: ASTM C1289, Type V with DOC PS 2, Exposure 1 oriented strand board on one face.
  - 1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. Carlisle.
    - b. Hunter Panels; XCI Ply
    - c. Rmax, Inc.
  - 2. Polyisocyanurate-Foam Thickness: **1-1/2 inches**.
  - 3. Oriented-Strand-Board Nominal Thickness: **5/8 inch**.
  - 4. Minimum R-Value: 7.5 continuous insulation.

## 2.8 FASTENERS

- A. General: Provide fasteners of size and type indicated that comply with requirements specified in this article for material and manufacture.
  - 1. For roof and wall sheathing, provide fasteners with hot-dip zinc coating complying with ASTM A153/A153M of Type 304 stainless steel.
- B. Nails, Brads, and Staples: ASTM F1667.
- C. Power-Driven Fasteners: Fastener systems with an evaluation report acceptable to authorities having jurisdiction, based on ICC-ES AC70.
- D. Screws for Fastening Sheathing to Wood Framing: ASTM C1002.
- E. Screws for Fastening Wood Structural Panels to Cold-Formed Metal Framing: ASTM C954, except with wafer heads and reamer wings, length as recommended by screw manufacturer for material being fastened.
- F. Screws for Fastening Gypsum Sheathing to Cold-Formed Metal Framing: Steel drill screws, in length recommended by sheathing manufacturer for thickness of sheathing to be attached.
  - 1. For steel framing less than **0.0329 inch** thick, use screws that comply with ASTM C1002.

2. For steel framing from **0.033 to 0.112 inch** thick, use screws that comply with ASTM C954.

## 2.9 SHEATHING JOINT-AND-PENETRATION TREATMENT MATERIALS

- A. Sealant for Glass-Mat Gypsum Sheathing: Silicone emulsion sealant complying with ASTM C834, compatible with sheathing tape and sheathing and recommended by tape and sheathing manufacturers for use with glass-fiber sheathing tape and for covering exposed fasteners.
  1. Sheathing Tape: Self-adhering glass-fiber tape, minimum **2 inches** wide, **10 by 10 or 10 by 20 threads/inch**, of type recommended by sheathing and tape manufacturers for use with silicone emulsion sealant in sealing joints in glass-mat gypsum sheathing and with a history of successful in-service use.

## PART 3 - EXECUTION

### 3.1 INSTALLATION, GENERAL

- A. Do not use materials with defects that impair quality of sheathing or pieces that are too small to use with minimum number of joints or optimum joint arrangement. Arrange joints so that pieces do not span between fewer than three support members.
- B. Cut panels at penetrations, edges, and other obstructions of work; fit tightly against abutting construction unless otherwise indicated.
- C. Securely attach to substrate by fastening as indicated, complying with the following:
  1. Table 2304.9.1, "Fastening Schedule," in the ICC's International Building Code.
  2. ICC-ES evaluation report for fastener.
- D. Coordinate wall and roof sheathing installation with flashing and joint-sealant installation so these materials are installed in sequence and manner that prevent exterior moisture from passing through completed assembly.
- E. Do not bridge building expansion joints; cut and space edges of panels to match spacing of structural support elements.
- F. Coordinate sheathing installation with installation of materials installed over sheathing so sheathing is not exposed to precipitation or left exposed at end of the workday when rain is forecast.

### 3.2 WOOD STRUCTURAL PANEL INSTALLATION

- A. General: Comply with applicable recommendations in APA Form No. E30, "Engineered Wood Construction Guide," for types of structural-use panels and applications indicated.

- B. Fastening Methods: Fasten panels as indicated below:
  - 1. Wall and Roof Sheathing:
    - a. Screw to cold-formed metal framing.
    - b. Space panels **1/8 inch** apart at edges and ends.

### 3.3 GYPSUM SHEATHING INSTALLATION

- A. Comply with GA-253 and with manufacturer's written instructions.
  - 1. Fasten gypsum sheathing to cold-formed metal framing with screws.
  - 2. Install panels with a **3/8-inch** gap where non-load-bearing construction abuts structural elements.
  - 3. Install panels with a **1/4-inch** gap where they abut masonry or similar materials that might retain moisture, to prevent wicking.
- B. Apply fasteners so heads bear tightly against face of sheathing, but do not cut into facing.
- C. Horizontal Installation: Abut ends over centers of studs, and stagger end joints of adjacent panels not less than one stud spacing. Attach at perimeter and within field of panel to each stud.
  - 1. Space fasteners approximately **8 inches** o.c. and set back a minimum of **3/8 inch** from edges and ends of panels.
- D. Vertical Installation: Install vertical edges centered over studs. Abut ends and edges with those of adjacent panels. Attach at perimeter and within field of panel to each stud.
  - 1. Space fasteners approximately **8 inches** o.c. and set back a minimum of **3/8 inch** from edges and ends of panels.
- E. Seal sheathing joints according to sheathing manufacturer's written instructions.
  - 1. Apply glass-fiber sheathing tape to glass-mat gypsum sheathing joints and apply and trowel sealant to embed entire face of tape in sealant. Apply sealant to exposed fasteners with a trowel so fasteners are completely covered. Seal other penetrations and openings.

END OF SECTION 06 16 00

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SECTION 06 20 23 - INTERIOR FINISH CARPENTRY

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Interior trim.

B. Related Requirements:

1. Section 061000 "Rough Carpentry" for furring, blocking, and other carpentry work not exposed to view and for framing exposed to view.
2. Section 099123 "Interior Painting" for priming and backpriming of interior finish carpentry.

1.2 ACTION SUBMITTALS

A. Product Data:

1. Interior trim.

B. Product Data Submittals: For each type of process and factory-fabricated product. Indicate component materials, dimensions, profiles, textures, and colors and include construction and application details.

1. Include data for wood-preservative treatment from chemical-treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained. Include chemical-treatment manufacturer's written instructions for finishing treated material.
2. For products receiving a waterborne treatment, include statement that moisture content of treated materials was reduced before shipment to Project site to levels specified.

C. Samples for Initial Selection: For each type of product involving selection of colors, profiles, or textures.

1.3 DELIVERY, STORAGE, AND HANDLING

A. Stack lumber, plywood, and other panels flat with spacers between each bundle to provide air circulation.

1. Protect materials from weather by covering with waterproof sheeting, securely anchored.
2. Provide for air circulation around stacks and under coverings.

- B. Deliver interior finish carpentry materials only when environmental conditions comply with requirements specified for installation areas. If interior finish carpentry materials must be stored in other than installation areas, store only where environmental conditions comply with requirements specified for installation areas.

#### 1.4 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install interior finish carpentry materials until building is enclosed and weatherproof, wet-work in space is completed and nominally dry, and HVAC system is operating and maintaining temperature and relative humidity at occupancy levels during the remainder of the construction period.
- B. Do not install finish carpentry materials that are wet, moisture damaged, or mold damaged.
  - 1. Indications that materials are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that materials are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

### PART 2 - PRODUCTS

#### 2.1 MATERIALS, GENERAL

- A. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, comply with applicable rules of any rules-writing agency certified by the American Lumber Standard Committee's (ALSC) Board of Review. Grade lumber by an agency certified by the ALSC's Board of Review to inspect and grade lumber under the rules indicated.
  - 1. Factory mark each piece of lumber with grade stamp of grading agency.
  - 2. For exposed lumber, mark grade stamp on end or back of each piece, or omit grade stamp and provide certificates of grade compliance issued by grading agency.
- B. Softwood Plywood: DOC PS 1.
- C. Hardboard: ANSI A135.4.
- D. Melamine-Faced Particleboard: Particleboard complying with ANSI A208.1, Grade M-2, finished on both faces with thermally fused, melamine-impregnated decorative paper and complying with ISO 4586-3, Grade VGS.
  - 1. Color: White.

## 2.2 INTERIOR TRIM

### A. Hardwood Lumber Trim for Transparent Finish (Stain or Clear Finish):

1. Species and Grade: White maple; NHLA A Finish.
2. Maximum Moisture Content: 10 percent.
3. Finger Jointing: Not allowed.
4. Gluing for Width: Not allowed.
5. Veneered Material: Not allowed.
6. Face Surface: Surfaced (smooth).
7. Matching: Selected for compatible grain and color.

## 2.3 MISCELLANEOUS MATERIALS

- A. Fasteners for Interior Finish Carpentry: Nails, screws, and other anchoring devices of type, size, material, and finish required for application indicated to provide secure attachment, concealed where possible.
- B. Glue: Aliphatic-resin, polyurethane, or resorcinol wood glue recommended by manufacturer for general carpentry use.
- C. Multipurpose Construction Adhesive: Formulation, complying with ASTM D3498, that is recommended for indicated use by adhesive manufacturer.

## 2.4 FABRICATION

- A. Back out or kerf backs of the following members, except those with ends exposed in finished work:
  1. Interior standing and running trim, except shoe and crown molds.
  2. Wood-board paneling.
- B. Ease edges of lumber less than 1 inch in nominal thickness to 1/16-inch radius and edges of lumber 1 inch or more in nominal thickness to 1/8-inch radius.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine finish carpentry materials before installation. Reject materials that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.



### 3.2 PREPARATION

- A. Clean substrates of projections and substances detrimental to application.
- B. Before installing interior finish carpentry, condition materials to average prevailing humidity in installation areas for a minimum of 24 hours unless longer conditioning is recommended by manufacturer.

### 3.3 INSTALLATION, GENERAL

- A. Do not use materials that are unsound; warped; improperly treated or finished; inadequately seasoned; too small to fabricate with proper jointing arrangements; or with defective surfaces, sizes, or patterns.
- B. Install interior finish carpentry level, plumb, true, and aligned with adjacent materials.
  - 1. Use concealed shims where necessary for alignment.
  - 2. Scribe and cut interior finish carpentry to fit adjoining work. Refinish and seal cuts as recommended by manufacturer.
  - 3. Where face fastening is unavoidable, countersink fasteners, fill surface flush, and sand unless otherwise indicated.
  - 4. Install to tolerance of 1/8 inch in 96 inches for level and plumb. Install adjoining interior finish carpentry with 1/32-inch maximum offset for flush installation and 1/16-inch maximum offset for reveal installation.
  - 5. Coordinate interior finish carpentry with materials and systems in or adjacent to it. Provide cutouts for mechanical and electrical items that penetrate interior finish carpentry.

### 3.4 INSTALLATION OF INTERIOR TRIM

- A. Install trim with minimum number of joints as is practical, using full-length pieces from maximum lengths of lumber available.
  - 1. Do not use pieces less than 24 inches long, except where necessary.
  - 2. Stagger joints in adjacent and related standing and running trim.
  - 3. Cope, or Miter at returns, miter at outside corners, and cope at inside corners to produce tight-fitting joints with full-surface contact throughout length of joint.
  - 4. Use scarf joints for end-to-end joints.
  - 5. Plane backs of casings to provide uniform thickness across joints where necessary for alignment.
  - 6. Install trim after gypsum-board joint finishing operations are completed.
  - 7. Install without splitting; drill pilot holes before fastening where necessary to prevent splitting.
  - 8. Fasten to prevent movement or warping.
  - 9. Countersink fastener heads on exposed carpentry work and fill holes.

3.5 ADJUSTING

- A. Replace interior finish carpentry that is damaged or does not comply with requirements.
  - 1. Interior finish carpentry may be repaired or refinished if work complies with requirements and shows no evidence of repair or refinishing.
- B. Adjust joinery for uniform appearance.

3.6 CLEANING

- A. Clean interior finish carpentry on exposed and semiexposed surfaces.
- B. Restore damaged or soiled areas and touch up factory-applied finishes if any.

3.7 PROTECTION

- A. Protect installed products from damage from weather and other causes during construction.
- B. Remove and replace finish carpentry materials that are wet, moisture damaged, and mold damaged.
  - 1. Indications that materials are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  - 2. Indications that materials are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION 06 20 23

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SECTION 06 41 16 - PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Plastic-laminate-clad architectural cabinets.
2. Cabinet hardware and accessories.
3. Wood furring, blocking, shims, and hanging strips for installing plastic-laminate-clad architectural cabinets that are not concealed within other construction.

B. Related Requirements:

1. Section 06 10 00 "Rough Carpentry" for wood furring, blocking, shims, and hanging strips required for installing cabinets that are concealed within other construction before cabinet installation.
2. Section 12 36 23.13 "Plastic-Laminate-Clad Countertops."
3. Section 12 36 61.16 "Solid Surfacing Countertops"

1.3 COORDINATION

- A. Coordinate sizes and locations of framing, blocking, furring, reinforcements, and other related units of Work specified in other Sections to support loads imposed by installed and fully loaded cabinets.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

B. Shop Drawings:

1. Include plans, elevations, sections, and attachment details.
2. Show large-scale details.
3. Show locations and sizes of furring, blocking, and hanging strips, including concealed blocking and reinforcement specified in other Sections.

4. Show locations and sizes of cutouts and holes for items installed in plastic-laminate architectural cabinets.

C. Samples for Initial Selection: For each type of exposed finish.

D. Samples for Verification: For the following:

1. Plastic Laminates: for each type, color, pattern, and surface finish required.
2. Thermoset Decorative Panels: for each color, pattern, and surface finish.
3. Exposed Cabinet Hardware and Accessories: One full-size unit for each type and finish.

#### 1.5 INFORMATIONAL SUBMITTALS

A. Qualification Data: For manufacturer and Installer.

#### 1.6 QUALITY ASSURANCE

A. Manufacturer's Qualifications: Employs skilled workers who custom fabricate products similar to those required for this Project and whose products have a record of successful in-service performance.

B. Installer Qualifications: Manufacturer of products.

C. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.

1. Build mockups of typical architectural cabinets as shown on Drawings.
2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.7 DELIVERY, STORAGE, AND HANDLING

A. Do not deliver cabinets until painting and similar finish operations that might damage architectural cabinets have been completed in installation areas. Store cabinets in installation areas or in areas where environmental conditions comply with requirements specified in "Field Conditions" Article.

#### 1.8 FIELD CONDITIONS

A. Environmental Limitations without Humidity Control: Do not deliver or install cabinets until building is enclosed, wet-work is complete, and HVAC system is operating and maintaining temperature and relative humidity at levels planned for building occupants during the remainder of the construction period.

- B. Field Measurements: Where cabinets are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication, and indicate measurements on Shop Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
  - 1. Locate concealed framing, blocking, and reinforcements that support cabinets by field measurements before being enclosed/concealed by construction, and indicate measurements on Shop Drawings.

## PART 2 - PRODUCTS

### 2.1 PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS

- A. Quality Standard: Unless otherwise indicated, comply with the Architectural Woodwork Standards for grades of cabinets indicated for construction, finishes, installation, and other requirements.
  - 1. The Contract Documents contain requirements that are more stringent than the referenced quality standard. Comply with requirements of Contract Documents in addition to those of the referenced quality standard.
- B. Architectural Woodwork Standards Grade: Custom.
- C. Type of Construction: Frameless.
- D. Door and Drawer-Front Style: Flush overlay.
- E. High-Pressure Decorative Laminate: NEMA LD 3, grades as indicated or if not indicated, as required by quality standard.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Formica Corporation.
    - b. Pionite; a Panolam Industries International, Inc. brand.
    - c. Wilsonart LLC.
- F. Laminate Cladding for Exposed Surfaces:
  - 1. Horizontal Surfaces: Grade HGS.
  - 2. Postformed Surfaces: Grade HGP.
  - 3. Vertical Surfaces: Grade VGS.
  - 4. Edges: Grade HGS.
  - 5. Pattern Direction: Vertically for drawer fronts, doors, and fixed panels.
- G. Materials for Semi exposed Surfaces:

1. Surfaces Other Than Drawer Bodies: High-pressure decorative laminate, NEMA LD 3, Grade VGS.
    - a. Edges of Plastic-Laminate Shelves: PVC edge banding, 1/8-inch thick, matching laminate in color, pattern, and finish.
    - b. Edges of Thermoset Decorative Panel Shelves: PVC or polyester edge banding.
    - c. For semi exposed backs of panels with exposed plastic-laminate surfaces, provide surface of high-pressure decorative laminate, NEMA LD 3, Grade VGS.
  2. Drawer Sides and Backs: Thermoset decorative panels with PVC or polyester edge banding.
  3. Drawer Bottoms: Thermoset decorative panels.
- H. Concealed Backs of Panels with Exposed Plastic-Laminate Surfaces: High-pressure decorative laminate, NEMA LD 3, Grade BKL.
- I. Drawer Construction: Fabricate with exposed fronts fastened to sub front with mounting screws from interior of body.
1. Join sub fronts, backs, and sides with glued rabbeted joints supplemented by mechanical fasteners or glued dovetail joints.
- J. Colors, Patterns, and Finishes: Provide materials and products that result in colors and textures of exposed laminate surfaces complying with the following requirements:
1. As selected by Architect from laminate manufacturer's full range in the following categories:
    - a. Solid colors, gloss or matte finish.
    - b. Solid colors with core same color as surface, gloss or matte finish.
    - c. Wood grains, gloss or matte finish.
    - d. Patterns, gloss or matte finish.

## 2.2 WOOD MATERIALS

- A. Wood Products: Provide materials that comply with requirements of referenced quality standard for each type of architectural cabinet and quality grade specified unless otherwise indicated.
1. Wood Moisture Content: 5 to 10 percent.
- B. Composite Wood Products: Provide materials that comply with requirements of referenced quality standard for each type of architectural cabinet and quality grade specified unless otherwise indicated.
1. Thermoset Decorative Panels: Particleboard or MDF finished with thermally fused, melamine-impregnated decorative paper and complying with requirements of NEMA LD 3, Grade VGL, for Test Methods 3.3, 3.4, 3.6, 3.8, and 3.10.

### 2.3 CABINET HARDWARE AND ACCESSORIES

- A. Frameless Concealed Hinges (European Type): ANSI/BHMA A156.9, B01602, 135 degrees of opening, self-closing.
- B. Wire Pulls: Back mounted, solid metal, 4 inches long, 5/16 inch in diameter.
- C. Catches: Magnetic catches, ANSI/BHMA A156.9, B03141.
- D. Adjustable Shelf Standards and Supports: ANSI/BHMA A156.9, B04071; with shelf rests, B04081.
- E. Shelf Rests: ANSI/BHMA A156.9, B04013; metal.
- F. Drawer Slides: ANSI/BHMA A156.9.
  - 1. Grade 1HD-100 and Grade 1HD-200: Side mounted; full -extension type; zinc-plated-steel ball-bearing slides.
  - 2. For drawers more than 3 inches high, but not more than 6 inches high and not more than 24 inches wide, provide Grade 1HD-100.
  - 3. For drawers more than 6 inches high or more than 24 inches wide, provide Grade 1HD-100 Grade 1HD-200.
  - 4. For backbar slide outs for liquor storage, provide Grade 1HD-200.
- G. Door Locks: ANSI/BHMA A156.11, E07121.
- H. Drawer Locks: ANSI/BHMA A156.11, E07041.
- I. Door and Drawer Silencers: ANSI/BHMA A156.16, L03011.
- J. Grommets for Cable Passage: 1-1/4-inch OD, molded-plastic grommets and matching plastic caps with slot for wire passage.
  - 1. Color: Black.
- K. Exposed Hardware Finishes: For exposed hardware, provide finish that complies with ANSI/BHMA A156.18 for ANSI/BHMA finish number indicated.
  - 1. Satin Chromium Plated: ANSI/BHMA 626 for brass or bronze base; ANSI/BHMA 652 for steel base.
- L. For concealed hardware, provide manufacturer's standard finish that complies with product class requirements in ANSI/BHMA A156.9.

### 2.4 MISCELLANEOUS MATERIALS

- A. Furring, Blocking, Shims, and Hanging Strips: Fire-retardant-treated softwood lumber, kiln-dried to less than 15 percent moisture content.



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- B. Anchors: Select material, type, size, and finish required for each substrate for secure anchorage. Provide metal expansion sleeves or expansion bolts for post-installed anchors. Use nonferrous-metal or hot-dip galvanized anchors and inserts at inside face of exterior walls and at floors.
- C. Adhesive for Bonding Plastic Laminate: As recommended by manufacturer.
  - 1. Adhesive for Bonding Edges: Hot-melt adhesive or as recommended by manufacturer.

## 2.5 FABRICATION

- A. Fabricate architectural cabinets to dimensions, profiles, and details indicated.
- B. Complete fabrication, including assembly and hardware application, to maximum extent possible before shipment to Project site. Disassemble components only as necessary for shipment and installation. Where necessary for fitting at site, provide ample allowance for scribing, trimming, and fitting.
  - 1. Notify Architect seven days in advance of the dates and times architectural cabinet fabrication will be complete.
  - 2. Trial fit assemblies at manufacturer's shop that cannot be shipped completely assembled. Install dowels, screws, bolted connectors, and other fastening devices that can be removed after trial fitting. Verify that various parts fit as intended and check measurements of assemblies against field measurements before disassembling for shipment.
- C. Shop-cut openings to maximum extent possible to receive hardware, appliances, electrical work, and similar items. Locate openings accurately and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Before installation, condition cabinets to humidity conditions in installation areas for not less than 72 hours.

### 3.2 INSTALLATION

- A. Architectural Woodwork Standards Grade: Install cabinets to comply with quality standard grade of item to be installed.

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- B. Assemble cabinets and complete fabrication at Project site to extent that it was not completed in the shop.
- C. Anchor cabinets to anchors or blocking built in or directly attached to substrates. Secure with wafer-head cabinet installation screws.
- D. Install cabinets level, plumb, and true in line to a tolerance of **1/8 inch in 96 inches** using concealed shims.
  - 1. Scribe and cut cabinets to fit adjoining work, refinish cut surfaces, and repair damaged finish at cuts.
  - 2. Install cabinets without distortion so doors and drawers fit openings and are accurately aligned. Adjust hardware to center doors and drawers in openings and to provide unencumbered operation. Complete installation of hardware and accessory items as indicated.
  - 3. Fasten wall cabinets through back, near top and bottom, and at ends not more than **16 inches** o.c. with No. 10 wafer-head screws sized for not less than **1-1/2-inch** penetration into wood framing, blocking, or hanging strips.

3.3 ADJUSTING AND CLEANING

- A. Repair damaged and defective cabinets, where possible, to eliminate functional and visual defects. Where not possible to repair, replace architectural cabinets. Adjust joinery for uniform appearance.
- B. Clean, lubricate, and adjust hardware.
- C. Clean cabinets on exposed and semi exposed surfaces.

END OF SECTION 06 41 16

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SECTION 07 21 00 - THERMAL INSULATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Extruded polystyrene foam-plastic board insulation.
2. Polyisocyanurate foam-plastic board insulation.
3. Glass-fiber blanket insulation.
4. Mineral-wool blanket insulation.
5. Loose-fill insulation.

B. Related Requirements:

1. Section 07 54 23 "Thermoplastic-Polyolefin (TPO) Roofing" and for insulation specified as part of roofing construction.
2. Section 09 29 00 "Gypsum Board" for sound attenuation blanket used as acoustic insulation.

1.3 ACTION SUBMITTALS

A. Product Data: For the following:

1. Extruded polystyrene foam-plastic board insulation.
2. Polyisocyanurate foam-plastic board insulation.
3. Glass-fiber blanket insulation.
4. Loose-fill insulation.

1.4 INFORMATIONAL SUBMITTALS

A. Installer's Certification: Listing type, manufacturer, and R-value of insulation installed in each element of the building thermal envelope.

1. For blown-in or sprayed fiberglass and cellulosic-fiber loose-fill insulation, indicate initial installed thickness, settled thickness, settled R-value, installed density, coverage area, and number of bags installed.
2. Sign, date, and post the certification in a conspicuous location on Project site.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Protect insulation materials from physical damage and from deterioration due to moisture, soiling, and other sources. Store inside and in a dry location. Comply with manufacturer's written instructions for handling, storing, and protecting during installation.
- B. Protect foam-plastic board insulation as follows:
  - 1. Do not expose to sunlight except to necessary extent for period of installation and concealment.
  - 2. Protect against ignition at all times. Do not deliver foam-plastic board materials to Project site until just before installation time.
  - 3. Quickly complete installation and concealment of foam-plastic board insulation in each area of construction.

PART 2 - PRODUCTS

2.1 EXTRUDED POLYSTYRENE FOAM-PLASTIC BOARD INSULATION

- A. Extruded Polystyrene Board Insulation, Type IV : ASTM C578, Type IV, 25-psi minimum compressive strength; unfaced.
  - 1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. Kingspan Insulation Limited.
    - b. Owens Corning.
    - c. or Approved Equal.
  - 2. Flame-Spread Index: Not more than 25 when tested in accordance with ASTM E84.
  - 3. Smoke-Developed Index: Not more than 450 when tested in accordance with ASTM E84.
  - 4. Fire Propagation Characteristics: Passes NFPA 285 testing as part of an approved assembly.
  - 5. Labeling: Provide identification of mark indicating R-value of each piece of insulation 12 inches and wider in width.

2.2 POLYISOCYANURATE FOAM-PLASTIC BOARD INSULATION

- A. Polyisocyanurate Board Insulation, Glass-Fiber-Mat Faced : ASTM C1289, glass-fiber-mat faced, Type II, Class 2.
  - 1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. Atlas Roofing Corporation.
    - b. Carlisle Coatings & Waterproofing Inc.
    - c. Johns Manville; a Berkshire Hathaway company.
    - d. Rmax, Inc.
    - e. Refer to roofing specifications for required insulation associated with roofing systems.

2. Fire Propagation Characteristics: Passes NFPA 285 testing as part of an approved assembly.
3. Labeling: Provide identification of mark indicating R-value of each piece of insulation **12 inches** and wider in width.

### 2.3 GLASS-FIBER BLANKET INSULATION

- A. Glass-Fiber Blanket Insulation, Unfaced : ASTM C665, Type I; passing ASTM E136 for combustion characteristics.
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. CertainTeed Corporation.
    - b. CertainTeed Insulation.
    - c. Johns Manville; a Berkshire Hathaway company.
    - d. Owens Corning.
  2. Flame-Spread Index: Not more than 25 when tested in accordance with ASTM E84.
  3. Smoke-Developed Index: Not more than 50 when tested in accordance with ASTM E84.
  4. Labeling: Provide identification of mark indicating R-value of each piece of insulation **12 inches** and wider in width.

### 2.4 MINERAL-WOOL BLANKET INSULATION

- A. Mineral-Wool Blanket Insulation, Unfaced : ASTM C665, Type I (blankets without membrane facing); consisting of fibers; passing ASTM E136 for combustion characteristics.
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. Johns Manville; a Berkshire Hathaway company.
    - b. Rockwool International.
    - c. Thermafiber, Inc.; an Owens Corning company.
  2. Flame-Spread Index: Not more than 25 when tested in accordance with ASTM E84.
  3. Smoke-Developed Index: Not more than 50 when tested in accordance with ASTM E84.
  4. Labeling: Provide identification of mark indicating R-value of each piece of insulation **12 inches** and wider in width.

### 2.5 LOOSE-FILL INSULATION

- A. Glass-Fiber Loose-Fill Insulation : ASTM C764, Type I for pneumatic application Type II for poured application.
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:

- a. CertainTeed Corporation.
  - b. CertainTeed Insulation.
  - c. Johns Manville; a Berkshire Hathaway company.
2. Flame-Spread Index: Not more than 5 when tested in accordance with ASTM E84.
  3. Smoke-Developed Index: Not more than 5 when tested in accordance with ASTM E84.

## 2.6 INSULATION FASTENERS

- A. Provide insulation fasteners appropriate for purpose intended.

## 2.7 ACCESSORIES

- A. Insulation for Miscellaneous Voids:
  1. Glass-Fiber Insulation: ASTM C764, Type II, loose fill; with maximum flame-spread and smoke-developed indexes of 5, per ASTM E84.
- B. Adhesive for Bonding Insulation: Product compatible with insulation and air and water barrier materials, and with demonstrated capability to bond insulation securely to substrates without damaging insulation and substrates.
- C. Accessories as required or recommended by manufacturer to provide a complete installation.
- D. Weather Barrier: Specified in Section 07 25 00
- E. Tape joints of rigid insulation in accordance with roofing and insulation manufacturer's instructions.
- F. Nails or Staples: Steel wire; electroplated or galvanized type and size to suit application.
- G. Wire Mesh: Galvanized mesh, hexagonal wire mesh.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Clean substrates of substances that are harmful to insulation, including removing projections capable of puncturing insulation or vapor retarders, or that interfere with insulation attachment.

### 3.2 INSTALLATION, GENERAL

- A. Comply with insulation manufacturer's written instructions applicable to products and applications.
- B. Install insulation that is undamaged, dry, and unsoiled and that has not been left exposed to ice, rain, or snow at any time.
- C. Install insulation with manufacturer's R-value label exposed after insulation is installed.
- D. Extend insulation to envelop entire area to be insulated. Fit tightly around obstructions and fill voids with insulation. Remove projections that interfere with placement.
- E. Provide sizes to fit applications and selected from manufacturer's standard thicknesses, widths, and lengths. Apply single layer of insulation units unless multiple layers are otherwise shown or required to make up total thickness or to achieve R-value.

### 3.3 INSTALLATION OF FOUNDATION WALL INSULATION

- A. Butt panels together for tight fit.
- B. Adhesive Installation: Install with adhesive or press into tacky waterproofing or dampproofing according to manufacturer's written instructions.

### 3.4 INSTALLATION OF CAVITY-WALL INSULATION

- A. Foam-Plastic Board Insulation: Install pads of adhesive spaced approximately **24 inches** o.c. both ways on inside face and as recommended by manufacturer.
  - 1. Fit courses of insulation between wall ties and other obstructions, with edges butted tightly in both directions, and with faces flush.
  - 2. Press units firmly against inside substrates.
  - 3. Supplement adhesive attachment of insulation by securing boards with two-piece wall ties designed for this purpose and specified in Section 04 20 00 "Unit Masonry."

### 3.5 INSTALLATION OF INSULATION IN FRAMED CONSTRUCTION

- A. Blanket Insulation: Install in cavities formed by framing members according to the following requirements:
  - 1. Use insulation widths and lengths that fill the cavities formed by framing members. If more than one length is required to fill the cavities, provide lengths that will produce a snug fit between ends.
  - 2. Place insulation in cavities formed by framing members to produce a friction fit between edges of insulation and adjoining framing members.



3. Maintain **3-inch** clearance of insulation around recessed lighting fixtures not rated for or protected from contact with insulation.
4. For metal-framed wall cavities where cavity heights exceed **96 inches**, support unfaced blankets mechanically and support faced blankets by taping flanges of insulation to flanges of metal studs.

B. Miscellaneous Voids: Install insulation in miscellaneous voids and cavity spaces where required to prevent gaps in insulation using the following materials:

1. Glass-Fiber Insulation: Compact to approximately 40 percent of normal maximum volume equaling a density of approximately **2.5 lb/cu. ft.**
2. Spray Polyurethane Insulation: Apply according to manufacturer's written instructions.

### 3.6 INSTALLATION OF POLYISOCYANURATE INSULATION AT CURVED STANDING SEAM METAL ROOF

A. Attachment of Insulation:

1. Embed all layers of insulation into full bed of adhesive in accordance with insulation manufacturer's instructions.
2. Install plywood sheathing over layers of insulation and mechanically fasten thru insulation into metal roof deck.

B. On metal deck, place boards parallel to flutes with insulation board edges bearing on deck flutes.

C. Lay boards with edges in moderate contact without forcing. Cut insulation to fit neatly to perimeter blocking and around penetrations thru roof.

D. Do not apply more insulation than can be covered with plywood in the same day.

### 3.7 PROTECTION

A. Protect installed insulation from damage due to harmful weather exposures, physical abuse, and other causes.

B. Provide temporary coverings or enclosures where insulation is subject to abuse and cannot be concealed and protected by permanent construction immediately after installation.

END OF SECTION 07 21 00

SECTION 07 27 26 - FLUID-APPLIED MEMBRANE AIR BARRIERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Vapor-permeable, fluid-applied air barriers.

- B. Related Requirements:

- 1. Section 06 16 00 "Sheathing" for wall sheathings and wall sheathing joint-and-penetration treatments.

1.3 DEFINITIONS

- A. Air-Barrier Material: A primary element that provides a continuous barrier to the movement of air.
- B. Air-Barrier Accessory: A transitional component of the air barrier that provides continuity.
- C. Air-Barrier Assembly: The collection of air-barrier materials and accessories applied to an opaque wall, including joints and junctions to abutting construction, to control air movement through the wall.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

- 1. Review air-barrier requirements and installation, special details, mockups, air-leakage and bond testing, air-barrier protection, and work scheduling that covers air barriers.

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1. Include manufacturer's written instructions for evaluating, preparing, and treating each substrate; technical data; dry film thickness; and tested physical and performance properties of products.
- B. Shop Drawings: For air-barrier assemblies.
1. Show locations and extent of air-barrier materials, accessories, and assemblies specific to Project conditions.
  2. Include details for substrate joints and cracks, counterflashing strips, penetrations, inside and outside corners, terminations, and tie-ins with adjoining construction.
  3. Include details of interfaces with other materials that form part of air barrier.
- 1.6 INFORMATIONAL SUBMITTALS
- A. Qualification Data: For Installer.
- 1.7 QUALITY ASSURANCE
- A. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer.
- B. Mockups: Build mockups to set quality standards for materials and execution.
1. Build integrated mockups of exterior wall assembly, incorporating backup wall construction, external cladding, window, storefront, door frame and sill, insulation, ties and other penetrations, and flashing to demonstrate surface preparation, crack and joint treatment, application of air barriers, and sealing of gaps, terminations, and penetrations of air-barrier assembly.
    - a. If Architect determines mockups do not comply with requirements, reconstruct mockups and apply air barrier until mockups are approved.
  2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.
- 1.8 DELIVERY, STORAGE, AND HANDLING
- A. Remove and replace liquid materials that cannot be applied within their stated shelf life.
- B. Protect stored materials from direct sunlight.

1.9 FIELD CONDITIONS

- A. Environmental Limitations: Apply air barrier within the range of ambient and substrate temperatures recommended in writing by air-barrier manufacturer.
  - 1. Protect substrates from environmental conditions that affect air-barrier performance.
  - 2. Do not apply air barrier to a damp or wet substrate or during snow, rain, fog, or mist.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Source Limitations: Obtain primary air-barrier materials and air-barrier accessories from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Air-Barrier Performance: Air-barrier assembly and seals with adjacent construction shall be capable of performing as a continuous air barrier and as a liquid-water drainage plane flashed to discharge to the exterior incidental condensation or water penetration. Air-barrier assemblies shall be capable of accommodating substrate movement and of sealing substrate expansion and control joints, construction material changes, penetrations, tie-ins to installed waterproofing, and transitions at perimeter conditions without deterioration and air leakage exceeding specified limits.
- B. Air-Barrier Assembly Air Leakage: Maximum **0.04 cfm/sq. ft. of surface area at 1.57 lbf/sq. ft.**, when tested according to ASTM E 2357.

2.3 HIGH-BUILD AIR BARRIERS, VAPOR PERMEABLE

- A. High-Build, Vapor-Permeable Air Barrier: synthetic polymer membrane with an installed dry film thickness, according to manufacturer's written instructions, of **35 mils** or thicker over smooth, void-free substrates.
  - 1. Synthetic Polymer Type:
    - a. **Products:** Subject to compliance with requirements, provide one of the following:
      - 1) DuPont Safety and Construction.
      - 2) GCP Applied Technologies Inc.
      - 3) Henry Company.
      - 4) Tremco Incorporated.
  - 2. Physical and Performance Properties:
    - a. Air Permeance: Maximum **0.004 cfm/sq. ft. of surface area at 1.57-lbf/sq. ft.** pressure difference; ASTM E 2178.

- b. Vapor Permeance: Minimum 10 perms; ASTM E 96/E 96M, Desiccant Method, Procedure A.
- c. Ultimate Elongation: Minimum 200 percent; ASTM D 412, Die C.
- d. Adhesion to Substrate: Minimum 16 lbf/sq. in. when tested according to ASTM D 4541.
- e. UV Resistance: Can be exposed to sunlight for 90 days according to manufacturer's written instructions.

## 2.4 ACCESSORY MATERIALS

- A. Requirement: Provide primers, transition strips, termination strips, joint reinforcing fabric and strips, joint sealants, counterflashing strips, flashing sheets and metal termination bars, termination mastic, substrate patching materials, adhesives, tapes, foam sealants, lap sealants, and other accessory materials that are recommended in writing by air-barrier manufacturer to produce a complete air-barrier assembly and that are compatible with primary air-barrier material and adjacent construction to which they may seal.
- B. Primer: Liquid waterborne primer recommended for substrate by air-barrier material manufacturer.
- C. Stainless-Steel Sheet: ASTM A 240/A 240M, Type 304, 0.0250 inch thick, and Series 300 stainless-steel fasteners.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements and other conditions affecting performance of the Work.
  - 1. Verify that substrates are sound and free of oil, grease, dirt, excess mortar, or other contaminants.
  - 2. Verify that substrates have cured and aged for minimum time recommended in writing by air-barrier manufacturer.
  - 3. Verify that substrates are visibly dry and free of moisture. Test concrete substrates for capillary moisture by plastic sheet method according to ASTM D 4263.
  - 4. Verify that masonry joints are flush and completely filled with mortar.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 SURFACE PREPARATION

- A. Clean, prepare, treat, fill, and seal substrate and joints and cracks in substrate according to manufacturer's written instructions and details. Provide clean, dust-free, and dry substrate for air-barrier application.
- B. Mask off adjoining surfaces not covered by air barrier to prevent spillage and overspray affecting other construction.
- C. Remove grease, oil, bitumen, form-release agents, paints, curing compounds, and other penetrating contaminants or film-forming coatings from concrete.
- D. Remove fins, ridges, mortar, and other projections and fill honeycomb, aggregate pockets, holes, and other voids in concrete with substrate-patching material.
- E. Remove excess mortar from masonry ties, shelf angles, and other obstructions.
- F. At changes in substrate plane, apply sealant or termination mastic beads at sharp corners and edges to form a smooth transition from one plane to another.
- G. Cover gaps in substrate plane and form a smooth transition from one substrate plane to another with stainless-steel sheet mechanically fastened to structural framing to provide continuous support for air barrier.
- H. Bridge isolation joints expansion joints and discontinuous wall-to-wall, deck-to-wall, and deck-to-deck joints with air-barrier accessory material that accommodates joint movement according to manufacturer's written instructions and details.

### 3.3 ACCESSORIES INSTALLATION

- A. Install accessory materials according to air-barrier manufacturer's written instructions and details to form a seal with adjacent construction and ensure continuity of air and water barrier.
  - 1. Coordinate the installation of air barrier with installation of roofing membrane and base flashing to ensure continuity of air barrier with roofing membrane.
  - 2. Install transition strip on roofing membrane or base flashing so that a minimum of **3 inches** of coverage is achieved over each substrate.
  - 3. Unless manufacturer recommends in writing against priming, apply primer to substrates at required rate and allow it to dry.
  - 4. Apply primer to substrates at required rate and allow it to dry. Limit priming to areas that will be covered by air-barrier material on same day. Reprime areas exposed for more than 24 hours.
- B. Connect and seal exterior wall air-barrier material continuously to roofing-membrane air barrier, concrete below-grade structures, floor-to-floor construction, exterior glazing and window systems, glazed curtain-wall systems, storefront systems, exterior louvers, exterior door framing, and other construction used in exterior wall openings, using accessory materials.

- C. At end of each working day, seal top edge of strips and transition strips to substrate with termination mastic.
- D. Apply joint sealants forming part of air-barrier assembly within manufacturer's recommended application temperature ranges. Consult manufacturer when sealant cannot be applied within these temperature ranges.
- E. Wall Openings: Prime concealed, perimeter frame surfaces of windows, curtain walls, storefronts, and doors. Apply transition strip so that a minimum of **3 inches** of coverage is achieved over each substrate. Maintain **3 inches** of full contact over firm bearing to perimeter frames, with not less than **1 inch** of full contact.
  - 1. Transition Strip: Roll firmly to enhance adhesion.
- F. Fill gaps in perimeter frame surfaces of windows, curtain walls, storefronts, and doors, and miscellaneous penetrations of air-barrier material with foam sealant.
- G. Seal strips and transition strips around masonry reinforcing or ties and penetrations with termination mastic.
- H. Seal top of through-wall flashings to air barrier with an additional **6-inch-** wide, transition strip.
- I. Seal exposed edges of strips at seams, cuts, penetrations, and terminations not concealed by metal counter flashings or ending in reglets with termination mastic.
- J. Repair punctures, voids, and deficient lapped seams in strips and transition strips. Slit and flatten fish mouths and blisters. Patch with transition strips extending **6 inches** beyond repaired areas in strip direction.

#### 3.4 PRIMARY AIR-BARRIER MATERIAL INSTALLATION

- A. Apply air-barrier material to form a seal with strips and transition strips and to achieve a continuous air barrier according to air-barrier manufacturer's written instructions and details. Apply air-barrier material within manufacturer's recommended application temperature ranges.
  - 1. Unless manufacturer recommends in writing against priming, apply primer to substrates at required rate and allow it to dry.
  - 2. Limit priming to areas that will be covered by air-barrier material on same day. Reprime areas exposed for more than 24 hours.
  - 3. Where multiple prime coats are needed to achieve required bond, allow adequate drying time between coats.
- B. High-Build Air Barriers: Apply continuous unbroken air-barrier material to substrates according to the following thickness. Apply air-barrier material in full contact around protrusions such as masonry ties.

SECTION 07 27 26 - FLUID-  
APPLIED MEMBRANE AIR  
BARRIERS

1. Vapor-Permeable, High-Build Air Barrier: Total dry film thickness as recommended in writing by manufacturer to comply with performance requirements, but not less than **35 mils**, applied in one or more equal coats.
- C. Do not cover air barrier until it has been tested and inspected by testing agency.
- D. Correct deficiencies in or remove air barrier that does not comply with requirements; repair substrates and reapply air-barrier components.

3.5 CLEANING AND PROTECTION

- A. Protect air-barrier system from damage during application and remainder of construction period, according to manufacturer's written instructions.
  1. Protect air barrier from exposure to UV light and harmful weather exposure as recommended in writing by manufacturer. If exposed to these conditions for longer than recommended, remove and replace air barrier or install additional, full-thickness, air-barrier application after repairing and preparing the overexposed materials according to air-barrier manufacturer's written instructions.
  2. Protect air barrier from contact with incompatible materials and sealants not approved by air-barrier manufacturer.
- B. Clean spills, stains, and soiling from construction that would be exposed in the completed work using cleaning agents and procedures recommended in writing by manufacturer of affected construction.
- C. Remove masking materials after installation.

END OF SECTION 07 27 26



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SECTION 07 41 13.16 - STANDING-SEAM METAL ROOF PANELS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Curved, Vertical-rib, seamed-joint, standing-seam metal roof panels.
2. Roof insulation.
3. Cover board.
4. Underlayment.
5. Snowguards.

B. Related Requirements:

1. Section 074293 "Soffit Panels" for metal panels used in horizontal soffit applications.
2. Section 077253 "Snow Guards" for prefabricated devices designed to hold snow on the roof surface, allowing it to melt and drain off slowly.

1.2 COORDINATION

- A. Coordinate sizes and locations of roof curbs, equipment supports, and roof penetrations with actual equipment provided.
- B. Coordinate metal roof panel installation with rain drainage work, flashing, trim, construction of soffits, and other adjoining work to provide a leakproof, secure, and noncorrosive installation.

1.3 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Meet with Owner, Architect, Owner's insurer if applicable, metal roof panel Installer, metal roof panel manufacturer's representative, structural-support Installer, and installers whose work interfaces with or affects metal roof panels, including installers of roof accessories and roof-mounted equipment.
2. Review and finalize construction schedule and verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
3. Review methods and procedures related to metal roof panel installation, including manufacturer's written installation instructions.
4. Examine support conditions for compliance with requirements, including alignment between and attachment to structural members.
5. Review loading limitations of supporting structure during and after roofing.

6. Review flashings, special details, drainage, penetrations, equipment curbs, and condition of other construction that affect metal roof panels.
7. Review governing regulations and requirements for insurance, certificates, and tests and inspections if applicable.
8. Review temporary protection requirements for metal roof panel systems during and after installation.
9. Review procedures for repair of metal roof panels damaged after installation.
10. Document proceedings, including corrective measures and actions required, and furnish copy of record to each participant.

#### 1.4 ACTION SUBMITTALS

##### A. Product Data:

1. For standing-seam metal roof panels. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for each type of panel and accessory.

##### B. Shop Drawings:

1. Include fabrication and installation layouts of metal roof panels; details of edge conditions, joints, panel profiles, corners, anchorages, attachment system, trim, flashings, closures, and accessories; and special details.
2. Accessories: Include details of the flashing, trim, and anchorage systems, at a scale of not less than 1-1/2 inches per 12 inches.
3. Snowguards.

##### C. Samples for Initial Selection: Manufacturer's standard color charts, showing full range of available colors for each type of exposed finish.

1. Include similar Samples of trim and accessories involving color selection.

#### 1.5 INFORMATIONAL SUBMITTALS

##### A. Certificates for portable roll-forming equipment.

##### B. Product Test Reports: For standing-seam metal roof panels, for tests performed by a qualified testing agency.

##### C. Field quality-control reports.

##### D. Qualification Statements: For roof installers.

##### E. Sample warranties.

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For metal roof panels.

1.7 QUALITY ASSURANCE

- A. Roof Installer Qualifications: Entity that employs a supervisor who is an NRCA ProCertified Roofing Foreman or installers who are NRCA ProCertified Metal Panel Roof Systems Installers.
- B. Portable Roll-Forming Equipment Certification: UL-certified, portable roll-forming equipment capable of producing metal roof panels warranted by manufacturer to be the same as factory-formed products. Maintain UL certification of portable roll-forming equipment for duration of Work.

1.8 MOCKUPS

- A. Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for fabrication and installation.
  - 1. Build mockup of typical roof area and eave, including fascia, and soffit as shown on Drawings; approximately 12 ft. square by full thickness, including attachments, underlayment, and accessories.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Owner specifically approves such deviations by Change Order.
  - 3. Approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver components, metal roof panels, and other manufactured items so as not to be damaged or deformed. Package metal roof panels for protection during transportation and handling.
- B. Unload, store, and erect metal roof panels in a manner to prevent bending, warping, twisting, and surface damage.
- C. Stack metal roof panels horizontally on platforms or pallets, covered with suitable weathertight and ventilated covering. Store metal roof panels to ensure dryness, with positive slope for drainage of water. Do not store metal roof panels in contact with other materials that might cause staining, denting, or other surface damage.
- D. Retain strippable protective covering on metal roof panels during installation.

1.10 FIELD CONDITIONS

- A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit assembly of metal roof panels to be performed in accordance with manufacturers' written installation instructions and warranty requirements.

1.11 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of metal roof panel systems that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures including rupturing, cracking, or puncturing.
    - b. Deterioration of metal and other materials beyond normal weathering.
  - 2. Warranty Period: Two years from date of Substantial Completion.
- B. Special Warranty on Panel Finishes: Manufacturer agrees to repair finish or replace metal roof panels that show evidence of deterioration of factory-applied finishes within specified warranty period.
  - 1. Exposed Panel Finish: Deterioration includes, but is not limited to, the following:
    - a. Color fading more than 5 Delta E units when tested in accordance with ASTM D2244.
    - b. Chalking in excess of a No. 8 rating when tested in accordance with ASTM D4214.
    - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
  - 2. Finish Warranty Period: 20 years from date of Substantial Completion.
- C. Special Weathertightness Warranty: Manufacturer agrees to repair or replace standing-seam metal roof panel systems that fail to remain weathertight, including leaks, within specified warranty period.
  - 1. Warranty Period: 20 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Provide metal roof panel systems capable of withstanding the effects of the following loads when tested in accordance with ASTM E1592:
- B. Water Penetration under Static Pressure: No water penetration when tested in

accordance with ASTM E1646 or ASTM E331 at the following test-pressure difference:

1. Test-Pressure Difference: 6.24 lbf/sq. ft..
- C. Watertightness: No water penetration when tested in accordance with ASTM E2140 for hydrostatic-head resistance.
- D. Wind-Uplift Resistance: Provide metal roof panel assemblies that comply with UL 580 for wind-uplift-resistance class indicated.
1. Uplift Rating: UL 90.
- E. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.
- F. Energy Performance:

## 2.2 STANDING-SEAM METAL ROOF PANELS, GENERAL

- A. Provide factory-formed curved metal roof panels designed to be installed by lapping and interconnecting raised side edges of adjacent panels with seamed joint type indicated and mechanically attaching panels to supports using concealed fasteners in side laps. Include all accessories required for weathertight installation.

## 2.3 VERTICAL-RIB, SEAMED-JOINT, STANDING-SEAM METAL ROOF PANELS

- A. Basis of Design Product: Subject to compliance with requirements, provide DMI Dimensional Metals, Inc.; Curved Snap-on-Seam CR-SS10 or comparable product by one of the following:
1. MBCI; Cornerstone Building Brands
  2. PAC-CLAD; Petersen; a Carlisle Company
- B. Metal Roof Panels: Curved panels, Formed with vertical ribs at panel edges; designed for sequential installation by mechanically attaching panels to supports using concealed clips located under one side of panels, engaging opposite edge of adjacent panels, and mechanically seaming panels together.
1. Structural Support: Over solid deck.
  2. Material: Metallic-coated steel.
  3. Seam Type: Double folded.
  4. Panel Profile: Curved.
  5. Panel Coverage: 12 inches.
  6. Panel Height: 1.5 inches.
  7. Clips: One-piece, stainless steel, fixed to accommodate thermal movement. Size and spacing of clips as per manufacturer recommendations.

2.4 METAL ROOF PANEL MATERIAL

- A. Metallic-Coated Steel Sheet: Zinc-coated (galvanized) steel sheet complying with minimum ASTM A653/A653M, G90 coating designation, or aluminum-zinc alloy-coated steel sheet complying with minimum ASTM A792/A792M, Class AZ50 coating designation; structural quality. Sheet prepainted by the coil-coating process to comply with ASTM A755/A755M.
1. Nominal Thickness: 0.028 inch.
  2. Surface: Smooth, flat texture.
  3. Exterior Finish: Two-coat fluoropolymer.
  4. Color: As selected by Architect from manufacturer's full range.

2.5 ROOF INSULATION

- A. Insulation over Solid Deck:
1. Polyisocyanurate Board Insulation: ASTM C1289, Type II, Class 2 coated glass-fiber facer on both major surfaces.
    - a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
      - 1) Atlas Polyiso Roof and Wall Insulation
      - 2) Carlisle Syntec Systems
      - 3) CertainTeed; SAINT-GOBAIN
    - b. Compressive Strength: Grade 2, 20 psi.
    - c. Size: 48 by 48 inches, or board size required to accommodate curved roof surface.
    - d. Thickness: Total thickness as specified on Drawings to accommodate energy code requirements. Layer thickness to accommodate curved roof surface.

2.6 COVER BOARD

- A. Exterior grade, fire-rated plywood sheathing. Thickness: 1/2. inch

2.7 UNDERLAYMENT

- A. Self-Adhering, High-Temperature Underlayment: Provide self-adhering, cold-applied, sheet underlayment, a minimum of 30 mils thick, consisting of slip-resistant, polyethylene-film top surface laminated to a layer of butyl or SBS-modified asphalt adhesive, with release-paper backing. Provide primer when recommended by underlayment manufacturer.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Carlisle WIP Products; a brand of Carlisle Construction Materials
  - b. Dimensional Metals, Inc.
  - c. Henry Company; a Carlisle company
2. Thermal Stability: Stable after testing at 220 deg F; ASTM D1970/D1970M.
3. Low-Temperature Flexibility: Passes after testing at minus 20 deg F; ASTM D1970/D1970M.

B. Slip Sheet: Manufacturer's recommended slip sheet, of type required for application.

## 2.8 MISCELLANEOUS MATERIALS

- A. Miscellaneous Metal Subframing and Furring: ASTM C645; cold-formed, metallic-coated steel sheet, minimum ASTM A653/A653M, G90 hot-dip galvanized coating designation or ASTM A792/A792M, Class AZ50 coating designation. Provide manufacturer's standard sections as required for support and alignment of metal panel system.
- B. Roof Panel Accessories: Provide components required for a complete, weathertight metal roof panel system including trim, copings, fasciae, mullions, sills, corner units, fasteners, flashings, sealants, gaskets, fillers, closure strips, and similar items. Match material and finish of metal roof panels unless otherwise indicated.
  1. Closures: Provide closures at eaves and ridges, fabricated of same metal as metal roof panels.
  2. Backing Plates: Provide metal backing plates at roof panel end splices, fabricated from material recommended by manufacturer.
  3. Closure Strips: Closed-cell, expanded, cellular, rubber or crosslinked, polyolefin-foam or closed-cell laminated polyethylene; minimum 1-inch- thick, flexible closure strips; cut or premolded to match metal roof panel profile. Provide closure strips where indicated or necessary to ensure weathertight construction.
- C. Flashing and Trim: Provide flashing and trim formed from same material as metal roof panels as required to seal against weather and to provide finished appearance. Locations include, but are not limited to, eaves, rakes, corners, bases, framed openings, ridges, fasciae, and fillers. Finish flashing and trim with same finish system as adjacent metal roof panels.
- D. Gutters: Formed from same material as metal roof panels, complete with end pieces, outlet tubes, and other special pieces as required. Fabricate in minimum 96-inch- long sections, of size and metal thickness in accordance with manufacturer's recommendations. Furnish gutter supports spaced a maximum of 36 inches o.c., fabricated from same metal as gutters. Provide wire ball strainers of compatible metal at outlets. Finish gutters to match roof fascia and rake trim.
- E. Downspouts: Formed from same material as metal roof panels. Fabricate in 10 ft. long



sections, complete with formed elbows and offsets, of size and metal thickness in accordance with manufacturer's recommendations. Finish downspouts as selected by Architect.

- F. Roof Curbs: Fabricated from same material as metal roof panels, 0.048-inch nominal thickness; with bottom of skirt profiled to match metal roof panel profiles and with welded top box and integral full-length cricket. Fabricate curb subframing of 0.060-inch-nominal thickness, angle-, C-, or Z-shaped steel sheet. Fabricate curb and subframing to withstand indicated loads of size and height indicated. Finish roof curbs to match metal roof panels.
  - 1. Insulate roof curb with 1-inch- thick, rigid insulation.
- G. Roof Panel Fasteners: Self-tapping screws designed to withstand design loads.
- H. Roof Panel Sealants: Provide sealant type recommended by manufacturer that are compatible with metal roof panel materials, are nonstaining, and do not damage panel finish.
  - 1. Sealant Tape: Pressure-sensitive, 100 percent solids, gray polyisobutylene compound sealant tape with release-paper backing. Provide permanently elastic, nonsag, nontoxic, nonstaining tape 1/2 inch wide and 1/8 inch thick.
  - 2. Joint Sealant: ASTM C920; elastomeric polyurethane or silicone sealant; of type, grade, class, and use classifications required to seal joints in metal roof panels and remain weathertight; and as recommended in writing by metal roof panel manufacturer.
  - 3. Butyl-Rubber-Based, Solvent-Release Sealant: ASTM C1311.
  - 4. Snowguards
    - a. "S-5" by Petersen Aluminum, 800-323-1960.
    - b. "SnoBar", 800-711-9724
    - c. "SNO-BARRICADE" by Sno-Gem, Inc., McHenry, IL 888-766-4367

## 2.9 FABRICATION

- A. Fabricate and finish metal roof panels and accessories at the factory, by manufacturer's standard procedures and processes, as necessary to fulfill indicated performance requirements demonstrated by laboratory testing. Comply with indicated profiles and with dimensional and structural requirements.
- B. On-site Fabrication: Subject to compliance with requirements of this Section, metal roof panels may be fabricated on-site using UL-certified, portable roll-forming equipment if panels are of same profile and warranted by manufacturer to be equal to factory-formed panels. Fabricate in accordance with equipment manufacturer's written instructions and to comply with details shown.
- C. Provide roof panel profile, including major ribs and intermediate stiffening ribs, if any, for full length of panel.

- D. Fabricate metal roof panel joints with factory-installed captive gaskets or separator strips that provide a weathertight seal and prevent metal-to-metal contact, and that minimize noise from movements.
- E. Sheet Metal Flashing and Trim: Fabricate flashing and trim to comply with manufacturer's recommendations that apply to design, dimensions, metal, and other characteristics of item indicated.
  - 1. Form exposed sheet metal accessories that are without excessive oil canning, buckling, and tool marks and that are true to line and levels indicated, with exposed edges folded back to form hems.
  - 2. Seams for other than Aluminum: Fabricate nonmoving seams in accessories with flat-lock seams. Tin edges to be seamed, form seams, and solder.
  - 3. Sealed Joints: Form nonexpansion, but movable, joints in metal to accommodate sealant and to comply with manufacturer's recommendations.
  - 4. Conceal fasteners and expansion provisions where possible. Exposed fasteners are not permitted on faces of accessories exposed to view.
  - 5. Fabricate cleats and attachment devices from same material as accessory being anchored or from compatible, noncorrosive metal recommended in writing by metal roof panel manufacturer.
    - a. Size: As recommended by metal roof panel manufacturer for application, but not less than thickness of metal being secured.

## 2.10 FINISHES

- A. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in same piece are unacceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.
- C. Steel Roof Panels and Accessories:
  - 1. Two-Coat Fluoropolymer: Fluoropolymer finish containing not less than 70 percent PVDF resin by weight in color coat. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with

requirements for installation tolerances, metal roof panel supports, and other conditions affecting performance of the Work.

1. Examine solid roof sheathing to verify that sheathing joints are supported by framing or blocking and that installation is within flatness tolerances required by metal roof panel manufacturer.
  - a. Verify that air- or water-resistive barriers have been installed over sheathing or backing substrate to prevent air infiltration or water penetration.
- B. Examine roughing-in for components and systems penetrating metal roof panels to verify actual locations of penetrations relative to seam locations of metal roof panels before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Miscellaneous Supports: Install subframing, furring, and other miscellaneous panel support members and anchorages in accordance with ASTM C754 and metal roof panel manufacturer's written installation instructions.

### 3.3 INSTALLATION OF ROOF INSULATION

- A. General: Install insulation concurrently with metal roof panel installation, in thickness indicated to cover entire surface, in accordance with manufacturer's written installation instructions.

### 3.4 INSTALLATION OF COVER BOARD

- A. Install cover board over insulation in accordance with manufacturer's written installation instructions. Install with long joints in continuous straight lines with end joints staggered between rows. Offset joints of insulation below a minimum of 6 inches in each direction.

### 3.5 INSTALLATION OF UNDERLAYMENT

- A. Self-Adhering Sheet Underlayment: Apply primer if required by manufacturer. Comply with temperature restrictions of underlayment manufacturer for installation. Apply at locations indicated, wrinkle free, in shingle fashion to shed water, and with end laps of not less than 6 inches staggered 24 inches between courses. Overlap side edges not less than 3-1/2 inches. Extend underlayment into gutter trough. Roll laps with roller. Cover underlayment within 14 days.

1. Apply over the entire roof surface.

- B. Slip Sheet: Apply slip sheet over underlayment before installing metal roof panels.
- C. Flashings: Install flashings to cover underlayment to comply with requirements specified in Section 076200 "Sheet Metal Flashing and Trim."

### 3.6 INSTALLATION OF STANDING-SEAM METAL ROOF PANELS

- A. Install metal roof panels in accordance with manufacturer's written installation instructions and approved Shop Drawings in orientation, sizes, and locations indicated. Anchor metal roof panels and other components of the Work securely in place, with provisions for thermal and structural movement.
  - 1. Shim or otherwise plumb substrates receiving metal roof panels.
  - 2. Flash and seal metal roof panels at perimeter of all openings. Fasten with self-tapping screws. Do not begin installation until air- or water-resistive barriers and flashings that will be concealed by metal roof panels are installed.
  - 3. Install screw fasteners in predrilled holes.
  - 4. Locate and space fastenings in uniform vertical and horizontal alignment.
  - 5. Install flashing and trim as metal roof panel work proceeds.
  - 6. Locate panel splices over, but not attached to, structural supports. Stagger panel splices and end laps to avoid a four-panel lap splice condition.
  - 7. Align bottoms of metal roof panels and fasten with blind rivets, bolts, or self-tapping screws. Fasten flashings and trim around openings and similar elements with self-tapping screws.
  - 8. Provide weathertight escutcheons for pipe- and conduit-penetrating panels.
- B. Fasteners:
  - 1. Steel Roof Panels: Use stainless steel fasteners for surfaces exposed to the exterior; use galvanized-steel fasteners for surfaces exposed to the interior.
- C. Metal Protection: Where dissimilar metals contact each other or corrosive substrates, protect against galvanic action as recommended in writing by metal roof panel manufacturer.
- D. Concealed Clip, Standing-Seam Metal Roof Panel Installation: Fasten metal roof panels to supports with concealed clips at each standing-seam joint at location, spacing, and with fasteners recommended in writing by manufacturer.
  - 1. Install clips to supports with self-tapping fasteners.
  - 2. Install pressure plates at locations indicated in manufacturer's written installation instructions.
- E. Roof Panel Joints: Fasten panel joints to substrate in accordance with manufacturer's instructions.
  - 1. Seamed Joint: Crimp standing seams with manufacturer-approved, motorized seamer tool so clip, metal roof panel, and factory-applied sealant are completely engaged.

2. Watertight Installation:
  - a. Apply a continuous ribbon of sealant or tape to seal joints of metal roof panels, using sealant or tape as recommended in writing by manufacturer as needed to make panels watertight.
  - b. Provide sealant or tape between panels and protruding equipment, vents, and accessories.
  - c. At panel splices, nest panels with minimum 6-inch end lap, sealed with sealant and fastened together by interlocking clamping plates.
  
- F. Accessory Installation: Install accessories with positive anchorage to building and weathertight mounting and provide for thermal expansion. Coordinate installation with flashings and other components.
  1. Install components required for a complete metal roof panel system including trim, copings, corners, seam covers, flashings, sealants, gaskets, fillers, closure strips, and similar items. Provide types indicated by metal roof panel manufacturers; or, if not indicated, types recommended by metal roof panel manufacturer.
  
- G. Flashing and Trim: Comply with performance requirements and manufacturer's written installation instructions. Provide concealed fasteners where possible and set units true to line and level as indicated. Install work with laps, joints, and seams that will be permanently watertight and weather resistant.
  1. Install exposed flashing and trim that is without buckling and tool marks, and that is true to line and levels indicated, with exposed edges folded back to form hems. Install sheet metal flashing and trim to fit substrates and achieve waterproof and weather-resistant performance.
  2. Expansion Provisions: Provide for thermal expansion of exposed flashing and trim. Space movement joints at a maximum of 10 ft. with no joints allowed within 24 inches of corner or intersection. Where lapped expansion provisions cannot be used or would not be sufficiently weather resistant and waterproof, form expansion joints of intermeshing hooked flanges, not less than 1 inch deep, filled with mastic sealant (concealed within joints).
  
- H. Gutters: Join sections with riveted and soldered or lapped and sealed joints. Attach gutters to eave with gutter hangers spaced not more than 36 inches o.c. using manufacturer's standard fasteners. Provide end closures and seal watertight with sealant. Provide for thermal expansion.
  
- I. Downspouts: Join sections with telescoping joints. Provide fasteners designed to hold downspouts securely 1 inch away from walls; locate fasteners at top and bottom and at approximately 60 inches o.c. in between.
  1. Connect downspouts to underground drainage system indicated.
  
- J. Roof Curbs: Install curbs at locations indicated on Drawings. Install flashing around bases where they meet metal roof panels.

- K. Pipe and Conduit Penetrations: Fasten and seal to metal roof panels as recommended by manufacturer.
- L. Snowguards: Non-roof-penetrating installation. Clamps directly to metal roof standing seams.

### 3.7 ERECTION TOLERANCES

- A. Installation Tolerances: Shim and align metal roof panels within installed tolerance of 1/4 inch in 20 ft. on slope and location lines as indicated and within 1/8-inch offset of adjoining faces and of alignment of matching profiles.

### 3.8 CLEANING AND PROTECTION

- A. Remove temporary protective coverings and strippable films, if any, as metal roof panels are installed, unless otherwise indicated in manufacturer's written installation instructions. On completion of metal roof panel installation, clean finished surfaces as recommended by metal roof panel manufacturer. Maintain in a clean condition during construction.
- B. Replace metal roof panels that have been damaged or have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 07 41 13.16

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SECTION 07 42 13.13 - FORMED METAL WALL PANELS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Concealed-fastener, lap-seam metal wall panels.
2. Metal Column Cover.

B. Related Requirements:

1. Section 074293 "Soffit Panels" for metal panels used in horizontal soffit applications.

1.2 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Meet with Owner, Architect, Owner's insurer if applicable, metal panel Installer, metal panel manufacturer's representative, structural-support Installer, and installers whose work interfaces with or affects metal panels, including installers of doors, windows, and louvers.
2. Review and finalize construction schedule and verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
3. Review methods and procedures related to metal panel installation, including manufacturer's written instructions.
4. Examine support conditions for compliance with requirements, including alignment between and attachment to structural members.
5. Review flashings, special siding details, wall penetrations, openings, and condition of other construction that affect metal panels.
6. Review governing regulations and requirements for insurance, certificates, and tests and inspections if applicable.
7. Review temporary protection requirements for metal panel assembly during and after installation.
8. Review of procedures for repair of metal panels damaged after installation.
9. Document proceedings, including corrective measures and actions required, and furnish copy of record to each participant.

1.3 ACTION SUBMITTALS

- A. Product Data: Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for each type of panel and accessory.



1. Concealed-fastener, lap-seam metal wall panels.

B. Shop Drawings:

1. Include fabrication and installation layouts of metal panels; details of edge conditions, joints, panel profiles, corners, anchorages, attachment system, trim, flashings, closures, and accessories; and special details.
2. Accessories: Include details of the flashing, trim, and anchorage systems, at a scale of not less than 1-1/2 inches per 12 inches.

C. Samples for Initial Selection: For each type of metal panel indicated with factory-applied finishes.

1. Include Samples of trim and accessories involving color selection.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Product Test Reports: For concealed-fastener, lap-seam metal wall panels, for tests performed by a qualified testing agency.
- C. Sample Warranties: For special warranties.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For metal panels to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer.
- B. UL-Certified, Portable Roll-Forming Equipment: UL-certified, portable roll-forming equipment capable of producing metal panels warranted by manufacturer to be the same as factory-formed products. Maintain UL certification of portable roll-forming equipment for duration of work.

1.7 MOCKUPS

- A. Build mockups to verify selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for fabrication and installation.
  1. Build mockup of typical metal panel assembly as coordinated in field, including corner,, soffits, supports, attachments, and accessories.
  2. Water-Spray Test: Conduct water-spray test of metal panel assembly mockup,

testing for water penetration according to AAMA 501.2.

3. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Deliver components, metal panels, and other manufactured items so as not to be damaged or deformed. Package metal panels for protection during transportation and handling.
- B. Unload, store, and erect metal panels in a manner to prevent bending, warping, twisting, and surface damage.
- C. Stack metal panels horizontally on platforms or pallets, covered with suitable weathertight and ventilated covering. Store metal panels to ensure dryness, with positive slope for drainage of water. Do not store metal panels in contact with other materials that might cause staining, denting, or other surface damage.
- D. Retain strippable protective covering on metal panels during installation.
- E. Copper Panels: Wear gloves when handling to prevent fingerprints and soiling of surface.

#### 1.9 FIELD CONDITIONS

- A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit assembly of metal panels to be performed according to manufacturers' written instructions and warranty requirements.

#### 1.10 COORDINATION

- A. Coordinate metal panel installation with rain drainage work, flashing, trim, construction of soffits, and other adjoining work to provide a leakproof, secure, and noncorrosive installation.

#### 1.11 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of metal panel systems that fail in materials or workmanship within specified warranty period.

1. Failures include, but are not limited to, the following:

- a. Structural failures including rupturing, cracking, or puncturing.
  - b. Deterioration of metals and other materials beyond normal weathering.
2. Warranty Period: Two years from date of Substantial Completion.
- B. Special Warranty on Panel Finishes: Manufacturer's standard form in which manufacturer agrees to repair finish or replace metal panels that show evidence of deterioration of factory-applied finishes within specified warranty period.
1. Exposed Panel Finish: Deterioration includes, but is not limited to, the following:
    - a. Color fading more than 5 Delta E units when tested according to ASTM D2244.
    - b. Chalking in excess of a No. 8 rating when tested according to ASTM D4214.
    - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
  2. Finish Warranty Period: 20 years from date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Provide metal panel systems capable of withstanding the effects of the following loads, based on testing according to ASTM E1592:
1. Wind Loads: As indicated on Drawings.
  2. Other Design Loads: As indicated on Drawings.
- B. Air Infiltration: Air leakage of not more than 0.06 cfm/sq. ft. when tested according to ASTM E283 at the following test-pressure difference:
1. Test-Pressure Difference: 6.24 lbf/sq. ft..
- C. Water Penetration under Static Pressure: No water penetration when tested according to ASTM E331 at the following test-pressure difference:
1. Test-Pressure Difference: 6.24 lbf/sq. ft..
- D. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes by preventing buckling, opening of joints, overstressing of components, failure of joint sealants, failure of connections, and other detrimental effects. Base calculations on surface temperatures of materials due to both solar heat gain and nighttime-sky heat loss.
1. Temperature Change (Range): 120 deg F, ambient; 180 deg F, material surfaces.

2.2 CONCEALED-FASTENER, LAP-SEAM METAL WALL PANELS

- A. Provide factory-formed metal panels designed to be field assembled by lapping and interconnecting side edges of adjacent panels and mechanically attaching through panel to supports using concealed fasteners and factory-applied sealant in side laps. Include accessories required for weathertight installation.
- B. Flush-Profile, Concealed-Fastener Metal Wall Panels: Formed with vertical panel edges and a flat pan between panel edges; with flush joint between panels.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide DMI Dimensional Metals, Inc; 1" Flush Panel – 12" – FP1012 or comparable product by one of the following:
    - a. MBCI; Cornerstone Building Brands
    - b. PAC-CLAD; Petersen; a Carlisle company

2.3 MISCELLANEOUS MATERIALS

- A. Miscellaneous Metal Subframing and Furring: ASTM C645, cold-formed, metallic-coated steel sheet, ASTM A653/A653M, G90 hot-dip galvanized coating designation or ASTM A792/A792M, Class AZ50 aluminum-zinc-alloy coating designation unless otherwise indicated. Provide manufacturer's standard sections as required for support and alignment of metal panel system.
- B. Panel Accessories: Provide components required for a complete, weathertight panel system including trim, copings, fasciae, mullions, sills, corner units, clips, flashings, sealants, gaskets, fillers, closure strips, and similar items. Match material and finish of metal panels unless otherwise indicated.
  - 1. Closures: Provide closures at eaves and rakes, fabricated of same metal as metal panels.
  - 2. Backing Plates: Provide metal backing plates at panel end splices, fabricated from material recommended by manufacturer.
  - 3. Closure Strips: Closed-cell, expanded, cellular, rubber or crosslinked, polyolefin-foam or closed-cell laminated polyethylene; minimum 1-inch- thick, flexible closure strips; cut or premolded to match metal panel profile. Provide closure strips where indicated or necessary to ensure weathertight construction.
- C. Flashing and Trim: Provide flashing and trim formed from same material as metal panels as required to seal against weather and to provide finished appearance. Locations include, but are not limited to, bases, drips, sills, jambs, corners, endwalls, framed openings, rakes, fasciae, parapet caps, soffits, reveals, and fillers. Finish flashing and trim with same finish system as adjacent metal panels.
- D. Panel Fasteners: Self-tapping screws designed to withstand design loads. Provide exposed fasteners with heads matching color of metal panels by means of plastic caps or factory-applied coating. Provide EPDM or PVC sealing washers for exposed fasteners.

- E. Panel Sealants: Provide sealant type recommended by manufacturer that are compatible with panel materials, are nonstaining, and do not damage panel finish.
  - 1. Sealant Tape: Pressure-sensitive, 100 percent solids, gray polyisobutylene compound sealant tape with release-paper backing. Provide permanently elastic, nonsag, nontoxic, nonstaining tape 1/2 inch wide and 1/8 inch thick.
  - 2. Joint Sealant: ASTM C920; elastomeric polyurethane or silicone sealant; of type, grade, class, and use classifications required to seal joints in metal panels and remain weathertight; and as recommended in writing by metal panel manufacturer.
  - 3. Butyl-Rubber-Based, Solvent-Release Sealant: ASTM C1311.

## 2.4 FABRICATION

- A. Fabricate and finish metal panels and accessories at the factory, by manufacturer's standard procedures and processes, as necessary to fulfill indicated performance requirements demonstrated by laboratory testing. Comply with indicated profiles and with dimensional and structural requirements.
- B. On-Site Fabrication: Subject to compliance with requirements of this Section, metal panels may be fabricated on-site using UL-certified, portable roll-forming equipment if panels are of same profile and warranted by manufacturer to be equal to factory-formed panels. Fabricate according to equipment manufacturer's written instructions and to comply with details shown.
- C. Provide panel profile, including major ribs and intermediate stiffening ribs, if any, for full length of panel.
- D. Fabricate metal panel joints with factory-installed captive gaskets or separator strips that provide a weathertight seal and prevent metal-to-metal contact, and that minimize noise from movements.
- E. Sheet Metal Flashing and Trim: Fabricate flashing and trim to comply with manufacturer's recommendations and recommendations in SMACNA's "Architectural Sheet Metal Manual" that apply to design, dimensions, metal, and other characteristics of item indicated.
  - 1. Form exposed sheet metal accessories that are without excessive oil canning, buckling, and tool marks and that are true to line and levels indicated, with exposed edges folded back to form hems.
  - 2. Seams for Other Than Aluminum: Fabricate nonmoving seams in accessories with flat-lock seams. Tin edges to be seamed, form seams, and solder.
  - 3. Sealed Joints: Form nonexpansion, but movable, joints in metal to accommodate sealant and to comply with SMACNA standards.
  - 4. Conceal fasteners and expansion provisions where possible. Exposed fasteners are not allowed on faces of accessories exposed to view.
  - 5. Fabricate cleats and attachment devices from same material as accessory being anchored or from compatible, noncorrosive metal recommended in writing by metal panel manufacturer.

- a. Size: As recommended by SMACNA's "Architectural Sheet Metal Manual" or metal wall panel manufacturer for application but not less than thickness of metal being secured.

## 2.5 METAL COLUMN COVERS

- A. Provide factory-formed metal column covers designed to be field assembled with caulk joint designed to leave a small open vertical reveal where the column cover sections meet.
- B. Basis of Design Product: Subject to compliance with requirements, provide PAC-CLAD by Petersen; PAC 1000C Column Cover or comparable products by one of the following:
  1. AmeriClad.
  2. AlumaFab.
- C. Materials: Aluminum (0.125 thickness)
- D. Finish: As selected by Architect from manufacturer's full range of available finishes.
- E. Provide backer rod and caulk at vertical reveal joints.

## 2.6 FINISHES

- A. Protect mechanical and painted finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.
- C. Steel Panels and Accessories:
  1. Two-Coat Fluoropolymer: AAMA 621. Fluoropolymer finish containing not less than 70 percent polyvinylidene fluoride (PVDF) resin by weight in color coat. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with

requirements for installation tolerances, metal panel supports, and other conditions affecting performance of the Work.

1. Examine wall sheathing to verify that sheathing joints are supported by framing or blocking and that installation is within flatness tolerances required by metal wall panel manufacturer.
  - a. Verify that air- or water-resistive barriers have been installed over sheathing or backing substrate to prevent air infiltration or water penetration.
- B. Examine roughing-in for components and systems penetrating metal panels to verify actual locations of penetrations relative to seam locations of metal panels before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Miscellaneous Supports: Install subframing, furring, and other miscellaneous panel support members and anchorages according to ASTM C754 and metal panel manufacturer's written recommendations.

### 3.3 INSTALLATION OF METAL PANELS

- A. Install metal panels according to manufacturer's written instructions in orientation, sizes, and locations indicated. Install panels perpendicular to supports unless otherwise indicated. Anchor metal panels and other components of the Work securely in place, with provisions for thermal and structural movement.
  1. Shim or otherwise plumb substrates receiving metal panels.
  2. Flash and seal metal panels at perimeter of all openings. Fasten with self-tapping screws. Do not begin installation until air- or water-resistive barriers and flashings that will be concealed by metal panels are installed.
  3. Install screw fasteners in predrilled holes.
  4. Locate and space fastenings in uniform vertical and horizontal alignment.
  5. Install flashing and trim as metal panel work proceeds.
  6. Locate panel splices over, but not attached to, structural supports. Stagger panel splices and end laps to avoid a four-panel lap splice condition.
  7. Align bottoms of metal panels and fasten with blind rivets, bolts, or self-tapping screws. Fasten flashings and trim around openings and similar elements with self-tapping screws.
  8. Provide weathertight escutcheons for pipe- and conduit-penetrating panels.
- B. Fasteners:
  1. Steel Panels: Use stainless steel fasteners for surfaces exposed to the exterior; use galvanized-steel fasteners for surfaces exposed to the interior.

- C. Metal Protection: Where dissimilar metals contact each other or corrosive substrates, protect against galvanic action as recommended in writing by metal panel manufacturer.
- D. Lap-Seam Metal Panels: Fasten metal panels to supports with fasteners at each lapped joint at location and spacing recommended by manufacturer.
  - 1. Lap ribbed or fluted sheets one full rib. Apply panels and associated items true to line for neat and weathertight enclosure.
  - 2. Provide metal-backed washers under heads of exposed fasteners bearing on weather side of metal panels.
  - 3. Locate and space exposed fasteners in uniform vertical and horizontal alignment. Use proper tools to obtain controlled uniform compression for positive seal without rupture of washer.
  - 4. Install screw fasteners with power tools having controlled torque adjusted to compress washer tightly without damage to washer, screw threads, or panels. Install screws in predrilled holes.
  - 5. Flash and seal panels with weather closures at perimeter of all openings.
- E. Watertight Installation:
  - 1. Apply a continuous ribbon of sealant or tape to seal lapped joints of metal panels, using sealant or tape as recommend by manufacturer on side laps of nesting-type panels; and elsewhere as needed to make panels watertight.
  - 2. Provide sealant or tape between panels and protruding equipment, vents, and accessories.
  - 3. At panel splices, nest panels with minimum 6-inch end lap, sealed with sealant and fastened together by interlocking clamping plates.
- F. Accessory Installation: Install accessories with positive anchorage to building and weathertight mounting, and provide for thermal expansion. Coordinate installation with flashings and other components.
  - 1. Install components required for a complete metal panel system including trim, copings, corners, seam covers, flashings, sealants, gaskets, fillers, closure strips, and similar items. Provide types indicated by metal wall panel manufacturer; or, if not indicated, provide types recommended by metal panel manufacturer.
- G. Flashing and Trim: Comply with performance requirements, manufacturer's written installation instructions, and SMACNA's "Architectural Sheet Metal Manual." Provide concealed fasteners where possible, and set units true to line and level as indicated. Install work with laps, joints, and seams that are permanently watertight.
  - 1. Install exposed flashing and trim that is without buckling and tool marks, and that is true to line and levels indicated, with exposed edges folded back to form hems. Install sheet metal flashing and trim to fit substrates and achieve waterproof performance.
  - 2. Expansion Provisions: Provide for thermal expansion of exposed flashing and trim. Space movement joints at a maximum of 10 feet with no joints allowed within 24 inches of corner or intersection. Where lapped expansion provisions



cannot be used or would not be sufficiently waterproof, form expansion joints of intermeshing hooked flanges, not less than 1 inch deep, filled with mastic sealant (concealed within joints).

### 3.4 INSTALLATION OF METAL COLUMN COVERS

- A. Install metal column covers according to manufacturer's written instructions in orientation, sizes, and locations indicated. Anchor metal column covers and other components of the Work securely in place, with provisions for thermal and structural movement.
- B. Fasteners: Use stainless steel fasteners.
- C. Provide backer rod and sealant at vertical reveal joint of column covers.

### 3.5 FIELD QUALITY CONTROL

- A. Water-Spray Test: After installation, test area of assembly as directed by Architect for water penetration according to AAMA 501.2.
- B. Remove and replace metal wall panels where tests and inspections indicate that they do not comply with specified requirements.
- C. Additional tests and inspections, at Contractor's expense, are performed to determine compliance of replaced or additional work with specified requirements.
- D. Prepare test and inspection reports.

### 3.6 CLEANING AND PROTECTION

- A. Remove temporary protective coverings and strippable films, if any, as metal panels are installed, unless otherwise indicated in manufacturer's written installation instructions. On completion of metal panel installation, clean finished surfaces as recommended by metal panel manufacturer. Maintain in a clean condition during construction.
- B. After metal panel installation, clear weep holes and drainage channels of obstructions, dirt, and sealant.
- C. Replace metal panels that have been damaged or have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 07 42 13.13

SECTION 07 42 93 - SOFFIT PANELS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Metal soffit panels.
- B. Related Sections:
  - 1. Section 07 42 13.13 "Formed Metal Wall Panels" for lap-seam metal wall panels.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for each type of panel and accessory.
- B. Shop Drawings:
  - 1. Include fabrication and installation layouts of metal panels; details of edge conditions, joints, panel profiles, corners, anchorages, attachment system, trim, flashings, closures, and accessories; and special details.
  - 2. Accessories: Include details of flashing, trim, and anchorage systems, at a scale of not less than **1-1/2 inches per 12 inches**.
- C. Samples for Verification: For each type of exposed finish required, prepared on Samples of size indicated below:
  - 1. Metal Panels: **12 inches** long by actual panel width. Include fasteners, closures, and other metal panel accessories.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Sample Warranties: For special warranties.

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For metal panels to include in maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer.
- B. UL-Certified, Portable Roll-Forming Equipment: UL-certified, portable roll-forming equipment capable of producing metal panels warranted by manufacturer to be the same as factory-formed products. Maintain UL certification of portable roll-forming equipment for duration of work.
- C. Mockups: Build mockups to verify selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for fabrication and installation.
  - 1. Build mockup of typical roof eave, including fascia, and soffit as shown on Drawings; approximately four panels wide by full eave width, including attachments and accessories.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Deliver components, metal panels, and other manufactured items so as not to be damaged or deformed. Package metal panels for protection during transportation and handling.
- B. Unload, store, and erect metal panels in a manner to prevent bending, warping, twisting, and surface damage.
- C. Stack metal panels horizontally on platforms or pallets, covered with suitable weathertight and ventilated covering. Store metal panels to ensure dryness, with positive slope for drainage of water. Do not store metal panels in contact with other materials that might cause staining, denting, or other surface damage.
- D. Retain strippable protective covering on metal panels during installation.

1.9 FIELD CONDITIONS

- A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit assembly of metal panels to be performed according to manufacturers' written instructions and warranty requirements.

1.10 COORDINATION

- A. Coordinate metal panel installation with rain drainage work, flashing, trim, construction of walls, and other adjoining work to provide a leakproof, secure, and noncorrosive installation.

1.11 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of metal panel systems that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures including rupturing, cracking, or puncturing.
    - b. Deterioration of metals and other materials beyond normal weathering.
  - 2. Warranty Period: One years from date of Substantial Completion.
- B. Special Warranty on Panel Finishes: Manufacturer's standard form in which manufacturer agrees to repair finish or replace metal panels that show evidence of deterioration of factory-applied finishes within specified warranty period.
  - 1. Exposed Panel Finish: Deterioration includes, but is not limited to, the following:
    - a. Color fading more than 5 Delta E units when tested according to ASTM D2244.
    - b. Chalking in excess of a No. 8 rating when tested according to ASTM D4214.
    - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
  - 2. Finish Warranty Period: 40 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Provide metal panel systems capable of withstanding the effects of the following loads, based on testing according to ASTM E1592:
  - 1. Wind Loads: As indicated on Drawings.
  - 2. Other Design Loads: As indicated on Drawings.
  - 3. Deflection Limits: For wind loads, no greater than 1/180 of the span.

- B. Air Infiltration: Air leakage of not more than **0.06 cfm/sq. ft.** when tested according to ASTM E283 at the following test-pressure difference:
  - 1. Test-Pressure Difference: **6.24 lbf/sq. ft.**
- C. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes by preventing buckling, opening of joints, overstressing of components, failure of joint sealants, failure of connections, and other detrimental effects. Base calculations on surface temperatures of materials due to both solar heat gain and nighttime-sky heat loss.
  - 1. Temperature Change (Range): **120 deg F**, ambient; **180 deg F**, material surfaces.

## 2.2 METAL SOFFIT PANELS

- A. Provide metal soffit panels designed to be installed by lapping and interconnecting side edges of adjacent panels and mechanically attaching through panel to supports using concealed fasteners in side laps. Include accessories required for weathertight installation.
- B. Flush-Profile Metal Soffit Panels: Solid panels formed with vertical panel edges and a flat pan between panel edges; with flush joint between panels.
  - 1. **Products:** Subject to compliance with requirements, provide the following:
    - a. MBCI, Artisan Panel.
    - b. DMI, Flush Soffit Panel FP10.
    - c. McElroy, Marquee-Lok Panel.
    - d. or Approved Equal.
  - 2. Steel Coil-coated sheet, **ASTM B209**, alloy as standard with manufacturer, with temper as required to suit forming operations and structural performance required.
    - a. Thickness: **24 gauge.**
    - b. Surface: Smooth, flat finish.
    - c. Exterior Finish: Two-coat fluoropolymer.
    - d. Color: As selected by Architect from manufacturer's full range.
  - 3. Panel Coverage: **12 inches.**
  - 4. Panel Height: **1.0 inch.**

## 2.3 MISCELLANEOUS MATERIALS

- A. Miscellaneous Metal Subframing and Furring: ASTM C645, cold-formed, metallic-coated steel sheet, ASTM A653/A653M, **G90** coating designation or ASTM A792/A792M, **Class AZ50** aluminum-zinc-alloy coating designation unless otherwise indicated. Provide manufacturer's standard sections as required for support and alignment of metal panel system.

- B. Panel Accessories: Provide components required for a complete, weathertight panel system including trim, clips, flashings, sealants, gaskets, fillers, closure strips, and similar items. Match material and finish of metal panels unless otherwise indicated.
  - 1. Closure Strips: Closed-cell, expanded, cellular, rubber or crosslinked, polyolefin-foam or closed-cell laminated polyethylene; minimum **1-inch** thick, flexible closure strips; cut or premolded to match metal panel profile. Provide closure strips where indicated or necessary to ensure weathertight construction.
- C. Flashing and Trim: Provide flashing and trim formed from same material as metal panels as required to seal against weather and to provide finished appearance. Finish flashing and trim with same finish system as adjacent metal panels.
- D. Panel Fasteners: Self-tapping screws designed to withstand design loads. Provide exposed fasteners with heads matching color of metal panels by means of plastic caps or factory-applied coating. Provide EPDM or PVC sealing washers for exposed fasteners.
- E. Panel Sealants: Provide sealant types recommended by manufacturer that are compatible with panel materials, are nonstaining, and do not damage panel finish.
  - 1. Sealant Tape: Pressure-sensitive, 100 percent solids, gray polyisobutylene compound sealant tape with release-paper backing. Provide permanently elastic, non-sag, nontoxic, nonstaining tape **1/2 inch** wide and **1/8 inch** thick.
  - 2. Joint Sealant: ASTM C920; elastomeric polyurethane or silicone sealant; of type, grade, class, and use classifications required to seal joints in metal panels and remain weathertight; and as recommended in writing by metal panel manufacturer.
  - 3. Butyl-Rubber-Based, Solvent-Release Sealant: ASTM C1311.

## 2.4 FABRICATION

- A. Fabricate and finish metal panels and accessories at the factory, by manufacturer's standard procedures and processes, as necessary to fulfill indicated performance requirements demonstrated by laboratory testing. Comply with indicated profiles and with dimensional and structural requirements.
- B. On-Site Fabrication: Subject to compliance with requirements of this Section, metal panels may be fabricated on-site using UL-certified, portable roll-forming equipment if panels are of same profile and warranted by manufacturer to be equal to factory-formed panels. Fabricate according to equipment manufacturer's written instructions and to comply with details shown.
- C. Provide panel profile, including major ribs and intermediate stiffening ribs, if any, for full length of panel.
- D. Fabricate metal panel joints with factory-installed captive gaskets or separator strips that provide a weathertight seal and prevent metal-to-metal contact, and that minimize noise from movements.

- E. Sheet Metal Flashing and Trim: Fabricate flashing and trim to comply with manufacturer's recommendations and recommendations in SMACNA's "Architectural Sheet Metal Manual" that apply to design, dimensions, metal, and other characteristics of item indicated.
1. Form exposed sheet metal accessories that are without excessive oil canning, buckling, and tool marks and that are true to line and levels indicated, with exposed edges folded back to form hems.
  2. Seams for Other Than Aluminum: Fabricate nonmoving seams in accessories with flat-lock seams. Tin edges to be seamed, form seams, and solder.
  3. Sealed Joints: Form non-expansion, but movable, joints in metal to accommodate sealant and to comply with SMACNA standards.
  4. Conceal fasteners and expansion provisions where possible. Exposed fasteners are not allowed on faces of accessories exposed to view.
  5. Fabricate cleats and attachment devices from same material as accessory being anchored or from compatible, noncorrosive metal recommended in writing by metal panel manufacturer.
    - a. Size: As recommended by SMACNA's "Architectural Sheet Metal Manual" or metal soffit panel manufacturer for application but not less than thickness of metal being secured.

## 2.5 FINISHES

- A. Protect mechanical and painted finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.
- C. Steel Panels and Accessories:
1. Two-Coat Fluoropolymer: AAMA 2605. Fluoropolymer finish containing not less than 70 percent polyvinylidene fluoride (PVDF) resin by weight in color coat. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances, metal panel supports, and other conditions affecting performance of the Work.

1. Examine framing to verify that girts, angles, channels, studs, and other structural panel support members and anchorage have been installed within alignment tolerances required by metal panel manufacturer.
  2. Examine sheathing to verify that sheathing joints are supported by framing or blocking and that installation is within flatness tolerances required by metal panel manufacturer.
    - a. Verify that air- or water-resistive barriers been installed over sheathing or backing substrate to prevent air infiltration or water penetration.
- B. Examine roughing-in for components and systems penetrating metal panels to verify actual locations of penetrations relative to seam locations of metal panels before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Miscellaneous Supports: Install subframing, furring, and other miscellaneous panel support members and anchorages according to ASTM C754 and metal panel manufacturer's written recommendations.
1. Soffit Framing: Wire tie or clip furring channels to supports, as required to comply with requirements for assemblies indicated.

### 3.3 INSTALLATION

- A. Install metal panels according to manufacturer's written instructions in orientation, sizes, and locations indicated. Install panels perpendicular to supports unless otherwise indicated. Anchor metal panels and other components of the Work securely in place, with provisions for thermal and structural movement.
1. Shim or otherwise plumb substrates receiving metal panels.
  2. Flash and seal metal panels at perimeter of all openings. Fasten with self-tapping screws. Do not begin installation until air- or water-resistive barriers and flashings that will be concealed by metal panels are installed.
  3. Install screw fasteners in predrilled holes.
  4. Locate and space fastenings in uniform vertical and horizontal alignment.
  5. Install flashing and trim as metal panel work proceeds.
  6. Locate panel splices over, but not attached to, structural supports. Stagger panel splices and end laps to avoid a four-panel lap splice condition.
  7. Provide weathertight escutcheons for pipe- and conduit-penetrating panels.
- B. Fasteners:
1. Steel Panels: Use stainless steel fasteners for surfaces exposed to the exterior; use galvanized-steel fasteners for surfaces exposed to the interior.



- C. Metal Protection: Where dissimilar metals contact each other or corrosive substrates, protect against galvanic action as recommended in writing by metal panel manufacturer.
- D. Lap-Seam Metal Panels: Fasten metal panels to supports with fasteners at each lapped joint at location and spacing recommended by manufacturer.
1. Apply panels and associated items true to line for neat and weathertight enclosure.
  2. Provide metal-backed washers under heads of exposed fasteners bearing on weather side of metal panels.
  3. Locate and space exposed fasteners in uniform vertical and horizontal alignment. Use proper tools to obtain controlled uniform compression for positive seal without rupture of washer.
  4. Install screw fasteners with power tools having controlled torque adjusted to compress washer tightly without damage to washer, screw threads, or panels. Install screws in predrilled holes.
- E. Watertight Installation:
1. Apply a continuous ribbon of sealant or tape to seal lapped joints of metal panels, using sealant or tape as recommend by manufacturer on side laps of nesting-type panels and elsewhere as needed to make panels watertight.
  2. Provide sealant or tape between panels and protruding equipment, vents, and accessories.
  3. At panel splices, nest panels with minimum **6-inch** end lap, sealed with sealant and fastened together by interlocking clamping plates.
- F. Accessory Installation: Install accessories with positive anchorage to building and weathertight mounting, and provide for thermal expansion. Coordinate installation with flashings and other components.
1. Install components required for a complete metal panel system including trim, corners, seam covers, flashings, sealants, gaskets, fillers, closure strips, and similar items. Provide types indicated by metal panel manufacturer; or, if not indicated, provide types recommended by metal panel manufacturer.
- G. Flashing and Trim: Comply with performance requirements, manufacturer's written installation instructions, and SMACNA's "Architectural Sheet Metal Manual." Provide concealed fasteners where possible, and set units true to line and level as indicated. Install work with laps, joints, and seams that are permanently watertight.
1. Install exposed flashing and trim that is without buckling, and tool marks, and that is true to line and levels indicated, with exposed edges folded back to form hems. Install sheet metal flashing and trim to fit substrates and to achieve waterproof performance.
  2. Expansion Provisions: Provide for thermal expansion of exposed flashing and trim. Space movement joints at a maximum of **10 feet** with no joints allowed within **24 inches** of corner or intersection. Where lapped expansion provisions cannot be used or would not be waterproof, form expansion joints of

intermeshing hooked flanges, not less than 1 inch deep, filled with mastic sealant (concealed within joints).

#### 3.4 CLEANING AND PROTECTION

- A. Remove temporary protective coverings and strippable films, if any, as metal panels are installed unless otherwise indicated in manufacturer's written installation instructions. On completion of metal panel installation, clean finished surfaces as recommended by metal panel manufacturer. Maintain in a clean condition during construction.
- B. After metal panel installation, clear weep holes and drainage channels of obstructions, dirt, and sealant.
- C. Replace metal panels that have been damaged or have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 07 42 93

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SECTION 07 54 23 - THERMOPLASTIC-POLYOLEFIN (TPO) ROOFING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Adhered thermoplastic polyolefin (TPO) roofing system.
2. Roof insulation.
3. Cover board.
4. Walkways.

B. Related Requirements:

1. Section 06 10 00 "Rough Carpentry" for wood nailers, curbs, and blocking; and for wood-based, structural-use roof deck panels.
2. Section 06 16 00 "Sheathing" for wood-based, structural-use roof deck panels.
3. Section 07 21 00 "Thermal Insulation" for insulation beneath the roof deck.
4. Section 07 62 00 "Sheet Metal Flashing and Trim" for metal roof flashings and counter flashings.
5. Section 07 92 00 "Joint Sealants" for joint sealants, joint fillers, and joint preparation.

1.3 DEFINITIONS

- A. Roofing Terminology: Definitions in ASTM D1079 and glossary in NRCA's "The NRCA Roofing Manual: Membrane Roof Systems" apply to Work of this Section.

1.4 PREINSTALLATION MEETINGS

A. Preinstallation Roofing Conference: Conduct conference at Project site.

1. Meet with Owner, Architect, Owner's insurer if applicable, testing and inspecting agency representative, roofing Installer, roofing system manufacturer's representative, deck Installer, air barrier Installer, and installers whose work

interfaces with or affects roofing, including installers of roof accessories and roof-mounted equipment.

2. Review methods and procedures related to roofing installation, including manufacturer's written instructions.
3. Review and finalize construction schedule, and verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
4. Examine deck substrate conditions and finishes for compliance with requirements, including flatness and fastening.
5. Review structural loading limitations of roof deck during and after roofing.
6. Review base flashings, special roofing details, roof drainage, roof penetrations, equipment curbs, and condition of other construction that affects roofing system.
7. Review governing regulations and requirements for insurance and certificates if applicable.
8. Review temporary protection requirements for roofing system during and after installation.
9. Review roof observation and repair procedures after roofing installation.

#### 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: Include roof plans, sections, details, and attachments to other work, including the following:
  1. Layout and thickness of insulation.
  2. Base flashings and membrane termination details.
  3. Flashing details at penetrations.
  4. Tapered insulation layout, thickness, and slopes.
  5. Roof plan showing orientation of steel roof deck and orientation of roof membrane, fastening spacings, and patterns for mechanically fastened roofing system.
  6. Insulation fastening patterns for corner, perimeter, and field-of-roof locations.
  7. Tie-in with adjoining air barrier.
- C. Samples for Verification: For the following products:
  1. Roof membrane and flashings, of color required.
  2. Walkway pads or rolls, of color required.
- D. Wind Uplift Resistance Submittal: For roofing system, indicating compliance with wind uplift performance requirements.

#### 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer manufacturer .

B. Manufacturer Certificates:

1. Performance Requirement Certificate: Signed by roof membrane manufacturer, certifying that roofing system complies with requirements specified in "Performance Requirements" Article.
  - a. Submit evidence of compliance with performance requirements.
2. Special Warranty Certificate: Signed by roof membrane manufacturer, certifying that all materials supplied under this Section are acceptable for special warranty.

C. Sample Warranties: For manufacturer's special warranties.

1.7 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For roofing system to include in maintenance manuals.

1.8 QUALITY ASSURANCE

- A. Manufacturer Qualifications: A qualified manufacturer that is UL listed for roofing system identical to that used for this Project.
- B. Installer Qualifications: A qualified firm that is approved, authorized, or licensed by roofing system manufacturer to install manufacturer's product and that is eligible to receive manufacturer's special warranty.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver roofing materials to Project site in original containers with seals unbroken and labeled with manufacturer's name, product brand name and type, date of manufacture, approval or listing agency markings, and directions for storing and mixing with other components.
- B. Store liquid materials in their original undamaged containers in a clean, dry, protected location and within the temperature range required by roofing system manufacturer. Protect stored liquid material from direct sunlight.
  1. Discard and legally dispose of liquid material that cannot be applied within its stated shelf life.
- C. Protect roof insulation materials from physical damage and from deterioration by sunlight, moisture, soiling, and other sources. Store in a dry location. Comply with insulation manufacturer's written instructions for handling, storing, and protecting during installation.
- D. Handle and store roofing materials, and place equipment in a manner to avoid permanent deflection of deck.

1.10 FIELD CONDITIONS

- A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit roofing system to be installed according to manufacturer's written instructions and warranty requirements.

1.11 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of roofing system that fail in materials or workmanship within specified warranty period.
1. Special warranty includes roof membrane, base flashings, roof insulation, fasteners, cover boards, substrate board, roof pavers, and other components of roofing system.
  2. Warranty Period: 30 years from date of Substantial Completion with wind speed coverage up to 80 MPH.
- B. Special Project Warranty: Submit roofing Installer's warranty, on warranty form at end of this Section, signed by Installer, covering the Work of this Section, including all components of roofing system such as roof membrane, base flashing, roof insulation, fasteners, cover boards, substrate boards, and walkway products, for the following warranty period:
1. Warranty Period: Two years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. General Performance: Installed roofing system and flashings shall withstand specified uplift pressures, thermally induced movement, and exposure to weather without failure due to defective manufacture, fabrication, installation, or other defects in construction. Roof system and flashings shall remain watertight.
1. Accelerated Weathering: Roof membrane shall withstand 2000 hours of exposure when tested according to ASTM G152, ASTM G154, or ASTM G155.
  2. Impact Resistance: Roof membrane shall resist impact damage when tested according to ASTM D3746, ASTM D4272, or the "Resistance to Foot Traffic Test" in FM Approvals 4470.
- B. Material Compatibility: Roofing materials shall be compatible with one another and adjacent materials under conditions of service and application required, as demonstrated by roof membrane manufacturer based on testing and field experience.
- C. SPRI's Directory of Roof Assemblies Listing: Roof membrane, base flashings, and component materials shall comply with requirements in FM Approvals 4450 or FM

Approvals 4470 as part of a roofing system, and shall be listed in SPRI's Directory of Roof Assemblies for roof assembly identical for that specified for this Project.

1. Wind Uplift Load Capacity: 90 psf.

D. Energy Performance: Roofing system shall have an initial solar reflectance of not less than 0.70 and an emissivity of not less than 0.75 when tested according to CRR-1.

## 2.2 THERMOPLASTIC POLYOLEFIN (TPO) ROOFING

A. TPO Sheet: ASTM D6878/D6878M, internally fabric- or scrim-reinforced, TPO sheet.

1. **Products:** Subject to compliance with requirements, provide one of the following:
  - a. Carlisle Roofing Systems, Inc., Sure-Weld TPO
  - b. Elevate roofing, lining, and wall systems, UltraPly TPO
  - c. Versico Roofing Systems; VersiWeld TPO Membrane
2. Source Limitations: Obtain components for roofing system from roof membrane manufacturer.
3. Thickness: **80 mils**, nominal.
4. Exposed Face Color: White.

## 2.3 AUXILIARY ROOFING MATERIALS

- A. General: Auxiliary materials recommended by roofing system manufacturer for intended use and compatible with other roofing components.
- B. Flexible Flashing Material: Same material as membrane.
- C. Prefabricated Pipe Flashings: As recommended by roof membrane manufacturer.
- D. Bonding Adhesive: Manufacturer's standard.
- E. Metal Termination Bars: Manufacturer's standard, predrilled stainless steel or aluminum bars, approximately **1 by 1/8 inch** thick; with anchors.
- F. Miscellaneous Accessories: Provide pourable sealers, preformed cone and vent sheet flashings, preformed inside and outside corner sheet flashings, T-joint covers, lap sealants, termination reglets, and other accessories.

## 2.4 ROOF INSULATION

- A. General: Preformed roof insulation boards manufactured or approved by TPO roof membrane manufacturer.
- B. Polyisocyanurate Board Insulation: ASTM C1289, Type II, Class 2, Grade 2, felt or glass-fiber mat facer on both major surfaces.



1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. Carlisle SynTec Incorporated.
  - b. Elevate roofing, lining, and wall systems.
  - c. Versico, LLC.
2. Compressive Strength: **20 psi.**
3. Size: **48 by 48 inches.**
4. Thickness:
  - a. Total insulation thickness: 6 inches minimum to achieve R-30 insulation value.
  - b. Minimum two layers of polyisocyanurate board.

## 2.5 INSULATION ACCESSORIES

- A. General: Roof insulation accessories recommended by insulation manufacturer for intended use and compatibility with other roofing system components.
- B. Fasteners: Factory-coated steel fasteners with metal or plastic plates complying with corrosion-resistance provisions in FM Approvals 4470, designed for fastening roof insulation and cover boards to substrate, and acceptable to roofing system manufacturer.
- C. Insulation Adhesive: Insulation manufacturer's recommended adhesive formulated to attach roof insulation to substrate or to another insulation layer as follows:
  1. Full-spread, spray-applied, low-rise, two-component urethane adhesive.
- D. Cover Board: ASTM C1177/C1177M, glass-mat, water-resistant gypsum board or ASTM C1278/C1278M fiber-reinforced gypsum board.
  1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. Georgia-Pacific Gypsum LLC; Dens Deck
    - b. USG Corporation; Securock Glass-Fiber Roof Board
  2. Thickness: **1/2 inch.**

## 2.6 WALKWAYS

- A. Flexible Walkways: Factory-formed, nonporous, heavy-duty, slip-resisting, surface-textured walkway pads or rolls, approximately **3/16 inch** thick and acceptable to roofing system manufacturer.
  1. Size: Approximately **36 by 60 inches.**
  2. Color: Contrasting with roof membrane.
  3. Location: Best suited to allow access to roof top mechanical units from edge of roof.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements and other conditions affecting performance of the Work.
  - 1. Verify that roof openings and penetrations are in place, curbs are set and braced, and roof-drain bodies are securely clamped in place.
  - 2. Verify that wood blocking, curbs, and nailers are securely anchored to roof deck at penetrations and terminations and that nailers match thicknesses of insulation.
  - 3. Verify that surface plane flatness and fastening of steel roof deck complies with requirements in Section 05 31 00 "Steel Decking."
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Clean substrate of dust, debris, moisture, and other substances detrimental to roofing system installation according to roofing system manufacturer's written instructions. Remove sharp projections.
- B. Prevent materials from entering and clogging roof drains and conductors and from spilling or migrating onto surfaces of other construction. Remove roof-drain plugs when no work is taking place or when rain is forecast.
- C. Perform fastener-pullout tests according to roof system manufacturer's written instructions.
  - 1. Submit test result within 24 hours after performing tests.
    - a. Include manufacturer's requirements for any revision to previously submitted fastener patterns required to achieve specified wind uplift requirements.
- D. Install sound-absorbing insulation strips according to acoustical roof deck manufacturer's written instructions.

### 3.3 INSTALLATION OF ROOFING, GENERAL

- A. Install roofing system according to roofing system manufacturer's written instructions, listed roof assembly requirements, and FM Global Property Loss Prevention Data Sheet 1-29.
- B. Complete terminations and base flashings and provide temporary seals to prevent water from entering completed sections of roofing system at end of workday or when

rain is forecast. Remove and discard temporary seals before beginning Work on adjoining roofing.

- C. Coordinate installation and transition of roofing system component serving as an air barrier with air barrier specified under Section 07 27 26 "Fluid-Applied Membrane Air Barriers."

### 3.4 INSTALLATION OF INSULATION

- A. Coordinate installing roofing system components so insulation is not exposed to precipitation or left exposed at end of workday.
- B. Comply with roofing system and roof insulation manufacturer's written instructions for installing roof insulation.

#### C. Installation Over Metal Decking:

1. Install base layer of insulation with joints staggered not less than **24 inches** in adjacent rows end joints staggered not less than **12 inches** in adjacent rows and with long joints continuous at right angle to flutes of decking.
  - a. Locate end joints over crests of decking.
  - b. Trim insulation neatly to fit around penetrations and projections, and to fit tight to intersecting sloping roof decks.
  - c. Make joints between adjacent insulation boards not more than **1/4 inch** in width.
  - d. At internal roof drains, slope insulation to create a square drain sump with each side equal to the diameter of the drain bowl plus **24 inches**.
    - 1) Trim insulation so that water flow is unrestricted.
  - e. Fill gaps exceeding **1/4 inch** with insulation.
  - f. Cut and fit insulation within **1/4 inch** of nailers, projections, and penetrations.
  - g. Mechanically attach base layer of insulation using mechanical fasteners specifically designed and sized for fastening specified board-type roof insulation to metal decks.
    - 1) Fasten insulation to resist specified uplift pressure at corners, perimeter, and field of roof.
2. Install upper layers of insulation and tapered insulation with joints of each layer offset not less than **12 inches** from previous layer of insulation.
  - a. Staggered end joints within each layer not less than **24 inches** in adjacent rows.
  - b. Trim insulation neatly to fit around penetrations and projections, and to fit tight to intersecting sloping roof decks.
  - c. Make joints between adjacent insulation boards not more than **1/4 inch** in width.
  - d. Fill gaps exceeding **1/4 inch** with insulation.
  - e. Cut and fit insulation within **1/4 inch** of nailers, projections, and penetrations.

- f. Adhere each layer of insulation to substrate using adhesive according to SPRI's Directory of Roof Assemblies listed roof assembly requirements for specified Wind Uplift Load Capacity and FM Global Property Loss Prevention Data Sheet 1-29, as follows:
  - 1) Set each layer of insulation in a uniform coverage of full-spread insulation adhesive, firmly pressing and maintaining insulation in place.

### 3.5 INSTALLATION OF COVER BOARDS

- A. Install cover boards over insulation with long joints in continuous straight lines with end joints staggered between rows. Offset joints of insulation below a minimum of **6 inches** in each direction.
  1. Trim cover board neatly to fit around penetrations and projections, and to fit tight to intersecting sloping roof decks.
  2. At internal roof drains, conform to slope of drain sump.
    - a. Trim cover board so that water flow is unrestricted.
  3. Cut and fit cover board tight to nailers, projections, and penetrations.
  4. Adhere cover board to substrate using adhesive according to SPRI's Directory of Roof Assemblies listed roof assembly requirements for specified Wind Uplift Load Capacity and FM Global Property Loss Prevention Data Sheet 1-29, as follows:
    - a. Set cover board in a uniform coverage of full-spread insulation adhesive, firmly pressing and maintaining insulation in place.

### 3.6 INSTALLATION OF ADHERED ROOF MEMBRANE

- A. Adhere roof membrane over area to receive roofing according to roofing system manufacturer's written instructions.
- B. Unroll roof membrane and allow to relax before installing.
- C. Accurately align roof membrane, and maintain uniform side and end laps of minimum dimensions required by manufacturer. Stagger end laps.
- D. Bonding Adhesive: Apply to substrate and underside of roof membrane at rate required by manufacturer, and allow to partially dry before installing roof membrane. Do not apply to splice area of roof membrane.
- E. In addition to adhering, mechanically fasten roof membrane securely at terminations, penetrations, and perimeter of roofing.
- F. Apply roof membrane with side laps shingled with slope of roof deck where possible.
- G. Seams: Clean seam areas, overlap roof membrane, and hot-air weld side and end laps of roof membrane and sheet flashings, to ensure a watertight seam installation.

1. Test lap edges with probe to verify seam weld continuity. Apply lap sealant to seal cut edges of roof membrane and sheet flashings.
  2. Verify field strength of seams a minimum of twice daily, and repair seam sample areas.
  3. Repair tears, voids, and lapped seams in roof membrane that do not comply with requirements.
- H. Spread sealant bed over deck-drain flange at roof drains, and securely seal roof membrane in place with clamping ring.

### 3.7 INSTALLATION OF BASE FLASHING

- A. Install sheet flashings and preformed flashing accessories, and adhere to substrates according to roofing system manufacturer's written instructions.
- B. Apply bonding adhesive to substrate and underside of sheet flashing at required rate, and allow to partially dry. Do not apply to seam area of flashing.
- C. Flash penetrations and field-formed inside and outside corners with cured or uncured sheet flashing.
- D. Clean seam areas, overlap, and firmly roll sheet flashings into the adhesive. Hot-air weld side and end laps to ensure a watertight seam installation.
- E. Terminate and seal top of sheet flashings and mechanically anchor to substrate through termination bars.

### 3.8 INSTALLATION OF WALKWAYS

- A. Flexible Walkways:
1. Install flexible walkways at the following locations:
    - a. Perimeter of each rooftop unit.
    - b. Between each rooftop unit location, creating a continuous path connecting rooftop unit locations.
    - c. Between each roof hatch and each rooftop unit location or path connecting rooftop unit locations.
    - d. Top and bottom of each roof access ladder.
    - e. Between each roof access ladder and each rooftop unit location or path connecting rooftop unit locations.
    - f. Locations indicated on Drawings.
    - g. As required by roof membrane manufacturer's warranty requirements.
  2. Provide **6-inch** clearance between adjoining pads.
  3. Heat weld to substrate or adhere walkway products to substrate with compatible adhesive according to roofing system manufacturer's written instructions.

3.9 PROTECTING AND CLEANING

- A. Protect roofing system from damage and wear during remainder of construction period. When remaining construction does not affect or endanger roofing system, inspect roofing system for deterioration and damage, describing its nature and extent in a written report, with copies to Architect and Owner.
- B. Correct deficiencies in or remove roofing system that does not comply with requirements, repair substrates, and repair or reinstall roofing system to a condition free of damage and deterioration at time of Substantial Completion and according to warranty requirements.
- C. Clean overspray and spillage from adjacent construction using cleaning agents and procedures recommended by manufacturer of affected construction.

3.10 ROOFING INSTALLER'S WARRANTY

- A. WHEREAS \_\_\_\_\_ of \_\_\_\_\_, herein called the "Roofing Installer," has performed roofing and associated work ("work") on the following project:

- 1. Owner: Southern Illinois Airport Authority.
- 2. Address: 556 South Airport Road.
- 3. Building Name/Type: New Terminal Building.
- 4. Area of Work: .
- 5. Acceptance Date: \_\_\_\_\_.
- 6. Warranty Period: .
- 7. Expiration Date: \_\_\_\_\_.

- B. AND WHEREAS Roofing Installer has contracted (either directly with Owner or indirectly as a subcontractor) to warrant said work against leaks and faulty or defective materials and workmanship for designated Warranty Period,

- C. NOW THEREFORE Roofing Installer hereby warrants, subject to terms and conditions herein set forth, that during Warranty Period Roofing Installer will, at Roofing Installer's own cost and expense, make or cause to be made such repairs to or replacements of said work as are necessary to correct faulty and defective work and as are necessary to maintain said work in a watertight condition.

- D. This Warranty is made subject to the following terms and conditions:

- 1. Specifically excluded from this Warranty are damages to work and other parts of the building, and to building contents, caused by:
  - a. lightning;
  - b. peak gust wind speed exceeding 90 mph;
  - c. fire;
  - d. failure of roofing system substrate, including cracking, settlement, excessive deflection, deterioration, and decomposition;

SECTION 07 54 23 -  
THERMOPLASTIC-  
POLYOLEFIN (TPO)  
ROOFING

- e. faulty construction of parapet walls, copings, chimneys, skylights, vents, equipment supports, and other edge conditions and penetrations of the work;
  - f. vapor condensation on bottom of roofing; and
  - g. activity on roofing by others, including construction contractors, maintenance personnel, other persons, and animals, whether authorized or unauthorized by Owner.
- 2. When work has been damaged by any of foregoing causes, Warranty shall be null and void until such damage has been repaired by Roofing Installer and until cost and expense thereof have been paid by Owner or by another responsible party so designated.
  - 3. Roofing Installer is responsible for damage to work covered by this Warranty but is not liable for consequential damages to building or building contents resulting from leaks or faults or defects of work.
  - 4. During Warranty Period, if Owner allows alteration of work by anyone other than Roofing Installer, including cutting, patching, and maintenance in connection with penetrations, attachment of other work, and positioning of anything on roof, this Warranty shall become null and void on date of said alterations, but only to the extent said alterations affect work covered by this Warranty. If Owner engages Roofing Installer to perform said alterations, Warranty shall not become null and void unless Roofing Installer, before starting said work, shall have notified Owner in writing, showing reasonable cause for claim, that said alterations would likely damage or deteriorate work, thereby reasonably justifying a limitation or termination of this Warranty.
  - 5. During Warranty Period, if original use of roof is changed and it becomes used for, but was not originally specified for, a promenade, work deck, spray-cooled surface, flooded basin, or other use or service more severe than originally specified, this Warranty shall become null and void on date of said change, but only to the extent said change affects work covered by this Warranty.
  - 6. Owner shall promptly notify Roofing Installer of observed, known, or suspected leaks, defects, or deterioration and shall afford reasonable opportunity for Roofing Installer to inspect work and to examine evidence of such leaks, defects, or deterioration.
  - 7. This Warranty is recognized to be the only warranty of Roofing Installer on said work and shall not operate to restrict or cut off Owner from other remedies and resources lawfully available to Owner in cases of roofing failure. Specifically, this Warranty shall not operate to relieve Roofing Installer of responsibility for performance of original work according to requirements of the Contract Documents, regardless of whether Contract was a contract directly with Owner or a subcontract with Owner's General Contractor.

E. IN WITNESS THEREOF, this instrument has been duly executed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

- 1. Authorized Signature: \_\_\_\_\_.
- 2. Name: \_\_\_\_\_.
- 3. Title: \_\_\_\_\_.

SECTION 07 54 23 -  
THERMOPLASTIC-  
POLYOLEFIN (TPO)  
ROOFING

END OF SECTION 07 54 23



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SECTION 07 62 00 - SHEET METAL FLASHING AND TRIM

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Formed roof-drainage sheet metal fabrications.
2. Formed low-slope roof sheet metal fabrications.
3. Formed wall sheet metal fabrications.
4. Formed equipment support flashing.

B. Related Requirements:

1. Section 06 10 00 "Rough Carpentry" for wood nailers, curbs, and blocking.
2. Section 07 72 00 "Roof Accessories" for set-on-type curbs, equipment supports, roof hatches, vents, and other manufactured roof accessory units.

1.3 COORDINATION

- A. Coordinate sheet metal flashing and trim layout and seams with sizes and locations of penetrations to be flashed, and joints and seams in adjacent materials.
- B. Coordinate sheet metal flashing and trim installation with adjoining roofing and wall materials, joints, and seams to provide leakproof, secure, and noncorrosive installation.

1.4 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Review construction schedule. Verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
2. Review special roof details, roof drainage, roof-penetration flashing, equipment curbs, and condition of other construction that affect sheet metal flashing and trim.
3. Review requirements for insurance and certificates if applicable.

4. Review sheet metal flashing observation and repair procedures after flashing installation.

## 1.5 ACTION SUBMITTALS

### A. Product Data: For each of the following

1. Underlayment materials.
2. Elastomeric sealant.
3. Butyl sealant.
4. Epoxy seam sealer.

### B. Shop Drawings: For sheet metal flashing and trim.

1. Include plans, elevations, sections, and attachment details.
2. Detail fabrication and installation layouts, expansion-joint locations, and keyed details. Distinguish between shop- and field-assembled Work.
3. Include identification of material, thickness, weight, and finish for each item and location in Project.
4. Include details for forming, including profiles, shapes, seams, and dimensions.
5. Include details for joining, supporting, and securing, including layout and spacing of fasteners, cleats, clips, and other attachments. Include pattern of seams.
6. Include details of termination points and assemblies.
7. Include details of expansion joints and expansion-joint covers, including showing direction of expansion and contraction from fixed points.
8. Include details of roof-penetration flashing.
9. Include details of edge conditions, including eaves, ridges, valleys, rakes, crickets, flashings, and counter flashings.
10. Include details of special conditions.
11. Include details of connections to adjoining work.
12. Detail formed flashing and trim at scale of not less than **1-1/2 inches per 12 inches**.

### C. Samples for Verification: For each type of exposed finish.

1. Sheet Metal Flashing: **12 inches** long by actual width of unit, including finished seam and in required profile. Include fasteners, cleats, clips, closures, and other attachments.
2. Trim, Metal Closures, Expansion Joints, Joint Intersections, and Miscellaneous Fabrications: **12 inches** long and in required profile. Include fasteners and other exposed accessories.
3. Unit-Type Accessories and Miscellaneous Materials: Full-size Sample.

## 1.6 INFORMATIONAL SUBMITTALS

### A. Qualification Data: For fabricator.

### B. Sample Warranty: For special warranty.

1.7 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For sheet metal flashing and trim, and its accessories, to include in maintenance manuals.
- B. Special warranty.

1.8 QUALITY ASSURANCE

- A. Fabricator Qualifications: Employs skilled workers who custom fabricate sheet metal flashing and trim similar to that required for this Project and whose products have a record of successful in-service performance.
  - 1. For copings and roof edge flashings that are ANSI/SPRI/FM 4435/ES-1 tested, shop shall be listed as able to fabricate required details as tested and approved.
- B. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for fabrication and installation.
  - 1. Build mockup of typical roof edge eave, including fascia trim apron flashing, approximately 10 feet long, including supporting construction cleats, seams, attachments, and accessories.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Owner specifically approves such deviations in writing.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Do not store sheet metal flashing and trim materials in contact with other materials that might cause staining, denting, or other surface damage.
  - 1. Store sheet metal flashing and trim materials away from uncured concrete and masonry.
  - 2. Protect stored sheet metal flashing and trim from contact with water.
- B. Protect strippable protective covering on sheet metal flashing and trim from exposure to sunlight and high humidity, except to extent necessary for period of sheet metal flashing and trim installation.

1.10 WARRANTY

- A. Special Warranty on Finishes: Manufacturer agrees to repair finish or replace sheet metal flashing and trim that shows evidence of deterioration of factory-applied finishes within specified warranty period.

1. Exposed Panel Finish: Deterioration includes, but is not limited to, the following:
  - a. Color fading more than 5 Delta E units when tested in accordance with ASTM D2244.
  - b. Chalking in excess of a No. 8 rating when tested in accordance with ASTM D4214.
  - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
2. Finish Warranty Period: 20 years from date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Sheet metal flashing and trim assemblies, including cleats, anchors, and fasteners, shall withstand wind loads, structural movement, thermally induced movement, and exposure to weather without failure due to defective manufacture, fabrication, installation, or other defects in construction. Completed sheet metal flashing and trim shall not rattle, leak, or loosen, and shall remain watertight.
- B. Sheet Metal Standard for Flashing and Trim: Comply with SMACNA's "Architectural Sheet Metal Manual" requirements for dimensions and profiles shown unless more stringent requirements are indicated.
- C. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes to prevent buckling, opening of joints, overstressing of components, failure of joint sealants, failure of connections, and other detrimental effects. Base calculations on surface temperatures of materials due to both solar heat gain and nighttime-sky heat loss.
  1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

### 2.2 SHEET METALS

- A. Protect mechanical and other finishes on exposed surfaces from damage by applying strippable, temporary protective film before shipping.
- B. Stainless Steel Sheet: ASTM A240/A240M, Type 304, dead soft, fully annealed; with smooth, flat surface.
  1. Finish: ASTM A480/A480M, No. 4 (polished directional satin) .
    - a. Surface Preparation: Remove tool and die marks and stretch lines, or blend into finish.
- C. Metallic-Coated Steel Sheet: Provide zinc-coated (galvanized) steel sheet in accordance with ASTM A653/A653M, G90 coating designation or aluminum-zinc alloy-coated steel sheet in accordance with ASTM A792/A792M, Class AZ50 coating designation, Grade 40; pre-painted by coil-coating process to comply with ASTM A755/A755M.

1. Surface: Smooth, flat and with manufacturer's standard clear acrylic coating on both sides.
2. Exposed Coil-Coated Finish:
  - a. Two-Coat Fluoropolymer: AAMA 621. Fluoropolymer finish containing not less than 70 percent polyvinylidene fluoride (PVDF) resin by weight in color coat. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.
3. Color: As selected by Architect from manufacturer's full range.
4. Concealed Finish: Pretreat with manufacturer's standard white or light-colored acrylic or polyester backer finish, consisting of prime coat and wash coat with minimum total dry film thickness of **0.5 mil**.

D. Lead Sheet: ASTM B749 lead sheet.

### 2.3 UNDERLAYMENT MATERIALS

- A. Felt: ASTM D226/D226M, Type II (No. 30), asphalt-saturated organic felt; nonperforated.

### 2.4 MISCELLANEOUS MATERIALS

A. Provide materials and types of fasteners, solder, protective coatings, sealants, and other miscellaneous items as required for complete sheet metal flashing and trim installation and as recommended by manufacturer of primary sheet metal or manufactured item unless otherwise indicated.

B. Fasteners: Wood screws, annular threaded nails, self-tapping screws, self-locking rivets and bolts, and other suitable fasteners designed to withstand design loads and recommended by manufacturer of primary sheet metal or manufactured item.

1. General: Blind fasteners or self-drilling screws, gasketed, with hex-washer head.
  - a. Exposed Fasteners: Heads matching color of sheet metal using plastic caps or factory-applied coating. Provide metal-backed EPDM or PVC sealing washers under heads of exposed fasteners bearing on weather side of metal.
  - b. Blind Fasteners: High-strength steel or stainless-steel rivets suitable for metal being fastened.
  - c. Spikes and Ferrules: Same material as gutter; with spike with ferrule matching internal gutter width.
2. Fasteners for Steel Sheet: Steel or Series 300 stainless steel.
3. Fasteners for Stainless Steel Sheet: Series 300 stainless steel.

C. Solder:

1. For Stainless Steel: ASTM B32, Grade Sn96, with acid flux of type recommended by stainless steel sheet manufacturer.

- D. Sealant Tape: Pressure-sensitive, 100 percent solids, polyisobutylene compound sealant tape with release-paper backing. Provide permanently elastic, nonsag, nontoxic, nonstaining tape **1/2 inch** wide and **1/8 inch** thick.
- E. Elastomeric Sealant: ASTM C920, elastomeric polyurethane polymer sealant; of type, grade, class, and use classifications required to seal joints in sheet metal flashing and trim and remain watertight.
- F. Butyl Sealant: ASTM C1311, single-component, solvent-release butyl rubber sealant; polyisobutylene plasticized; heavy bodied for hooked-type expansion joints with limited movement.
- G. Epoxy Seam Sealer: Two-part, noncorrosive, aluminum seam-cementing compound, recommended by aluminum manufacturer for exterior nonmoving joints, including riveted joints.
- H. Bituminous Coating: Cold-applied asphalt emulsion in accordance with ASTM D1187/D1187M.

## 2.5 FABRICATION, GENERAL

- A. Custom fabricate sheet metal flashing and trim to comply with details indicated and recommendations in cited sheet metal standard that apply to design, dimensions, geometry, metal thickness, and other characteristics of item required.
  - 1. Fabricate sheet metal flashing and trim in shop to greatest extent possible.
  - 2. Fabricate sheet metal flashing and trim in thickness or weight needed to comply with performance requirements, but not less than that specified for each application and metal.
  - 3. Verify shapes and dimensions of surfaces to be covered and obtain field measurements for accurate fit before shop fabrication.
  - 4. Form sheet metal flashing and trim to fit substrates without excessive oil-canning, buckling, and tool marks; true to line, levels, and slopes; and with exposed edges folded back to form hems.
  - 5. Conceal fasteners and expansion provisions where possible. Do not use exposed fasteners on faces exposed to view.
- B. Fabrication Tolerances:
  - 1. Fabricate sheet metal flashing and trim that is capable of installation to a tolerance of **1/4 inch in 20 feet** on slope and location lines indicated on Drawings and within **1/8-inch** offset of adjoining faces and of alignment of matching profiles.
- C. Expansion Provisions: Form metal for thermal expansion of exposed flashing and trim.
  - 1. Form expansion joints of intermeshing hooked flanges, not less than **1 inch** deep, filled with butyl sealant concealed within joints.
  - 2. Use lapped expansion joints only where indicated on Drawings.

- D. Sealant Joints: Where movable, nonexpansion-type joints are required, form metal in accordance with cited sheet metal standard to provide for proper installation of elastomeric sealant.
- E. Fabricate cleats and attachment devices from same material as accessory being anchored or from compatible, noncorrosive metal.
- F. Seams:
  - 1. Fabricate nonmoving seams with flat-lock seams. Form seams and seal with elastomeric sealant unless otherwise recommended by sealant manufacturer for intended use. Rivet joints where necessary for strength.
- G. Do not use graphite pencils to mark metal surfaces.

## 2.6 ROOF-DRAINAGE SHEET METAL FABRICATIONS

- A. Hanging Gutters:
  - 1. Fabricate to cross section required, complete with end pieces, outlet tubes, and other accessories as required.
  - 2. Fabricate in minimum **96-inch**- long sections.
  - 3. Furnish flat-stock gutter brackets and flat-stock gutter spacers and straps fabricated from same metal as gutters, of size recommended by cited sheet metal standard, but with thickness not less than twice the gutter thickness.
  - 4. Fabricate expansion joints, expansion-joint covers, and gutter accessories from same metal as gutters. Shop fabricate interior and exterior corners.
  - 5. Accessories: Wire-ball downspout strainer Valley baffles.
  - 6. Gutters with Girth up to **15 Inches**: Fabricate from the following materials:
    - a. Steel: 24 gauge.
- B. Downspouts: Fabricate rectangular downspouts to dimensions indicated on Drawings, complete with mitered elbows. Furnish with metal hangers from same material as downspouts and anchors. Shop fabricate elbows.
  - 1. Fabricated Hanger Style: Fig. 1-35A in accordance with SMACNA's "Architectural Sheet Metal Manual."
  - 2. Hanger Style: fabricated of same stock as downspouts 1 inch wide.
  - 3. Fabricate from the following materials:
    - a. Galvanized Steel: 0.028 inch thick.

## 2.7 LOW-SLOPE ROOF SHEET METAL FABRICATIONS

- A. Roof Edge Flashing: Fabricate in minimum **96-inch**- long, but not exceeding **12-foot**- long sections. Furnish with **6-inch**- wide, joint cover plates. Shop fabricate interior and exterior corners.
  - 1. Joint Style: Overlapped, **4 inches** wide.



2. Fabricate from the following materials:
    - a. Galvanized Steel: 24 gauge.
  - B. Copings: Fabricate in minimum **96-inch-** long, but not exceeding **12-foot-** long, sections. Fabricate joint plates of same thickness as copings. Furnish with continuous cleats to support edge of external leg and drill elongated holes for fasteners on interior leg. Miter corners, fasten and seal watertight. Shop fabricate interior and exterior corners.
    1. Joint Style: Butted with expansion space and **6-inch-** wide, exposed cover plate.
    2. Fabricate from the following materials:
      - a. Galvanized Steel: **0.040 inch** thick.
  - C. Roof-to-Wall Transition Roof-to-Roof Edge-Flashing (Gravel-Stop) Transition Expansion-Joint Cover: Shop fabricate interior and exterior corners. Fabricate from the following materials:
    1. Galvanized Steel: **0.040 inch** thick.
  - D. Base Flashing: Shop fabricate interior and exterior corners. Fabricate from the following materials:
    1. Galvanized Steel: **0.028 inch** thick.
  - E. Counterflashing: Shop fabricate interior and exterior corners. Fabricate from the following materials:
    1. Stainless Steel: **0.0188 inch** thick.
  - F. Flashing Receivers: Fabricate from the following materials:
    1. Stainless Steel: **0.0156 inch** thick.
  - G. Roof-Penetration Flashing: Fabricate from the following materials:
    1. Stainless Steel: **0.0188 inch** thick.
  - H. Roof-Drain Flashing: Fabricate from the following materials:
    1. Stainless Steel: **0.0156 inch** thick.
- 2.8 WALL SHEET METAL FABRICATIONS
- A. Through-Wall Flashing: Fabricate continuous flashings in minimum **96-inch-** long, but not exceeding **12-foot-** long, sections, under copings, and at shelf angles. Fabricate discontinuous lintel, sill, and similar flashings to extend **6 inches** beyond each side of wall openings; and form with **2-inch-** high, end dams. Fabricate from the following materials:

1. Stainless Steel: 0.0156 inch thick.
- B. Opening Flashings in Frame Construction: Fabricate head, sill, jamb, and similar flashings to extend 4 inches beyond wall openings. Form head and sill flashing with 2-inch- high, end dams. Fabricate from the following materials:
  1. Steel: Provide Galvanized steel sheet 0.022 inch thick.
  2. Stainless Steel: 0.0156 inch thick.

## 2.9 MISCELLANEOUS SHEET METAL FABRICATIONS

- A. Equipment Support Flashing: Fabricate from the following materials:
  1. Stainless Steel: 0.0188 inch thick.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with installer present, for compliance with requirements for installation tolerances, substrate, and other conditions affecting performance of the Work.
  1. Verify compliance with requirements for installation tolerances of substrates.
  2. Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and securely anchored.
  3. Verify that air- or water-resistant barriers have been installed over sheathing or backing substrate to prevent air infiltration or water penetration.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION OF UNDERLAYMENT

- A. Felt Underlayment (Where required): Install felt underlayment, wrinkle free, using adhesive to minimize use of mechanical fasteners under sheet metal flashing and trim.
  1. Install in shingle fashion to shed water.
  2. Lap joints not less than 2 inches.

### 3.3 INSTALLATION, GENERAL

- A. Install sheet metal flashing and trim to comply with details indicated and recommendations of cited sheet metal standard that apply to installation characteristics required unless otherwise indicated on Drawings.

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1. Install fasteners, protective coatings, separators, sealants, and other miscellaneous items as required to complete sheet metal flashing and trim system.
  2. Install sheet metal flashing and trim true to line, levels, and slopes. Provide uniform, neat seams with minimum exposure of sealant.
  3. Anchor sheet metal flashing and trim and other components of the Work securely in place, with provisions for thermal and structural movement.
  4. Install sheet metal flashing and trim to fit substrates and to result in watertight performance.
  5. Install continuous cleats with fasteners spaced not more than **12 inches** o.c.
  6. Space individual cleats not more than **12 inches** apart. Attach each cleat with at least two fasteners. Bend tabs over fasteners.
  7. Install exposed sheet metal flashing and trim with limited oil-canning, and free of buckling and tool marks.
  8. Do not field cut sheet metal flashing and trim by torch.
  9. Do not use graphite pencils to mark metal surfaces.
- B. Metal Protection: Where dissimilar metals contact each other, or where metal contacts pressure-treated wood or other corrosive substrates, protect against galvanic action or corrosion by painting contact surfaces with bituminous coating or by other permanent separation as recommended by sheet metal manufacturer or cited sheet metal standard.
1. Coat concealed side of uncoated-aluminum and stainless steel sheet metal flashing and trim with bituminous coating where flashing and trim contact wood, ferrous metal, or cementitious construction.
  2. Underlayment: Where installing sheet metal flashing and trim directly on cementitious or wood substrates, install underlayment and cover with slip sheet.
- C. Expansion Provisions: Provide for thermal expansion of exposed flashing and trim.
1. Space movement joints at maximum of **10 feet** with no joints within **24 inches** of corner or intersection.
  2. Form expansion joints of intermeshing hooked flanges, not less than **1 inch** deep, filled with sealant concealed within joints.
  3. Use lapped expansion joints only where indicated on Drawings.
- D. Fasteners: Use fastener sizes that penetrate substrate not less than recommended by fastener manufacturer to achieve maximum pull-out resistance.
- E. Conceal fasteners and expansion provisions where possible in exposed work and locate to minimize possibility of leakage. Cover and seal fasteners and anchors as required for a tight installation.
- F. Seal joints as required for watertight construction.
1. Use sealant-filled joints unless otherwise indicated.
    - a. Embed hooked flanges of joint members not less than **1 inch** into sealant.
    - b. Form joints to completely conceal sealant.

- c. When ambient temperature at time of installation is between 40 and 70 deg F, set joint members for 50 percent movement each way.
  - d. Adjust setting proportionately for installation at higher ambient temperatures.
    - 1) Do not install sealant-type joints at temperatures below 40 deg F.
2. Prepare joints and apply sealants to comply with requirements in Section 07 92 00 "Joint Sealants."

G. Soldered Joints: Clean surfaces to be soldered, removing oils and foreign matter.

1. Pretin edges of sheets with solder to width of 1-1/2 inches; however, reduce pretinning where pretinned surface would show in completed Work.
2. Do not solder metallic-coated steel and aluminum sheet.
3. Do not pretin zinc-tin alloy-coated copper.
4. Do not use torches for soldering.
5. Heat surfaces to receive solder, and flow solder into joint.
  - a. Fill joint completely.
  - b. Completely remove flux and spatter from exposed surfaces.
6. Stainless Steel Soldering:
  - a. Tin edges of uncoated sheets, using solder for stainless steel and acid flux.
  - b. Promptly remove acid-flux residue from metal after tinning and soldering.
  - c. Comply with solder manufacturer's recommended methods for cleaning and neutralization.
7. Copper Soldering: Tin edges of uncoated sheets, using solder for copper.
8. Copper-Clad Stainless-Steel Soldering: Tin edges of uncoated sheets, using solder for copper-clad stainless steel.

H. Rivets: Rivet joints in uncoated aluminum where necessary for strength.

### 3.4 INSTALLATION OF ROOF-DRAINAGE SYSTEM

A. Install sheet metal roof-drainage items to produce complete roof-drainage system in accordance with cited sheet metal standard unless otherwise indicated. Coordinate installation of roof perimeter flashing with installation of roof-drainage system.

B. Hanging Gutters:

1. Join sections with riveted and soldered joints or joints sealed with sealant.
2. Provide for thermal expansion.
3. Attach gutters at eave or fascia to firmly anchor them in position.
4. Provide end closures and seal watertight with sealant.
5. Slope to downspouts.
6. Fasten gutter spacers to front and back of gutter.
7. Anchor and loosely lock back edge of gutter to continuous cleat.
8. Anchor back of gutter that extends onto roof deck with cleats spaced not more than 24 inches apart.
9. Anchor gutter with gutter brackets straps spaced not more than 24 inches apart to roof deck unless otherwise indicated, and loosely lock to front gutter bead.

10. Install gutter with expansion joints at locations indicated on Drawings, but not exceeding, **50 feet** apart. Install expansion-joint caps.

C. Downspouts:

1. Join sections with **1-1/2-inch** telescoping joints.
2. Provide hangers with fasteners designed to hold downspouts securely to walls.
3. Locate hangers at top and bottom and at approximately **60 inches** o.c.
4. Connect downspouts to underground drainage system.

D. Expansion-Joint Covers: Install expansion-joint covers at locations and of configuration indicated on Drawings. Lap joints minimum of **4 inches** in direction of water flow.

### 3.5 INSTALLATION OF ROOF FLASHINGS

A. Install sheet metal flashing and trim to comply with performance requirements, sheet metal manufacturer's written installation instructions, and cited sheet metal standard.

1. Provide concealed fasteners where possible, and set units true to line, levels, and slopes.
2. Install work with laps, joints, and seams that are permanently watertight and weather resistant.

B. Roof Edge Flashing:

1. Install roof edge flashings in accordance with ANSI/SPRI/FM 4435/ES-1.

C. Copings:

1. Install roof edge flashings in accordance with ANSI/SPRI/FM 4435/ES-1.

D. Pipe or Post Counterflashing: Install counterflashing umbrella with close-fitting collar with top edge flared for elastomeric sealant, extending minimum of **4 inches** over base flashing. Install stainless steel draw band and tighten.

E. Counterflashing: Coordinate installation of counterflashing with installation of base flashing.

1. Insert counterflashing in reglets or receivers and fit tightly to base flashing.
2. Extend counterflashing **4 inches** over base flashing.
3. Lap counterflashing joints minimum of **4 inches**.
4. Secure in waterproof manner by means of snap-in installation and sealant or lead wedges and sealant interlocking folded seam or blind rivets and sealant unless otherwise indicated.

F. Roof-Penetration Flashing: Coordinate installation of roof-penetration flashing with installation of roofing and other items penetrating roof. Seal with elastomeric sealant and clamp flashing to pipes that penetrate roof.

3.6 INSTALLATION OF WALL FLASHINGS

- A. Install sheet metal wall flashing to intercept and exclude penetrating moisture in accordance with cited sheet metal standard unless otherwise indicated. Coordinate installation of wall flashing with installation of wall-opening components such as windows, doors, and louvers.
- B. Opening Flashings in Frame Construction: Install continuous head, sill, jamb, and similar flashings to extend **4 inches** beyond wall openings.

3.7 INSTALLATION OF MISCELLANEOUS FLASHING

- A. Equipment Support Flashing:
  - 1. Coordinate installation of equipment support flashing with installation of roofing and equipment.
  - 2. Weld or seal flashing with elastomeric sealant to equipment support member.

3.8 INSTALLATION TOLERANCES

- A. Installation Tolerances: Shim and align sheet metal flashing and trim within installed tolerance of **1/4 inch in 20 feet** on slope and location lines indicated on Drawings and within **1/8-inch** offset of adjoining faces and of alignment of matching profiles.

3.9 CLEANING

- A. Clean exposed metal surfaces of substances that interfere with uniform oxidation and weathering.
- B. Clean and neutralize flux materials. Clean off excess solder.
- C. Clean off excess sealants.

3.10 PROTECTION

- A. Remove temporary protective coverings and strippable films as sheet metal flashing and trim are installed unless otherwise indicated in manufacturer's written installation instructions.
- B. On completion of sheet metal flashing and trim installation, remove unused materials and clean finished surfaces as recommended in writing by sheet metal flashing and trim manufacturer.
- C. Maintain sheet metal flashing and trim in clean condition during construction.

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- D. Replace sheet metal flashing and trim that have been damaged or that have deteriorated beyond successful repair by finish touchup or similar minor repair procedures, as determined by Architect.

END OF SECTION 07 62 00

SECTION 07 72 00 - ROOF ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

- 1. Pipe and duct supports.
- 2. Pipe portals.

B. Related Requirements:

- 1. Section 05 50 00 "Metal Fabrications" for metal vertical ladders, ships' ladders, and stairs for access to roof hatches.
- 2. Section 05 52 13 "Pipe and Tube Railings" for safety railing systems not attached to roof-hatch curbs.
- 3. Section 07 61 00 "Sheet Metal Roofing" for shop- and field-formed roof curbs and snow guards for sheet metal roofing.
- 4. Section 07 62 00 "Sheet Metal Flashing and Trim" for shop- and field-formed metal flashing, roof-drainage systems, roof expansion-joint covers, and miscellaneous sheet metal trim and accessories.
- 5. Section 07 71 00 "Roof Specialties" for manufactured fascia, copings, gravel stops, gutters and downspouts, and counterflashing.
- 6. Section 07 72 53 "Snow Guards" for snow guards.
- 7. Section 23 05 48 "Vibration and Seismic Controls for HVAC" for special curbs designed to accommodate seismic and vibration controls.
- 8. Section 23 34 23 "HVAC Power Ventilators" for power roof-mounted ventilators.
- 9. Section 23 74 13 "Packaged, Outdoor, Central-Station Air-Handling Units" for standard curbs specified with rooftop units.

1.3 COORDINATION

- A. Coordinate layout and installation of roof accessories with roofing membrane and base flashing and interfacing and adjoining construction to provide a leakproof, weathertight, secure, and noncorrosive installation.
- B. Coordinate dimensions with rough-in information or Shop Drawings of equipment to be supported.



1.4 ACTION SUBMITTALS

- A. Product Data: For each type of roof accessory.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: For roof accessories.
  - 1. Include plans, elevations, keyed details, and attachments to other work. Indicate dimensions, loadings, and special conditions. Distinguish between plant- and field-assembled work.
- C. Samples: For each exposed product and for each color and texture specified, prepared on Samples of size to adequately show color.
- D. Delegated-Design Submittal: For roof curbs equipment supports and walkways indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
  - 1. Detail mounting, securing, and flashing of roof-mounted items to roof structure. Indicate coordinating requirements with roof membrane system.
  - 2. Wind-Restraint Details: Detail fabrication and attachment of wind restraints. Show anchorage details and indicate quantity, diameter, and depth of penetration of anchors.

1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Roof plans, drawn to scale, and coordinating penetrations and roof-mounted items. Show the following:
  - 1. Size and location of roof accessories specified in this Section.
  - 2. Method of attaching roof accessories to roof or building structure.
  - 3. Other roof-mounted items including mechanical and electrical equipment, ductwork, piping, and conduit.
  - 4. Required clearances.
- B. Sample Warranties: For manufacturer's special warranties.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For roof accessories to include in operation and maintenance manuals.

1.7 WARRANTY

- A. Special Warranty on Painted Finishes: Manufacturer's standard form in which manufacturer agrees to repair finishes or replace roof accessories that show evidence of deterioration of factory-applied finishes within specified warranty period.
  - 1. Fluoropolymer Finish: Deterioration includes, but is not limited to, the following:
    - a. Color fading more than 5 Delta E units when tested according to ASTM D2244.
    - b. Chalking in excess of a No. 8 rating when tested according to ASTM D4214.
    - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
  - 2. Finish Warranty Period: 20 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. General Performance: Roof accessories shall withstand exposure to weather and resist thermally induced movement without failure, rattling, leaking, or fastener disengagement due to defective manufacture, fabrication, installation, or other defects in construction.
- B. Delegated Design: Engage a qualified professional engineer, as defined in Section 01 40 00 "Quality Requirements," to design roof curbs and equipment supports to comply with wind performance requirements, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.

2.2 METAL MATERIALS

- A. Zinc-Coated (Galvanized) Steel Sheet: ASTM A653/A653M, **G90** coating designation.
  - 1. Baked-Enamel or Powder-Coat Finish: After cleaning and pretreating, apply manufacturer's standard two-coat, baked-on finish consisting of prime coat and thermosetting topcoat to a minimum dry film thickness of **2 mils**.
  - 2. Concealed Finish: Pretreat with manufacturer's standard white or light-colored acrylic or polyester-backer finish consisting of prime coat and wash coat, with a minimum total dry film thickness of **0.5 mil**.
- B. Aluminum-Zinc Alloy-Coated Steel Sheet: ASTM A792/A792M, **AZ50** coated.
  - 1. Baked-Enamel or Powder-Coat Finish: After cleaning and pretreating, apply manufacturer's standard two-coat, baked-on finish consisting of prime coat and thermosetting topcoat to a minimum dry film thickness of **2 mils**.
  - 2. Concealed Finish: Pretreat with manufacturer's standard white or light-colored acrylic or polyester-backer finish consisting of prime coat and wash coat, with a minimum total dry film thickness of **0.5 mil**.

- C. Aluminum Sheet: **ASTM B209**, manufacturer's standard alloy for finish required, with temper to suit forming operations and performance required.
  - 1. Clear Anodic Finish: AAMA 611, AA-M12C22A41, Class I, 0.018 mm or thicker.
  - 2. Color Anodic Finish: AAMA 611, AA-M12C22A42/A44, Class I, 0.018 mm or thicker.
  - 3. Baked-Enamel or Powder-Coat Finish: AAMA 2603 except with a minimum dry film thickness of **1.5 mils**. Comply with coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.
  - 4. Concealed Finish: Pretreat with manufacturer's standard white or light-colored acrylic or polyester-backer finish consisting of prime coat and wash coat, with a minimum total dry film thickness of **0.5 mil**.
- D. Aluminum Extrusions and Tubes: **ASTM B221**, manufacturer's standard alloy and temper for type of use, finished to match assembly where used; otherwise mill finished.
- E. Stainless Steel Sheet and Shapes: ASTM A240/A240M or ASTM A666, Type 304.
- F. Steel Shapes: ASTM A36/A36M, hot-dip galvanized according to ASTM A123/A123M unless otherwise indicated.
- G. Steel Tube: ASTM A500/A500M, round tube.
- H. Galvanized-Steel Tube: ASTM A500/A500M, round tube, hot-dip galvanized according to ASTM A123/A123M.
- I. Steel Pipe: ASTM A53/A53M, galvanized.

### 2.3 MISCELLANEOUS MATERIALS

- A. Provide materials and types of fasteners, protective coatings, sealants, and other miscellaneous items required by manufacturer for a complete installation.
- B. Polyisocyanurate Board Insulation: ASTM C1289, thickness and thermal resistivity as indicated.
- C. Wood Nailers: Softwood lumber, pressure treated with waterborne preservatives for aboveground use, acceptable to authorities having jurisdiction, containing no arsenic or chromium, and complying with AWPA C2; not less than **1-1/2 inches** thick.
- D. Bituminous Coating: Cold-applied asphalt emulsion complying with ASTM D1187/D1187M.
- E. Underlayment:
  - 1. Felt: ASTM D226/D226M, Type II (No. 30), asphalt-saturated organic felt, nonperforated.
  - 2. Polyethylene Sheet: **6-mil-** thick polyethylene sheet complying with ASTM D4397.
  - 3. Slip Sheet: Building paper, **3 lb/100 sq. ft.** minimum, rosin sized.

4. Self-Adhering, High-Temperature Sheet: Minimum 30 to 40 mils thick, consisting of slip-resisting polyethylene-film top surface laminated to layer of butyl or SBS-modified asphalt adhesive, with release-paper backing; cold applied. Provide primer when recommended by underlayment manufacturer.
- F. Fasteners: Roof accessory manufacturer's recommended fasteners suitable for application and metals being fastened. Match finish of exposed fasteners with finish of material being fastened. Provide nonremovable fastener heads to exterior exposed fasteners. Furnish the following unless otherwise indicated:
  1. Fasteners for Zinc-Coated or Aluminum-Zinc Alloy-Coated Steel: Series 300 stainless steel or hot-dip zinc-coated steel according to ASTM A153/A153M or ASTM F2329.
  2. Fasteners for Aluminum Sheet: Aluminum or Series 300 stainless steel.
  3. Fasteners for Stainless Steel Sheet: Series 300 stainless steel.
- G. Gaskets: Manufacturer's standard tubular or fingered design of neoprene, EPDM, PVC, or silicone or a flat design of foam rubber, sponge neoprene, or cork.
- H. Elastomeric Sealant: ASTM C920, elastomeric silicone polymer sealant as recommended by roof accessory manufacturer for installation indicated; low modulus; of type, grade, class, and use classifications required to seal joints and remain watertight.
- I. Butyl Sealant: ASTM C1311, single-component, solvent-release butyl rubber sealant; polyisobutylene plasticized; heavy bodied for expansion joints with limited movement.
- J. Asphalt Roofing Cement: ASTM D4586/D4586M, asbestos free, of consistency required for application.

## 2.4 GENERAL FINISH REQUIREMENTS

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, to verify actual locations, dimensions, and other conditions affecting performance of the Work.
- B. Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and securely anchored.

- C. Verify dimensions of roof openings for roof accessories.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Install roof accessories according to manufacturer's written instructions.
  - 1. Install roof accessories level; plumb; true to line and elevation; and without warping, jogs in alignment, buckling, or tool marks.
  - 2. Anchor roof accessories securely in place so they are capable of resisting indicated loads.
  - 3. Use fasteners, separators, sealants, and other miscellaneous items as required to complete installation of roof accessories and fit them to substrates.
  - 4. Install roof accessories to resist exposure to weather without failing, rattling, leaking, or loosening of fasteners and seals.
- B. Metal Protection: Protect metals against galvanic action by separating dissimilar metals from contact with each other or with corrosive substrates by painting contact surfaces with bituminous coating or by other permanent separation as recommended by manufacturer.
  - 1. Coat concealed side of uncoated aluminum stainless steel roof accessories with bituminous coating where in contact with wood, ferrous metal, or cementitious construction.
  - 2. Underlayment: Where installing roof accessories directly on cementitious or wood substrates, install a course of underlayment and cover with manufacturer's recommended slip sheet.
  - 3. Bed flanges in thick coat of asphalt roofing cement where required by manufacturers of roof accessories for waterproof performance.
- C. Roof Walkway Installation:
  - 1. Verify that locations of access and servicing points for roof-mounted equipment are served by locations of roof walkways.
  - 2. Install roof walkway support pads prior to placement of roof walkway support stands onto low-slope roofing.
- D. Seal joints with elastomeric or butyl sealant as required by roof accessory manufacturer.

### 3.3 REPAIR AND CLEANING

- A. Galvanized Surfaces: Clean field welds, bolted connections, and abraded areas and repair galvanizing according to ASTM A780/A780M.
- B. Touch up factory-primed surfaces with compatible primer ready for field painting according to Section 09 91 13 "Exterior Painting."

SECTION 07 72 00 - ROOF  
ACCESSORIES

- C. Clean exposed surfaces according to manufacturer's written instructions.
- D. Clean off excess sealants.
- E. Replace roof accessories that have been damaged or that cannot be successfully repaired by finish touchup or similar minor repair procedures.

END OF SECTION 07 72 00

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SECTION 07 81 00 - APPLIED FIRE PROTECTION

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes: Sprayed fire-resistive materials.

1.2 DEFINITIONS

- A. SFRM: Sprayed fire-resistive materials.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
  - 1. Review products, design ratings, restrained and unrestrained conditions, densities, thicknesses, bond strengths, and other performance requirements.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: Framing plans or schedules, or both, indicating the following:
  - 1. Extent of applied fire protection for each construction and fire-resistance rating.
  - 2. Applicable fire-resistance design designations of a qualified testing and inspecting agency acceptable to authorities having jurisdiction.
  - 3. Minimum applied fire protection material thicknesses needed to achieve required fire-resistance rating of each structural component and assembly.
  - 4. Treatment of sprayed fire-resistive material after application.
- C. Sustainable Design Submittals:

1.5 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For each type of applied fire protection material for tests performed by a qualified testing agency.
- B. Research Reports: For each type of applied fire protection material, from an agency acceptable to authorities having jurisdiction.
- C. Qualification Statements: For Installer} .



1.6 QUALITY ASSURANCE

- A. Installer Qualifications: A firm or individual certified, licensed, or otherwise qualified by applied fire protection material manufacturer as experienced and with sufficient trained staff to install manufacturer's products in accordance with specified requirements.

1.7 MOCKUPS

- A. Build mockups to set quality standards for materials and execution.
  - 1. Build mockup of each type of applied fire protection and different substrate as indicated on Drawings.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Owner specifically approves such deviations by Change Order.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not apply applied fire protection when ambient or substrate temperature is 44 deg F or lower unless temporary protection and heat are provided to maintain temperature at or above this level for 24 hours before, during, and for 24 hours after product application.
- B. Ventilation: Ventilate building spaces during and after application of fire protection, providing complete air exchanges in accordance with manufacturer's written instructions. Use natural means or, if they are inadequate, forced-air circulation until fire protection dries thoroughly.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain applied fire protection from single source.

2.2 PERFORMANCE REQUIREMENTS

- A. Assemblies: Provide applied fire protection, including auxiliary materials, in accordance with requirements of each fire-resistance design and manufacturer's written instructions.
- B. Fire-Resistance Design: Indicated on Drawings, tested in accordance with ASTM E119 or UL 263; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.

1. Steel members are to be considered unrestrained unless specifically noted otherwise.

C. Asbestos: Provide products containing no detectable asbestos.

## 2.3 SPRAYED FIRE-RESISTIVE MATERIALS

A. Sprayed Fire-Resistive Material: (3 Hour ceiling/roof protection in Electrical Vault 114) Manufacturer's standard, factory-mixed, lightweight, dry formulation, complying with indicated fire-resistance design, and mixed with water at Project site to form a slurry or mortar before conveyance and application.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Isolotek International; CAFCO 300 HS or comparable product by one of the following:
  - a. Carbolite Company; a subsidiary of RPM International.
  - b. GCP Applied Technologies Inc.
2. Application: Designated for exterior use by a qualified testing agency acceptable to authorities having jurisdiction.
3. Bond Strength: Minimum 150-lbf/sq. ft. cohesive and adhesive strength based on field testing in accordance with ASTM E736/E736M.
4. Density: Not less than density specified in the approved fire-resistance design, in accordance with ASTM E605/E605M.
5. Thickness: As required for fire-resistance design indicated, measured in accordance with requirements of fire-resistance design or ASTM E605/E605M, whichever is thicker, but not less than 0.375 inch.
6. Combustion Characteristics: ASTM E136.
7. Surface-Burning Characteristics: Comply with ASTM E84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - a. Flame-Spread Index: 10 or less.
  - b. Smoke-Developed Index: 10 or less.
8. Compressive Strength: Minimum 300 lbf/sq. in. in accordance with ASTM E761/E761M.
9. Corrosion Resistance: No evidence of corrosion in accordance with ASTM E937/E937M.
10. Deflection: No cracking, spalling, or delamination in accordance with ASTM E759/E759.
11. Effect of Impact on Bonding: No cracking, spalling, or delamination in accordance with ASTM E760/E760M.
12. Air Erosion: Maximum weight loss of 0.025 g/sq. ft. in 24 hours in accordance with ASTM E859/E859M.
13. Fungal Resistance: Treat products with manufacturer's standard antimicrobial formulation to result in no growth on specimens per ASTM G21.
14. Sound Absorption: NRC of 0.50 to 0.75 in accordance with ASTM C423 for Type A mounting in accordance with ASTM E795.

15. Finish: As selected by Architect from manufacturer's standard finishes.
  - a. Color: As selected by Architect from manufacturer's full range.

## 2.4 AUXILIARY MATERIALS

- A. Provide auxiliary materials that are compatible with sprayed fire-resistive material and substrates and are approved by UL or another testing and inspecting agency acceptable to authorities having jurisdiction for use in fire-resistance designs indicated.
- B. Substrate Primers: Primers approved in writing by sprayed fire-resistive material manufacturer and complying with one or both of the following requirements:
  1. Primer and substrate are identical to those tested in required fire-resistance design by UL or another testing and inspecting agency acceptable to authorities having jurisdiction.
  2. Primer's bond strength in required fire-resistance design complies with specified bond strength for sprayed fire-resistive material and with requirements in UL's "Product iQ" online directory or in the listings of another qualified testing agency acceptable to authorities having jurisdiction, based on a series of bond tests in accordance with ASTM E736/E736M.
- C. Bonding Agent: Product approved in writing by sprayed fire-resistive material manufacturer and complying with requirements in UL's "Product iQ" online directory or in the listings of another qualified testing agency acceptable to authorities having jurisdiction.
- D. Reinforcing Fabric: Glass- or carbon-fiber fabric of type, weight, and form required to comply with fire-resistance designs indicated; approved and provided by sprayed fire-resistive material manufacturer.
- E. Reinforcing Mesh: Metallic mesh reinforcement of type, weight, and form required to comply with fire-resistance design indicated; approved and provided by sprayed fire-resistive material manufacturer. Include pins and attachment.
- F. Sealer: Transparent-drying, water-dispersible, tinted protective coating recommended in writing by sprayed fire-resistive material manufacturer for each fire-resistance design.
  1. Manufacturers: Subject to compliance with requirements, provide products by the following:
    - a. Isolatek International
- G. Topcoat: Suitable for application over sprayed fire-resistive material; of type recommended in writing by sprayed fire-resistive material manufacturer for each fire-resistance design.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for substrates and other conditions affecting performance of the Work and in accordance with each fire-resistance design.
  - 1. Verify that substrates are free of dirt, oil, grease, release agents, rolling compounds, mill scale, loose scale, incompatible primers, paints, and encapsulants, or other foreign substances capable of impairing bond of applied fire protection with substrates under conditions of normal use or fire exposure.
  - 2. Verify that objects penetrating applied fire protection, including clips, hangers, support sleeves, and similar items, are securely attached to substrates.
  - 3. Verify that substrates receiving applied fire protection are not obstructed by ducts, piping, equipment, or other suspended construction that will interfere with fire protection application.
- B. Verify that concrete work on steel deck is complete before beginning Work.
- C. Verify that roof construction, installation of rooftop HVAC equipment, and other related work are complete before beginning Work.
- D. Conduct tests in accordance with sprayed fire-resistive material manufacturer's written instructions to verify that substrates are free of substances capable of interfering with bond.
- E. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
- F. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Cover other work subject to damage from fallout or overspray of applied fire protection materials during application.
- B. Clean substrates of substances that could impair bond of applied fire protection.
- C. Prime substrates where included in fire-resistance design and where recommended in writing by sprayed fire-resistive material manufacturer unless compatible shop primer has been applied and is in satisfactory condition to receive applied fire protection.

### 3.3 APPLICATION

- A. Construct applied fire protection assemblies that are identical to fire-resistance design indicated and products as specified, tested, and substantiated by test reports; for thickness, primers, sealers, topcoats, finishing, and other materials and procedures

affecting applied fire protection Work.

- B. Comply with sprayed fire-resistive material manufacturer's written instructions for mixing materials, application procedures, and types of equipment used to mix, convey, and apply fire protection; as applicable to particular conditions of installation and as required to achieve fire-resistance ratings indicated.
- C. Coordinate application of fire protection with other construction to minimize need to cut or remove applied fire protection.
  - 1. Do not begin applying fire protection until clips, hangers, supports, sleeves, and other items penetrating fire protection are in place.
  - 2. Defer installing ducts, piping, and other items that would interfere with applying fire protection until application of fire protection is completed.
- D. Metal Decks:
  - 1. Do not apply fire protection to underside of metal deck substrates until concrete topping, if any, is completed.
  - 2. Do not apply fire protection to underside of metal roof deck until roofing is completed; prohibit roof traffic during application and drying of fire protection.
- E. Install auxiliary materials as required, as detailed, and in accordance with fire-resistance design and sprayed fire-resistive material manufacturer's written instructions for conditions of exposure and intended use. For auxiliary materials, use attachment and anchorage devices of type recommended in writing by sprayed fire-resistive material manufacturer.
- F. Spray apply fire protection to maximum extent possible. After the spraying operation in each area, complete the coverage by trowel application or other placement method recommended in writing by sprayed fire-resistive material manufacturer.
- G. Extend applied fire protection in full thickness over entire area of each substrate to be protected.
- H. Install body of applied fire protection in a single course unless otherwise recommended in writing by sprayed fire-resistive material manufacturer.
- I. Where sealers are used, apply products that are tinted to differentiate them from applied fire protection over which they are applied.
- J. Provide a uniform finish complying with description indicated for each type of applied fire protection material and matching finish approved for required mockups.
- K. Cure applied fire protection in accordance with sprayed fire-resistive material manufacturer's written instructions.
- L. Do not install enclosing or concealing construction until after applied fire protection has been inspected, tested, and corrections have been made to deficient applications.

3.4 CLEANING

- A. Immediately after completing spraying operations in each containable area of Project, remove material overspray and fallout from surfaces of other construction and clean exposed surfaces to remove evidence of soiling.

3.5 PROTECTION

- A. Protect applied fire protection from damage resulting from construction operations or other causes in accordance with manufacturer's and Installer's written instructions, so applied fire protection is without damage or deterioration at time of Substantial Completion.

3.6 REPAIRS

- A. As installation of other adjacent construction proceeds, inspect applied fire protection and repair damaged areas due to work of other trades before concealing it with other construction.
- B. Repair applied fire protection using same method and materials as original installation or using manufacturer's recommended trowel-applied repair product.

END OF SECTION 07 81 00

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SECTION 07 84 13 - PENETRATION FIRESTOPPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Penetration firestopping systems for the following applications:
  - a. Penetrations in fire-resistance-rated walls.

- B. Related Requirements:

- 1. Section 07 84 43 "Joint Firestopping" for joints in or between fire-resistance-rated construction, at exterior curtain-wall/floor intersections, and in smoke barriers.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

- B. Product Schedule: For each penetration firestopping system. Include location, illustration of firestopping system, and design designation of qualified testing and inspecting agency.

- 1. Engineering Judgments: Where Project conditions require modification to a qualified testing and inspecting agency's illustration for a particular penetration firestopping system, submit illustration, with modifications marked, approved by penetration firestopping system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly. Obtain approval of authorities having jurisdiction prior to submittal.



1.5 QUALITY ASSURANCE

- A. Installer Qualifications: A firm that has been approved by FM Approval according to FM Approval 4991, "Approval Standard for Firestop Contractors," or been evaluated by UL and found to comply with its "Qualified Firestop Contractor Program Requirements."

1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install penetration firestopping system when ambient or substrate temperatures are outside limits permitted by penetration firestopping system manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.
- B. Install and cure penetration firestopping materials per manufacturer's written instructions using natural means of ventilations or, where this is inadequate, forced-air circulation.

1.7 COORDINATION

- A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping systems can be installed according to specified firestopping system design.
- B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping systems.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics:
  - 1. Perform penetration firestopping system tests by a qualified testing agency acceptable to authorities having jurisdiction.
  - 2. Test per testing standards referenced in "Penetration Firestopping Systems" Article. Provide rated systems complying with the following requirements:
    - a. Penetration firestopping systems shall bear classification marking of a qualified testing agency.
      - 1) UL in its "Fire Resistance Directory."
      - 2) Intertek Group in its "Directory of Listed Building Products."
      - 3) FM Approval in its "Approval Guide."

## 2.2 PENETRATION FIRESTOPPING SYSTEMS

- A. Penetration Firestopping Systems: Systems that resist spread of fire, passage of smoke and other gases, and maintain original fire-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.
1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. 3M Fire Protection Products.
    - b. Hilti, Inc.
    - c. NUCO Inc.
    - d. Tremco, Inc.
- B. Penetrations in Fire-Resistance-Rated Walls: Penetration firestopping systems with ratings determined per ASTM E814 or UL 1479, based on testing at a positive pressure differential of **0.01-inch wg.**
1. F-Rating: Not less than the fire-resistance rating of constructions penetrated.
- C. Manufactured Piping Penetration Firestopping System: Penetration firestopping systems with ratings determined per ASTM E814 or UL 1479, based on testing at a positive pressure differential of **0.01-inch wg.**
1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. ProVent Systems, Inc.
    - b. RectorSeal Firestop; a CSW Industrials Company.
  2. F-Rating: At least one hour, but not less than the fire-resistance rating of constructions penetrated.
  3. T-Rating: At least one hour, but not less than the fire-resistance rating of constructions penetrated except for floor penetrations within the cavity of a wall.
  4. W-Rating: Provide penetration firestopping systems showing no evidence of water leakage when tested according to UL 1479.
  5. Sleeve: Molded-PVC plastic, of length to match slab thickness and with integral nailing flange on one end for installation in cast-in-place concrete slabs.
  6. Stack Fitting: ASTM A48/A48M, gray-iron, hubless-pattern wye branch with neoprene O-ring at base and gray-iron plug in thermal-release harness. Include PVC protective cap for plug.
  7. Special Coating: Corrosion resistant on interior of fittings.
- D. Accessories: Provide components for each penetration firestopping system that are needed to install fill materials and to maintain ratings required. Use only those components specified by penetration firestopping system manufacturer and approved by qualified testing and inspecting agency for conditions indicated.
1. Permanent forming/damming/backing materials.
  2. Substrate primers.
  3. Collars.
  4. Steel sleeves.

## 2.3 FILL MATERIALS

- A. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.
- B. Intumescent Putties: Nonhardening, water-resistant, intumescent putties containing no solvents or inorganic fibers.
- C. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.
- D. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.
- E. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants.

## 2.4 MIXING

- A. Penetration Firestopping Materials: For those products requiring mixing before application, comply with penetration firestopping system manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Surface Cleaning: Before installing penetration firestopping systems, clean out openings immediately to comply with manufacturer's written instructions and with the following requirements:
  - 1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping materials.

2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping materials. Remove loose particles remaining from cleaning operation.
3. Remove laitance and form-release agents from concrete.

- B. Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.

### 3.3 INSTALLATION

- A. General: Install penetration firestopping systems to comply with manufacturer's written installation instructions and published drawings for products and applications.

- B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings.

1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not forming permanent components of firestopping.

- C. Install fill materials by proven techniques to produce the following results:

1. Fill voids and cavities formed by openings, forming materials, accessories and penetrating items to achieve required fire-resistance ratings.
2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

### 3.4 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping system manufacturers and that do not damage materials in which openings occur.

- B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping systems are without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove damaged or deteriorated penetration firestopping material and install new materials to produce systems complying with specified requirements.

END OF SECTION 07 84 13

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SECTION 07 91 00 - PREFORMED JOINT SEALS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Add. Alt. #2 - Preformed, pre-compressed, expanding foam joint seals for expansion joints in exterior walls.

B. Related Requirements:

1. Section 07 92 00 "Joint Sealants" for liquid sealants applied over preformed seals in dual-seal systems.

1.2 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.3 ACTION SUBMITTALS

A. Product Data:

1. Preformed, foam joint seals.

- B. Samples for Initial Selection: Manufacturer's color sheets, showing full range of available colors for each type of exposed preformed joint seal.

C. Preformed Joint Seal Schedule: Include the following information:

1. Joint seal location and designation.
2. Joint width and movement capability.
3. Joint seal manufacturer and product name.
4. Joint seal color.

1.4 INFORMATIONAL SUBMITTALS

- A. Sample warranties.

1.5 WARRANTY

- A. Special Installer's Warranty: Installer agrees to repair or replace preformed joint seals that fail in materials or workmanship within specified warranty period.

1. Warranty Period: Two years from date of Substantial Completion.
- B. Special Manufacturer's Warranty: Manufacturer agrees to furnish preformed joint seals to repair or replace those that fail in materials or workmanship within specified warranty period.
1. Warranty Period: Five years from date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 SOURCE LIMITATIONS

- A. For preformed joint seals, obtain each color, type, and variety of joint seal from single source with resources to provide products of consistent quality in appearance and physical properties.

### 2.2 PREFORMED, FOAM JOINT SEALS

- A. Preformed, Foam Joint Seals: Manufacturer's standard silicone coated, ultraviolet resistant, dual-faced, fire-rated, watertight primary joint seal manufactured from urethane or EVA (ethylene vinyl acetate) foam with minimum density of 10 lb/cu. ft. and impregnated with a nondrying, water-repellent agent. Factory produce them in pre-compressed sizes in roll or stick form to fit joint widths based on design criteria indicated, with factory- or field-applied adhesive for bonding to substrates.
1. Basis-of-Design Product: Subject to compliance with requirements, provide Emshield WFR2 by Sika Emseal or comparable product by one of the following:
    - a. Nystrom, Inc.
    - b. Inpro Corporation.
    - c. Or Approved Equal.
  2. Design Criteria:
    - a. Nominal Joint Width: 3-1/4 inches (verify in field).
    - b. Movement Capability: plus/minus 50% of nominal material size.
    - c. Form: Pre-compressed to less than the design joint size, packaged in shrink wrap packaging.
    - d. Fire protection rating: 2 hours, test to UL 2079.
    - e. Air permeability: Maximum 0.02 liter per second per square meter, tested to ASTM E283 at 75 Pa.
    - f. Water penetration: No water penetration, tested to ASTM E331 at 5,000 Pa test pressure.
    - g. Wind loading: No deflection, tested to ASTM E330 at 4,954 Pa or 200 MPH wind.
    - h. Adhesive: Epoxy type, furnished by joint seal manufacturer.

- i. Silicone: field applied corner bead at face of seal to substrate interface, furnished by joint seal manufacturer, in same material and color as used in factory coating.
    - 1) Abrasion Resistance: Less than 1% weight loss, tested to ASTM D4060.
    - 2) Fuel Resistance: Pass, tested to ASTM C719/C1135.
  - j. Intumescent Sealant: Field applied to face of joints, furnished by joint seal manufacturer.
3. Joint Seal Color: As selected by Architect from full range of colors.

### 2.3 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by preformed joint seal manufacturer for joint substrates indicated.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to preformed joint seal manufacturer, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces, and formulated to promote best adhesion to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with preformed joint seals and surfaces adjacent to joints.
- D. Sealant for Adhering Extruded-Silicone Joint Seals: Silicone adhesive sealant recommended by extruded-silicone joint seal manufacturer.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine joints indicated to receive preformed joint seals, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting preformed joint seal performance.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Surface Cleaning of Joints: Clean out joints immediately before installing preformed joint seals to comply with preformed joint seal manufacturer's written instructions and the following requirements:



1. Remove all foreign material from joint substrates that could interfere with adhesion of preformed joint seal, including dust, paints (except for permanent protective coatings tested and approved for seal adhesion and compatibility by seal manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
  2. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint seals. Nonporous joint substrates include the following:
    - a. Metal.
- B. Joint Priming: Prime joint substrates where recommended by preformed joint seal manufacturer or as indicated by tests or prior experience. Apply primer to comply with joint seal manufacturer's written instructions. Confine primers to areas of joint seal bond; do not allow spillage or migration onto adjoining surfaces.
- C. Masking Tape: Use masking tape where required to prevent contact of adhesive or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove smears. Remove tape immediately after tooling without disturbing joint seal.

### 3.3 INSTALLATION OF PREFORMED, FOAM JOINT SEALS

- A. General: Comply with preformed joint seal manufacturer's written installation instructions for products and applications indicated unless more stringent requirements apply.
1. Install each length of seal immediately after removing protective wrapping.
  2. Firmly secure compressed joint seals to joint gap side to obtain full bond using exposed pressure-sensitive adhesive or field-applied adhesive as recommended by manufacturer.
  3. Do not pull or stretch material. Produce seal continuity at splices, ends, turns, and intersections of joints.
  4. For applications at low ambient temperatures, heat foam joint seal material in compliance with manufacturer's written instructions.

### 3.4 PROTECTION

- A. Protect preformed joint seals from damage resulting from construction operations or other causes so seals are without deterioration or damage at time of Substantial Completion.
- B. Cut out, remove, and repair damaged or deteriorated seals so repaired areas are indistinguishable from original work.

END OF SECTION 07 91 00

SECTION 07 92 00 - JOINT SEALANTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Nonstaining silicone joint sealants.
2. Urethane joint sealants.
3. Immersible joint sealants.
4. Mildew-resistant joint sealants.
5. Butyl joint sealants.
6. Latex joint sealants.

B. Related Requirements:

1. Section 07 91 00 "Preformed Joint Seals" for preformed compressible foam and precured joint seals.
2. Section 07 92 19 "Acoustical Joint Sealants" for sealing joints in sound-rated construction.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .

1.4 ACTION SUBMITTALS

- A. Product Data: For each joint-sealant product.

- B. Samples for Verification: For each kind and color of joint sealant required, provide Samples with joint sealants in **1/2-inch-** wide joints formed between two **6-inch-** long strips of material matching the appearance of exposed surfaces adjacent to joint sealants.

- C. Joint-Sealant Schedule: Include the following information:

1. Joint-sealant application, joint location, and designation.
2. Joint-sealant manufacturer and product name.

3. Joint-sealant formulation.
4. Joint-sealant color.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified testing agency.
- B. Sample Warranties: For special warranties.

#### 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer.
- B. Mockups: Install sealant in mockups of assemblies specified in other Sections that are indicated to receive joint sealants specified in this Section. Use materials and installation methods specified in this Section.

#### 1.7 FIELD CONDITIONS

- A. Do not proceed with installation of joint sealants under the following conditions:
  1. When ambient and substrate temperature conditions are outside limits permitted by joint-sealant manufacturer or are below 40 deg F.
  2. When joint substrates are wet.
  3. Where joint widths are less than those allowed by joint-sealant manufacturer for applications indicated.
  4. Where contaminants capable of interfering with adhesion have not yet been removed from joint substrates.

#### 1.8 WARRANTY

- A. Special Installer's Warranty: Installer agrees to repair or replace joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  1. Warranty Period: Two years from date of Substantial Completion.
- B. Special Manufacturer's Warranty: Manufacturer agrees to furnish joint sealants to repair or replace those joint sealants that do not comply with performance and other requirements specified in this Section within specified warranty period.
  1. Warranty Period: Five years from date of Substantial Completion.
- C. Special warranties specified in this article exclude deterioration or failure of joint sealants from the following:

1. Movement of the structure caused by stresses on the sealant exceeding sealant manufacturer's written specifications for sealant elongation and compression.
2. Disintegration of joint substrates from causes exceeding design specifications.
3. Mechanical damage caused by individuals, tools, or other outside agents.
4. Changes in sealant appearance caused by accumulation of dirt or other atmospheric contaminants.

## PART 2 - PRODUCTS

### 2.1 JOINT SEALANTS, GENERAL

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by joint-sealant manufacturer, based on testing and field experience.
- B. Colors of Exposed Joint Sealants: As selected by Architect from manufacturer's full range.

### 2.2 NONSTAINING SILICONE JOINT SEALANTS

- A. Nonstaining Joint Sealants: No staining of substrates when tested according to ASTM C 1248.
- B. Silicone, Nonstaining, S, NS, 50, NT: Nonstaining, single-component, nonsag, plus 50 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 50, Use NT.
  1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. Sika Corporation; Joint Sealants.
    - b. The Dow Chemical Company.
    - c. Tremco Incorporated.

### 2.3 URETHANE JOINT SEALANTS

- A. Urethane, M, NS, 25, NT: Multicomponent, nonsag, plus 25 percent and minus 25 percent movement capability, nontraffic-use, urethane joint sealant; ASTM C 920, Type M, Grade NS, Class 25, Use NT.
  1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. BASF Corporation.
    - b. Sherwin-Williams Company (The).
- B. Urethane, M, NS, 25, T, NT: Multicomponent, nonsag, plus 25 percent and minus 25 percent movement capability, traffic- and nontraffic-use, urethane joint sealant; ASTM C 920, Type M, Grade NS, Class 25, Uses T and NT.

1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. BASF Corporation.
  - b. Pecora Corporation.
  - c. Sika Corporation; Joint Sealants.
  
- C. Urethane, M, P, 25, T, NT: Multicomponent, pourable, plus 25 percent and minus 25 percent movement capability, traffic- and nontraffic-use, urethane joint sealant; ASTM C 920, Type M, Grade P, Class 25, Uses T and NT.
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. BASF Corporation.
    - b. Pecora Corporation.
    - c. Sherwin-Williams Company (The).
    - d. Sika Corporation; Joint Sealants.
    - e. Tremco Incorporated.

#### 2.4 IMMERSIBLE JOINT SEALANTS

- A. Immersible Joint Sealants. Suitable for immersion in liquids; ASTM C 1247, Class 1 or Class 2; tested in deionized water unless otherwise indicated
  
- B. Urethane, Immersible, M, NS, 25, T, NT, I: Immersible, multicomponent, nonsag, plus 25 percent and minus 25 percent movement capability, traffic- and nontraffic-use, urethane joint sealant; ASTM C 920, Type M, Grade NS, Class 25, Uses T, NT, and I.
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. BASF Corporation.
    - b. Sika Corporation; Joint Sealants.

#### 2.5 MILDEW-RESISTANT JOINT SEALANTS

- A. Mildew-Resistant Joint Sealants: Formulated for prolonged exposure to humidity with fungicide to prevent mold and mildew growth.
  
- B. Silicone, Mildew Resistant, Acid Curing, S, NS, 25, NT: Mildew-resistant, single-component, nonsag, plus 25 percent and minus 25 percent movement capability, nontraffic-use, acid-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 25, Use NT.
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. Pecora Corporation.
    - b. The Dow Chemical Company.
    - c. Tremco Incorporated.

## 2.6 BUTYL JOINT SEALANTS

- A. Butyl-Rubber-Based Joint Sealants: ASTM C 1311.
  - 1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. Bostik, Inc.
    - b. Pecora Corporation.

## 2.7 LATEX JOINT SEALANTS

- A. Acrylic Latex: Acrylic latex or siliconized acrylic latex, ASTM C 834, Type OP, Grade NF.
  - 1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. Pecora Corporation.
    - b. Sherwin-Williams Company (The).
    - c. Tremco Incorporated.

## 2.8 JOINT-SEALANT BACKING

- A. Sealant Backing Material, General: Nonstaining; compatible with joint substrates, sealants, primers, and other joint fillers; and approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
  - 1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. BASF Corporation.
    - b. Construction Foam Products; a division of Nomaco, Inc.
- B. Cylindrical Sealant Backings: ASTM C 1330, Type C (closed-cell material with a surface skin) Type O (open-cell material) Type B (bicellular material with a surface skin) or any of the preceding types, as approved in writing by joint-sealant manufacturer for joint application indicated, and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.
- C. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint. Provide self-adhesive tape where applicable.

## 2.9 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.

- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine joints indicated to receive joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements:
  - 1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant, including dust, paints (except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
  - 2. Clean porous joint substrate surfaces by brushing, grinding, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air. Porous joint substrates include the following:
    - a. Concrete.
    - b. Masonry.
  - 3. Remove laitance and form-release agents from concrete.
  - 4. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous joint substrates include the following:
    - a. Metal.
    - b. Glass.
    - c. Porcelain enamel.
- B. Joint Priming: Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written

instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.

- C. Masking Tape: Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

### 3.3 INSTALLATION OF JOINT SEALANTS

- A. General: Comply with joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. Sealant Installation Standard: Comply with recommendations in ASTM C 1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- C. Install sealant backings of kind indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
  - 1. Do not leave gaps between ends of sealant backings.
  - 2. Do not stretch, twist, puncture, or tear sealant backings.
  - 3. Remove absorbent sealant backings that have become wet before sealant application, and replace them with dry materials.
- D. Install bond-breaker tape behind sealants where sealant backings are not used between sealants and backs of joints.
- E. Install sealants using proven techniques that comply with the following and at the same time backings are installed:
  - 1. Place sealants so they directly contact and fully wet joint substrates.
  - 2. Completely fill recesses in each joint configuration.
  - 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- F. Tooling of Nonsag Sealants: Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified in subparagraphs below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.
  - 1. Remove excess sealant from surfaces adjacent to joints.
  - 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.
  - 3. Provide concave joint profile per Figure 8A in ASTM C 1193 unless otherwise indicated.



3.4 CLEANING

- A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.

3.5 PROTECTION

- A. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out, remove, and repair damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

3.6 JOINT-SEALANT SCHEDULE

- A. Joint-Sealant Application: Exterior joints in horizontal traffic surfaces.
  - 1. Joint Locations:
    - a. Isolation and contraction joints in cast-in-place concrete slabs.
    - b. Joints between different materials listed above.
    - c. .
  - 2. Joint Sealant: Urethane, M, P, 50, T, NT .
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors .
- B. Joint-Sealant Application: Exterior joints in vertical surfaces and horizontal nontraffic surfaces.
  - 1. Joint Locations:
    - a. Construction joints in cast-in-place concrete.
    - b. Control and expansion joints in unit masonry.
    - c. Joints in dimension stone cladding.
    - d. Joints between metal panels.
    - e. Joints between different materials listed above.
    - f. Perimeter joints between materials listed above and frames of doors windows and louvers.
    - g. Control and expansion joints in ceilings and other overhead surfaces.
    - h. .
  - 2. Joint Sealant: Silicone, nonstaining, S, NS, 50, NT .
  - 3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors .
- C. Joint-Sealant Application: Interior joints in horizontal traffic surfaces.
  - 1. Joint Locations:
    - a. Isolation joints in cast-in-place concrete slabs.
    - b. .

2. Joint Sealant: Urethane, S, P, 25, T, NT .
  3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors .
- D. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces.
1. Joint Locations:
    - a. Control and expansion joints on exposed interior surfaces of exterior walls.
    - b. Vertical joints on exposed surfaces of unit masonry walls .
    - c. .
  2. Joint Sealant: Urethane, S, NS, 25, NT .
  3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors .
- E. Joint-Sealant Application: Interior joints in vertical surfaces and horizontal nontraffic surfaces not subject to significant movement.
1. Joint Locations:
    - a. Control joints on exposed interior surfaces of exterior walls.
    - b. Perimeter joints between interior wall surfaces and frames of interior doorsandwindows and .
    - c. .
  2. Joint Sealant: Acrylic latex .
  3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors .
- F. Joint-Sealant Application: Mildew-resistant interior joints in vertical surfaces and horizontal nontraffic surfaces.
1. Joint Locations:
    - a. Joints between plumbing fixtures and adjoining walls, floors, and counters.
    - b. .
  2. Joint Sealant: Silicone, mildew resistant, acid curing, S, NS, 25, NT .
  3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors .
- G. Joint-Sealant Application: Concealed mastics.
1. Joint Locations:
    - a. Aluminum thresholds.
    - b. .
  2. Joint Sealant: Butyl-rubber based .
  3. Joint-Sealant Color: As selected by Architect from manufacturer's full range of colors .

END OF SECTION 07 92 00

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SECTION 08 11 13 - HOLLOW METAL DOORS AND FRAMES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes:
  - 1. Interior standard steel doors and frames.
  - 2. Exterior standard steel doors and frames.
- B. Related Requirements:
  - 1. Section 08 71 00 "Door Hardware" for door hardware for hollow-metal doors.

1.3 DEFINITIONS

- A. Minimum Thickness: Minimum thickness of base metal without coatings according to NAAMM-HMMA 803 or ANSI/SDI A250.8.

1.4 COORDINATION

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.
- B. Coordinate requirements for installation of door hardware, electrified door hardware, and access control and security systems.

1.5 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1. Include construction details, material descriptions, core descriptions, and finishes.

B. Shop Drawings: Include the following:

1. Elevations of each door type.
2. Details of doors, including vertical- and horizontal-edge details and metal thicknesses.
3. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
4. Locations of reinforcement and preparations for hardware.
5. Details of each different wall opening condition.
6. Details of electrical raceway and preparation for electrified hardware, access control systems, and security systems.
7. Details of anchorages, joints, field splices, and connections.
8. Details of accessories.
9. Details of moldings, removable stops, and glazing.

C. Samples for Verification:

1. Finishes: For each type of exposed finish required, prepared on Samples of not less than **3 by 5 inches**.
2. Fabrication: Prepare Samples approximately **12 by 12 inches** to demonstrate compliance with requirements for quality of materials and construction:
  - a. Doors: Show vertical-edge, top, and bottom construction; core construction; and hinge and other applied hardware reinforcement. Include separate section showing glazing if applicable.
  - b. Frames: Show profile, corner joint, floor and wall anchors, and silencers. Include separate section showing fixed hollow-metal panels and glazing if applicable.

- D. Product Schedule: For hollow-metal doors and frames, prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final door hardware schedule.

## 1.7 CLOSEOUT SUBMITTALS

- A. Record Documents: For all doors, list of door numbers and applicable room name and number to which door accesses.

## 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Deliver hollow-metal doors and frames palletized, packaged, or crated to provide protection during transit and Project-site storage. Do not use non-vented plastic.
1. Provide additional protection to prevent damage to factory-finished units.

- B. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.
- C. Store hollow-metal doors and frames vertically under cover at Project site with head up. Place on minimum **4-inch**- high wood blocking. Provide minimum **1/4-inch** space between each stacked door to permit air circulation.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ceco Door; ASSA ABLOY.
  - 2. Curries Company; ASSA ABLOY.
  - 3. Republic Doors and Frames.
  - 4. Steelcraft; an Allegion brand.

### 2.2 PERFORMANCE REQUIREMENTS

- A. Thermally Rated Door Assemblies: Provide door assemblies with U-factor of U-0.61 when tested according to ASTM C518.

### 2.3 INTERIOR STANDARD STEEL DOORS AND FRAMES

- A. Construct hollow-metal doors and frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Heavy-Duty Doors and Frames: ANSI/SDI A250.8, Level 2; ANSI/SDI A250.4, Level B. At locations as indicated in the Drawings.
  - 1. Doors:
    - a. Type: As indicated in the Door and Frame Schedule.
    - b. Thickness: **1-3/4 inches**.
    - c. Face: Metallic-coated steel sheet, minimum thickness of **0.042 inch**.
    - d. Edge Construction: Model 2, Seamless.
    - e. Edge Bevel: Provide manufacturer's standard beveled or square edges.
    - f. Core: Manufacturer's standard.
  - 2. Frames:
    - a. Materials: Metallic-coated steel sheet, minimum thickness of **0.053 inch**.
    - b. Sidelite Frames: Fabricated from same thickness material as adjacent door frame.
    - c. Construction: Full welded profile.
  - 3. Exposed Finish: Prime.

## 2.4 EXTERIOR STANDARD STEEL DOORS AND FRAMES

- A. Heavy-Duty Doors and Frames: ANSI/SDI A250.8, Level 2; ANSI/SDI A250.4, Level B. At locations as indicated in the Drawings.
1. Doors:
    - a. Type: As indicated in the Door Schedule.
    - b. Thickness: **1-3/4 inches**.
    - c. Face: Metallic-coated steel sheet, minimum thickness of **0.042 inch**, with minimum **A40** coating.
    - d. Edge Construction: Model 2, Seamless.
    - e. Edge Bevel: Provide manufacturer's standard beveled or square edges.
    - f. Top Edge Closures: Close top edges of doors with flush closures of same material as face sheets. Seal joints against water penetration.
    - g. Bottom Edges: Close bottom edges of doors where required for attachment of weather stripping with end closures or channels of same material as face sheets. Provide weep-hole openings in bottoms of exterior doors to permit moisture to escape.
    - h. Core: Manufacturer's standard.
  2. Frames:
    - a. Materials: Metallic-coated steel sheet, minimum thickness of **0.053 inch**, with minimum **A40** coating.
    - b. Construction: Full welded profile.
  3. Exposed Finish: Prime.

## 2.5 FRAME ANCHORS

- A. Jamb Anchors:
1. Type: Anchors of minimum size and type required by applicable door and frame standard, and suitable for performance level indicated.
  2. Quantity: Minimum of three anchors per jamb, with one additional anchor for frames with no floor anchor. Provide one additional anchor for each **24 inches** of frame height above **7 feet**.
  3. Post installed Expansion Anchor: Minimum **3/8-inch-** diameter bolts with expansion shields or inserts, with manufacturer's standard pipe spacer.
- B. Material: ASTM A879/A879M, Commercial Steel (CS), **04Z** coating designation; mill phosphatized.
1. For anchors built into exterior walls, steel sheet complying with ASTM A1008/A1008M or ASTM A1011/A1011M; hot-dip galvanized according to ASTM A153/A153M, Class B.

## 2.6 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A1008/A1008M, Commercial Steel (CS), Type B; suitable for exposed applications.

- B. Hot-Rolled Steel Sheet: ASTM A1011/A1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.
- C. Metallic-Coated Steel Sheet: ASTM A653/A653M, Commercial Steel (CS), Type B.
- D. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A153/A153M.
- E. Power-Actuated Fasteners in Concrete: Fastener system of type suitable for application indicated, fabricated from corrosion-resistant materials, with clips or other accessory devices for attaching hollow-metal frames of type indicated.
- F. Mineral-Fiber Insulation: ASTM C665, Type I (blankets without membrane facing); consisting of fibers manufactured from slag or rock wool; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively; passing ASTM E136 for combustion characteristics.
- G. Glazing: Comply with requirements in Section 08 80 00 "Glazing."

## 2.7 FABRICATION

- A. Hollow-Metal Frames: Fabricate in one piece except where handling and shipping limitations require multiple sections. Where frames are fabricated in sections, provide alignment plates or angles at each joint, fabricated of metal of same or greater thickness as frames.
  - 1. Sidelite Frames: Provide closed tubular members with no visible face seams or joints, fabricated from same material as door frame. Fasten members at crossings and to jambs by welding.
  - 2. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
  - 3. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.
    - a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
    - b. Double-Door Frames: Drill stop in head jamb to receive two door silencers.
- B. Hardware Preparation: Factory prepare hollow-metal doors and frames to receive templated mortised hardware, and electrical wiring; include cutouts, reinforcement, mortising, drilling, and tapping according to ANSI/SDI A250.6, the Door Hardware Schedule, and templates.
  - 1. Reinforce doors and frames to receive non-templated, mortised, and surface-mounted door hardware.
  - 2. Comply with BHMA A156.115 for preparing hollow-metal doors and frames for hardware.
- C. Glazed Lites: Provide stops and moldings around glazed lites where indicated. Form corners of stops and moldings with mitered hairline joints.



1. Provide stops and moldings flush with face of door, and with square stops unless otherwise indicated.
2. Multiple Glazed Lites: Provide fixed and removable stops and moldings so that each glazed lite is capable of being removed independently.
3. Provide fixed frame moldings on outside of exterior and on secure side of interior doors and frames. Provide loose stops and moldings on inside of hollow-metal doors and frames.
4. Coordinate rabbet width between fixed and removable stops with glazing and installation types indicated.
5. Provide stops for installation with countersunk flat- or oval-head machine screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

## 2.8 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
  1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with ANSI/SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces. Touch up factory-applied finishes where spreaders are removed.
- B. Drill and tap doors and frames to receive non-templated, mortised, and surface-mounted door hardware.

### 3.2 INSTALLATION

- A. Install hollow-metal doors and frames plumb, rigid, properly aligned, and securely fastened in place. Comply with approved Shop Drawings and with manufacturer's written instructions.
- B. Hollow-Metal Frames: Comply with ANSI/SDI A250.11 NAAMM-HMMA 840.
  1. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces without damage to completed Work.

SECTION 08 11 13 -  
HOLLOW METAL DOORS  
AND FRAMES

- a. Where frames are fabricated in sections, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces. Touch-up finishes.
- b. Install frames with removable stops located on secure side of opening.
2. Solidly pack mineral-fiber insulation inside frames.
3. Installation Tolerances: Adjust hollow-metal frames to the following tolerances:
  - a. Squareness: Plus or minus **1/16 inch**, measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.
  - b. Alignment: Plus or minus **1/16 inch**, measured at jambs on a horizontal line parallel to plane of wall.
  - c. Twist: Plus or minus **1/16 inch**, measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
  - d. Plumbness: Plus or minus **1/16 inch**, measured at jambs at floor.
- C. Hollow-Metal Doors: Fit and adjust hollow-metal doors accurately in frames, within clearances specified below.
  1. Non-Fire-Rated Steel Doors: Comply with ANSI/SDI A250.8 NAAMM-HMMA 841 and NAAMM-HMMA guide specification indicated.
- D. Glazing: Comply with installation requirements in Section 08 80 00 "Glazing" and with hollow-metal manufacturer's written instructions.

### 3.3 REPAIR

- A. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying, rust-inhibitive primer.
- B. Metallic-Coated Surface Touchup: Clean abraded areas and repair with galvanizing repair paint according to manufacturer's written instructions.
- C. Touchup Painting: Cleaning and touchup painting of abraded areas of paint are specified in painting Sections.

END OF SECTION 08 11 13

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SECTION 08 12 13 - HOLLOW METAL FRAMES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Interior standard steel frames and borrowed lites at interior doors and windows.

- B. Related Requirements:

- 1. Section 08 11 13 "Hollow Metal Doors and Frames" for hollow-metal doors and frames.
  - 2. Section 08 71 00 "Door Hardware" for door hardware for hollow-metal doors.
  - 3. Section 08 80 00 "Glazing."
  - 4. 08 14 16 "Flush Wood Doors."

1.3 DEFINITIONS

- A. Minimum Thickness: Minimum thickness of base metal without coatings according to NAAMM-HMMA 803 or SDI A250.8.

1.4 COORDINATION

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.
- B. Coordinate requirements for installation of door hardware, electrified door hardware, and access control and security systems.

1.5 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, fire-resistance ratings, and finishes.
- B. Shop Drawings: Include the following:
  - 1. Elevations of each frame type.
  - 2. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
  - 3. Locations of reinforcement and preparations for hardware.
  - 4. Details of each different wall opening condition.
  - 5. Details of electrical raceway and preparation for electrified hardware, access control systems, and security systems.
  - 6. Details of anchorages, joints, field splices, and connections.
  - 7. Details of accessories.
  - 8. Details of moldings, removable stops, and glazing.
- C. Samples for Verification:
  - 1. Finishes: For each type of exposed finish required, prepared on Samples of not less than **3 by 5 inches** .
  - 2. Fabrication: Prepare Samples approximately **12 by 12 inches** to demonstrate compliance with requirements for quality of materials and construction. Show profile, corner joint, floor and wall anchors, and silencers.
- D. Product Schedule: For hollow-metal frames, prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final door hardware schedule.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver hollow-metal frames palletized, packaged, or crated to provide protection during transit and Project-site storage. Do not use nonvented plastic.
  - 1. Provide additional protection to prevent damage to factory-finished units.
- B. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.
- C. Store hollow-metal frames vertically under cover at Project site with head up. Place on minimum **4-inch**- high wood blocking. Provide minimum **1/4-inch** space between each stacked door to permit air circulation.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Ceco Door; ASSA ABLOY.
  2. Curries Company; ASSA ABLOY.
  3. Republic Doors and Frames.
  4. Steelcraft; an Allegion brand.

### 2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Assemblies: Assemblies complying with NFPA 80 that are listed and labeled by a qualified testing agency acceptable to authorities having jurisdiction for fire-protection ratings indicated on Drawings, based on testing at positive pressure according to NFPA 252 or UL 10C.
1. Smoke- and Draft-Control Assemblies: Listed and labeled for smoke and draft control by a qualified testing agency acceptable to authorities having jurisdiction, based on testing according to UL 1784 and installed in compliance with NFPA 105.

### 2.3 STANDARD STEEL FRAMES

- A. Construct hollow-metal frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Interior Frames: SDI A250.8. As indicated in Drawings .
1. Materials: Metallic-coated steel sheet, minimum thickness of **0.053 inch** .
  2. Sidelite Frames: Fabricated from same thickness material as adjacent door frame.
  3. Construction: Knocked down .
  4. Exposed Finish: Prime .

### 2.4 FRAME ANCHORS

- A. Jamb Anchors:
1. Type: Anchors of minimum size and type required by applicable door and frame standard, and suitable for performance level indicated.
  2. Quantity: Minimum of three anchors per jamb, with one additional anchor for frames with no floor anchor. Provide one additional anchor for each **24 inches** of frame height above **7 feet** .

3. Postinstalled Expansion Anchor: Minimum **3/8-inch-** diameter bolts with expansion shields or inserts, with manufacturer's standard pipe spacer.
- B. Material: ASTM A 879/A 879M, Commercial Steel (CS), **04Z** coating designation; mill phosphatized.
  1. For anchors built into exterior walls, steel sheet complying with ASTM A 1008/A 1008M or ASTM A 1011/A 1011M; hot-dip galvanized according to ASTM A 153/A 153M, Class B.

## 2.5 MATERIALS

- A. Cold-Rolled Steel Sheet: ASTM A 1008/A 1008M, Commercial Steel (CS), Type B; suitable for exposed applications.
- B. Hot-Rolled Steel Sheet: ASTM A 1011/A 1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.
- C. Metallic-Coated Steel Sheet: ASTM A 653/A 653M, Commercial Steel (CS), Type B.
- D. Inserts, Bolts, and Fasteners: Hot-dip galvanized according to ASTM A 153/A 153M.
- E. Glazing: Comply with requirements in Section 08 80 00 "Glazing."

## 2.6 FABRICATION

- A. Hollow-Metal Frames: Fabricate in one piece except where handling and shipping limitations require multiple sections. Where frames are fabricated in sections, provide alignment plates or angles at each joint, fabricated of metal of same or greater thickness as frames.
  1. Sidelite Frames: Provide closed tubular members with no visible face seams or joints, fabricated from same material as door frame. Fasten members at crossings and to jambs by welding.
  2. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
  3. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.
    - a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
- B. Hardware Preparation: Factory prepare hollow-metal frames to receive templated mortised hardware, and electrical wiring; include cutouts, reinforcement, mortising, drilling, and tapping according to SDI A250.6, the Door Hardware Schedule, and templates.
  1. Reinforce frames to receive nontemplated, mortised, and surface-mounted door hardware.
  2. Comply with BHMA A156.115 for preparing hollow-metal frames for hardware.

- C. Glazed Lites: Provide stops and moldings around glazed lites where indicated. Form corners of stops and moldings with mitered hairline joints.
  - 1. Multiple Glazed Lites: Provide fixed and removable stops and moldings so that each glazed lite is capable of being removed independently.
  - 2. Provide fixed frame moldings on outside of exterior and on secure side of interior frames. Provide loose stops and moldings on inside of hollow-metal frames.
  - 3. Coordinate rabbet width between fixed and removable stops with glazing and installation types indicated.
  - 4. Provide stops for installation with countersunk flat- or oval-head machine screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

## 2.7 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
  - 1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces. Touch up factory-applied finishes where spreaders are removed.
- B. Drill and tap frames to receive nontemplated, mortised, and surface-mounted door hardware.

### 3.2 INSTALLATION

- A. General: Install hollow-metal frames plumb, rigid, properly aligned, and securely fastened in place. Comply with approved Shop Drawings and with manufacturer's written instructions. Comply with SDI A250.11 NAAMM-HMMA 840.
- B. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces without damage to completed Work.
  - 1. Where frames are fabricated in sections, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces. Touch-up finishes.



2. Install frames with removable stops located on secure side of opening.
- C. Fire-Rated Openings: Install frames according to NFPA 80.
- D. Solidly pack mineral-fiber insulation inside frames.
- E. Installation Tolerances: Adjust hollow-metal frames to the following tolerances:
  1. Squareness: Plus or minus **1/16 inch** , measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.
  2. Alignment: Plus or minus **1/16 inch** , measured at jambs on a horizontal line parallel to plane of wall.
  3. Twist: Plus or minus **1/16 inch** , measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
  4. Plumbness: Plus or minus **1/16 inch** , measured at jambs at floor.
- F. Glazing: Comply with installation requirements in Section 08 80 00 "Glazing" and with hollow-metal manufacturer's written instructions.
- G. Flush Wood Doors: Comply with installation requirements in Section 08 14 16 and with hollow-metal manufacturer's written instructions.

### 3.3 CLEANING AND TOUCHUP

- A. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying, rust-inhibitive primer.
- B. Metallic-Coated Surface Touchup: Clean abraded areas and repair with galvanizing repair paint according to manufacturer's written instructions.
- C. Touchup Painting: Cleaning and touchup painting of abraded areas of paint are specified in painting Sections.

END OF SECTION 08 12 13

SECTION 08 12 16 - ALUMINUM FRAMES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Interior aluminum frames for doors installed in gypsum board partitions.
  - 2. Interior aluminum frames for glazing installed in gypsum board partitions.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: For aluminum frames:
  - 1. Include elevations, sections, and installation details for each wall-opening condition.
  - 2. Include details for each frame type, including dimensioned profiles and metal thicknesses.
  - 3. Include locations of reinforcements and preparations for hardware.
  - 4. Include details of anchorages, joints, field splices, connections, and accessories.
  - 5. Include details of moldings, removable stops, and glazing.
- C. Samples: For each exposed product and for each color and texture specified, in manufacturer's standard sizes .
- D. Samples for Initial Selection: For each type of exposed finish.
  - 1. Include Samples of seals, gaskets, and accessories involving color selection.

- E. Samples for Verification: For each type of the following products:

1. Framing Member and Finish: **12 inches** long. Include trim.
2. Corner Fabrication and Finish: **12-by-12-inch-** long, full-size window corner, including full-size sections of extrusions with factory-applied color finish.

#### 1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For aluminum frames to include in maintenance manuals.

#### 1.6 QUALITY ASSURANCE

- A. Installer Qualifications: An installer which has had a successful experience with installation of the same or similiar units required for the project and other projects of similar size and scope.
- B. Manufacturer's Qualifications: A manufacturer capable of providing aluminum frames that meet or exceed performance requirements indicated and of documenting this performance by inclusion of test reports, and calculations.
- C. Mockups: Build mockups to verify selections made under Sample submittals and to demonstrate aesthetic effects and to set quality standards for fabrication and installation.

#### 1.7 WARRANTY

- A. Product shall be free from material defects, in materials and workmanship, for a period of two (2) years from the date of substantial completion.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by the following:
  1. Avalon International Aluminum, LLC, Eagle Series, [www.avalonint.com](http://www.avalonint.com).
  2. C.R. Laurence Co., Inc.; CRL 487 Series, [www.crl-arch.com](http://www.crl-arch.com).
  3. Kawneer Company Inc.; InFrame, [www.kawneer.com](http://www.kawneer.com).

#### 2.2 COMPONENTS

- A. Aluminum Framing: **ASTM B 221**, with alloy and temper required to suit structural and finish requirements, and not less than **0.062 inch** thick.
- B. Glazing Frames: Extruded aluminum, for indicated glass thickness.

- C. Trim: Extruded aluminum, not less than 0.062 inch thick; removable, snap-in casing trim glazing stops and door stops, without exposed fasteners.
  - 1. Trim Style: As indicated on drawings .
- D. Frame and Trim Finish: Clear-anodized aluminum .
  - 1. Color: As selected by Architect from manufacturer's full range .

### 2.3 ACCESSORIES

- A. Fasteners: Aluminum, nonmagnetic, stainless-steel or other noncorrosive metal fasteners compatible with frames, stops, panels, reinforcement plates, hardware, anchors, and other items being fastened.
- B. Door Silencers: Manufacturer's standard continuous mohair, wool pile, or vinyl seals in black color.
- C. Glazing Gaskets: Manufacturer's standard extruded or molded rubber or plastic, to accommodate glazing thickness indicated; in black .
- D. Glass: As specified in Section 08 80 00 "Glazing."

### 2.4 FABRICATION

- A. Provide concealed corner reinforcements and alignment clips for accurately fitted hairline joints at butted and mitered connections.
- B. Factory prepare aluminum frames to receive templated mortised hardware; include cutouts, reinforcements, mortising, drilling, and tapping, according to the Door Hardware Schedule and templates furnished as specified in Section 08 71 00 "Door Hardware."
  - 1. Locate hardware cutouts and reinforcements as required by fire-rated label for assembly.
- C. Fabricate frames for glazing with removable stops to allow glazing replacement without dismantling frame.
  - 1. Locate removable stops on the inside of spaces accessed by keyed doors.
- D. Fabricate components to allow secure installation without exposed fasteners.

### 2.5 GENERAL FINISH REQUIREMENTS

- A. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

2.6 ALUMINUM FINISHES

- A. Clear Anodic Finish: AAMA 611, AA-M12C22A31, Class II, 0.010 mm or thicker.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Verify that wall thickness does not exceed standard tolerances allowed by throat size of indicated aluminum frame.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

- A. Install aluminum frames plumb, rigid, properly aligned, and securely fastened in place; according to manufacturer's written instructions.
- B. Glass: Install glass according to Section 08 80 00 "Glazing" and aluminum-frame manufacturer's written instructions.
- C. Door Hardware: Install according to Section 08 71 00 "Door Hardware" and aluminum-frame manufacturer's written instructions.

3.3 ADJUSTING

- A. Inspect installation, correct misalignments, and tighten loose connections.

3.4 CLEANING

- A. Clean the completed system, inside and out, promptly after installation, exercising care to avoid damage to coatings.
- B. Clean glass immediately after installation. Comply with glass manufacturer's written recommendation for final cleaning and maintenance. Remove nonpermanent labels, and clean surfaces.

END OF SECTION 08 12 16

SECTION 08 14 16 - FLUSH WOOD DOORS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Five-ply flush wood veneer-faced doors for transparent finish.
  - 2. Factory finishing flush wood doors.
  - 3. Factory fitting flush wood doors to frames and factory machining for hardware.
- B. Related Requirements:
  - 1. Section 08 80 00 "Glazing" for glass view panels in flush wood doors.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product, including the following:
  - 1. Door core materials and construction.
  - 2. Door edge construction
  - 3. Door face type and characteristics.
  - 4. Door louvers.
  - 5. Door trim for openings.
  - 6. Door frame construction.
  - 7. Factory-machining criteria.
  - 8. Factory- finishing specifications.
- B. Shop Drawings: Indicate location, size, and hand of each door; elevation of each type of door; construction details not covered in Product Data; and the following:
  - 1. Door schedule indicating door and frame location, type, size, fire protection rating, and swing.

2. Door elevations, dimension and locations of hardware, lite and louver cutouts, and glazing thicknesses.
3. Details of frame for each frame type, including dimensions and profile.
4. Details of electrical raceway and preparation for electrified hardware, access control systems, and security systems.
5. Dimensions and locations of blocking for hardware attachment.
6. Dimensions and locations of mortises and holes for hardware.
7. Clearances and undercuts.
8. Requirements for veneer matching.
9. Doors to be factory finished and application requirements.
10. Apply AWI Quality Certification Program label to Shop Drawings.

C. Samples for Verification:

1. Factory finishes applied to actual door face materials, approximately 8 by 10 inches, for each material and finish. For each wood species and transparent finish, provide set of three Samples showing typical range of color and grain to be expected in finished Work.

1.5 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For special warranty.

1.6 CLOSEOUT SUBMITTALS

- A. Special warranties.
- B. Quality Standard Compliance Certificates: AWI Quality Certification Program certificates.
- C. Record Documents: For fire-rated doors, list of door numbers and applicable room name and number to which door accesses.

1.7 QUALITY ASSURANCE

- A. Manufacturer's Certification: Licensed participant in AWI's Quality Certification Program.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Comply with requirements of referenced standard and manufacturer's written instructions.
- B. Package doors individually in cardboard cartons, and wrap bundles of doors in plastic sheeting.
- C. Mark each door on top and bottom rail with opening number used on Shop Drawings.

1.9 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install doors until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, and HVAC system is operating and maintaining temperature and relative humidity at levels designed for building occupants for the remainder of construction period.
- B. Environmental Limitations: Do not deliver or install doors until building is enclosed and weathertight, wet work is complete, and HVAC system is operating and maintaining temperature between 60 and 90 deg F and relative humidity between 25 and 55 percent during remainder of construction period.

1.10 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace doors that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Delamination of veneer.
    - b. Warping (bow, cup, or twist) more than 1/4 inch in a 42-by-84-inch section.
    - c. Telegraphing of core construction in face veneers exceeding 0.01 inch in a 3-inch span.
  - 2. Warranty shall also include installation and finishing that may be required due to repair or replacement of defective doors.
  - 3. Warranty Period for Solid-Core Interior Doors: Life of installation.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain flush wood doors from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Wood Door Assemblies: Assemblies complying with NFPA 80 that are listed and labeled by a qualified testing agency acceptable to authorities having jurisdiction, for fire-protection ratings indicated on Drawings, based on testing at positive pressure in accordance with UL 10C or NFPA 252.

2.3 FLUSH WOOD DOORS, GENERAL

- A. Quality Standard: In addition to requirements specified, comply with AWI/AWMAC/WI's "Architectural Woodwork Standards." ANSI/WDMA I.S. 1A.



2.4 SOLID-CORE FIVE-PLY FLUSH WOOD VENEER-FACED DOORS FOR  
TRANSPARENT FINISH

A. Interior Doors :

1. **Manufacturers:** Subject to compliance with requirements, provide products by the following:
  - a. Eggers Industries.
  - b. Graham Wood Doors.
  - c. Marshfield Door Systems.
2. Performance Grade: ANSI/WDMA I.S. 1A Heavy Duty .
3. Performance Grade:
  - a. ANSI/WDMA I.S. 1A Heavy Duty unless otherwise indicated on Drawings.
4. ANSI/WDMA I.S. 1A Grade: Custom.
5. Faces: Single-ply wood veneer not less than **1/50 inch** thick.
  - a. Species: Select white maple.
  - b. Cut: Plain sliced (flat sliced) .
  - c. Match between Veneer Leaves: Book match.
  - d. Assembly of Veneer Leaves on Door Faces: Running match.
  - e. Pair and Set Match: Provide for doors hung in same opening or separated only by mullions.
6. Exposed Vertical Edges: Same species as faces - Architectural Woodwork Standards edge Type A .
  - a. Fire-Rated Single Doors: Provide edge construction with intumescent seals concealed by outer stile. Comply with specified requirements for exposed vertical edges.
  - b. Mineral-Core Doors: At hinge stiles, provide laminated-edge construction with improved screw-holding capability and split resistance. Comply with specified requirements for exposed edges.
    - 1) Screw-Holding Capability: **550 lbf** in accordance with WDMA T.M. 10.
7. Core for Fire-Rated Doors: As required to achieve fire-protection rating indicated on Drawings.
  - a. Blocking for Mineral-Core Doors: Provide composite blocking with improved screw-holding capability approved for use in doors of fire-protection ratings indicated on Drawings as needed to eliminate through-bolting hardware.
8. Construction: Five plies, hot-pressed bonded (vertical and horizontal edging is bonded to core), with entire unit abrasive planed before veneering.
9. Provide solid core doors at each location.

2.5 FABRICATION

A. Factory fit doors to suit frame-opening sizes indicated.

1. Comply with clearance requirements of referenced quality standard for fitting unless otherwise indicated.
2. Comply with NFPA 80 requirements for fire-rated doors.

B. Factory machine doors for hardware that is not surface applied.

1. Locate hardware to comply with DHI-WDHS-3.
2. Comply with final hardware schedules, door frame Shop Drawings, ANSI/BHMA-156.115-W, and hardware templates.
3. Coordinate with hardware mortises in metal frames, to verify dimensions and alignment before factory machining.
4. For doors scheduled to receive electrified locksets, provide factory-installed raceway and wiring to accommodate specified hardware.

C. Openings: Factory cut and trim openings through doors.

1. Light Openings: Trim openings with moldings of material and profile indicated.
2. Glazing: Factory install glazing in doors indicated to be factory finished. Comply with applicable requirements in Section 08 80 00 "Glazing."
3. Louvers: Factory install louvers in prepared openings.

## 2.6 FACTORY FINISHING

A. Comply with referenced quality standard for factory finishing.

1. Complete fabrication, including fitting doors for openings and machining for hardware that is not surface applied, before finishing.
2. Finish faces, all four edges, edges of cutouts, and mortises.
3. Stains and fillers may be omitted on top and bottom edges, edges of cutouts, and mortises.

B. Factory finish doors.

C. Transparent Finish:

1. ANSI/WDMA I.S. 1A Grade: Custom.
2. Finish: ANSI/WDMA I.S. 1A TR-6 Catalyzed Polyurethane.
3. Staining: As selected by Architect from manufacturer's full range .
4. Effect: Semifilled finish, produced by applying an additional finish coat to partially fill the wood pores.
5. Sheen: Semigloss.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

A. Examine doors and installed door frames, with Installer present, before hanging doors.

1. Verify that installed frames comply with indicated requirements for type, size, location, and swing characteristics and have been installed with level heads and plumb jambs.
2. Reject doors with defects.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Hardware: For installation, see Section 08 71 00 "Door Hardware."
- B. Install doors to comply with manufacturer's written instructions and referenced quality standard, and as indicated.
- C. Job-Fitted Doors:
  - 1. Align and fit doors in frames with uniform clearances and bevels as indicated below.
    - a. Do not trim stiles and rails in excess of limits set by manufacturer or permitted for fire-rated doors.
  - 2. Machine doors for hardware.
  - 3. Seal edges of doors, edges of cutouts, and mortises after fitting and machining.
  - 4. Clearances:
    - a. Provide **1/8 inch** at heads, jambs, and between pairs of doors.
    - b. Provide **1/8 inch** from bottom of door to top of decorative floor finish or covering unless otherwise indicated on Drawings.
    - c. Where threshold is shown or scheduled, provide **1/4 inch** from bottom of door to top of threshold unless otherwise indicated.
    - d. Comply with NFPA 80 for fire-rated doors.
  - 5. Bevel non-fire-rated doors **1/8 inch in 2 inches** at lock and hinge edges.
  - 6. Bevel fire-rated doors **1/8 inch in 2 inches** at lock edge; trim stiles and rails only to extent permitted by labeling agency.
- D. Factory-Fitted Doors: Align in frames for uniform clearance at each edge.
- E. Factory-Finished Doors: Restore finish before installation if fitting or machining is required at Project site.

### 3.3 ADJUSTING

- A. Operation: Rehang or replace doors that do not swing or operate freely.
- B. Finished Doors: Replace doors that are damaged or that do not comply with requirements. Doors may be repaired or refinished if Work complies with requirements and shows no evidence of repair or refinishing.

END OF SECTION 08 14 16

SECTION 08 31 13 - ACCESS DOORS AND FRAMES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Access doors and frames.
  - 2. Fire-rated access doors and frames.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details , fire ratings, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Product Schedule: For access doors and frames.

1.4 INFORMATIONAL SUBMITTALS

1.5 CLOSEOUT SUBMITTALS

- A. Record Documents: For fire-rated doors, list of applicable room name and number in which access door is located.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Access Doors and Frames: Assemblies complying with NFPA 80 that are listed and labeled by a qualified testing agency, for fire-protection and temperature-rise limit ratings indicated, according to NFPA 252 or UL 10B.

## 2.2 ACCESS DOORS AND FRAMES

- A. Flush Access Doors with Concealed Flanges (At restrooms and toilets used to access plumbing) :
1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. Acudor Products, Inc.
    - b. Babcock-Davis.
    - c. JL Industries, Inc.; a division of the Activar Construction Products Group.
    - d. Larsens Manufacturing Company.
    - e. Milcor; a division of Hart & Cooley, Inc.
    - f. Nystrom.
  2. Description: Face of door flush with frame; with concealed flange for gypsum board installation and concealed hinge.
  3. Locations: Wall .
  4. Door Size: 16 inches (wide) x 16 inches (high) .
  5. Uncoated Steel Sheet for Door: Nominal **0.060 inch** , 16 gage , factory primed .
  6. Frame Material: Same material and thickness as door .
  7. Latch and Lock: Cam latch, key operated with interior release.

## 2.3 FIRE-RATED ACCESS DOORS AND FRAMES

- A. Fire-Rated, Flush Access Doors with Concealed Flanges (at mechanical room plumbing fixture) :
1. Description: Door face flush with frame, uninsulated; with concealed flange for gypsum board installation, self-closing door, and concealed hinge.
  2. Locations: Wall .
  3. Door Size: 16 inches (wide) x 16 inches (high) .
  4. Fire-Resistance Rating: Not less than 1 hour or as indicated on drawings.
  5. Temperature-Rise Rating: **250 deg F** at the end of 30 minutes.
  6. Uncoated Steel Sheet for Door: Nominal **0.036 inch** , 20 gage , factory primed .
  7. Frame Material: Same material, thickness, and finish as door .
  8. Latch and Lock: Self-closing, self-latching door hardware, operated by key , with interior release.

## 2.4 MATERIALS

- A. Steel Plates, Shapes, and Bars: ASTM A36/A36M.
- B. Steel Sheet: Uncoated or electrolytic zinc coated, ASTM A879/A879M, with cold-rolled steel sheet substrate complying with ASTM A1008/A1008M, Commercial Steel (CS), exposed.
- C. Metallic-Coated Steel Sheet: ASTM A653/A653M, Commercial Steel (CS), Type B; with minimum **G60** or **A60** metallic coating.

- D. Aluminum Extrusions: **ASTM B221**, Alloy 6063.
- E. Aluminum Sheet: **ASTM B209**, alloy and temper recommended by aluminum producer and finisher for type of use and finish indicated.
- F. Frame Anchors: Same material as door face.
- G. Inserts, Bolts, and Anchor Fasteners: Hot-dip galvanized steel according to ASTM A153/A153M or ASTM F2329.

## 2.5 FABRICATION

- A. General: Provide access door and frame assemblies manufactured as integral units ready for installation.
- B. Metal Surfaces: For metal surfaces exposed to view in the completed Work, provide materials with smooth, flat surfaces without blemishes. Do not use materials with exposed pitting, seam marks, roller marks, rolled trade names, or roughness.
- C. Doors and Frames: Grind exposed welds smooth and flush with adjacent surfaces. Furnish mounting holes, attachment devices and fasteners of type required to secure access doors to types of supports indicated.
  - 1. For concealed flanges with drywall bead, provide edge trim for gypsum panels securely attached to perimeter of frames.
- D. Latch and Lock Hardware:
  - 1. Quantity: Furnish number of latches and locks required to hold doors tightly closed.
  - 2. Keys: Furnish two keys per lock and key all locks alike.
- E. Aluminum: After fabrication, apply manufacturer's standard protective coating on aluminum that will come in contact with concrete.

## 2.6 FINISHES

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

- D. Painted Finishes: Comply with coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.
  - 1. Factory Primed: Apply manufacturer's standard, lead- and chromate-free, universal primer immediately after surface preparation and pretreatment.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine substrates for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Comply with manufacturer's written instructions for installing access doors and frames.

#### 3.3 ADJUSTING

- A. Adjust doors and hardware, after installation, for proper operation.

END OF SECTION 08 31 13

PART 1 - GENERAL

1.1 SECTION INCLUDES

A. Alternate Bid #2:

1. General Contractor shall provide all labor, materials, and equipment necessary to complete the installation of Bifold Hangar doors.
2. General Contractor and Hangar Door Manufacturer to coordinate hangar doors and all related requirements including electrical systems for motorized operators, metal building systems and structural support framing prior to bid as required.

1.2 RELATED REQUIREMENTS

- A. Section 13 34 19 – Metal Building Systems.
- B. Division 26 – Electrical.

1.3 REFERENCE STANDARDS

- |                      |   |
|----------------------|---|
| A. AISI SG-973,      | Cold Form Design Manual                       |
| B. ASTM A366/A 36M,  | Commercial Steel Sheet, Carbon Cold Rolled    |
| C. ASTM A569/A 569M, | Commercial Steel Sheet and strip Hot Rolled   |
| D. ASTM A36 36M,     | Carbon Structural Steel                       |
| E. NEMA ICS 1,       | Industrial Control and Systems                |
| F. NEMA ICS 6        | Industrial Controls and Systems Enclosures    |
| G. NFPA 70           | National Electric Code                        |
| H. SSPC              | Primers and paint, Gray and Red Oxide primers |

1.4 DESIGN REQUIREMENTS

- A. Single-Source Responsibility: Provide doors, tracks, motors and accessories from one manufacturer for each type of door. Provide secondary components from source acceptable to manufacturer of primary components.
- B. Wind Loads: Design and size components to withstand loads caused by pressure and suction of wind acting normal to plane of wall as calculated in accordance with applicable codes.
- C. The bi-fold door shall be designed to the same loading requirements for live, dead and wind loads as the hangar. The door shall be engineered to resist all anticipated loads without sagging, bowing, or restricting operation.
- D. The building header shall be designed to accommodate horizontal and vertical



building deflections to support the bifold door in all positions with proper lateral bracing.

E. The building door columns shall be of proper design and size to carry all loads and vibrations imposed thereon by the bifold door.

F. Hardware

1. Fastening hardware shall conform to domestic hardware and provide markings to the grade of hardware on the head of the fastener for visual identification.

#### 1.5 SUBMITTALS

A. See Section 01 33 00 – for Submittal Procedures.

B. Product Data: Manufacturer's data sheets on each product to be used, including:

- a. Preparation instructions and recommendations.
- b. Storage and handling requirements and recommendations.
- c. Installation manuals.
- d. Owner's Manual with service information.

C. Shop Drawings: Indicate plans and elevations including opening dimensions and required tolerances, accessories and anchors, jamb details, connection details, anchorage spacing, hardware locations, and installation details.

D. Selection Samples: For each finished product specified, two (2) samples, minimum size 2 inches square, representing actual product, color and patterns.

E. Operation and Maintenance Data.

F. Submit written agreement in manufacturer's standard form signed by manufacturer and installer agreeing to repair or replace defective doors that are warped, twisted, bowed or damaged as a result of defective product.

G. The General Contractor shall provide an integrated design of the entire design of the hangar door system in conformance with the requirements as shown on the drawings and as specified herein. The design shall begin at the top of the rough foundation concrete and shall end at the pre-engineered metal building frame. The design shall include structural members used to support the door system connected to the metal building frame. Design shall include rails, rail drainage, rail de-icing if required, soffit closures, jamb weathering, top guides, electrification of the doors, control system and interlocks.

#### 1.6 QUALITY ASSURANCE

A. Manufacturer's Qualifications: Company specializing in manufacturing products specified in this section with minimum five (5) years documented experience.

- B. Installers Qualifications: Authorized representative of the manufacturer with minimum two years documented experience and/or be a factory trained and authorized installation company.
- C. Products Requiring Electrical Connection: Listed and classified by Underwriters Laboratories, Inc. acceptable to authority having jurisdiction as suitable for purpose specified.

1.7 DELIVERY, STORAGE AND HANDLING:

- A. All materials that are not shop installed shall be placed in dry storage. Structural steel shall be stored on blocking above the earth pavement to prevent damage. Hangar door materials to be checked off on the bill of lading sign off and accepted or noted as missing or damaged.

1.8 PROJECT CONDITIONS

- A. Pre-Installation Conference: Convene a pre-installation conference prior to commencement of field operations, to establish procedures to maintain optimum working conditions and to coordinate this work with related and adjacent work.
- B. Environmental Conditions: Prior to and during installation, environmental conditions shall be in accordance with door manufacturers latest published recommendations for temperature, rain, wind, humidity, ventilation, and illumination.
- C. Site Conditions: Opening shall be free and clear of debris, stored materials, scaffolding, and temporary walls as necessary for installers to perform the installation.

1.9 WARRANTY

- A. Warranty: Provide manufacturer's 2-year warranty against defects in material and workmanship through normal use and service according to maintenance and operation instructions.
- B. Manufacturer warrants the steel frame against rust, in painted non-damaged condition for a period of two (2) years from original purchase. This warranty does not apply to scratched, dented, damaged or corroded areas of the frame.

PART 2 PRODUCTS

2.1 MANUFACTURER

- A. Counterweight Balanced Vertical Lifting Doors: Steel framed two leaf hinged counterbalance vertical lifting door; two horizontal tubular steel framed door panels hinged together; weather lapped at horizontal joint; balanced with counterweights under constant suspension; rising vertically on roller track system fixed to building structure to stack under the lintel in the open position.
1. Basis-of-Design Product: Subject to compliance with requirements, provide S-3000 Foldaway by Renlita, or comparable product by one of the following:
    - a. Diamond Doors.
    - b. Midland Bi-Fold Doors.
    - c. Hi-Fold Door Corporation.
    - d. Or approved equal.
  2. Panel: Welded construction fabricated from rolled hollow section steel members with minimum wall thickness of 0.125 inch. Beams shall be designated for maximum dead load deflection of 1/300th part of the span.
  3. Counter Balancing: Counterweight system with enclosed counterweights suspended by 7/19 flexible multi-strand steel cable with a minimum safety factor of 6:1. Cable shall be guided in steel sheaves with a minimum sheave to cable diameter ratio of 19:1. Sheaves shall be capable of carrying design loads.
  4. Mechanical pin lock fail-safe device that prevents door movement automatically in the event of a counterbalance or lifting device failure. Safety brake shall automatically reset once repairs are completed and be capable of repeated engagement without replacement of brake or components.
  5. Construct steel door sections from carbon steel hot rolled tube complying with ASTM A500 Grade B and ASTM A-36.
  6. Counterweight Covers: Counterweights shall be protected and covered with a removable color-coated, pressed steel sheet.
  7. Manual Operation: In the event electrical operator does not function.
    - a. Provide manual operating handle and safety device to be used to manually open/close the door and to be stored in operating channel when door is in the open position acting as a safety device preventing accidental closure of the door.
    - b. Doors shall be equipped with a keyed slide bar locking device located at lower panel adjacent to operating channel.
  8. Size: As indicated on Drawings.
  9. Locking: Internal slide locks, unless otherwise specified.
  10. Thermal Rating/Performance: U-0.31 (in accordance with current edition of the

International Energy Conservation Code)

11. Metal Finish: All surfaces except working machine parts shall receive SSPC-SP6 abrasive blast cleaning and factory-applied powder coating, AAMA 2604 or better.

12. Finish Color: As selected from manufacturer's full range of colors.

B. Motors:

1. Electric Direct Drive Motor: 1 HP AC motor with 39:1 gear ratio gearbox direct mounted to 1 inch door drive shaft. Motor to be provided with control panel (mounted separately) and is controlled by a touch screen control station, photo eyes and hardware necessary for installation of motor and control panel.
  - a. Primary Speed Reduction: Worm gear-in-oil-bath reducer. Gear ratio is 39:1 with shaft speed of 34 RPM.
  - b. Motor Travel: Motor up and down travel is set digitally in the control panel and door position is established by integrated shaft position encoder.
  - c. Control Station: Touch screen control station will provide up, down and stop function. It is provided as standard and is capable of being mounted into single gang box.
  - d. Primary Entrapment Device: NEMA 4 monitored photo sensor mounted at a maximum of 6 inches above the finished floor. Any object that enters the path of the photo sensors will cause the door to stop within 1 second and reverse to the open position.
  - e. Secondary Entrapment Device: Door controls shall monitor the door operation for obstructions during the close cycle. If amperage increases above set limit, the motor shall stop and reverse direction. The sensitivity is set by a qualified installer during initial commissioning and shall be checked and adjusted annually.
  - f. Operating Temperature: -15 degrees F to 125 degrees F.
  - g. Duty Cycle: 100%, unlimited number of operations per hour.
  - h. Power Requirements: 110 volts AC, Single Phase, 18 amps.
  - i. Battery Backup: Control panels to include battery operation in the event of power outage. Battery will operate entire door system for a minimum of five operations.
2. Construction:
  - a. Gearbox Oil Type: CLP VG680 Mineral Oil (or similar).
  - b. Bearings: Sealed Bearings.
3. Accessories:
  - a. Universal Radio Receiver: Similar to Liftmaster model 850 LM.
    1. Capable of 310 Mhz, 315 Mhz, or 390 Mhz radio transmitters with remotes.

## PART 3 EXECUTION

### 3.1 EXAMINATION

- A. Do not begin installation until the openings have been properly prepared in accordance with architectural drawings and approved shop drawings.
  - a. Verify wall openings are ready to receive work and opening dimensions and tolerances are within specified limits.
  - b. Verify electric power is available if applicable.
- B. If preparation is the responsibility of another installer, notify Architect of unsatisfactory preparation before proceeding.
- C. Notify Architect in writing of deviations from manufacturer's recommended installation tolerances and conditions. Prepare surfaces using the methods recommended by the manufacturer for achieving the best result under the project conditions. Commencement of installation constitutes acceptance of conditions.

### 3.2 INSTALLATION

- A. Install doors in accordance with manufacturer's instructions, approved submittals and in proper relationship with adjacent construction.
  - a. Furnish inserts and anchoring devices suitable for the installation of the units and consistent with the manufacturer's installation requirements. Coordinate delivery with other work to avoid delay.
  - b. Install doors, operating equipment, hardware, seals, stops, anchors, inserts, support and track in accordance with approved shop drawings and manufacturer's printed instructions.
  - c. Coordinate installation with adjacent work to ensure proper clearances and allow for maintenance.
  - d. Anchor assembly to wall construction and building framing without distortion or stress.
  - e. Securely brace door tracks suspended from structure. Secure tracks to structural members only.
  - f. Fit and align door assembly including hardware.
  - g. Test for proper operation and adjust until satisfactory results are obtained.
  - h. Coordinate installation of electrical service. Complete power and control wiring from control panel to components.
  - i. Test for proper operation.

### 3.3 DEMONSTRATION AND TRAINING

- A. Demonstrate operation to owner's personnel. Manufacturer's representative shall instruct Owner's representative in regular maintenance and operation of installed doors.

3.4 CLEANING AND ADJUSTMENT

- A. Lubricate, test and adjust door assembly to smooth operation free from warp, twist, or distortion and is in full contact with weather-stripping. Clean doors, frames and glass. Remove temporary labels and visible markings

3.5 PROTECTION

- A. Do not permit construction traffic through overhead door openings after adjustment and cleaning. Protect installed products until completion of project.
- B. Touch-up, damaged coatings and finishes and repair minor damage before Substantial Completion

END OF SECTION 08 33 30

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SECTION 08 35 19.13 - MULTIPANEL FOLDING WOOD-FRAMED GLASS DOORS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Multipanel folding wood-framed glass doors.

B. Related Requirements:

1. Section 087100 "Door Hardware" for hardware to the extent not specified in this Section.
2. Section 099123 "Interior Painting" for on-site finishing of bare, unfinished, and, factory-primed multipanel folding wood-framed glass doors.

1.2 ACTION SUBMITTALS

A. Product Data:

1. Multipanel folding wood-framed glass doors.

B. Product Data Submittals: For each product.

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for multipanel folding wood-framed glass doors.

C. Shop Drawings:

1. Include plans, elevations, sections, and installation details.
2. Indicate dimensions, configuration of panels, and stacking layout.

D. Samples for Initial Selection: For doors and hardware with factory-applied color finish.

1.3 INFORMATIONAL SUBMITTALS

A. Qualification Data: For Installer, and manufacturer.

B. Product Test Reports: For each multipanel folding wood-framed glass door, for tests performed by manufacturer and witnessed by a qualified testing agency; and for each class and performance grade indicated, tested at AAMA gateway size.

C. Sample Warranty: For manufacturer's special warranty.



1.4 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For multipanel folding wood-framed glass doors to include in maintenance manuals. Include finishes, weather stripping, operable panels, and operating hardware.

1.5 QUALITY ASSURANCE

- A. Installer Qualifications: An installer acceptable to multipanel folding wood-framed glass door manufacturer for installation of units required for this Project.
- B. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
  - 1. Build mockup for multipanel folding wood-framed glass doors, as shown on Drawings.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.6 WARRANTY

- A. Manufacturer's Special Warranty: Manufacturer agrees to repair or replace multipanel folding wood-framed glass doors that fail(s) in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Failure to meet performance requirements.
    - b. Structural failures including excess deflection.
    - c. Faulty operation of movable panels and hardware.
    - d. Deterioration of wood, metals, metal finishes, and other materials beyond normal weathering.
  - 2. Warranty Period:
    - a. Multipanel Folding Wood-Framed Glass Doors: 10 year(s) from date of Substantial Completion.
      - 1) Rollers and Glass Seal Failure: 10 years
      - 2) All Other Components Except Screens: 10 years

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Product Standard: Comply with AAMA/WDMA/CSA 101/I.S.2/A440 for minimum standards of performance, materials, components, accessories, and fabrication unless more stringent requirements are indicated.
- B. Performance Class and Grade: AAMA/WDMA/CSA 101/I.S.2/A440 as follows:
  - 1. Minimum Performance Class: Class CW.
  - 2. Minimum Performance Grade: Grade 35.
- C. Sound Transmission Class (STC): Rated for not less than 37 STC when tested for laboratory sound transmission loss in accordance with ASTM E90 and determined by ASTM E413.

2.2 MULTIPANEL FOLDING WOOD-FRAMED GLASS DOORS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Nana Wall Systems, Inc.; NW Wood 545 or comparable product by one of the following:
  - 1. Kolbe Windows & Doors
  - 2. Panda Windows & Doors
- B. Multipanel Folding Wood-Framed Glass Doors: Provide multipanel folding wood-framed glass doors, designed for interior use, complete with glazing, threshold, flashings, support, and anchorage devices.
  - 1. Application: Interior, inward opening.
  - 2. Stack Storage Configuration: Panels open from center to both sides. Hinge panels together in separate pairs.
- C. Frames and Door Panels: Fabricate from wood components complying with AAMA/WDMA/CSA 101/I.S.2/A440 and indicated requirements. Provide factory-assembled door panels that are reglazable without dismantling panel framing, and factory-assembled frames.
  - 1. Door Panel Design: Narrow-profile design, with 10-inch nominal height bottom rail.
- D. Wood Exterior Finish: Manufacturer's standard factory-applied primer, Manufacturer's standard factory-applied color finish.
  - 1. Wood Species: Pine.
  - 2. Color: As selected by Architect from manufacturer's full range.
- E. Interior Surfaces: Manufacturer's standard factory-applied primer, Manufacturer's

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standard factory-applied color finish.

1. Wood Species: Pine.
2. Color: As selected by Architect from manufacturer's full range.

- F. Wood Components: Manufacturer's standard LVL or fine-grained wood lumber complying with AAMA/WDMA/CSA 101/I.S.2/A440; kiln dried to a moisture content of not more than 12 percent at time of fabrication; free of visible finger joints, blue stain, knots, pitch pockets, and surface checks larger than 1/32 inch deep by 2 inches wide; water-repellent preservative treated.

### 2.3 GLAZING

- A. Glass and Glazing: Manufacturer's standard glazing system that produces weathertight seal. Comply with requirements indicated in Section 088000 "Glazing".

1. Glass: 1/2" Enhanced Laminated Safety Glass – STC 39.
2. Tint: Clear.

### 2.4 HARDWARE

- A. Provide manufacturer's standard hardware, fabricated from a material compatible with door panels and other components, and complying with AAMA 907. Provide hardware designed to smoothly operate, tightly close, and securely lock multipanel folding wood-framed glass doors. Size hardware to accommodate panel weights and dimensions.

- B. Panel Support System: Provide panel support system designed for size, weight, and performance requirements of multipanel folding wood-framed glass doors indicated. Provide carriers with sealed ball bearings.

1. Bottom Supported: Provide carrier system designed to roll on track within threshold, with overhead wheeled guide that engages upper track.
2. Adjustment: Provide panel support system capable of being adjusted for smooth operation and clearances without needing to remove panels from tracks.
3. Threshold Configuration: Extruded-aluminum threshold with flush sill profile.
  - a. Aluminum Finish: Clear anodized.
  - b. Wood Interior Trim: Provide wood trim on interior side of threshold, finished to match panel interior.

- C. Panel Hinges: Stainless steel, multileaf hinge with painted finish to match exterior. Provide integral hangers and guides for hinges that engage panel support system.

- D. Locking System:

1. Primary Entrance Panel: Provide manufacturer's standard keyed multipoint locking device, with lever handles on the interior and exterior that operate dead bolt and concealed top and bottom rods.

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2. Secondary Entrance Panel: Provide two-point locking device that operates concealed top and bottom rods.
3. Panel Pairs: Provide two-point locking device that operates concealed top and bottom rods at each panel pair.
4. Trim Design: As selected from manufacturer's full range.
  - a. Finish: As selected from manufacturer's full range of finishes.
5. Cylinders: Manufacturer's standard.

2.5 ACCESSORIES

- A. Trim: Provide interior and exterior casings, jamb extensions, and other components in material and finish to match door frames.
- B. Fasteners: Noncorrosive and compatible with door members, trim, hardware, anchors, and other components.
  1. Exposed Fasteners: Do not use exposed fasteners to the greatest extent possible. For application of hardware, use fasteners that match finish hardware being fastened.
- C. Anchors, Clips, and Accessories: Provide anchors, clips, and accessories of aluminum, nonmagnetic stainless steel, or zinc-coated steel or iron for multipanel folding wood-framed glass doors, complying with ASTM B456 or ASTM B633 for SC 3 severe service conditions; provide sufficient strength to withstand design pressure indicated.

2.6 FABRICATION

- A. Complete fabrication, assembly, finishing, hardware application, and other work in the factory to greatest extent possible. Disassemble components only as necessary for shipment and installation.
- B. Factory-Glazed Fabrication: Glaze multipanel folding wood-framed glass doors in the factory.

2.7 GENERAL FINISH REQUIREMENTS

- A. Appearance of Finished Work: Noticeable variations in same piece are unacceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

2.8 WOOD FINISHES

- A. Factory-Applied Primer: Provide manufacturer's standard factory-applied prime coat complying with WDMA T.M. 11. on exposed wood surfaces.

- B. Field-Applied Transparent Finish: Transparent finish as selected by Architect.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine openings, substrates, structural support, anchorage, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of Work.
- B. Verify rough opening dimensions, levelness of threshold substrate, and operational clearances.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Comply with Drawings, Shop Drawings, and manufacturer's written instructions for installing multipanel folding wood-framed glass doors, hardware, accessories, and other components.
- B. Install multipanel folding wood-framed glass doors level, plumb, square, true to line; without distortion, warp, or rack of frames and panels, and without impeding thermal movement; anchored securely in place to structural support; and in proper relation to wall flashing, vapor retarders, air barriers, water/weather barriers, and other adjacent construction.
- C. Set threshold members in bed of sealant or with gaskets.
- D. Separate aluminum and other corrodible surfaces from sources of corrosion or electrolytic action at points of contact with other materials.

#### 3.3 ADJUSTING

- A. Adjust hardware for proper alignment, smooth operation, and proper latching without unnecessary force or excessive clearance.
- B. Adjust hardware and operable panels to function smoothly, and lubricate as recommended by manufacturer.

#### 3.4 CLEANING

- A. Clean exposed surfaces immediately after installation. Avoid damaging protective coatings and finishes. Remove nonpermanent labels, excess sealants, glazing materials, dirt, and other substances.

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FOLDING WOOD-FRAMED GLASS DOORS

- B. Remove and replace glass that has been broken, chipped, cracked, abraded, or damaged during construction period.

3.5 PROTECTION

- A. Protect multipanel folding wood-framed glass door surfaces from contact with contaminating substances resulting from construction operations. Remove contaminants immediately in accordance with manufacturer's written instructions.
- B. Refinish or replace folding wood-framed glass doors with damaged finishes.

END OF SECTION 08 35 19.13

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SECTION 08 41 13 - ALUMINUM-FRAMED ENTRANCES AND STOREFRONTS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Aluminum-framed entrance and storefront systems.

1.2 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Construction details, material descriptions, dimensions of individual components and profiles, and finishes.
2. Operating characteristics, electrical characteristics, and furnished accessories.

B. Shop Drawings:

1. Plans, elevations, sections, full-size details, and attachments to other work.
2. Details of provisions for assembly expansion and contraction and for draining moisture occurring within the assembly to the exterior.
3. Full-size isometric details of each type of vertical-to-horizontal intersection of aluminum-framed entrance and storefront systems, showing the following:
  - a. Joinery, including concealed welds.
  - b. Anchorage.
  - c. Expansion provisions.
  - d. Glazing.
  - e. Flashing and drainage.
4. Connection to and continuity with adjacent thermal, weather, air, and vapor barriers.
5. Point-to-point wiring diagrams showing the following:
  - a. Power requirements for each electrically operated door hardware.
  - b. Location and types of switches, signal device, conduit sizes, and number and size of wires.

- C. Samples for Initial Selection: Manufacturer's standard color sheets, showing full range of available colors for each type of exposed finish.



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- D. Entrance Door Hardware Schedule: Prepared by or under supervision of supplier, detailing fabrication and assembly of entrance door hardware, as well as procedures and diagrams. Coordinate final entrance door hardware schedule with doors, frames, and related work to ensure proper size, thickness, hand, function, and finish of entrance door hardware.

1.4 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For aluminum-framed entrance and storefront systems, for tests performed by manufacturer and witnessed by a qualified testing agency.
- B. Source Quality-Control Reports: For aluminum-framed entrance and storefront systems.
- C. Delegated Design Engineer Qualifications: For aluminum-framed entrance and storefront systems.
- D. Sample Warranties: For aluminum-framed entrance and storefront systems.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For aluminum-framed entrance and storefront systems.
- B. Maintenance Data for Structural Sealant: For structural-sealant-glazed storefront. Include ASTM C1401 recommendations for post-installation-phase quality-control program.

1.6 QUALITY ASSURANCE

- A. Installer Qualifications:
  - 1. Entity that employs installers and supervisors who are trained and approved by manufacturer.
- B. Delegated Design Engineer Qualifications: A professional engineer who is legally qualified to practice in state where Project is located and who is experienced in providing engineering services of the type indicated.
- C. Product Options: Information on Drawings and in Specifications establishes requirements for aesthetic effects and performance characteristics of assemblies. Aesthetic effects are indicated by dimensions, arrangements, alignment, and profiles of components and assemblies as they relate to sightlines, to one another, and to adjoining construction.
  - 1. Do not change intended aesthetic effects, as judged solely by Architect, except with Architect's approval. If changes are proposed, submit comprehensive

explanatory data to Architect for review.

## 1.7 MOCKUPS

- A. Build mockups to set quality standards for materials and execution.
  - 1. Testing to be performed on mockups in accordance with requirements in "Field Quality Control" Article.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Owner specifically approves such deviations by Change Order.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

## 1.8 WARRANTY

- A. Special Warranty: Installer agrees to repair or replace components of aluminum-framed entrance and storefront systems that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures, including but not limited to, excessive deflection.
    - b. Faulty operation of components.
    - c. Noise or vibration created by wind and thermal and structural movements.
    - d. Water penetration through fixed glazing and framing areas..
  - 2. Warranty Period: 10 years from date of Substantial Completion.
- B. Special Finish Warranty, Factory-Applied Finishes: Standard form in which manufacturer agrees to repair finishes or replace aluminum that shows evidence of deterioration of factory-applied finishes within specified warranty period.
  - 1. Deterioration includes, but is not limited to, the following:
    - a. Color fading more than 5 Delta E units when tested in accordance with ASTM D2244.
    - b. Chalking in excess of a No. 8 rating when tested in accordance with ASTM D4214.
    - c. Cracking, checking, peeling, or failure of paint to adhere to bare metal.
  - 2. Warranty Period: 20 years from date of Substantial Completion.
- C. Special Finish Warranty, Anodized Finishes: Standard form in which manufacturer agrees to repair finishes or replace aluminum that shows evidence of deterioration of anodized finishes within specified warranty period.
  - 1. Deterioration includes, but is not limited to, the following:

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- a. Color fading more than 5 Delta E units when tested in accordance with ASTM D 2244.
  - b. Chalking in excess of a No. 8 rating when tested in accordance with ASTM D 4214.
  - c. Cracking, peeling, or chipping.
2. Warranty Period: 10 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain all components of aluminum-framed entrance and storefront system, including framing spandrel panels, venting windows and accessories, from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Engage a qualified professional engineer, as defined in Section 014000 "Quality Requirements," to design aluminum-framed entrance and storefront systems.
- B. General Performance: Comply with performance requirements specified, as determined by testing of aluminum-framed entrance and storefront systems representing those indicated for this Project without failure due to defective manufacture, fabrication, installation, or other defects in construction.
1. Aluminum-framed entrance and storefront systems to withstand movements of supporting structure, including, but not limited to, twist, column shortening, long-term creep, and deflection from uniformly distributed and concentrated live loads.
  2. Failure also includes the following:
    - a. Thermal stresses transferring to building structure.
    - b. Glass breakage.
    - c. Noise or vibration created by wind and thermal and structural movements.
    - d. Loosening or weakening of fasteners, attachments, and other components.
    - e. Failure of operating units.
- C. Structural Loads:
1. Wind Loads: As indicated on Drawings.
  2. Other Design Loads: As indicated on Drawings.
- D. Deflection of Framing Members Supporting Glass: At design wind load, as follows:
1. Deflection Normal to Wall Plane: Limited to 1/175 of length of span of the framing member for lengths of up to 13 feet 6 inches and to 1/240 of length of span of the framing member plus 1/4 inch for lengths greater than 13 feet 6 inches.

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2. Deflection Parallel to Glazing Plane: Limited to amount not exceeding that which reduces glazing bite to less than 75 percent of design dimension and that which reduces edge clearance between framing members and glazing or other fixed components to less than 1/8 inch.
  - a. Operable Units: Provide a minimum 1/16-inch clearance between framing members and operable units.
- E. Structural: Test in accordance with ASTM E330/E330M as follows:
  1. When tested at positive and negative wind-load design pressures, storefront assemblies, including entrance doors, do not evidence deflection exceeding specified limits.
  2. When tested at 150 percent of positive and negative wind-load design pressures, storefront assemblies, including entrance doors and anchorage, do not evidence material failures, structural distress, or permanent deformation of main framing members exceeding 0.2 percent of span.
  3. Test Durations: As required by design wind velocity, but not less than 10 seconds.
- F. Water Penetration under Static Pressure: Test in accordance with ASTM E331 as follows:
  1. No evidence of water penetration through fixed glazing and framing areas, including entrance doors, when tested in accordance with a minimum static-air-pressure differential of 20 percent of positive wind-load design pressure, but not less than 6.24 lbf/sq. ft..
- G. Seismic Performance: Aluminum-framed entrance and storefront systems to withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
  1. Seismic Drift Causing Glass Fallout: Complying with criteria for passing based on building occupancy type when tested in accordance with AAMA 501.6 at design displacement and 1.5 times the design displacement.
- H. Energy Performance: Certified and labeled by manufacturer for energy performance as follows:
  1. Thermal Transmittance (U-factor):
    - a. Fixed Glazing and Framing Areas: U-factor for the system of not more than 0.36 Btu/sq.ft. x h x deg F as determined in accordance with NFRC 100.
    - b. Entrance Doors: U-factor of not more than 0.63 Btu/sq.ft. x h x deg F as determined in accordance with NFRC 100.
    - c. Venting Windows: Whole window U-factor of not more than 0.45 Btu/sq. ft. x h x deg F as determined in accordance with NFRC 100.
  2. Solar Heat-Gain Coefficient (SHGC):
    - a. Fixed Glazing and Framing Areas: SHGC for the system of not more than

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- 0.58 as determined in accordance with NFRC 200.
      - b. Entrance Doors: SHGC of not more than 0.53 as determined in accordance with NFRC 200.
    - 3. Air Leakage:
      - a. Fixed Glazing and Framing Areas: Air leakage for the system of not more than 0.06 cfm/sq. ft. at a static-air-pressure differential of 6.24 lbf/sq. ft. when tested in accordance with ASTM E283.
      - b. Entrance Doors: Air leakage of not more than 1.0 cfm/sq. ft. at a static-air-pressure differential of 1.57 lbf/sq. ft..
      - c. Venting Windows: Whole window air leakage of not more than 0.3 cfm/sq. ft. at a static-air-pressure differential of 6.24 lbf/sq. ft. when tested in accordance with AAMA/WDMA/CSA 101/I.S.2/A440.
    - I. Thermal Movements: Allow for thermal movements resulting from ambient and surface temperature changes.
      - 1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.
    - J. Structural-Sealant Joints:
      - 1. Designed to carry gravity loads of glazing.
    - K. Structural Sealant: ASTM C1184. Capable of withstanding tensile and shear stresses imposed by structural-sealant-glazed, aluminum-framed entrance and storefront systems without failing adhesively or cohesively. When tested for preconstruction adhesion and compatibility, cohesive failure of sealant to occur before adhesive failure.
      - 1. Adhesive failure occurs when sealant pulls away from substrate cleanly, leaving no sealant material behind.
      - 2. Cohesive failure occurs when sealant breaks or tears within itself but does not separate from each substrate, because sealant-to-substrate bond strength exceeds sealant's internal strength.
- 2.3 ALUMINUM-FRAMED ENTRANCE AND STOREFRONT SYSTEMS
- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - 1. EFCO Corporation
    - 2. Kawneer Company, Inc.; Arconic Corporation
    - 3. Tubelite Inc.
  - B. Framing Members: Manufacturer's extruded- or formed-aluminum framing members of thickness required and reinforced as required to support imposed loads.
    - 1. Exterior Framing Construction: Thermally broken.
    - 2. Interior Vestibule Framing Construction: Nonthermal.

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3. Glazing System: Retained mechanically with gaskets on four sides.
  4. Glazing Plane: Center.
  5. Finish: .
  6. Fabrication Method: Field-fabricated stick system.
  7. Aluminum: Alloy and temper recommended by manufacturer for type of use and finish indicated.
  8. Steel Reinforcement: As required by manufacturer.
- C. Backer Plates: Manufacturer's standard, continuous backer plates for framing members, if not integral, where framing abuts adjacent construction.
- D. Brackets and Reinforcements: Manufacturer's standard high-strength aluminum with nonstaining, nonferrous shims for aligning system components.
- E. Entrance Doors: Manufacturer's standard glazed entrance doors for manual-swing or automatic operation.
1. Door Construction: 2-inch overall thickness, with minimum 0.188-inch- thick, extruded-aluminum tubular rail and stile members. Mechanically fasten corners with reinforcing brackets that are deeply penetrated and fillet welded or that incorporate concealed tie rods.
    - a. Thermal Construction: Thermally-broken.
  2. Door Design: Wide stile; 5-inch nominal width.
  3. Glazing Stops and Gaskets: Square, snap-on, extruded-aluminum stops and preformed gaskets.
  4. Finish: Match adjacent storefront framing finish.

2.4 ENTRANCE DOOR HARDWARE

- A. Entrance Door Hardware: Hardware not specified in this Section is specified in Section 087100 "Door Hardware."
- B. Designations: Requirements for design, grade, function, finish, quantity, size, and other distinctive qualities of each type of entrance door hardware are indicated in "Entrance Door Hardware Sets" Article. Products are identified by using entrance door hardware designations as follows:
1. Named Manufacturers' Products: Manufacturer and product designation are listed for each door hardware type required for the purpose of establishing minimum requirements. Manufacturers' names are abbreviated in "Entrance Door Hardware Sets" Article.
  2. References to BHMA Standards: Provide products complying with these standards and requirements for description, quality, and function.
- C. Pivot Hinges: BHMA A156.4, Grade 1.
1. Offset-Pivot Hinges: Provide top, bottom, and intermediate offset pivots at each

door leaf.

- D. Strikes: Provide strike with black-plastic dust box for each latch or lock bolt; fabricated for aluminum framing.
- E. Operating Trim: BHMA A156.6.
- F. Removable Mullions: BHMA A156.3 extruded aluminum.
  - 1. When used with panic exit devices, provide keyed removable mullions listed and labeled by a testing and inspecting agency acceptable to authorities having jurisdiction, for panic protection, based on testing in accordance with UL 305. Use only mullions that have been tested with exit devices to be used.
- G. Weather Stripping: Manufacturer's standard replaceable components.
  - 1. Compression Type: Made of ASTM D2000 molded neoprene or ASTM D2287 molded PVC.
  - 2. Sliding Type: AAMA 701/702, made of wool, polypropylene, or nylon woven pile with nylon-fabric or aluminum-strip backing.
- H. Weather Sweeps: Manufacturer's standard exterior-door bottom sweep with concealed fasteners on mounting strip.

## 2.5 GLAZING

- A. Glazing: Comply with Section 088000 "Glazing."
- B. Glazing Gaskets: Manufacturer's standard sealed-corner pressure-glazing system of black, resilient elastomeric glazing gaskets, setting blocks, and shims or spacers.
- C. Glazing Sealants: As recommended by manufacturer.

## 2.6 MATERIALS

- A. Sheet and Plate: ASTM B209.
- B. Extruded Bars, Rods, Profiles, and Tubes: ASTM B221.
- C. Structural Profiles: ASTM B308/B308M.
- D. Steel Reinforcement:
  - 1. Structural Shapes, Plates, and Bars: ASTM A36/A36M.
  - 2. Cold-Rolled Sheet and Strip: ASTM A1008/A1008M.
  - 3. Hot-Rolled Sheet and Strip: ASTM A1011/A1011M.
- E. Steel Reinforcement Primer: Manufacturer's standard zinc-rich, corrosion-resistant

primer complying with SSPC-PS Guide No. 12.00; applied immediately after surface preparation and pretreatment. Select surface preparation methods in accordance with recommendations in SSPC-SP COM, and prepare surfaces in accordance with applicable SSPC standard.

## 2.7 ACCESSORIES

- A. Automatic Door Operators: Section 087113 "Power Door Operators."
- B. Fasteners and Accessories: Manufacturer's standard corrosion-resistant, nonstaining, nonbleeding fasteners and accessories compatible with adjacent materials.
  - 1. Use self-locking devices where fasteners are subject to loosening or turning out from thermal and structural movements, wind loads, or vibration.
  - 2. Reinforce members as required to receive fastener threads.
  - 3. Use exposed fasteners with countersunk Phillips screw heads, finished to match framing system.
- C. Anchors: Three-way adjustable anchors with minimum adjustment of 1 inch that accommodate fabrication and installation tolerances in material and finish compatible with adjoining materials and recommended by manufacturer.
- D. Concealed Flashing: Manufacturer's standard corrosion-resistant, nonstaining, nonbleeding flashing compatible with adjacent materials.
- E. Bituminous Paint: Cold-applied asphalt-mastic paint containing no asbestos, formulated for 30-mil thickness per coat.
- F. Rigid PVC filler.

## 2.8 FABRICATION

- A. Form or extrude aluminum shapes before finishing.
- B. Weld in concealed locations to greatest extent possible to minimize distortion or discoloration of finish. Remove weld spatter and welding oxides from exposed surfaces by descaling or grinding.
- C. Fabricate components that, when assembled, have the following characteristics:
  - 1. Profiles that are sharp, straight, and free of defects or deformations.
  - 2. Accurately fitted joints with ends coped or mitered.
  - 3. Physical and thermal isolation of glazing from framing members.
  - 4. Accommodations for thermal and mechanical movements of glazing and framing to maintain required glazing edge clearances.
  - 5. Fasteners, anchors, and connection devices that are concealed from view to greatest extent possible.



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- D. Mechanically Glazed Framing Members: Fabricate for flush glazing without projecting stops.
- E. Entrance Door Frames: Reinforce as required to support loads imposed by door operation and for installing entrance door hardware.
  - 1. At interior and exterior doors, provide compression weather stripping at fixed stops.
- F. Entrance Doors: Reinforce doors as required for installing entrance door hardware.
  - 1. At pairs of exterior doors, provide sliding-type weather stripping retained in adjustable strip and mortised into door edge.
  - 2. At exterior doors, provide weather sweeps applied to door bottoms.
- G. Entrance Door Hardware Installation: Factory install entrance door hardware to the greatest extent possible. Cut, drill, and tap for factory-installed entrance door hardware before applying finishes.
- H. After fabrication, clearly mark components to identify their locations in Project in accordance with Shop Drawings.

2.9 ALUMINUM FINISHES

- A. High-Performance Organic Finish, Two-Coat PVDF: Fluoropolymer finish complying with AAMA 2605 and containing not less than 70 percent PVDF resin by weight in color coat.
  - 1. Color and Gloss: As selected by Architect from manufacturer's full range.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION OF ALUMINUM-FRAMED ENTRANCE AND STOREFRONT SYSTEMS

- A. Comply with manufacturer's written instructions.
- B. Do not install damaged components.

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- C. Fit joints to produce hairline joints free of burrs and distortion.
- D. Rigidly secure nonmovement joints.
- E. Install anchors with separators and isolators to prevent metal corrosion and electrolytic deterioration and to prevent impeding movement of moving joints.
- F. Seal perimeter and other joints watertight unless otherwise indicated.
- G. Metal Protection:
  - 1. Where aluminum is in contact with dissimilar metals, protect against galvanic action by painting contact surfaces with materials recommended by manufacturer for this purpose or by installing nonconductive spacers.
  - 2. Where aluminum is in contact with concrete or masonry, protect against corrosion by painting contact surfaces with bituminous paint.
- H. Set continuous sill members and flashing in full sealant bed, as specified in Section 079200 "Joint Sealants," to produce weathertight installation.
- I. Install joint filler behind sealant as recommended by sealant manufacturer.
- J. Install components plumb and true in alignment with established lines and grades.
- K. Install operable units level and plumb, securely anchored, and without distortion. Adjust weather-stripping contact and hardware movement to produce proper operation.
- L. Install entrance doors to produce smooth operation and tight fit at contact points.
  - 1. Exterior Doors: Install to produce weathertight enclosure and tight fit at weather stripping.
  - 2. Field-Installed Entrance Door Hardware: Install surface-mounted entrance door hardware in accordance with entrance door hardware manufacturers' written instructions using concealed fasteners to greatest extent possible.
- M. Install glazing as specified in Section 088000 "Glazing."

3.3 ERECTION TOLERANCES

- A. Install aluminum-framed entrance and storefront systems to comply with the following maximum tolerances:
  - 1. Plumb: 1/8 inch in 10 feet; 1/4 inch in 40 feet.
  - 2. Level: 1/8 inch in 20 feet; 1/4 inch in 40 feet.
  - 3. Alignment:
    - a. Where surfaces abut in line or are separated by reveal or protruding element up to 1/2 inch wide, limit offset from true alignment to 1/16 inch.
    - b. Where surfaces are separated by reveal or protruding element from 1/2 to

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- 1 inch wide, limit offset from true alignment to 1/8 inch.
- c. Where surfaces are separated by reveal or protruding element of 1 inch wide or more, limit offset from true alignment to 1/4 inch.
- 4. Location: Limit variation from plane to 1/8 inch in 12 feet; 1/2 inch over total length.

3.4 MAINTENANCE SERVICE

A. Entrance Door Hardware Maintenance:

- 1. Maintenance Tools and Instructions: Furnish a complete set of specialized tools and maintenance instructions as needed for Owner's continued adjustment, maintenance, and removal and replacement of entrance door hardware.
- 2. Initial Maintenance Service: Beginning at Substantial Completion, provide six months' full maintenance by skilled employees of entrance door hardware Installer. Include quarterly preventive maintenance, repair or replacement of worn or defective components, lubrication, cleaning, and adjusting as required for proper entrance door hardware operation at rated speed and capacity. Use parts and supplies that are the same as those used in the manufacture and installation of original equipment.

END OF SECTION 08 41 13

SECTION 08 71 00 - DOOR HARDWARE

PART 1 - GENERAL

1.1 SUMMARY (BASE BID AND ADD. ALT. #2):

A. Section Includes:

1. Hinges.
2. Continuous, gear-type hinges.
3. Mortise locks.
4. Push-pull latches.
5. Electric strikes.
6. Delayed-egress electromagnetic locks.
7. Exit devices and auxiliary items.
8. Lock cylinders.
9. Key control cabinet.
10. Key lock boxes.
11. Operating trim.
12. Surface closers.
13. Wall- and floor-mounted stops.
14. Door gasketing.
15. Thresholds.
16. Metal protective trim units.

B. Related Requirements:

1. Section 081113 "Hollow Metal Doors and Frames" for door silencers provided as part of hollow-metal frames.
2. Section 081213 "Hollow Metal Frames" for door silencers provided as part of hollow-metal frames.
3. Section 083113 "Access Doors and Frames" for access door hardware, including cylinders.
4. Section 084113 "Aluminum-Framed Entrances and Storefronts" for entrance door hardware, except cylinders.
5. Section 087113 "Power Door Operators" for low-energy power operators and low-energy power-assist operators.
6. Section 281000 "Access Control" for coordination of access control system components.

1.2 COORDINATION

A. Installation Templates: Distribute for doors, frames, and other work specified to be factory prepared. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing door hardware to comply with indicated requirements.

B. Security: Coordinate installation of door hardware, keying, and access control with

Owner's security consultant.

- C. Electrical System Roughing-In: Coordinate layout and installation of electrified door hardware with connections to power supplies and building safety and security systems.

### 1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
  - 1. Conference participants must include Installer's Architectural Hardware Consultant.
- B. Keying Conference: Conduct conference at Project site.
  - 1. Conference participants must include Installer's Architectural Hardware Consultant.
  - 2. Incorporate conference decisions into keying schedule after reviewing door hardware keying system, including, but not limited to, the following:
    - a. Flow of traffic and degree of security required.
    - b. Preliminary key system schematic diagram.
    - c. Requirements for key control system.
    - d. Requirements for access control.
    - e. Address for delivery of keys.

### 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: For electrified door hardware.
  - 1. Include diagrams for power, signal, and control wiring.
  - 2. Include details of interface of electrified door hardware and building safety and security systems.
- C. Samples for Initial Selection: For each type of exposed finish.
- D. Door Hardware Schedule: Prepared by or under the supervision of Installer's Architectural Hardware Consultant. Coordinate door hardware schedule with doors, frames, and related work to ensure proper size, thickness, hand, function, and finish of door hardware.
  - 1. Submittal Sequence: Submit door hardware schedule concurrent with submissions of product data, Samples, and Shop Drawings. Coordinate submission of door hardware schedule with scheduling requirements of other work to facilitate the fabrication of other work that is critical in Project construction

- schedule.
2. Format: Use same scheduling sequence and format and use same door numbers as in door hardware schedule in the Contract Documents.
  3. Content: Include the following information:
    - a. Identification number, location, hand, fire rating, size, and material of each door and frame.
    - b. Locations of each door hardware set, cross-referenced to Drawings on floor plans and to door and frame schedule.
    - c. Complete designations, including name and manufacturer, type, style, function, size, quantity, function, and finish of each door hardware product.
    - d. Description of electrified door hardware sequences of operation and interfaces with other building control systems.
    - e. Fastenings and other installation information.
    - f. Explanation of abbreviations, symbols, and designations contained in door hardware schedule.
    - g. Mounting locations for door hardware.
    - h. List of related door devices specified in other Sections for each door and frame.
- E. Keying Schedule: Prepared by or under the supervision of Installer's Architectural Hardware Consultant, detailing Owner's final keying instructions for locks. Include schematic keying diagram and index each key set to unique door designations that are coordinated with the Contract Documents.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer, and, Architectural Hardware Consultant.
- B. Product Certificates: For each type of electrified door hardware.
  1. Certify that door hardware for use on each type and size of labeled fire-rated doors complies with listed fire-rated door assemblies.
- C. Sample Warranty: For special warranty.

#### 1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For each type of door hardware to include in maintenance manuals.
- B. Schedules: Final door hardware, and, keying schedule.

#### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Maintenance Tools and Instructions: Furnish a complete set of specialized tools and maintenance instructions as needed for Owner's continued adjustment, maintenance, and removal and replacement of door hardware.

## 1.8 QUALITY ASSURANCE

- A. Installer Qualifications: Supplier of products and an employer of workers trained and approved by product manufacturers and of an Architectural Hardware Consultant who is available during the course of the Work to consult Contractor, Architect, and Owner about door hardware and keying.
  - 1. Warehousing Facilities: In Project's vicinity.
  - 2. Scheduling Responsibility: Preparation of door hardware and keying schedule.
  - 3. Engineering Responsibility: Preparation of data for electrified door hardware, including Shop Drawings, based on testing and engineering analysis of manufacturer's standard units in assemblies similar to those indicated for this Project.
- B. Architectural Hardware Consultant Qualifications: A person who is experienced in providing consulting services for door hardware installations that are comparable in material, design, and extent to that indicated for this Project and who is currently certified by DHI as an Architectural Hardware Consultant (AHC).

## 1.9 DELIVERY, STORAGE, AND HANDLING

- A. Inventory door hardware on receipt and provide secure lockup for door hardware delivered to Project site.
- B. Tag each item or package separately with identification coordinated with the final door hardware schedule, and include installation instructions, templates, and necessary fasteners with each item or package.
- C. Deliver keys to manufacturer of key control system for subsequent delivery to Owner.

## 1.10 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of door hardware that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures, including excessive deflection, cracking, or breakage.
    - b. Faulty operation of doors and door hardware.
    - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering and use.
  - 2. Warranty Period: Three years from date of Substantial Completion unless otherwise indicated below:
    - a. Exit Devices: Five years from date of Substantial Completion.
    - b. Manual Closers: 10 years from date of Substantial Completion.

## PART 2 - PRODUCTS

## 2.1 SOURCE LIMITATIONS

- A. Obtain each type of door hardware from single manufacturer.

## 2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Door Assemblies: Where fire-rated doors are indicated, provide door hardware complying with NFPA 80 that is listed and labeled by a qualified testing agency, for fire-protection ratings indicated, based on testing at positive pressure in accordance with NFPA 252 or UL 10C.
- B. Smoke- and Draft-Control Door Assemblies: Where smoke- and draft-control door assemblies are required, provide door hardware that complies with requirements of assemblies tested in accordance with UL 1784 and installed in compliance with NFPA 105.
  - 1. Air-Leakage Rate: Maximum air leakage of 0.3 cfm per sq. ft. at the tested pressure differential of 0.3 inch wg of water.
- C. Electrified Door Hardware: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Means of Egress Doors: Latches do not require more than 15 lbf to release the latch. Locks do not require use of a key, tool, or special knowledge for operation.
- E. Accessibility Requirements: For door hardware on doors in an accessible route, comply with the USDOJ's "2010 ADA Standards for Accessible Design", and, Illinois Accessibility Code (Current Version).
  - 1. Provide operating devices that do not require tight grasping, pinching, or twisting of the wrist and that operate with a force of not more than 5 lbf.
  - 2. Comply with the following maximum opening-force requirements:
    - a. Interior, Non-Fire-Rated Hinged Doors: 5 lbf applied perpendicular to door.
    - b. Sliding or Folding Doors: 5 lbf applied parallel to door at latch.
    - c. Fire Doors: Minimum opening force allowable by authorities having jurisdiction.
  - 3. Bevel raised thresholds with a slope of not more than 1:2. Provide thresholds not more than 1/2 inch high.
  - 4. Adjust door closer sweep periods so that, from an open position of 90 degrees, the door will take at least 5 seconds to move to a position of 12 degrees from the latch.
  - 5. Adjust spring hinges so that, from an open position of 70 degrees, the door will take at least 1.5 seconds to move to the closed position.



## 2.3 HINGES

- A. Hinges: ANSI/BHMA A156.1. Provide template-produced hinges for hinges installed on hollow-metal doors and hollow-metal frames.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Hager Companies - Heavy Duty; Exterior: BB1199; Interior: BB1168.
    - b. McKinney Products Company; an ASSA ABLOY Group company - Heavy Duty; Exterior T4A-3786; Interior: T4A-3786.
    - c. Stanley Commercial Hardware: Heavy Duty; Exterior: FBB199, Interior: FBB168.

## 2.4 CONTINUOUS HINGES

- A. Continuous, Gear-Type Hinges: ANSI/BHMA A156.26; minimum 0.120-inch- thick, extruded-aluminum, pinless, geared hinge leaves joined by a continuous extruded-aluminum channel cap; with concealed, self-lubricating thrust bearings. Minimum overall width of 4 inches; fabricated to full height of door and frame and to template screw locations; with components finished after milling and drilling are complete.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Hager Companies
    - b. McKinney Products Company; ASSA ABLOY Accessories and Door Controls Group, Inc.; ASSA ABLOY
    - c. Pemko Manufacturing Company Inc.; ASSA ABLOY Accessories and Door Controls Group, Inc.; ASSA ABLOY

## 2.5 MECHANICAL LOCKS AND LATCHES

- A. Lock Functions: As indicated in door hardware schedule.
- B. Lock Throw: Comply with testing requirements for length of bolts required for labeled fire doors, and as follows:
1. Mortise Locks: Minimum 3/4-inch latchbolt throw.
  2. Deadbolts: Minimum 1-inch bolt throw.
- C. Lock Backset: 2-3/4 inches unless otherwise indicated.
- D. Lock Trim:
1. Description: Where trim is required, furnish manufacturer's heavy duty escutcheon trim.
  2. Levers: Cast.

- a. Similar to Sargent, Standard F Lever.
  - 3. Escutcheons (Roses): Cast.
  - 4. Dummy Trim: Match lever lock trim and escutcheons.
- E. Strikes: Provide manufacturer's standard strike for each lock bolt or latchbolt complying with requirements indicated for applicable lock or latch and with strike box and curved lip extended to protect frame; finished to match lock or latch.
- 1. Extra-Long-Lip Strikes: For locks used on frames with applied wood casing trim.
  - 2. Aluminum-Frame Strike Box: Manufacturer's special strike box fabricated for aluminum framing.
- F. Mortise Locks: ANSI/BHMA A156.13, Operational Grade 1; stamped steel case with steel or brass parts; Series 1000.
- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. SARGENT Manufacturing Company: ASSA ABLOY - 8200 Series.
    - b. Schlage - L9000 Series.
    - c. Stanley Best - 40H-UN Series.

## 2.6 ELECTRIC STRIKES

- A. Electric Strikes: ANSI/BHMA A156.31, Grade 1; with faceplate to suit lock and frame.
- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allegion plc
    - b. Hager Companies
    - c. STANLEY; dormakaba USA, Inc.

## 2.7 MAUNAL FLUSH BOLTS

- A. Manual Flush Bolts: ANSI/BHMA A156.16; minimum  $\frac{3}{4}$  inch throw, designated for mortising into door edge.
- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Adams Rite Manufacturing Company, an ASSA ABLOY Group company.
    - b. Allegion plc.
    - c. Trimco.

## 2.8 EXIT DEVICES AND AUXILIARY ITEMS

- A. Exit Devices and Auxiliary Items: ANSI/BHMA A156.3.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. SARGENT Manufacturing Company: ASSA ABLOY - 80 Series.
    - b. Stanley Commercial Hardware - Apex 2000 Series.
    - c. Von Duprin - 35A/98 XP Series.

## 2.9 LOCK CYLINDERS

- A. Lock Cylinders: Tumbler type, constructed from brass or bronze, stainless steel, or nickel silver. Provide cylinder from same manufacturer of locking devices.
- B. Standard Lock Cylinders: ANSI/BHMA A156.5, Grade 1 permanent cores; face finished to match lockset.
  - 1. Core Type: Interchangeable.
- C. Construction Cores: Provide construction cores that are replaceable by permanent cores. Provide 10 construction master keys.

## 2.10 KEYING

- A. Keying System: Factory registered, complying with guidelines in ANSI/BHMA A156.28, appendix. Provide one extra key blank for each lock. Incorporate decisions made in keying conference.
  - 1. Great-Grand Master Key System: Change keys, a master key, a grand master key, and a great-grand master key operate cylinders.
    - a. Provide three cylinder change keys and five each of master, grand master, and great-grand master keys.
  - 2. Keyed Alike: Key all cylinders to same change key.
- B. Keys: Nickel silver.
  - 1. Stamping: Permanently inscribe each key with a visual key control number and include the following notation:
    - a. Notation: Information to be furnished by Owner.

## 2.11 KEY CONTROL SYSTEM

- A. Key Control Cabinet: ANSI/BHMA A156.28; metal cabinet with baked-enamel finish, containing key-holding hooks, labels, two sets of key tags with self-locking key holders, key-gathering envelopes, and temporary and permanent markers; with key capacity of 150 percent of the number of locks.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Lund Equipment Co., Inc
    - b. MMF Industries
    - c. TelKee; Oasis International
  - 2. Wall-Mounted Cabinet: Grade 1 cabinet with hinged-panel door equipped with key-holding panels and pin-tumbler cylinder door lock.
- B. Key Lock Boxes: Designed for storage of 10 keys.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. HPC, a Hudson Lock Company
    - b. Kidde; Carrier Global Corporation
    - c. Knox Company

## 2.12 OPERATING TRIM

- A. Operating Trim: ANSI/BHMA A156.6; stainless steel unless otherwise indicated.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allegion plc - Ives; 8200 (Push) and 8303 (Pull)
    - b. Hager Companies; 30S (Push) and 33E (Pull)
    - c. Trimco; 10011-3 (Push) and 1018-3 (Pull)

## 2.13 ACCESSORIES FOR PAIRS OF DOORS

- A. Coordinators: ANSI/BHMA A156.3; consisting of active-leaf, hold-open lever, and inactive-leaf release trigger; fabricated from steel with nylon-coated strike plates; with built-in, adjustable safety release.
- B. Carry-Open Bars: ANSI/BHMA A156.3; prevent the inactive leaf from opening before the active leaf; provide polished brass or bronze carry-open bars with strike plate for inactive leaves of pairs of doors unless automatic or self-latching bolts are used.
- C. Astragals: ANSI/BHMA A156.22.

- D. Removable Mullions: Provide keyed, aluminum, removable mullions at aluminum, double door frames.

#### 2.14 SURFACE CLOSERS

- A. Surface Closers: ANSI/BHMA A156.4; rack-and-pinion hydraulic type with adjustable sweep and latch speeds controlled by key-operated valves and forged-steel main arm. Comply with manufacturer's written instructions for size of door closers depending on size of door, exposure to weather, and anticipated frequency of use. Provide factory-sized closers, adjustable to meet field conditions and requirements for opening force.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Allegion plc.; LCN Closers 4040 Series.
  - b. Norton Door Controls; an ASSA ABLOY Group company; 7500 Series.

#### 2.15 MECHANICAL STOPS AND HOLDERS

- A. Wall- and Floor-Mounted Stops: ANSI/BHMA A156.16.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Allegion plc.; Ives; Wall Type: WS406/407; Floor Type: FS436.
  - b. Rockwood Manufacturing Company; an ASSA ABLOY Group company
  - c. Rockwood Manufacturing Company; an ASSA ABLOY Group company; Wall Type: 402; Floor Type: 443.
  - d. Trimco; Wall Type: 1270CX/CV Series; Floor Type 1211 Series.

#### 2.16 DOOR GASKETING

- A. Door Gasketing: ANSI/BHMA A156.22; with resilient or flexible seal strips that are easily replaceable and readily available from stocks maintained by manufacturer.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. National Guard Products, Inc.; 103A.
  - b. Pemko Manufacturing Co.; 315.
  - c. Reese Enterprises, Inc.; DS78A

- B. Maximum Air Leakage: When tested in accordance with ASTM E283/E283M with tested pressure differential of 0.3 inch wg, as follows:

1. Smoke-Rated Gasketing: 0.3 cfm/sq. ft. of door opening.
2. Gasketing on Single Doors: 0.3 cfm/sq. ft. of door opening.
3. Gasketing on Double Doors: 0.50 cfm per ft. of door opening.

- C. Acoustical Door Gasketing: BHMA A156.22; with flexible acoustical seal.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allegion plc – Zero; 188S.
    - b. National Guard Products, Inc.; 2525B.
    - c. Pemko; an ASSA ABLOY Group Company; 588.

## 2.17 THRESHOLDS

- A. Thresholds: ANSI/BHMA A156.21; fabricated to full width of opening indicated.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. National Guard Products, Inc.; 896NS.
    - b. Pemko Manufacturing Co.; 2005 Series.
    - c. Reese Enterprises, Inc.; S483 Series.

## 2.18 METAL PROTECTIVE TRIM UNITS

- A. Metal Protective Trim Units: ANSI/BHMA A156.6; fabricated from 0.050-inch- thick stainless steel; with manufacturer's standard machine or self-tapping screw fasteners.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allegion plc
    - b. Rockwood Manufacturing Company; ASSA ABLOY Accessories and Door Controls Group, Inc.; ASSA ABLOY
    - c. Trimco

## 2.19 HANDS-FREE DOOR PULLS

- A. Stainless steel arm pulls mounted above standard pull plate; 1/8-inch-thick stainless steel, projection 4 inches.
  - 1. Manufacturer: Subject to compliance with requirements, provide products by one of the following:
    - a. Rockwood; AP1141.
    - b. Or Approved Equal.

## 2.20 DOOR SWEEPS

- A. Provide door sweeps: Door bottoms shall be clear aluminum finish with neoprene gasket; adjustable.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. National Guard Products, Inc.; 200 NA.
    - b. Pemko Manufacturing Co.; 315 CN.
    - c. Reese Enterprises, Inc.; 323A.

## 2.21 DRIP CAP

- A. Clear finish aluminum rain drip. Mount to metal door frame. Drip shall be full width of metal frame.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. National Guard Products, Inc.; 16A.
    - b. Pemko Manufacturing Co.; 346C.
    - c. Reese Enterprises, Inc.; R201A.

## 2.22 FABRICATION

- A. Base Metals: Produce door hardware units of base metal indicated, fabricated by forming method indicated, using manufacturer's standard metal alloy, composition, temper, and hardness. Furnish metals of a quality equal to or greater than that of specified door hardware units and ANSI/BHMA A156.18.
- B. Fasteners: Provide door hardware manufactured to comply with published templates prepared for machine, wood, and sheet metal screws. Provide screws that comply with commercially recognized industry standards for application intended; however, aluminum fasteners are not permitted. Provide Phillips flat-head screws with finished heads to match surface of door hardware unless otherwise indicated.
  - 1. Concealed Fasteners: For door hardware units that are exposed when door is closed, except for units already specified with concealed fasteners. Do not use through bolts for installation where bolt head or nut on opposite face is exposed unless it is the only means of securely attaching the door hardware. Where through bolts are used on hollow door and frame construction, provide sleeves for each through bolt.
  - 2. Fire-Rated Applications:
    - a. Wood or Machine Screws: For the following:
      - 1) Hinges mortised to doors or frames; use threaded-to-the-head wood screws for wood doors and frames.

- 2) Strike plates to frames.
  - 3) Closers to doors and frames.
- b. Steel Through Bolts: For the following unless door blocking is provided:
- 1) Surface hinges to doors.
  - 2) Closers to doors and frames.
  - 3) Surface-mounted exit devices.
3. Spacers or Sex Bolts: For through bolting of hollow-metal doors.
4. Gasketing Fasteners: Provide noncorrosive fasteners for exterior applications and elsewhere as indicated.

## 2.23 FINISHES

- A. Provide finishes complying with ANSI/BHMA A156.18 as indicated in door hardware schedule.
- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Variations in appearance of abutting or adjacent pieces are acceptable if they are within one-half of the range of approved Samples. Noticeable variations in the same piece are not acceptable. Variations in appearance of other components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine doors and frames, with Installer present, for compliance with requirements for installation tolerances, labeled fire-rated door assembly construction, wall and floor construction, and other conditions affecting performance of the Work.
- B. Examine roughing-in for electrical power systems to verify actual locations of wiring connections before electrified door hardware installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Steel Doors and Frames: For surface-applied door hardware, drill and tap doors and frames in accordance with ANSI/SDI A250.6.
- B. Wood Doors: Comply with door and hardware manufacturers' written instructions.



## 3.3 INSTALLATION OF DOOR HARDWARE

- A. Mounting Heights: Mount door hardware units at heights indicated on Drawings, and to comply with the following unless otherwise indicated or required to comply with governing regulations.
1. Standard Steel Doors and Frames: ANSI/SDI A250.8.
  2. Custom Steel Doors and Frames: HMMA 831.
  3. Wood Doors: DHI's "Recommended Locations for Architectural Hardware for Wood Flush Doors."
- B. Install each door hardware item to comply with manufacturer's written instructions. Where cutting and fitting are required to install door hardware onto or into surfaces that are later to be painted or finished in another way, coordinate removal, storage, and reinstallation of surface protective trim units with finishing work. Do not install surface-mounted items until finishes have been completed on substrates involved.
1. Set units level, plumb, and true to line and location. Adjust and reinforce attachment substrates as necessary for proper installation and operation.
  2. Drill and countersink units that are not factory prepared for anchorage fasteners. Space fasteners and anchors in accordance with industry standards.
- C. Hinges: Install types and in quantities indicated in door hardware schedule, but not fewer than the number recommended by manufacturer for application indicated or one hinge for every 30 inches of door height, whichever is more stringent, unless other equivalent means of support for door, such as spring hinges or pivots, are provided.
- D. Intermediate Offset Pivots: Where offset pivots are indicated, provide intermediate offset pivots in quantities indicated in door hardware schedule, but not fewer than one intermediate offset pivot per door and one additional intermediate offset pivot for every 30 inches of door height greater than 90 inches.
- E. Lock Cylinders: Install construction cores to secure building and areas during construction period.
1. Replace construction cores with permanent cores as indicated in keying schedule.
  2. Furnish permanent cores to Owner for installation.
- F. Key Control System:
1. Key Control Cabinet: Tag keys and place them on markers and hooks in key control system cabinet, as determined by final keying schedule.
  2. Key Lock Boxes: Install where indicated or approved by Architect to provide controlled access for fire and medical emergency personnel.
- G. Boxed Power Supplies: Locate power supplies as indicated or, if not indicated, . Verify location with Architect.
1. Configuration: Provide least number of power supplies required to adequately serve doors with electrified door hardware.

- H. Thresholds: Set thresholds for exterior doors and other doors indicated in full bed of sealant complying with requirements specified in Section 079200 "Joint Sealants."
- I. Stops: Provide floor stops for doors unless wall or other type stops are indicated in door hardware schedule. Do not mount floor stops where they will impede traffic.
- J. Perimeter Gasketing: Apply to head and jamb, forming seal between door and frame.
  - 1. Do not notch perimeter gasketing to install other surface-applied hardware.
- K. Door Bottoms: Apply to bottom of door, forming seal with threshold when door is closed.

### 3.4 FIELD QUALITY CONTROL

- A. Independent Architectural Hardware Consultant: Engage a qualified independent Architectural Hardware Consultant to perform inspections and to prepare inspection reports.
  - 1. Independent Architectural Hardware Consultant will inspect door hardware and state in each report whether installed work complies with or deviates from requirements, including whether door hardware is properly installed and adjusted.

### 3.5 ADJUSTING

- A. Initial Adjustment: Adjust and check each operating item of door hardware and each door to ensure proper operation or function of every unit. Replace units that cannot be adjusted to operate as intended. Adjust door control devices to compensate for final operation of heating and ventilating equipment and to comply with referenced accessibility requirements.
  - 1. Door Closers: Adjust sweep period to comply with accessibility requirements and requirements of authorities having jurisdiction.
  - 2. Electric Strikes: Adjust horizontal and vertical alignment of keeper to properly engage lock bolt.
- B. Occupancy Adjustment: Approximately six months after date of Substantial Completion, Installer's Architectural Hardware Consultant is to examine and readjust each item of door hardware, including adjusting operating forces, as necessary to ensure function of doors, door hardware, and electrified door hardware.

### 3.6 CLEANING AND PROTECTION

- A. Clean adjacent surfaces soiled by door hardware installation.
- B. Clean operating items as necessary to restore proper function and finish.

- C. Provide final protection and maintain conditions that ensure that door hardware is without damage or deterioration at time of Substantial Completion.

### 3.7 MAINTENANCE SERVICE

- A. Maintenance Tools and Instructions: Furnish a complete set of specialized tools and maintenance instructions for Owner's continued adjustment, maintenance, and removal and replacement of door hardware.
- B. Maintenance Service: Beginning at Substantial Completion, maintenance service is to include 12 months' full maintenance by skilled employees of door hardware Installer. Include quarterly preventive maintenance, repair or replacement of worn or defective components, lubrication, cleaning, and adjusting as required for proper door and door hardware operation. Parts and supplies are to be manufacturer's authorized replacement parts and supplies.

### 3.8 DEMONSTRATION

- A. Train Owner's maintenance personnel to adjust, operate, and maintain door hardware.

### 3.9 DOOR HARDWARE SCHEDULE

- A. Hardware Set 1: (Exterior Doors 100A.1, 100D.1, and 102) (Double doors) – each set of doors to have:
  1. Pivot hinges by aluminum door manufacturer.
  2. (2) Rim Exit Devices (Entry Lock F04).
  3. (1) Removable Mullion.
  4. (1) Automatic Door Operator (coordinate door in field) (coordinate actuator button in field) (see specification section 08 71 13 – Power door operators).
  5. (2) Door Closers.
  6. (2) Floor Stops.
  7. (2 sets) Door Gasketing.
  8. (1) Threshold.
  9. (2 sets) Metal Protective Trim Units (kickplates).
  10. (2) Door Sweeps.
- B. Hardware Set 2: (Interior Vestibule Doors 100A.2, and 100D.2) (Double doors) – each set of doors to have:
  1. Pivot hinges by aluminum door manufacturer.
  2. (2) Rim Exit Devices (Passage F01).
  3. (1) Removable Mullion.
  4. (1) Automatic Door Operator (coordinate door in field) (coordinate actuator button location in field) (see specification section 08 71 13 – Power door operators).
  5. (2) Door Closers.
  6. (2) Floor Stops.
  7. (2 sets) Metal Protective Trim Units (kickplates).

- C. Hardware Set 3: (Interior door 102A) (Single door) – each door to have:
1. 1-1/2 pair Butt Hinges.
  2. (1) Mortise Lockset (Entry Lock F04)
  3. (1) Door Closers.
  4. (1) Wall Stops.
  5. (1 set) Metal Protective Trim Units (kickplates).
- D. Hardware Set 4: (Interior doors 103, 104) (Single door) – each door to have:
1. 1-1/2 pair Butt Hinges.
  2. (1) Mortise Lockset (Privacy Lock F02)
  3. (1) Wall Stops.
  4. (1 set) Metal Protective Trim Units (kickplates).
- E. Hardware Set 4A: (Interior doors 105, 106) (Single door) – each door to have:
1. 1-1/2 pair Butt Hinges.
  2. (1) Mortise Lockset (Privacy Lock F02)
  3. (1) Wall Stops.
  4. (1 set) Metal Protective Trim Units (kickplates).
  5. (1) Hands-free Door Pull
- F. Hardware Set 5: (Interior door 106A) (Single door) – each door to have:
1. 1-1/2 pair Butt Hinges.
  2. (1) Mortise Latch (Passage Lock F01)
  3. (1) Floor Stops.
- G. Hardware Set 6: (Interior doors 107, 111, 112, 117, 118, 119A) (Single door) – each door to have:
1. 1-1/2 pair Butt Hinges.
  2. (1) Mortise Lockset (Entry Lock F04)
  3. (1) Wall Stops.
  4. (1 set) Metal Protective Trim Units (kickplates).
- H. Hardware Set 7: (Exterior doors 108, 114B, 119B) (Single door) – each door to have:
1. 1-1/2 pair Butt Hinges.
  2. (1) Rim Exit Device (Entry Lock F04).
  3. (1) Door Closer.
  4. (1) Floor Stops. For future work at 108, 119B.
  5. (1 set) Door Gasketing.
  6. (1) Threshold.
  7. (1 set) Metal Protective Trim Units (kickplates).
  8. (1) Door Sweep.
  9. (1) Drip Cap.

SECTION 08 71 00 DOOR HARDWARE

- I. Hardware Set 8: (Interior doors 110A, 110B, 115, 116) (Single door) – each door to have:
  - 1. (1) Continuous Hinge.
  - 2. (1) Mortise Latch (Passage Lock F01)
  - 3. (1 set) Operating Trim (Push/Pull).
  - 4. (1) Door Closer.
  - 5. (1) Floor Stop at 110A. Wall Stop at 110B, 115, 116.
  - 6. (1 set) Metal Protective Trim Units (kickplates).
  
- J. Hardware Set 9: (Interior doors 110A.1, 110A.2) (Single door) – each door to have:
  - 1. 1-1/2 pair Butt Hinges.
  - 2. (1) Mortise Lockset (Storeroom Lock F07).
  - 3. (1) Floor Stop.
  - 4. (1 set) Metal Protective Trim Units (kickplates).
  
- K. Hardware Set 10: (Interior doors 113, 114A) (Single door) – each door to have:
  - 1. 1-1/2 pair Butt Hinges.
  - 2. (1) Mortise Lockset (Apartment Lock F09).
  - 3. (1) Door Closer.
  - 4. (1) Wall Stop.
  - 5. (1 set) Metal Protective Trim Units (kickplates).
  
- L. Hardware Set 11: (Interior door 112A) (Double doors) – each pair of doors to have:
  - 1. (3 pair) Butt Hinges.
  - 2. (1) Mortise Lockset (Storeroom Lock F07).
  - 3. (1) Floor Stop, (1) Wall Stop.
  - 4. (1 set) Acoustical Door Gasketing.
  - 5. (1 set) Manual Flush Bolt (at inactive door).
  
- M. Hardware Set 12: (Add. Alt. #2) (Exterior doors 120A, 120B, and 120C) – each door to have:
  - 1. 1-1/2 pair Butt Hinges.
  - 2. (1) Rim Exit Device (Entry Lock F04).
  - 3. (1) Door Closer.
  - 4. (1) Floor Stop.
  - 5. (1 set) Door Gasketing.
  - 6. (1) Threshold.
  - 7. (1 set) Metal Protective Trim Units.
  - 8. (1) Door Sweep.
  - 9. (1) Drip Cap.

END OF SECTION 08 71 00

SECTION 08 71 13 - POWER DOOR OPERATORS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Low-energy door operators for swinging doors.

1.3 DEFINITIONS

- A. AAADM: American Association of Automatic Door Manufacturers.
- B. Activation Device: A control that, when actuated, sends an electrical signal to the door operator to open the door.
- C. Double-Egress (Doors): A pair of doors that simultaneously swing, with the two doors moving in opposite directions with no mullion between them.
- D. Double-Swing (Doors): A pair of doors that swing, with the two doors moving in opposite directions with a mullion between them; each door functioning as a single-swing door.
- E. Safety Device: A control that, to avoid injury, prevents a door from opening or closing.
- F. For power door terminology, see BHMA A156.10 and BHMA A156.19 for definitions of terms.

1.4 COORDINATION

- A. Coordinate sizes and locations of recesses in concrete floors for recessed control mats that control power door operators. Concrete, reinforcement, and formwork requirements are specified elsewhere.
- B. Templates: Distribute for doors, frames, and other work specified to be factory prepared and reinforced for installing power door operators.
- C. Coordinate hardware for doors with operators to ensure proper size, thickness, hand, function, and finish.

- D. Electrical System Roughing-in: Coordinate layout and installation of power door operators with connections to the following:
  - 1. Power supplies.
  - 2. Access-control system.
  - 3. Remote activation devices.
  - 4. Remote monitoring systems.

#### 1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for power door operators.
  - 2. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
- B. Shop Drawings: For power door operators.
  - 1. Include plans, elevations, sections, hardware mounting heights, and attachment details.
  - 2. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 3. Indicate locations of activation and safety devices.
  - 4. Include diagrams for power, signal, and control wiring.
- C. Samples: For each exposed product and for each color and texture specified, manufacturer's standard size .

#### 1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer .
- B. Sample Warranties: For manufacturer's special warranties.

#### 1.7 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For power door operators, safety devices, and control systems, to include in maintenance manuals.

#### 1.8 QUALITY ASSURANCE

- A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer for installation and maintenance of units required for this Project.

1.9 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of power door operators that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Faulty or sporadic operation of power door operator, including controls.
    - b. Deterioration of metals, metal finishes, and other materials beyond normal weathering or use.
  - 2. Warranty Period: Two years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by the following:
  - 1. Stanley Access Technologies - M-Force Series automatic door operator.
- B. Source Limitations: Obtain power door operators, including activation and safety devices, from single source from single manufacturer.

2.2 POWER DOOR OPERATORS, GENERAL

- A. General: Provide operators of size recommended by manufacturer for door size, weight, and movement; for condition of exposure; and for long-term, maintenance-free operation under normal traffic load for occupancy type indicated; and in accordance with UL 325. Coordinate operator mechanisms with door operation, hinges, and activation and safety devices.
  - 1. Wind Load: Provide door operators on exterior doors that will open and close doors and maintain them in fully closed position when subjected to wind load .
- B. Electromechanical Operating System: Self-contained unit powered by permanent-magnet dc motor; with closing speed controlled mechanically by gear train and dynamically by braking action of electric motor, connections for power and activation-and safety-device wiring, and manual operation, including spring closing when power is off.
- C. Housing for Overhead Concealed Operators: Fabricated from minimum **0.125-inch**-thick, extruded or formed aluminum and extending full width of door opening, including door jambs, to conceal door operators and controls. Provide hinged or removable access panels for service and adjustment of door operators and controls. Secure panels to prevent unauthorized access.



- D. Cover for Surface-Mounted Operators: Fabricated from **0.125-inch-** thick, extruded or formed aluminum ; manufacturer's standard width ; with enclosed end caps, provision for maintenance access, and fasteners concealed when door is in closed position.
- E. Brackets and Reinforcements: Fabricated from aluminum with nonstaining, nonferrous shims for aligning system components.
- F. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

### 2.3 LOW-ENERGY DOOR OPERATORS FOR SWINGING DOORS

- A. Standard: BHMA A156.19.
- B. Performance Requirements:
  - 1. Opening Force if Power Fails: Not more than **15 lbf** required to release latch if provided, not more than **30 lbf** required to manually set door in motion, and not more than **15 lbf** required to fully open door.
  - 2. Entrapment-Prevention Force: Not more than **15 lbf** required to prevent stopped door from closing or opening.
- C. Configuration: Operator to control single swinging door.
  - 1. Traffic Pattern: One way.
  - 2. Operator Mounting: Surface .
- D. Operation: Power opening and power-assisted spring closing. Provide time delay for door to remain open before initiating closing cycle as required by BHMA A156.19. When not in automatic mode, door operator shall function as manual door closer, with or without electrical power.
- E. Operating System: Electromechanical .
- F. Microprocessor Control Unit: Solid-state controller.
- G. Features:
  - 1. Adjustable opening and closing speed.
  - 2. Adjustable opening and closing force.
  - 3. Adjustable backcheck.
  - 4. Adjustable hold-open time from zero to 30 seconds.
  - 5. Adjustable time delay.
  - 6. Adjustable acceleration.
  - 7. Obstruction recycle.
  - 8. On-off/hold-open switch to control electric power to operator ; key operated.
  - 9. .
- H. Activation Device: Push-plate switch on each side of door to activate door operator.

- I. Exposed Finish: Class II, clear anodic finish .

## 2.4 MATERIALS

- A. Aluminum: Alloy and temper recommended by manufacturer for type of use and finish indicated.
  - 1. Extrusions: **ASTM B221**.
  - 2. Sheet: **ASTM B209**.
- B. Fasteners and Accessories: Corrosion-resistant, nonstaining, nonbleeding fasteners and accessories compatible with adjacent materials.

## 2.5 CONTROLS

- A. General: Provide controls, including activation and safety devices, in accordance with BHMA standards; for condition of exposure; and for long-term, maintenance-free operation under normal traffic load for occupancy type indicated. Coordinate activation and safety devices with door operation and door operator mechanisms.
- B. Presence Sensors: Self-contained, active-infrared scanner units; adjustable to provide detection field sizes and functions required by BHMA A156.10. Sensors shall remain active at all times.
- C. Photoelectric Beams: Pulsed infrared, sender-receiver assembly for recessed mounting. Beams shall not be active when doors are fully closed.
- D. Push-Plate Switch: Momentary-contact door control switch with flat push-plate actuator.
  - 1. Configuration: Square push plate with **4-by-4-inch** junction box.
    - a. Mounting: Surface mounted on wall, coordinate exact location in field.
      - 1) Doors 100B.1 and 100B.2: locate push-plates at exterior and interior locations surface mounted on adjacent walls. Activation of switch to control west leaf of door sets 100B.1 and 100B.2. Doors to open in unison.
      - 2) Doors 100A.1 and 100A.2: locate push-plates at exterior and interior locations, surface mounted on adjacent walls. Activation of switch to control east leaf of door sets 100A1. and 100A.2. Doors to open in unison.
  - 2. Push-Plate Material: as selected by Architect from manufacturer's full range.
  - 3. Message: International symbol of accessibility and "Push to Open."
- E. Wireless or Remote Radio-Control Switch: Radio-control system consisting of header-mounted receiver and wall-mounted transmitter switch.
  - 1. Wall-Mounted Transmitter Switch: One red-button, momentary-contact actuator enclosed in **4-by-4-inch** junction box. Provide blue plastic cover engraved with

"Press Button to Open" in white text and with international symbol of accessibility.

## 2.6 ACCESSORIES

- A. Signage: As required by cited BHMA standard for type of door and its operation.
  - 1. Application Process: Operator manufacturer's standard process .
  - 2. Provide sign materials with instructions for field application when operators are installed.

## 2.7 FABRICATION

- A. Factory fabricate power door operators to comply with indicated standards.
- B. Form aluminum shapes before finishing.
- C. Fabricate exterior components to drain condensation and water-passing joints within operator enclosure to the exterior.
- D. Use concealed fasteners to greatest extent possible. Where exposed fasteners are required, use countersunk Phillips flat-head machine screws, finished to match operator.
- E. Provide metal cladding, completely covering visible surfaces before shipment to Project site. Fabricate cladding with concealed fasteners and connection devices, with accurately fitted joints with ends coped or mitered to produce hairline joints free of burrs and distortion, and with allowance for thermal expansion at exterior doors.

## 2.8 GENERAL FINISH REQUIREMENTS

- A. Protect mechanical finishes on exposed surfaces from damage by applying strippable, temporary, protective covering before shipping.
- B. Apply organic and anodic finishes to formed metal after fabrication unless otherwise indicated.
- C. Appearance of Finished Work: Noticeable variations in same piece are unacceptable. Variations in appearance of adjoining components are acceptable if they are within range of approved Samples and are assembled or installed to minimize contrast.

## 2.9 ALUMINUM FINISHES

- A. Clear Anodic Finish: AAMA 611, AA-M12C22A31, Class II, 0.010 mm or thicker.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine conditions, with Installer present, for compliance with requirements for installation tolerances, door and frame preparation and reinforcements, and other conditions affecting performance of power door operators.
- B. Examine roughing-in for electrical systems to verify actual locations of power connections before power door operator installation.
- C. Verify that full-height finger guards are installed at each door with pivot hinges, where door has a clearance at hinge side greater than **1/4 inch** and less than **3/4 inch** with door in any position.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION, GENERAL

- A. Install power door operators in accordance with manufacturer's written instructions and cited BHMA standard for type of door operation and direction of pedestrian travel, including signage, controls, wiring, remote power units if any, and connection to building's power supply.
  - 1. Do not install damaged components. Fit joints to produce hairline joints free of burrs and distortion.
  - 2. Install operators true in alignment with established lines and door geometry without warp or rack. Anchor securely in place.
- B. Controls: Install activation and safety devices in accordance with manufacturer's written instructions and cited BHMA standard for operator type and direction of pedestrian travel. Connect control wiring in accordance with Section 26 05 19 "Low-Voltage Electrical Power Conductors and Cables."
- C. Access-Control System: Connect operators to access-control system as required.
- D. Signage: Apply on both sides of each door as required by cited BHMA standard for type of door operator and direction of pedestrian travel.

### 3.3 ADJUSTING

- A. Adjust power door operators to function smoothly, and lubricate as recommended by manufacturer; comply with requirements of applicable BHMA standards.
  - 1. Adjust operators on exterior doors for tight closure.
- B. After completing installation of power door operators, inspect exposed finishes on doors and operators. Repair damaged finish to match original finish.

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- C. Readjust power door operators and controls after repeated operation of completed installation equivalent to three days' use by normal traffic (100 to 300 cycles).
- D. Occupancy Adjustment: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to Project during other-than-normal occupancy hours for this purpose.

3.4 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain power door operators.

END OF SECTION 08 71 13

SECTION 08 80 00 - GLAZING

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Glass products.
2. Insulating glass.
3. Glazing tapes.
4. Miscellaneous glazing materials.

B. Related Requirements:

1. Section 08 11 13 "Hollow Metal Doors and Frames" for hollow metal doors to receive glazing.

1.2 DEFINITIONS

- A. Glass Manufacturers: Firms that produce primary glass, fabricated glass, or both, as defined in referenced glazing publications.
- B. Glass Thicknesses: Indicated by thickness designations in millimeters in accordance with ASTM C1036.
- C. IBC: International Building Code.
- D. Interspace: Space between lites of an insulating-glass unit.

1.3 COORDINATION

- A. Coordinate glazing channel dimensions to provide necessary bite on glass, minimum edge and face clearances, and adequate sealant thicknesses, with reasonable tolerances to achieve proper safety margins for glazing retention under each design load case, load case combination, and service condition.

1.4 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Review and finalize construction schedule and verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
2. Review temporary protection requirements for glazing during and after installation.

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Provide structural, physical and environmental characteristics, size limitations, special handling and installation requirements.
- B. Product Data on Glazing Compounds and Accessories.
  - 1. Provide chemical, functional, and environmental characteristics, limitations, special application requirements, and identify available colors.
- C. Glass Samples: For each type of glass product other than clear monolithic vision glass; 12 inches square.
- D. Glazing Schedule: List glass types and thicknesses for each size opening and location. Use same designations indicated on Drawings.

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer manufacturers of fabricated glass units.
- B. Sample Warranties: For special warranties.

1.7 QUALITY ASSURANCE

- A. Fabricated-Glass Manufacturer Qualifications: A qualified manufacturer of fabricated glass units who is approved and certified by primary glass manufacturer.
- B. Installer Qualifications: Company specializing in performing work of the type specified and with at least three years documented experience.
- C. Mockups: Build mockups to demonstrate aesthetic effects and to set quality standards for materials and execution.
  - 1. Install glazing in mockups specified in other specifications sections to match glazing systems required for Project, including glazing methods.
  - 2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Protect glazing materials in accordance with manufacturer's written instructions. Prevent damage to glass and glazing materials from condensation, temperature changes, direct exposure to sun, or other causes.
- B. Comply with insulating-glass manufacturer's written instructions for venting and sealing units to avoid hermetic seal ruptures due to altitude change.

1.9 FIELD CONDITIONS

- A. Environmental Limitations: Do not proceed with glazing when ambient and substrate temperature conditions are outside limits permitted by glazing material manufacturers and when glazing channel substrates are wet from rain, frost, condensation, or other causes.
  - 1. Do not install glazing sealants when ambient and substrate temperature conditions are outside limits permitted by sealant manufacturer or are below 40 deg F.

1.10 WARRANTY

- A. Manufacturer's Special Warranty for Insulating Glass: Manufacturer agrees to replace insulating-glass units that deteriorate within specified warranty period. Deterioration of insulating glass is defined as failure of hermetic seal under normal use that is not attributed to glass breakage or to maintaining and cleaning insulating glass contrary to manufacturer's written instructions. Evidence of failure is obstruction of vision by dust, moisture, or film on interior surfaces of glass.
  - 1. Warranty Period: 10 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations for Glass: Obtain glass from single source from single manufacturer.
- B. Source Limitations for Glazing Accessories: For each product and installation method, obtain from single source from single manufacturer.
- C. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Cardinal Glass Industries; [www.cardinalccorp.com](http://www.cardinalccorp.com).
  - 2. Oldcastle Building Envelope; [www.obe.com](http://www.obe.com).
  - 3. Viracon; [www.viracon.com](http://www.viracon.com).

2.2 PERFORMANCE REQUIREMENTS

- A. General: Installed glazing systems shall withstand normal thermal movement and wind and impact loads (where applicable) without failure, including loss or glass breakage attributable to defective manufacture, fabrication, or installation; failure of sealants or gaskets to remain watertight and airtight; deterioration of glazing materials; or other defects in construction.



- B. Safety Glazing: Where safety glazing is indicated, provide glazing that complies with 16 CFR 1201, Category II.
- C. Thermal and Optical Performance Properties: Provide glass with performance properties specified, as indicated in manufacturer's published test data, based on procedures indicated below:
  - 1. U-Factors: Center-of-glazing values, in accordance with NFRC 100 and based on most current non-beta version of LBL's WINDOW computer program, expressed as Btu/sq. ft. x h x deg F.
  - 2. SHGC and Visible Transmittance: Center-of-glazing values, in accordance with NFRC 200 and based on most current non-beta version of LBL's WINDOW computer program.
  - 3. Visible Reflectance: Center-of-glazing values, in accordance with NFRC 300.

### 2.3 GLASS PRODUCTS, GENERAL

- A. Glazing Publications: Comply with published recommendations of glass product manufacturers and organizations below unless more stringent requirements are indicated. See these publications for glazing terms not otherwise defined in this Section or in referenced standards.
  - 1. NGA Publications: "Glazing Manual."
  - 2. IGMA Publication for Insulating Glass: SIGMA TM-3000, "North American Glazing Guidelines for Sealed Insulating Glass Units for Commercial and Residential Use."
- B. Safety Glazing Labeling: Where safety glazing is indicated, permanently mark glazing with certification label of the SGCC or another certification agency acceptable to authorities having jurisdiction. Label shall indicate manufacturer's name, type of glass, thickness, and safety glazing standard with which glass complies.
- C. Insulating-Glass Certification Program: Permanently marked either on spacers or on at least one component lite of units with appropriate certification label of the IGCC.
- D. Thickness: Where glass thickness is indicated, it is a minimum. Provide glass that complies with performance requirements and is not less than thickness indicated.
- E. Strength: Where annealed float glass is indicated, provide annealed float glass, heat-strengthened float glass, or fully tempered float glass as needed to comply with "Performance Requirements" Article. Where heat-strengthened float glass is indicated, provide heat-strengthened float glass or fully tempered float glass as needed to comply with "Performance Requirements" Article. Where fully tempered float glass is indicated, provide fully tempered float glass.

## 2.4 GLASS PRODUCTS

- A. Fully Tempered Float Glass: ASTM C1048, Kind FT (fully tempered), Condition A (uncoated) unless otherwise indicated, Type I, Class 1 (clear) or Class 2 (tinted) as indicated, Quality-Q3.
1. Fabrication Process: By horizontal (roller-hearth) process with roll-wave distortion parallel to bottom edge of glass as installed unless otherwise indicated.

## 2.5 INSULATING GLASS

- A. Insulating-Glass Units: Factory-assembled units consisting of sealed lites of glass separated by a dehydrated interspace, qualified in accordance with ASTM E2190.
1. Sealing System: Dual seal, with manufacturer's standard primary and secondary sealants.
  2. Perimeter Spacer: Stainless steel.
  3. Desiccant: Molecular sieve or silica gel, or a blend of both.

## 2.6 GLAZING SEALANTS

- A. General:
1. Compatibility: Compatible with one another and with other materials they contact, including glass products, seals of insulating-glass units, and glazing channel substrates, under conditions of service and application, as demonstrated by sealant manufacturer based on testing and field experience.
  2. Suitability: Comply with sealant and glass manufacturers' written instructions for selecting glazing sealants suitable for applications indicated and for conditions existing at time of installation.
  3. Colors of Exposed Glazing Sealants: As selected by Architect from manufacturer's full range of industry colors.
- B. Neutral-Curing Silicone Glazing Sealant, Class 25: Complying with ASTM C920, Type S, Grade NS, Use NT.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Pecora Corporation.
    - b. Sika Corporation.
    - c. Tremco Incorporated.

## 2.7 GLAZING TAPES

- A. Back-Bedding Mastic Glazing Tapes: Preformed, butyl-based, 100 percent solids elastomeric tape; nonstaining and nonmigrating in contact with nonporous surfaces; with or without spacer rod as recommended in writing by tape and glass manufacturers for

application indicated; and complying with ASTM C1281 and AAMA 800 for products indicated below:

1. AAMA 807.3 tape, for glazing applications in which tape is not subject to continuous pressure.

## 2.8 MISCELLANEOUS GLAZING MATERIALS

- A. General: Provide products of material, size, and shape complying with referenced glazing standard, recommended in writing by manufacturers of glass and other glazing materials for application indicated, and with a proven record of compatibility with surfaces contacted in installation.
- B. Cleaners, Primers, and Sealers: Types recommended by sealant or gasket manufacturer.
- C. Setting Blocks:
  1. Silicone with Shore A durometer hardness of 85, plus or minus 5.
  2. Type recommended in writing by sealant or glass manufacturer.
- D. Spacers:
  1. Neoprene blocks or continuous extrusions of hardness required by glass manufacturer to maintain glass lites in place for installation indicated.
  2. Type recommended in writing by sealant or glass manufacturer.

## 2.9 FABRICATION OF GLAZING UNITS

- A. Fabricate glazing units in sizes required to fit openings indicated for Project, with edge and face clearances, edge and surface conditions, and bite complying with written instructions of product manufacturer and referenced glazing publications, to comply with system performance requirements.
  1. Allow for thermal movements from ambient and surface temperature changes acting on glass framing members and glazing components.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine framing, glazing channels, and stops, with Installer present, for compliance with the following:
  1. Manufacturing and installation tolerances, including those for size, squareness, and offsets at corners.
  2. Presence and functioning of weep systems.

3. Minimum required face and edge clearances.
4. Effective sealing between joints of glass-framing members.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Clean glazing channels and other framing members receiving glass immediately before glazing. Remove coatings not firmly bonded to substrates.
- B. Examine glazing units to locate exterior and interior surfaces. Label or mark units as needed so that exterior and interior surfaces are readily identifiable. Do not use materials that leave visible marks in the completed Work.

### 3.3 GLAZING, GENERAL

- A. Comply with combined written instructions of manufacturers of glass, sealants, gaskets, and other glazing materials, unless more stringent requirements are indicated, including those in referenced glazing publications.
- B. Protect glass edges from damage during handling and installation. Remove damaged glass from Project site and legally dispose of off Project site. Damaged glass includes glass with edge damage or other imperfections that, when installed, could weaken glass, impair performance, or impair appearance.
- C. Apply primers to joint surfaces where required for adhesion of sealants, as determined by preconstruction testing.
- D. Install setting blocks in sill rabbets, sized and located to comply with referenced glazing publications, unless otherwise required by glass manufacturer. Set blocks in thin course of compatible sealant suitable for heel bead.
- E. Do not exceed edge pressures stipulated by glass manufacturers for installing glass lites.
- F. Provide spacers for glass lites where length plus width is larger than 50 inches.
  1. Locate spacers directly opposite each other on both inside and outside faces of glass. Install correct size and spacing to preserve required face clearances, unless gaskets and glazing tapes are used that have demonstrated ability to maintain required face clearances and to comply with system performance requirements.
  2. Provide 1/8-inch- minimum bite of spacers on glass and use thickness equal to sealant width. With glazing tape, use thickness slightly less than final compressed thickness of tape.
- G. Provide edge blocking where indicated or needed to prevent glass lites from moving sideways in glazing channel, as recommended in writing by glass manufacturer and in accordance with requirements in referenced glazing publications.

- H. Set glass lites in each series with uniform pattern, draw, bow, and similar characteristics.
- I. Set glass lites with proper orientation so that coatings face exterior or interior as specified.
- J. Where wedge-shaped gaskets are driven into one side of channel to pressurize sealant or gasket on opposite side, provide adequate anchorage so gasket cannot walk out when installation is subjected to movement.
- K. Square cut wedge-shaped gaskets (where used) at corners and install gaskets in a manner recommended by gasket manufacturer to prevent corners from pulling away; seal corner joints and butt joints with sealant recommended in writing by gasket manufacturer.

### 3.4 TAPE GLAZING

- A. Position tapes on fixed stops so that, when compressed by glass, their exposed edges are flush with or protrude slightly above sightline of stops.
- B. Install tapes continuously, but not necessarily in one continuous length. Do not stretch tapes to make them fit opening.
- C. Cover vertical framing joints by applying tapes to heads and sills first, then to jambs. Cover horizontal framing joints by applying tapes to jambs, then to heads and sills.
- D. Place joints in tapes at corners of opening with adjoining lengths butted together, not lapped. Seal joints in tapes with compatible sealant approved by tape manufacturer.
- E. Do not remove release paper from tape until right before each glazing unit is installed.
- F. Apply heel bead of elastomeric sealant (where required).
- G. Center glass lites in openings on setting blocks, and press firmly against tape by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings.
- H. Apply cap bead of elastomeric sealant over exposed edge of tape.

### 3.5 CLEANING AND PROTECTION

- A. Immediately after installation, remove nonpermanent labels and clean surfaces.
- B. Protect glass from contact with contaminating substances resulting from construction operations. Examine glass surfaces adjacent to or below exterior concrete and other masonry surfaces at frequent intervals during construction, but not less than once a month, for buildup of dirt, scum, alkaline deposits, or stains.

1. If, despite such protection, contaminating substances do contact with glass, remove substances immediately as recommended in writing by glass manufacturer. Remove and replace glass that cannot be cleaned without damage to coatings.
- C. Remove and replace glass that is damaged during construction period.
- D. Wash glass on both exposed surfaces not more than four days before date scheduled for inspections that establish date of Substantial Completion. Wash glass as recommended in writing by glass manufacturer.

### 3.6 INSULATING GLASS SCHEDULE

- A. Low-E-Coated, Clear Insulating Glass Type:
  1. Overall Unit Thickness: 1 inch.
  2. Minimum Thickness of Each Glass Lite: 1/4 inch.
  3. Outdoor Lite: Fully tempered float glass.
  4. Interspace Content: Argon.
  5. Indoor Lite: Fully tempered float glass.
  6. Low-E Coating: Sputtered on second surface.
  7. Fixed Fenestration U-Value: U-0.38.
  8. Operable Fenestration U-Value: U-0.45.
  9. Entrance Doors U-Value: U-0.77.
  10. Safety glazing required.

END OF SECTION 08 80 00

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SECTION 09 22 16 - NON-STRUCTURAL METAL FRAMING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Non-load-bearing steel framing systems for interior partitions.
  - 2. Suspension systems for interior ceilings and soffits.
  - 3. Grid suspension systems for gypsum board ceilings.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.4 INFORMATIONAL SUBMITTALS

- A. Evaluation Reports: For embossed, high-strength steel studs and tracks firestop tracks , from ICC-ES or other qualified testing agency acceptable to authorities having jurisdiction.

1.5 QUALITY ASSURANCE

- A. Code-Compliance Certification of Studs and Tracks: Provide documentation that framing members are certified according to the product-certification program of the Certified Steel Stud Association the Steel Framing Industry Association or the Steel Stud Manufacturers Association.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For fire-resistance-rated assemblies that incorporate non-load-bearing steel framing, provide materials and construction identical



to those tested in assembly indicated, according to ASTM E 119 by an independent testing agency.

- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated on Drawings, according to ASTM E 90 and classified according to ASTM E 413 by an independent testing agency.

## 2.2 FRAMING SYSTEMS

- A. Framing Members, General: Comply with ASTM C 754 for conditions indicated.

- 1. Steel Sheet Components: Comply with ASTM C 645 requirements for steel unless otherwise indicated.
- 2. Protective Coating: ASTM A 653/A 653M, G40 , hot-dip galvanized unless otherwise indicated.

- B. Studs and Tracks: ASTM C 645. Use either conventional steel studs and tracks or embossed, high-strength steel studs and tracks.

- 1. Steel Studs and Tracks:
  - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - 1) CEMCO; California Expanded Metal Products Co.
    - 2) ClarkDietrich.
    - 3) MarinoWARE.
    - 4) MBA Building Supplies.
    - 5) MRI Steel Framing, LLC.
    - 6) SCAFCO Steel Stud Company.
    - 7) Steel Construction Systems.
    - 8) The Steel Network, Inc.
  - b. Minimum Base-Steel Thickness: As indicated on Drawings 0.0329 inch .
  - c. Depth: As indicated on Drawings .

- C. Firestop Tracks: Top track manufactured to allow partition heads to expand and contract with movement of structure while maintaining continuity of fire-resistance-rated assembly indicated; in thickness not less than indicated for studs and in width to accommodate depth of studs.

- 1. Products: Subject to compliance with requirements, provide one of the following :
  - a. SCAFCO Steel Stud Company; SCAFCO Slotted Leg Track System.
  - b. Steel Construction Systems; Steel-Con Slotted Leg Track System.
  - c. CEMCO; California Expanded Metal Products Co.; FAS Track.
  - d. ClarkDietrich; BlazeFrame
  - e. The Steel Network, Inc.; VertiTrack VT
  - f. Fire Trak Corp; Fire Trak System attached to studs with Fire Trak Posi Klip
  - g. Perfect Wall, Inc.; The System Slotted Deflection Track

- D. Cold-Rolled Channel Bridging: Steel, 0.0538-inch minimum base-steel thickness, with minimum 1/2-inch- wide flanges.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. ClarkDietrich.
    - b. MarinoWARE.
    - c. SCAFCO Steel Stud Company.
    - d. Steel Construction Systems.
  2. Depth: As indicated on Drawings .
  3. Clip Angle: Not less than 1-1/2 by 1-1/2 inches , 0.068-inch- thick, galvanized steel.
- E. Hat-Shaped, Rigid Furring Channels: ASTM C 645.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. ClarkDietrich.
    - b. MarinoWARE.
    - c. SCAFCO Steel Stud Company.
    - d. Steel Construction Systems.
  2. Minimum Base-Steel Thickness: 0.0329 inch .
  3. Depth: As indicated on Drawings .
- F. Cold-Rolled Furring Channels: 0.053-inch uncoated-steel thickness, with minimum 1/2-inch- wide flanges.
1. Depth: As indicated on Drawings .
  2. Furring Brackets: Adjustable, corrugated-edge-type steel sheet with minimum uncoated-steel thickness of 0.0329 inch .
  3. Tie Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.062-inch-diameter wire, or double strand of 0.048-inch- diameter wire.
- G. Z-Shaped Furring: With slotted or nonslotted web, face flange of 1-1/4 inches , wall attachment flange of 7/8 inch , minimum uncoated-steel thickness of 0.0179 inch , and depth required to fit insulation thickness indicated.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. ClarkDietrich.
    - b. MarinoWARE.
    - c. SCAFCO Steel Stud Company.
    - d. Steel Construction Systems.
- H. Fire-rated Shaft Wall System C-H Steel Studs: 2-1/2" CH studs, 20 ga., 24" O.C.
1. Depth: As indicated on Drawings.
  2. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. ClarkDietrich.
    - b. MarionWARE
    - c. SCAFCO Steel Stud Company

## 2.3 SUSPENSION SYSTEMS

- A. Tie Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.062-inch- diameter wire, or double strand of 0.048-inch- diameter wire.
- B. Wire Hangers: ASTM A 641/A 641M, Class 1 zinc coating, soft temper, 0.16 inch in diameter.
- C. Carrying Channels (Main Runners): Cold-rolled, commercial-steel sheet with a base-steel thickness of 0.0538 inch and minimum 1/2-inch- wide flanges.
  - 1. Depth: 1-1/2 inches .
- D. Furring Channels (Furring Members):
  - 1. Hat-Shaped, Rigid Furring Channels: ASTM C 645, 7/8 inch deep.
    - a. Minimum Base-Steel Thickness: 0.0329 inch .
- E. Grid Suspension System for Gypsum Board Ceilings: ASTM C 645, direct-hung system composed of main beams and cross-furring members that interlock.
  - 1. Products: Subject to compliance with requirements, provide one of the following:
    - a. Armstrong Ceiling & Wall Solutions; Drywall Grid Systems.
    - b. USG Corporation; Drywall Suspension System
    - c. Rockfon (Rockwool International); 640/660 Drywall Ceiling Suspension

## 2.4 AUXILIARY MATERIALS

- A. General: Provide auxiliary materials that comply with referenced installation standards.
  - 1. Fasteners for Steel Framing: Of type, material, size, corrosion resistance, holding power, and other properties required to fasten steel members to substrates.
- B. Isolation Strip at Exterior Walls: Provide one of the following:
  - 1. Asphalt-Saturated Organic Felt: ASTM D 226/D 226M, Type I (No. 15 asphalt felt), nonperforated.
  - 2. Foam Gasket: Adhesive-backed, closed-cell vinyl foam strips that allow fastener penetration without foam displacement, 1/8 inch thick, in width to suit steel stud size.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine areas and substrates, with Installer present, and including welded hollow-metal frames, cast-in anchors, and structural framing, for compliance with requirements and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Suspended Assemblies: Coordinate installation of suspension systems with installation of overhead structure to ensure that inserts and other provisions for anchorages to building structure have been installed to receive hangers at spacing required to support the Work and that hangers will develop their full strength.
  - 1. Furnish concrete inserts and other devices indicated to other trades for installation in advance of time needed for coordination and construction.

### 3.3 INSTALLATION, GENERAL

- A. Installation Standard: ASTM C 754.
  - 1. Gypsum Board Assemblies: Also comply with requirements in ASTM C 840 that apply to framing installation.
- B. Install framing and accessories plumb, square, and true to line, with connections securely fastened.
- C. Install supplementary framing, and blocking to support fixtures, equipment services, heavy trim, grab bars, toilet accessories, furnishings, or similar construction.
- D. Install bracing at terminations in assemblies.
- E. Do not bridge building control and expansion joints with non-load-bearing steel framing members. Frame both sides of joints independently.

### 3.4 INSTALLING FRAMED ASSEMBLIES

- A. Install framing system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
- B. Where studs are installed directly against exterior masonry walls or dissimilar metals at exterior walls, install isolation strip between studs and exterior wall.

- C. Install studs so flanges within framing system point in same direction.
- D. Install tracks at floors and overhead supports. Extend framing full height to structural supports or substrates above suspended ceilings except where partitions are indicated to terminate at suspended ceilings. Continue framing around ducts that penetrate partitions above ceiling.
  - 1. Slip-Type Head Joints: Where framing extends to overhead structural supports, install to produce joints at tops of framing systems that prevent axial loading of finished assemblies.
  - 2. Door Openings: Screw vertical studs at jambs to jamb anchor clips on door frames; install track section (for cripple studs) at head and secure to jamb studs.
    - a. Install two studs at each jamb unless otherwise indicated.
    - b. Install cripple studs at head adjacent to each jamb stud, with a minimum **1/2-inch** clearance from jamb stud to allow for installation of control joint in finished assembly.
  - 3. Other Framed Openings: Frame openings other than door openings the same as required for door openings unless otherwise indicated. Install framing below sills of openings to match framing required above door heads.
  - 4. Fire-Resistance-Rated Partitions: Install framing to comply with fire-resistance-rated assembly indicated and support closures and to make partitions continuous from floor to underside of solid structure.
    - a. Firestop Track: Where indicated, install to maintain continuity of fire-resistance-rated assembly indicated.
  - 5. Sound-Rated Partitions: Install framing to comply with sound-rated assembly indicated.
  - 6. Curved Partitions:
    - a. Bend track to uniform curve and locate straight lengths so they are tangent to arcs.
    - b. Begin and end each arc with a stud, and space intermediate studs equally along arcs. On straight lengths of no fewer than two studs at ends of arcs, place studs **6 inches** o.c.
- E. Direct Furring:
  - 1. Screw to wood framing.
  - 2. Attach to concrete or masonry with stub nails, screws designed for masonry attachment, or powder-driven fasteners spaced **24 inches** o.c.
- F. Installation Tolerance: Install each framing member so fastening surfaces vary not more than **1/8 inch** from the plane formed by faces of adjacent framing.

### 3.5 INSTALLING CEILING SUSPENSION SYSTEMS

- A. Install suspension system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
  - 1. Hangers: **48 inches** o.c.
  - 2. Carrying Channels (Main Runners): **48 inches** o.c.

3. Furring Channels (Furring Members): 16 inches o.c.
- B. Isolate suspension systems from building structure where they abut or are penetrated by building structure to prevent transfer of loading imposed by structural movement.
- C. Suspend hangers from building structure as follows:
1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structural or suspension system.
    - a. Splay hangers only where required to miss obstructions and offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
  2. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with locations of hangers required to support standard suspension system members, install supplemental suspension members and hangers in the form of trapezes or equivalent devices.
    - a. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced installation standards .
  3. Wire Hangers: Secure by looping and wire tying, either directly to structures or to inserts, eye screws, or other devices and fasteners that are secure and appropriate for substrate, and in a manner that will not cause hangers to deteriorate or otherwise fail.
  4. Flat Hangers: Secure to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices and fasteners that are secure and appropriate for structure and hanger, and in a manner that will not cause hangers to deteriorate or otherwise fail.
  5. Do not attach hangers to steel roof deck.
  6. Do not attach hangers to permanent metal forms. Furnish cast-in-place hanger inserts that extend through forms.
  7. Do not attach hangers to rolled-in hanger tabs of composite steel floor deck.
  8. Do not connect or suspend steel framing from ducts, pipes, or conduit.
- D. Fire-Resistance-Rated Assemblies: Wire tie furring channels to supports.
- E. Seismic Bracing: Sway-brace suspension systems with hangers used for support .
- F. Grid Suspension Systems: Attach perimeter wall track or angle where grid suspension systems meet vertical surfaces. Mechanically join main beam and cross-furring members to each other and butt-cut to fit into wall track.
- G. Installation Tolerances: Install suspension systems that are level to within 1/8 inch in 12 feet measured lengthwise on each member that will receive finishes and transversely between parallel members that will receive finishes.

END OF SECTION 09 22 16

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SECTION 09 29 00 - GYPSUM BOARD

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

- 1. Interior gypsum board.
- 2. Acoustically enhanced gypsum board.

B. Related Requirements:

- 1. Section 06 16 00 "Sheathing" for gypsum sheathing for exterior walls.
- 2. Section 09 22 16 "Non-Structural Metal Framing" for non-structural steel framing and suspension systems that support gypsum board panels.

1.3 ACTION SUBMITTALS

A. Product Data: For the following:

- 1. Gypsum wallboard.
- 2. Gypsum board, Type X.
- 3. Gypsum ceiling board.
- 4. Mold-resistant gypsum board.
- 5. Water-resistant gypsum backing board.
- 6. Interior trim.
- 7. Joint treatment materials.
- 8. Laminating adhesive.
- 9. Sound-attenuation blankets.
- 10. Acoustical sealant.

B. Samples: For the following products:

- 1. Trim Accessories: Full-size Sample in **12-inch-** long length for each trim accessory indicated.

C. Samples for Verification: For the following products:



1. Trim Accessories: Full-size Sample in 12-inch- long length for each trim accessory indicated.

#### 1.4 QUALITY ASSURANCE

- A. Mockups: Build mockups of at least 100 sq. ft. in surface area to demonstrate aesthetic effects and to set quality standards for materials and execution.
  1. Build mockups for the following:
    - a. Each level of gypsum board finish indicated for use in exposed locations.
    - b. Each texture finish indicated.
  2. Apply or install final decoration indicated, including painting and wallcoverings, on exposed surfaces for review of mockups.
  3. Simulate finished lighting conditions for review of mockups.
  4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.5 DELIVERY, STORAGE AND HANDLING

- A. Store materials inside under cover and keep them dry and protected against weather, condensation, direct sunlight, construction traffic, and other potential causes of damage. Stack panels flat and supported on risers on a flat platform to prevent sagging.

#### 1.6 FIELD CONDITIONS

- A. Environmental Limitations: Comply with ASTM C840 requirements or gypsum board manufacturer's written instructions, whichever are more stringent.
- B. Do not install paper-faced gypsum panels until installation areas are enclosed and conditioned.
- C. Do not install panels that are wet, moisture damaged, and mold damaged.
  1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Resistance-Rated Assemblies: For fire-resistance-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E119 by an independent testing agency.

- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E90 and classified according to ASTM E413 by an independent testing agency.

## 2.2 GYPSUM BOARD, GENERAL

- A. Size: Provide maximum lengths and widths available that will minimize joints in each area and that correspond with support system indicated.

## 2.3 INTERIOR GYPSUM BOARD

- A. Gypsum Wallboard: ASTM C1396/C1396M.

- 1. **Products:** Subject to compliance with requirements, provide one of the following:
  - a. CertainTeed Gypsum; CertainTeed Regular Gypsum Board.
  - b. National Gypsum Company; Gold Bond Gypsum Board.
  - c. USG Corporation; USG Sheetrock Brand Gypsum Sheathing.
- 2. Thickness: **5/8 inch and as indicated on the drawings.**
- 3. Long Edges: Tapered.

- B. Gypsum Board, Type X: ASTM C1396/C1396M.

- 1. **Products:** Subject to compliance with requirements, provide one of the following:
  - a. CertainTeed Gypsum; CertainTeed Type X Gypsum Board.
  - b. National Gypsum Company; Gold Bond® Fire-Shield® Gypsum Board.
  - c. USG Corporation; USG Sheetrock® Brand Firecode® X Gypsum Panels
- 2. Thickness: **5/8 inch and as indicated on the drawings.**
- 3. Long Edges: Tapered.

- C. Flexible Gypsum Board: ASTM C1396/C1396M. Manufactured to bend to fit radii and to be more flexible than standard regular-type gypsum board of same thickness.

- 1. **Products:** Subject to compliance with requirements, provide one of the following:
  - a. CertainTeed Gypsum; CertainTeed Flex Gypsum Board.
  - b. National Gypsum Company; Gold Bond® High Flex® Gypsum Board.
  - c. USG Corporation; USG Sheetrock® Brand Flexible Gypsum Panels.
- 2. Thickness: **1/4 inch.**
- 3. Long Edges: Tapered.

- D. Gypsum Ceiling Board: ASTM C1396/C1396M.

- 1. **Products:** Subject to compliance with requirements, provide one of the following:
  - a. CertainTeed Gypsum; CertainTeed Interior Ceiling Gypsum Board.
  - b. National Gypsum Company; Gold Bond® Ceiling Board.
  - c. USG Corporation; USG Imperial® Sag-Resistant Interior Ceiling Gypsum Base.
- 2. Thickness: **1/2 inch.**
- 3. Long Edges: Tapered.

- E. Mold-Resistant Gypsum Board: ASTM C1396/C1396M. With moisture- and mold-resistant core and paper surfaces.
1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. USG Corporation; USG Sheetrock® Brand Mold Tough® Firecode® X Panels
    - b. National Gypsum Company; Gold Bond® XP® Fire-Shield® Gypsum Board
    - c. CertainTeed Gypsum; CertainTeed M2Tech Mold and Moisture Resistant Type X Gypsum Board.
  2. Core: As indicated **5/8 inch**, Type X.
  3. Long Edges: Tapered.
  4. Mold Resistance: ASTM D3273, score of 10 as rated according to ASTM D3274.
- F. Acoustically Enhanced Gypsum Board: Installed in concourse.
1. Basis of Design: Subject to compliance with requirements, provide Gold Bond; SoundBreak XP Gypsum Board or comparable product by one of the following:
    - a. USG Corporation.
    - b. CertainTeed Gypsum.
  2. Panel Physical Characteristics:
    - a. Inner layer: Viscoelastic damping polymer.
    - b. Outer layers: Enhanced, high density mold-resistant gypsum core.
  3. Overall thickness: 5/8 inch, Type X.
  4. Long edges: Tapered.
  5. Mold Resistance:
    - a. 10 when tested in accordance with ASTM D 3273.
    - b. 0 when tested in accordance with ASTM G 21.
  6. Surface abrasion resistance: Classification level 3 in accordance with ASTM C 1629.
  7. Indentation Resistance: Classification Level 1 in accordance with ASTM C 1629.
  8. Soft Body Impact Resistance: Classification Level 2 in accordance with ASTM C 1629.
  9. Hard Body Impact Resistance: Level 1 in accordance with ASTM C 1629.
- G. Gypsum Liner Panel: 1 inch thick gypsum liner panel for fire rated shaft construction.
1. Basis of Design: Subject to compliance with requirements, provide USG, Sheetrock Gypsum Liner Panels or compatible product by one of the following:
    - a. CertainTeed Gypsum.
    - b. National Gypsum Company.

## 2.4 TRIM ACCESSORIES

- A. Interior Trim: ASTM C1047.
1. Material: Galvanized or aluminum-coated steel sheet, rolled zinc, plastic, or paper-faced galvanized-steel sheet.
  2. Shapes:
    - a. Cornerbead.
    - b. Bullnose bead.
    - c. LC-Bead: J-shaped; exposed long flange receives joint compound.

- d. L-Bead: L-shaped; exposed long flange receives joint compound.
- e. U-Bead: J-shaped; exposed short flange does not receive joint compound.
- f. Expansion (control) joint.
- g. Curved-Edge Cornerbead: With notched or flexible flanges.

## 2.5 JOINT TREATMENT MATERIALS

- A. General: Comply with ASTM C475/C475M.
- B. Joint Tape:
  - 1. Interior Gypsum Board: Paper.
- C. Joint Compound for Interior Gypsum Board: For each coat, use formulation that is compatible with other compounds applied on previous or for successive coats.
  - 1. Prefilling: At open joints, rounded or beveled panel edges, and damaged surface areas, use setting-type taping compound.
  - 2. Embedding and First Coat: For embedding tape and first coat on joints, fasteners, and trim flanges, use setting-type taping or drying-type, all-purpose compound.
    - a. Use setting-type compound for installing paper-faced metal trim accessories.
  - 3. Fill Coat: For second coat, use setting-type, sandable topping or drying-type, all-purpose compound.
  - 4. Finish Coat: For third coat, use setting-type, sandable topping or drying-type, all-purpose compound.

## 2.6 AUXILIARY MATERIALS

- A. Provide auxiliary materials that comply with referenced installation standards and manufacturer's written instructions.
- B. Laminating Adhesive: Adhesive or joint compound recommended for directly adhering gypsum panels to continuous substrate.
- C. Steel Drill Screws: ASTM C1002 unless otherwise indicated.
- D. Sound-Attenuation Blankets: ASTM C665, Type I (blankets without membrane facing) produced by combining thermosetting resins with mineral fibers manufactured from glass, slag wool, or rock wool.
  - 1. Fire-Resistance-Rated Assemblies: Comply with mineral-fiber requirements of assembly.
- E. Acoustical Sealant: As specified in Section 07 92 19 "Acoustical Joint Sealants."
- F. Thermal Insulation: As specified in Section 07 21 00 "Thermal Insulation."

- G. Vapor Retarder: As specified in Section 07 26 00 "Vapor Retarders."

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine areas and substrates including welded hollow-metal frames and support framing, with Installer present, for compliance with requirements and other conditions affecting performance of the Work.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION AND FINISHING OF PANELS, GENERAL

- A. Comply with ASTM C840.
- B. Install ceiling panels across framing to minimize the number of abutting end joints and to avoid abutting end joints in central area of each ceiling. Stagger abutting end joints of adjacent panels not less than one framing member.
- C. Install panels with face side out. Butt panels together for a light contact at edges and ends with not more than **1/16 inch** of open space between panels. Do not force into place.
- D. Locate edge and end joints over supports, except in ceiling applications where intermediate supports or gypsum board back-blocking is provided behind end joints. Do not place tapered edges against cut edges or ends. Stagger vertical joints on opposite sides of partitions. Do not make joints other than control joints at corners of framed openings.
- E. Form control and expansion joints with space between edges of adjoining gypsum panels.
- F. Cover both faces of support framing with gypsum panels in concealed spaces (above ceilings, etc.), except in chases braced internally.
  - 1. Unless concealed application is indicated or required for sound, fire, air, or smoke ratings, coverage may be accomplished with scraps of not less than **8 sq. ft.** in area.
  - 2. Fit gypsum panels around ducts, pipes, and conduits.
  - 3. Where partitions intersect structural members projecting below underside of floor/roof slabs and decks, cut gypsum panels to fit profile formed by structural members; allow **1/4- to 3/8-inch-** wide joints to install sealant.

- G. Isolate perimeter of gypsum board applied to non-load-bearing partitions at structural abutments. Provide 1/4- to 1/2-inch- wide spaces at these locations and trim edges with edge trim where edges of panels are exposed. Seal joints between edges and abutting structural surfaces with acoustical sealant.
- H. Attachment to Steel Framing: Attach panels so leading edge or end of each panel is attached to open (unsupported) edges of stud flanges first.
- I. STC-Rated Assemblies: Seal construction at perimeters, behind control joints, and at openings and penetrations with a continuous bead of acoustical sealant. Install acoustical sealant at both faces of partitions at perimeters and through penetrations. Comply with ASTM C919 and with manufacturer's written instructions for locating edge trim and closing off sound-flanking paths around or through assemblies, including sealing partitions above acoustical ceilings.
- J. Install sound attenuation blankets before installing gypsum panels unless blankets are readily installed after panels have been installed on one side.

### 3.3 INSTALLATION OF INTERIOR GYPSUM BOARD

- A. Install interior gypsum board in the following locations:
  - 1. Wallboard Type: As indicated on Drawings.
  - 2. Type X: As indicated on Drawings and Where required for fire-resistance-rated assembly.
  - 3. Flexible Type: As indicated on Drawings Apply in double layer at curved assemblies.
  - 4. Ceiling Type: As indicated on Drawings Ceiling surfaces.
  - 5. Mold-Resistant Type: As indicated on Drawings Install at Restrooms/Toilet, Brewery 112, Kitchen 113, Dishes 113A, and Storage 113B.
- B. Single-Layer Application:
  - 1. On ceilings, apply gypsum panels before wall/partition board application to greatest extent possible and at right angles to framing unless otherwise indicated.
  - 2. On partitions/walls, apply gypsum panels horizontally (perpendicular to framing) unless otherwise indicated or required by fire-resistance-rated assembly, and minimize end joints.
    - a. Stagger abutting end joints not less than one framing member in alternate courses of panels.
    - b. At stairwells and other high walls, install panels horizontally unless otherwise indicated or required by fire-resistance-rated assembly.
  - 3. On Z-shaped furring members, apply gypsum panels vertically (parallel to framing) with no end joints. Locate edge joints over furring members.
  - 4. Fastening Methods: Apply gypsum panels to supports with steel drill screws.
- C. Multilayer Application:

1. On ceilings, apply gypsum board indicated for base layers before applying base layers on walls/partitions; apply face layers in same sequence. Apply base layers at right angles to framing members and offset face-layer joints one framing member, **16 inches** minimum, from parallel base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly.
  2. On partitions/walls, apply gypsum board indicated for base layers and face layers vertically (parallel to framing) with joints of base layers located over stud or furring member and face-layer joints offset at least one stud or furring member with base-layer joints unless otherwise indicated or required by fire-resistance-rated assembly. Stagger joints on opposite sides of partitions.
  3. On Z-shaped furring members, apply base layer vertically (parallel to framing) and face layer either vertically (parallel to framing) or horizontally (perpendicular to framing) with vertical joints offset at least one furring member. Locate edge joints of base layer over furring members.
  4. Fastening Methods: Fasten base layers with screws; fasten face layers with adhesive and supplementary fasteners.
- D. Laminating to Substrate: Where gypsum panels are indicated as directly adhered to a substrate (other than studs, joists, furring members, or base layer of gypsum board), comply with gypsum board manufacturer's written instructions and temporarily brace or fasten gypsum panels until fastening adhesive has set.
- E. Curved Surfaces:
1. Install panels horizontally (perpendicular to supports) and unbroken, to extent possible, across curved surface plus **12-inch**- long straight sections at ends of curves and tangent to them.
  2. For double-layer construction, fasten base layer to studs with screws **16 inches** o.c. Center gypsum board face layer over joints in base layer, and fasten to studs with screws spaced **12 inches** o.c.
- F. Installation of Acoustically Enhanced Gypsum Board: Install in accordance with manufacturer recommendations and GA-214.

### 3.4 INSTALLATION OF TRIM ACCESSORIES

- A. General: For trim with back flanges intended for fasteners, attach to framing with same fasteners used for panels. Otherwise, attach trim according to manufacturer's written instructions.
- B. Control Joints: Install control joints according to ASTM C840 and in specific locations approved by Architect for visual effect.
- C. Interior Trim: Install in the following locations:
1. Cornerbead: Use at outside corners unless otherwise indicated.
  2. Bullnose Bead: Use where applicable.
  3. LC-Bead: Use at exposed panel edges.
  4. L-Bead: Use where applicable.
  5. U-Bead: Use at exposed panel edges and where applicable.

6. Curved-Edge Cornerbead: Use at curved openings.

### 3.5 FINISHING GYPSUM BOARD

- A. General: Treat gypsum board joints, interior angles, edge trim, control joints, penetrations, fastener heads, surface defects, and elsewhere as required to prepare gypsum board surfaces for decoration. Promptly remove residual joint compound from adjacent surfaces.
- B. Prefill open joints and damaged surface areas.
- C. Apply joint tape over gypsum board joints, except for trim products specifically indicated as not intended to receive tape.
- D. Gypsum Board Finish Levels: Finish panels to levels indicated below and according to ASTM C840:
  1. Level 1: Ceiling plenum areas, concealed areas, and where indicated.
  2. Level 2: Panels that are substrate for tile and acoustical tile.
  3. Level 4: At panel surfaces that will be exposed to view unless otherwise indicated.
    - a. Primer and its application to surfaces are specified in Section 09 91 23 "Interior Painting."

### 3.6 PROTECTION

- A. Protect adjacent surfaces from drywall compound and promptly remove from floors and other non-drywall surfaces. Repair surfaces stained, marred, or otherwise damaged during drywall application.
- B. Protect installed products from damage from weather, condensation, direct sunlight, construction, and other causes during remainder of the construction period.
- C. Remove and replace panels that are wet, moisture damaged, and mold damaged.
  1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
  2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION 09 29 00



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SECTION 09 30 13 - CERAMIC TILING

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Porcelain tile.
2. Thresholds.
3. Tile backing panels.
4. Waterproof membranes.
5. Setting material.
6. Grout materials.

B. Related Requirements:

1. Section 079200 "Joint Sealants" for sealing of movement joints in tile surfaces.

1.2 DEFINITIONS

- A. General: Definitions in ANSI A108 series of tile installation standards and in ANSI A137.1 apply to Work of this Section unless otherwise specified.
- B. Face Size: Actual tile size, excluding spacer lugs.
- C. Large Format Tile: Tile with at least one edge 15 inches or longer.
- D. Module Size: Actual tile size plus joint width indicated.

1.3 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Review requirements in ANSI A108.01 for substrates and for preparation by other trades.

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

B. Shop Drawings: Show locations, plans, and elevations, of each type of tile and tile pattern. Show widths, details, and locations of movement joints in tile substrates and finished tile surfaces.

C. Samples for Initial Selection: For tile, grout, and accessories involving color selection or shade variation.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Product Certificates: For each type of product, including product use classification.

## 1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Extra Stock Material: Furnish extra materials, from the same production run, to Owner that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Tile and Trim Units: Furnish quantity of full-size units equal to 3 percent of amount installed for each type, composition, color, pattern, and size indicated.
  - 2. Grout: Furnish quantity of grout equal to 3 percent of amount installed for each type, composition, and color indicated.

## 1.7 QUALITY ASSURANCE

- A. Installer Qualifications:
  - 1. Installer's supervisor for Project holds the International Masonry Institute's Supervisor Certification.
  - 2. Installer employs at least one installer for Project that has completed the Advanced Certification for Tile Installers (ACT) certification for installation of large format tile.

## 1.8 MOCKUPS

- A. Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
  - 1. Build mockup of each type of floor tile installation.
  - 2. Build mockup of each type of wall tile installation.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

## 1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use. Comply with requirements in ANSI A137.1 for labeling tile packages.
- B. Store tile and cementitious materials on elevated platforms, under cover, and in a dry location.
- C. Store aggregates where grading and other required characteristics can be maintained

and contamination can be avoided.

- D. Store liquid materials in unopened containers and protected from freezing.

#### 1.10 FIELD CONDITIONS

- A. Environmental Limitations: Do not install tile until construction in spaces is complete and ambient temperature and humidity conditions are maintained at the levels indicated in referenced standards and manufacturer's written instructions.

### PART 2 - PRODUCTS

#### 2.1 SOURCE LIMITATIONS

- A. Tile: Obtain tile from single source or producer.
  - 1. Obtain tile of each type and color or finish from same production run and of consistent quality in appearance and physical properties for each contiguous area.
- B. Accessory Products: Obtain each of the following products specified in this Section from a single manufacturer:
  - 1. Backer units.

#### 2.2 PRODUCTS, GENERAL

- A. ANSI Ceramic Tile Standard: Provide tile that complies with ANSI A137.1 for types, compositions, and other characteristics indicated.
- B. ANSI Standards for Tile Installation Materials: Provide materials complying with ANSI A108.02, ANSI standards referenced in other Part 2 articles, ANSI standards referenced by TCNA installation methods specified in tile installation schedules, and other requirements specified.
- C. Factory Blending: For tile exhibiting color variations within ranges, blend tile in factory and package so tile units taken from one package show same range in colors as those taken from other packages and match approved Samples.
- D. Mounting: For factory-mounted tile, provide back- or edge-mounted tile assemblies as standard with manufacturer unless otherwise indicated.
  - 1. Where tile is indicated for installation in wet areas, do not use back- or edge-mounted tile assemblies unless tile manufacturer specifies in writing that this type of mounting is suitable for installation indicated and has a record of successful in-service performance.

## 2.3 PORCELAIN TILE

## A. Porcelain Tile Type: Unglazed.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Daltile; Fabrique or comparable product by one of the following:
  - a. American Olean; a brand of Dal-Tile Corporation
  - b. Crossville, Inc.
2. Certification: Tile certified by the Porcelain Tile Certification Agency.
3. Face Size (Floor Tile): 12 by 24 inches
4. Face Size (Wall Tile #1): 12 by 24 inches
5. Face Size (Wall Tile #2): 6 by 24 inches
6. Face Size (Wall Tile #3): 4 x 24 inches
7. Face Size (2 x 2 Mosaic): 12 by 12 inches sheet
8. Bullnose: 3 by 12 inches
9. Face Size Variation: Rectified.
10. Thickness: 5/16 inch.
11. Tile Color, Glaze, and Pattern: As selected by Architect from manufacturer's full range.
12. Grout Color: As selected by Architect from manufacturer's full range.
13. Trim Units: Coordinated with sizes and coursing of adjoining flat tile where applicable and matching characteristics of adjoining flat tile. Provide shapes as follows, selected from manufacturer's standard shapes:
  - a. Wainscot Cap: Surface bullnose, module size same as adjoining flat tile.
  - b. External Corners: Surface bullnose, module size same as adjoining flat tile.
  - c. Internal Corners: Field-buttet square corners.

## 2.4 TILE BACKING PANELS

## A. Coated Glass-Mat, Water-Resistant Gypsum Backing Panel: ASTM C1178/C1178M, with a water-resistant coating on one surface, and manufacturer's standard edges.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. CertainTeed; SAINT-GOBAIN
  - b. Georgia-Pacific Gypsum LLC
  - c. USG Corporation
2. Core: 5/8 inch, Type X.
3. Mold Resistance: ASTM D3273, score of 10 as rated in accordance with ASTM D3274.

## 2.5 WATERPROOF MEMBRANES

## A. General: Manufacturer's standard product that complies with ANSI A118.10 and is

recommended by manufacturer for application indicated. Include reinforcement and accessories recommended by manufacturer.

## 2.6 SETTING MATERIALS

- A. Water-Cleanable, Tile-Setting Epoxy: ANSI A118.3.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ARDEX Americas
    - b. Laticrete International, Inc.
    - c. MAPEI Corporation

## 2.7 GROUT MATERIALS

- A. Sand-Portland Cement Grout: ANSI A108.10, consisting of white or gray cement and white or colored aggregate as required to produce color indicated.
- B. Water-Cleanable Epoxy Grout: ANSI A118.3.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ARDEX Americas
    - b. Laticrete International, Inc.
    - c. MAPEI Corporation
- C. Grout for PregROUTed Tile Sheets: Same product used in factory to pregROUT tile sheets.

## 2.8 MISCELLANEOUS MATERIALS

- A. Trowelable Underlayments and Patching Compounds: Latex-modified, portland cement-based formulation provided or approved by manufacturer of tile-setting and adhesive materials for installations indicated.
- B. Vapor-Retarder Membrane: Polyethylene sheeting, ASTM D4397, 4.0 mils thick.
- C. Metal Flooring Transitions: Profile designed specifically for flooring applications; height to match tile and setting-bed thickness.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Custom Building Products

- b. Dural USA, Inc.
    - c. Schluter Systems L.P.
  - 2. Description: L-shaped, or Square.
  - 3. Material and Finish: Metallic or combination of metal and PVC or neoprene base; polished chrome anodized aluminum exposed-edge material.
- D. Metal Edge Trim: Profile designed for wall terminations and edge protection.
- 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Custom Building Products
    - b. Dural USA, Inc.
    - c. Schluter Systems L.P.
  - 2. Description: L-shaped, or Square.
  - 3. Terminations: End caps, Outside corners matching edge-protection profile.
  - 4. Material and Finish: Polished chrome anodized aluminum exposed-edge material.
- E. Tile Cleaner: A neutral cleaner capable of removing soil and residue without harming tile and grout surfaces, specifically approved for materials and installations indicated by tile and grout manufacturers.
- F. Grout Sealer: Grout manufacturer's standard product for sealing grout joints that does not change color or appearance of grout.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions where tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
  - 1. Verify that substrates for setting tile are firm; dry; clean; free of coatings that are incompatible with tile-setting materials, including curing compounds and other substances that contain soap, wax, oil, or silicone; and comply with flatness tolerances required by ANSI A108.01 for installations indicated.
  - 2. Verify that concrete substrates for tile floors installed with adhesives, bonded mortar bed, or, thinset mortar comply with surface finish requirements in ANSI A108.01 for installations indicated.
    - a. Verify that surfaces that received a steel trowel finish have been mechanically scarified.
    - b. Verify that protrusions, bumps, and ridges have been removed by sanding or grinding.

3. Verify that installation of grounds, anchors, recessed frames, electrical and mechanical units of work, and similar items located in or behind tile has been completed.
  4. Verify that joints and cracks in tile substrates are coordinated with tile joint locations; if not coordinated, adjust joint locations in consultation with Architect.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Remove coatings, including curing compounds or other coatings, that are incompatible with tile-setting materials.
- B. Fill cracks, holes, and depressions in concrete substrates for tile floors installed with adhesives, or, thinset mortar with trowelable leveling and patching compound specifically recommended by tile-setting material manufacturer.
- C. Where indicated, prepare substrates to receive waterproof membrane by applying a reinforced mortar bed that complies with ANSI A108.1 and is sloped 1/4 inch per foot toward drains.
- D. Blending: For tile exhibiting color variations, verify that tile has been factory blended and packaged so tile units taken from one package show same range of colors as those taken from other packages and match approved Samples. If not factory blended, either return to manufacturer or blend tiles at Project site before installing.
- E. Substrate Flatness:
  1. For tile shorter than 15 inches, confirm that structure or substrate is limited to variation of 1/4 inch in 10 ft. from the required plane, and no more than 1/16 inch in 12 inches when measured from tile surface high points.
  2. For large format tile, tile with at least one edge 15 inches or longer, confirm that structure or substrate is limited to 1/8 inch in 10 ft. from the required plane, and no more than 1/16 inch in 24 inches when measured from tile surface high points.

### 3.3 INSTALLATION OF CERAMIC TILE SYSTEM

- A. Install tile backing panels and treat joints in accordance with ANSI A108.11 and manufacturer's written instructions for type of application indicated.
- B. Install waterproof membrane to comply with ANSI A108.13 and manufacturer's written instructions to produce waterproof membrane of uniform thickness that is bonded securely to substrate.
  1. Allow waterproof membrane to cure and verify by testing that it is watertight before installing tile or setting materials over it.
- C. Mix mortars and grouts to comply with referenced standards and mortar and grout



manufacturers' written instructions.

1. Add materials, water, and additives in accurate proportions.
2. Obtain and use type of mixing equipment, mixer speeds, mixing containers, mixing time, and other procedures to produce mortars and grouts of uniform quality with optimum performance characteristics for installations indicated.

D. Install tile in accordance with TCNA's "Handbook for Ceramic, Glass, and Stone Tile Installation" for TCNA installation methods specified in tile installation schedules. Comply with parts of ANSI A108 series that are referenced in TCNA installation methods and specified in tile installation schedules, and apply to types of setting and grouting materials used.

1. For the following installations, follow procedures in ANSI A108 series of tile installation standards for providing 95 percent mortar coverage:
  - a. Tile floors in wet areas.
  - b. Tile floors consisting of tiles 8 by 8 inches or larger.
  - c. Tile floors consisting of rib-backed tiles.
2. Extend tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting pattern or joint alignments.
3. Accurately form intersections and returns. Perform cutting and drilling of tile without marring visible surfaces. Carefully grind cut edges of tile abutting trim, finish, or built-in items for straight aligned joints. Fit tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap tile.
4. Provide manufacturer's standard trim shapes where necessary to eliminate exposed tile edges.
5. Where accent tile differs in thickness from field tile, vary setting-bed thickness so that tiles are flush.
6. Jointing Pattern: Lay tile in grid pattern unless otherwise indicated. Lay out tile work and center tile fields in both directions in each space or on each wall area. Lay out tile work to minimize use of pieces that are less than half of a tile. Provide uniform joint widths unless otherwise indicated.
  - a. For tile mounted in sheets, make joints between tile sheets same width as joints within tile sheets, so joints between sheets are not apparent in finished Work.
  - b. Where adjoining tiles on floor, base, walls, or trim are specified or indicated to be same size, align joints.
  - c. Where tiles are specified or indicated to be whole integer multiples of adjoining tiles on floor, base, walls, or trim, align joints unless otherwise indicated.
7. Lay out tile wainscots to dimensions indicated or to next full tile beyond dimensions indicated.

E. Movement Joints: Provide movement joints and other sealant-filled joints, including

control, contraction, and isolation joints, where indicated on Drawings. Form joints during installation of setting materials, mortar beds, and tile. Keep joints free of dirt, debris, and setting materials prior to filling with sealants. Do not saw-cut joints after installing tiles.

1. Where joints occur in concrete substrates, locate joints in tile surfaces directly above them.
- F. Metal Flooring Transitions: Install where exposed edge of tile flooring meets carpet, wood, or other flooring that finishes flush with or below top of tile and no threshold is indicated.
- G. Metal Wall Trim: Install at locations indicated on Drawings.
- H. Grout Sealer: Apply grout sealer to cementitious grout joints in tile floors in accordance with manufacturer's written instructions. As soon as sealer has penetrated grout joints, remove excess sealer and sealer from tile faces by wiping with soft cloth.

### 3.4 ADJUSTING AND CLEANING

- A. Remove and replace tile that is damaged or that does not match adjoining tile. Provide new matching units, installed as specified and in a manner to eliminate evidence of replacement.
- B. Cleaning: On completion of placement and grouting, clean all ceramic tile surfaces so they are free of foreign matter.
1. Remove grout residue from tile as soon as possible.
  2. Clean grout smears and haze from tile in accordance with tile and grout manufacturer's written instructions. Use only cleaners recommended by tile and grout manufacturers and only after determining that cleaners are safe to use by testing on samples of tile and other surfaces to be cleaned. Protect metal surfaces and plumbing fixtures from effects of cleaning. Flush surfaces with clean water before and after cleaning.

### 3.5 PROTECTION

- A. Protect installed tile work with kraft paper or other heavy covering during construction period to prevent staining, damage, and wear. If recommended by tile manufacturer, apply coat of neutral protective cleaner to completed tile walls and floors.
- B. Prohibit foot and wheel traffic from tiled floors for at least seven days after grouting is completed.
- C. Before final inspection, remove protective coverings and rinse neutral protective cleaner from tile surfaces.

END OF SECTION 09 30 13

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SECTION 09 30 33 - STONE TILING

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Slate tile.
2. Tile backing panels.
3. Setting materials.
4. Grout materials.

B. Related Requirements:

1. Section 079200 "Joint Sealants" for sealing of expansion, contraction, control, and isolation joints in stone tile surfaces.

1.2 DEFINITIONS

- A. General: Definitions in ANSI A108 series of tile installation standards and in ANSI A137.1 apply to Work of this Section unless otherwise specified.

1.3 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Review requirements in ANSI A108.01 for substrates and for preparation by other trades.

1.4 ACTION SUBMITTALS

A. Product Data:

1. Slate tile.
2. Tile backing panels.
3. Setting materials.
4. Grout materials.

B. Samples for Initial Selection: For tile, grout, and accessories involving color selection.

1.5 INFORMATIONAL SUBMITTALS

A. Qualification Data: For Installer.

1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Extra Stock Material: Furnish extra materials, from same production run, to Owner that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Dimension Stone Tile: Furnish quantity of full-size units equal to 3 percent of amount installed, for each type, composition, color, pattern, and size indicated.
  - 2. Grout: Furnish quantity of grout equal to 3 percent of amount installed for each type, composition, and color indicated.

1.7 QUALITY ASSURANCE

- A. Installer Qualifications:
  - 1. Installer employs only installers recognized by the U.S. Department of Labor as Journeyman Tile Layers for Project.

1.8 MOCKUPS

- A. Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
  - 1. Build mockup as coordinated in field.
  - 2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use. Comply with requirements in ANSI A137.1 for labeling tile packages.
- B. Store stone tile and cementitious materials on elevated platforms, under cover, and in a dry location.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination can be avoided.
- D. Store liquid materials in unopened containers and protected from freezing.

1.10 FIELD CONDITIONS

- A. Environmental Limitations: Do not install stone tile until construction in spaces is complete and ambient temperature and humidity conditions are maintained at the levels indicated in referenced standards and manufacturer's written instructions.

1.11 WARRANTY

- A. System Warranty: Manufacturer's non-prorated comprehensive warranty that agrees to remove and replace defective installation areas, material and labor, that fail under normal usage within specified warranty period.

- 1. Warranty Period: 25 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Stone Tile: Obtain tile from single source or producer.
  - 1. Where two or more stone product types are identical, except for size or finish, provide same variety for each type.
- B. Tiling System: Obtain system products from single manufacturer and each aggregate from single source or producer.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Datile; Natural Stone; Slate tile or comparable product by one of the following:
    - a. ARDEX Americas
    - b. MSI Surfaces
- C. Accessory Products: Obtain each of the following products specified in this Section from a single manufacturer:
  - 1. Backer units.

2.2 PRODUCTS, GENERAL

- A. ANSI Standards for Tile Installation Materials: Provide materials complying with ANSI A108.02, ANSI standards referenced in other Part 2 articles, ANSI standards referenced by TCNA installation methods specified in tile installation schedules, and other requirements specified.
- B. Pattern Orientation: For stone varieties with a directional pattern, provide tile with pattern oriented parallel to one side of tile.

2.3 SLATE TILE

- A. Slate Tile Type Slate wainscot base:
  - 1. Varieties and Sources: Subject to compliance with requirements, provide the following:

- a. Daltile; Natural Stone; Slate tile.
2. Module Size: 12 by 24 inches.
3. Nominal Thickness: 3/8 inch.

#### 2.4 TILE BACKING PANELS

- A. Coated Glass-Mat, Water-Resistant Gypsum Backing Panel: ASTM C1178/C1178M, with a water-resistant coating on one surface, and manufacturer's standard edges.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. CertainTeed; SAINT-GOBAIN
    - b. Georgia-Pacific Gypsum LLC
    - c. USG Corporation
  2. Core: 5/8 inch, Type X.
  3. Mold Resistance: ASTM D3273, score of 10 as rated in accordance with ASTM D3274.

#### 2.5 SETTING MATERIALS

- A. Standard Dry-Set Mortar (Thinset): ANSI A118.1.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ARDEX Americas
    - b. Laticrete International, Inc.
    - c. MAPEI Corporation
  2. For wall applications, provide mortar that complies with requirements for nonsagging mortar in addition to the other requirements in ANSI A118.1.
- B. Water-Cleanable, Tile-Setting Epoxy: ANSI A118.3.
  1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ARDEX Americas
    - b. Laticrete International, Inc.
    - c. MAPEI Corporation

## 2.6 GROUT MATERIALS

- A. Water-Cleanable Epoxy Grout: ANSI A118.3.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. ARDEX Americas
    - b. Laticrete International, Inc.
    - c. MAPEI Corporation

## 2.7 MISCELLANEOUS MATERIALS

- A. Metal Edge Trim: Profile designed for wall terminations and edge protection.
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Custom Building Products
    - b. Dural USA, Inc.
    - c. Schluter Systems L.P.
  - 2. Description: L-shaped, or Square.
  - 3. Terminations: End caps, and Outside corners matching edge-protection profile.
  - 4. Material and Finish: Polished chrome anodized aluminum exposed-edge material.
- B. Tile Cleaner: A neutral cleaner capable of removing soil and residue without harming stone tile and grout surfaces, specifically approved for materials and installations indicated by stone tile producers and grout manufacturers.
- C. Grout Sealer: Grout manufacturer's standard product for stone type and application indicated that does not change color or appearance of grout.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions where stone tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
  - 1. Verify that substrates for setting stone tile are firm; dry; clean; free of coatings that are incompatible with tile-setting materials, including curing compounds and other substances that contain soap, wax, oil, or silicone; and comply with flatness tolerances required by ANSI A108.01 for installations indicated.



2. Verify that installation of grounds, anchors, recessed frames, electrical and mechanical units of work, and similar items located in or behind stone tile has been completed.
3. Verify that joints and cracks in stone tile substrates are coordinated with stone tile joint locations; if not coordinated, adjust joint locations in consultation with Architect.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Remove coatings, including curing compounds or other coatings, that are incompatible with tile-setting materials.
- B. Lay out stone tiles on substrates or on an adjacent surface to establish placement of individual stone tiles for balance of color and pattern variations.
  1. Notify Architect seven days in advance of dates and times when layout will be done.
  2. Architect may relocate specific stone tiles with other stone tiles of same type and will determine final location of each stone tile within indicated patterns.
  3. Identify each stone tile with a temporary number marked on face of stone tile that corresponds with an identical number marked on a layout drawing, and obtain Architect's approval before starting stone tile installation.

### 3.3 INSTALLATION OF STONE TILE SYSTEM

- A. Install tile backing panels and treat joints in accordance with ANSI A108.11 and manufacturer's written instructions for type of application indicated.
- B. Mix mortars and grouts to comply with "Referenced Standards" Article in the Evaluations and mortar and grout manufacturers' written instructions.
  1. Add materials, water, and additives in accurate proportions.
  2. Obtain and use type of mixing equipment, mixer speeds, mixing containers, mixing time, and other procedures to produce mortars and grouts of uniform quality with optimum performance characteristics for installations indicated.
- C. Install stone tile in accordance with TCNA's "Handbook for Ceramic, Glass, and Stone Tile Installation" for TCNA installation methods specified in stone tile installation schedules. Comply with parts of the ANSI A108 series that are referenced in TCNA installation methods specified in stone tile installation schedules, and apply to types of setting and grouting materials used.
  1. Wipe backs of stone tiles with a damp cloth to remove dirt and dust before units are installed.
  2. Extend stone tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting

- pattern or joint alignments.
3. Accurately form intersections and returns. Perform cutting and drilling of stone tile without marring visible surfaces. Carefully grind cut edges of stone tile abutting trim, finish, or built-in items for straight aligned joints. Fit stone tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap stone tile.
  4. Finish cut stone tile edges that will not be concealed by other construction by grinding and honing cut surfaces and easing edges to match factory-fabricated edges unless otherwise indicated.
  5. Lay out stone tile wainscots to dimensions indicated or to next full tile beyond dimensions indicated.
  6. Match stone tiles within each space by selecting tiles to achieve uniformity of color and pattern. Reject or relocate stone tiles that do not match color and pattern of adjacent tiles.
  7. Mix stone tiles to achieve a uniformly random distribution of color shadings and patterns.
  8. Pattern Orientation: For stone varieties with directional pattern, orient pattern as directed by Architect.
- D. Movement Joints: Provide movement joints and other sealant-filled joints, including control, contraction, and isolation joints, where indicated on Drawings. Form joints during installation of setting materials, mortar beds, and stone tile. Keep joints free of dirt, debris, and setting materials prior to filling with sealants. Do not saw-cut joints after installing stone tiles.
1. Where joints occur in concrete substrates, locate joints in stone tile surfaces directly above them.
- E. Grout Sealer: Apply grout sealer to cementitious grout joints in accordance with manufacturer's written instructions. As soon as sealer has penetrated grout joints, remove excess sealer and sealer from tile faces by wiping with soft cloth.

### 3.4 ADJUSTING AND CLEANING

- A. Remove and replace tile that is damaged or that does not match adjoining stone tile. Provide new matching units, installed as specified and in a manner to eliminate evidence of replacement.
- B. Cleaning: On completion of placement and grouting, clean stone tile surfaces so they are free of foreign matter.
1. Remove grout residue from stone tile as soon as possible.
  2. Clean grout smears and haze from stone tile in accordance with stone tile and grout manufacturer's written instructions but no sooner than 10 days after installation. Use only cleaners recommended by stone tile and grout manufacturers and only after determining that cleaners are safe to use by testing on samples of stone tile and other surfaces to be cleaned. Protect metal surfaces and plumbing fixtures from effects of cleaning. Flush surfaces with clean water before and after cleaning.

3.5 PROTECTION

- A. Protect installed stone tile floors with kraft paper or other heavy covering during construction period to prevent staining, damage, and wear. If recommended by stone tile manufacturer, apply coat of neutral protective cleaner to completed stone tile walls and floors.
- B. Prohibit foot and wheel traffic from stone tiled floors for at least seven days after grouting is completed.
- C. Before final inspection, remove protective coverings and rinse neutral protective cleaner from stone tile surfaces.

END OF SECTION 09 30 33

SECTION 09 51 23 - ACOUSTICAL TILE CEILINGS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Acoustical tiles for interior ceilings.
  - 2. Fully concealed, direct-hung, suspension systems.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples for Verification: For each component indicated and for each exposed finish required, prepared on Samples of sizes indicated below:
  - 1. Acoustical Tiles: Set of full-size Samples of each type, color, pattern, and texture.
  - 2. Concealed Suspension-System Members: ~~6-inch~~ long Sample of each type.
  - 3. Exposed Moldings and Trim: Set of ~~6-inch~~ long Samples of each type and color.
  - 4. Seismic Clips: Full size.

1.4 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For finishes to include in maintenance manuals.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials , from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Acoustical Ceiling Units: Full-size tiles equal to 2 percent of quantity installed.
  - 2. Suspension-System Components: Quantity of each concealed grid and exposed component equal to 2 percent of quantity installed.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver acoustical tiles, suspension-system components, and accessories to Project site and store them in a fully enclosed, conditioned space where they will be protected against damage from moisture, humidity, temperature extremes, direct sunlight, surface contamination, and other causes.
- B. Before installing acoustical tiles, permit them to reach room temperature and a stabilized moisture content.

1.7 FIELD CONDITIONS

- A. Environmental Limitations: Do not install acoustical tile ceilings until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, work above ceilings is complete, and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.
  - 1. Pressurized Plenums: Operate ventilation system for not less than 48 hours before beginning acoustical tile ceiling installation.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations:
  - 1. Suspended Acoustical Tile Ceilings: Obtain each type of acoustical ceiling tile and its suspension system from single source from single manufacturer.
  - 2. Directly Attached Acoustical Tile Ceilings: Obtain each type of acoustical ceiling tile from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Suspended ceilings shall withstand the effects of earthquake motions determined according to ASCE/SEI 7 .

2.3 ACOUSTICAL TILES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Armstrong World Industries, Inc.; Cirrus #584.
  - 2. CertainTeed Corporation.; Cashmere CM 4554 NRCP.
  - 3. USG Corporation. Corporation; Eclipse ClimaPlus.

- B. Acoustical Tile Standard: Provide manufacturer's standard tiles of configuration indicated that comply with ASTM E 1264 classifications as designated by type, form, pattern, acoustical rating, and light reflectance unless otherwise indicated.
  - C. Classification: Provide tiles as follows:
    - 1. Type and Form: Type III, mineral base with painted finish; Form 1, nodular .
    - 2. Pattern: E (lightly textured) .
  - D. Color: As selected from manufacturer's full range .
  - E. Light Reflectance (LR): Not less than 85% .
  - F. Ceiling Attenuation Class (CAC): Not less than 35 .
  - G. Noise Reduction Coefficient (NRC): Not less than 0.70 .
  - H. Edge/Joint Detail: Beveled, kerfed, and rabbeted long edges and square, butt on short edges As indicated by manufacturer's designation Angled Tegular .
  - I. Thickness: 15/16 inch .
  - J. Modular Size: As indicated on Drawings .
  - K. Antimicrobial Treatment: Manufacturer's standard broad spectrum, antimicrobial formulation that inhibits fungus, mold, mildew, and gram-positive and gram-negative bacteria and showing no mold, mildew, or bacterial growth when tested according to ASTM D 3273, ASTM D 3274, or ASTM G 21 and evaluated according to ASTM D 3274 or ASTM G 21.
- 2.4 ACOUSTIC TILES (KITCHENETTE 110 AND STORAGE 110A): Water repellent, washable, scratch-resistant and soil resistant tile.
- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - 1. Armstrong World Industries, Inc.: Kitchen Zone 673.
    - 2. CertainTeed Corporation.Corporation.; Vinylrock.
    - 3. or Approved Equal.
- 2.5 METAL SUSPENSION SYSTEM
- A. Metal Suspension-System Standard: Provide manufacturer's standard, direct-hung, fully concealed, metal suspension system and accessories of type, structural classification, and finish indicated that complies with applicable requirements in ASTM C 635/C 635M.

1. High-Humidity Finish: Where indicated, provide coating tested and classified for "severe environment performance" according to ASTM C 635/C 635M.
- B. Direct-Hung, Double-Web Suspension System: Main and cross runners roll formed from and capped with cold-rolled steel sheet, prepainted, electrolytically zinc coated, or hot-dip galvanized, **G30** coating designation.
  1. Basis of Design: Prelude XL; Armstrong Ceiling Solutions.
  2. Profile: Tee; 15/16 inch wide face.
  3. Finish: Manufacturer's standard colors painted finish on exposed runners and accessories to match ceiling panel colors. Architect to select color from manufacturer's full range of colors.

## 2.6 ACCESSORIES

- A. Attachment Devices: Size for five times the design load indicated in ASTM C 635/C 635M, Table 1, "Direct Hung," unless otherwise indicated. Comply with seismic design requirements.
- B. Wire Hangers, Braces, and Ties: Provide wires as follows:
  1. Zinc-Coated, Carbon-Steel Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper.
  2. Stainless-Steel Wire: ASTM A 580/A 580M, Type 304, nonmagnetic.
  3. Size: Wire diameter sufficient for its stress at three times hanger design load (ASTM C 635/C 635M, Table 1, "Direct Hung") will be less than yield stress of wire, but not less than **0.135-inch-** diameter wire.
- C. Seismic Stabilizer Bars: Manufacturer's standard perimeter stabilizers designed to accommodate seismic forces.
- D. Seismic Struts: Manufacturer's standard compression struts designed to accommodate lateral forces.
- E. Seismic Clips: Manufacturer's standard seismic clips designed to secure acoustical tiles in-place during a seismic event.

## 2.7 METAL EDGE MOLDINGS AND TRIM At exposed grid, provide L-shaped molding for mounting at same elevation as face of grid.

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  1. Armstrong World Industries, Inc.
  2. CertainTeed Corporation.
  3. USG Corporation.

- B. Extruded-Aluminum Edge Moldings and Trim: Where indicated, provide manufacturer's extruded-aluminum edge moldings and trim of profile indicated or referenced by manufacturer's designations, including splice plates, corner pieces, and attachment and other clips, complying with seismic design requirements.
  - 1. Baked-Enamel or Powder-Coat Finish: Minimum dry film thickness of **1.5 mils**. Comply with ASTM C 635/C 635M and coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, including structural framing and substrates to which acoustical tile ceilings attach or abut, with Installer present, for compliance with requirements specified in this and other Sections that affect ceiling installation and anchorage and for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine acoustical tiles before installation. Reject acoustical tiles that are wet, moisture damaged, or mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Measure each ceiling area and establish layout of acoustical tiles to balance border widths at opposite edges of each ceiling. Avoid using less-than-half-width tiles at borders unless otherwise indicated, and comply with layout shown on reflected ceiling plans.
- B. Layout openings for penetrations centered on the penetrating items.

### 3.3 INSTALLATION OF SUSPENDED ACOUSTICAL TILE CEILINGS

- A. Install suspended acoustical tile ceilings according to ASTM C 636/C 636M , seismic design requirements, and manufacturer's written instructions.
- B. Suspend ceiling hangers from building's structural members and as follows:
  - 1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structure or of ceiling suspension system.
  - 2. Splay hangers only where required to miss obstructions; offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.



3. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with location of hangers at spacings required to support standard suspension-system members, install supplemental suspension members and hangers in form of trapezes or equivalent devices.
  4. Secure wire hangers to ceiling suspension members and to supports above with a minimum of three tight turns. Connect hangers directly to structure or to inserts, eye screws, or other devices that are secure and appropriate for substrate and that will not deteriorate or otherwise fail due to age, corrosion, or elevated temperatures.
  5. Secure flat, angle, channel, and rod hangers to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices that are secure and appropriate for both the structure to which hangers are attached and the type of hanger involved. Install hangers in a manner that will not cause them to deteriorate or fail due to age, corrosion, or elevated temperatures.
  6. Do not support ceilings directly from permanent metal forms or floor deck. Fasten hangers to cast-in-place hanger inserts, postinstalled mechanical or adhesive anchors, or power-actuated fasteners that extend through forms into concrete.
  7. When steel framing does not permit installation of hanger wires at spacing required, install carrying channels or other supplemental support for attachment of hanger wires.
  8. Do not attach hangers to steel deck tabs.
  9. Do not attach hangers to steel roof deck. Attach hangers to structural members.
  10. Space hangers not more than **48 inches** o.c. along each member supported directly from hangers unless otherwise indicated; provide hangers not more than **8 inches** from ends of each member.
  11. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced standards.
- C. Secure bracing wires to ceiling suspension members and to supports with a minimum of four tight turns. Suspend bracing from building's structural members as required for hangers without attaching to permanent metal forms, steel deck, or steel deck tabs. Fasten bracing wires into concrete with cast-in-place or postinstalled anchors.
- D. Install edge moldings and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical tiles.
1. Apply acoustical sealant in a continuous ribbon concealed on back of vertical legs of moldings before they are installed.
  2. Screw attach moldings to substrate at intervals not more than **16 inches** o.c. and not more than **3 inches** from ends. Miter corners accurately and connect securely.
  3. Do not use exposed fasteners, including pop rivets, on moldings and trim.
- E. Install suspension-system runners so they are square and securely interlocked with one another. Remove and replace dented, bent, or kinked members.
- F. Arrange directionally patterned acoustical tiles as follows:
1. As indicated on reflected ceiling plans.

- G. Install acoustical tiles in coordination with suspension system and exposed moldings and trim. Place splines or suspension-system flanges into kerfed edges of tiles so tile-to-tile joints are interlocked.
  - 1. Fit adjoining tiles to form flush, tight joints. Scribe and cut tiles for accurate fit at borders and around penetrations through ceiling.
  - 2. Hold tile field in compression by inserting leaf-type, spring-steel spacers between tiles and moldings, spaced **12 inches** o.c.

#### 3.4 ERECTION TOLERANCES

- A. Suspended Ceilings: Install main and cross runners level to a tolerance of **1/8 inch in 12 feet** , non-cumulative.
- B. Moldings and Trim: Install moldings and trim to substrate and level with ceiling suspension system to a tolerance of **1/8 inch in 12 feet** , non-cumulative.

#### 3.5 ADJUSTING

- A. Clean exposed surfaces of acoustical tile ceilings, including trim and edge moldings. Comply with manufacturer's written instructions for cleaning and touchup of minor finish damage.
- B. Remove and replace tiles and other ceiling components that cannot be successfully cleaned and repaired to permanently eliminate evidence of damage.

END OF SECTION 09 51 23

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SECTION 09 51 26 - ACOUSTICAL WOOD CEILINGS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes acoustical wood panels and exposed suspension systems for interior ceilings.
- B. Related Requirements:
  - 1. Section 09 51 23 "Acoustical Tile Ceilings" for ceilings consisting of mineral-base acoustical tiles used with fully concealed suspension systems, stapling, or adhesive bonding.
- C. Products furnished, but not installed under this Section, include anchors, clips, and other ceiling attachment devices to be cast in concrete.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples: For each exposed product and for each color and texture specified, **6 inches** in size.
- C. Samples for Initial Selection: For components with factory-applied finishes.
- D. Samples for Verification: For each component indicated and for each exposed finish required, prepared on Samples of sizes indicated below:
  - 1. Acoustical Panels: Set of **6-inch** square Samples of each type, color, pattern, and texture.
  - 2. Exposed Suspension-System Members, Moldings, and Trim: Set of **6-inch** long Samples of each type, finish, and color.
  - 3. Clips: Full-size hold-down and seismic clips.

- E. Delegated-Design Submittal: For seismic restraints for ceiling systems.
  - 1. Include design calculations for seismic restraints including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.

#### 1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
  - 1. Ceiling suspension-system members.
  - 2. Structural members to which suspension systems will be attached.
  - 3. Method of attaching hangers to building structure.
    - a. Furnish layouts for cast-in-place anchors, clips, and other ceiling attachment devices whose installation is specified in other Sections.
  - 4. Carrying channels or other supplemental support for hanger-wire attachment where conditions do not permit installation of hanger wires at required spacing.
  - 5. Minimum Drawing Scale: **1/8 inch = 1 foot** .

#### 1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For finishes to include in maintenance manuals.

#### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials , from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Acoustical Wood Ceiling Units: Full-size panels equal to 2 percent of quantity installed.
  - 2. Suspension-System Components: Quantity of each exposed component equal to 2 percent of quantity installed.
  - 3. Hold-Down Clips: Equal to 2 percent of quantity installed.

#### 1.8 QUALITY ASSURANCE

- A. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
  - 1. Build mockup of typical ceiling area as shown on Drawings.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.

3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver acoustical panels, suspension-system components, and accessories to Project site and store them in a fully enclosed, conditioned space where they will be protected against damage from moisture, humidity, temperature extremes, direct sunlight, surface contamination, and other causes.
- B. Before installing acoustical panels, permit them to reach room temperature and a stabilized moisture content.

#### 1.10 FIELD CONDITIONS

- A. Environmental Limitations: Do not install acoustical panel ceilings until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, work above ceilings is complete, and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.

#### 1.11 WARRANTY

- A. Wood Veneer Panel: Submit a written warranty executed by the manufacturer, agreeing to repair or replace panels that fail within the warranty period. Failures include, but are not limited to:
  1. Ceiling Panels: Defects in materials or factory workmanship.
  2. Grid System: Rusting and manufacturing defects.
- B. Warranty Period:
  1. Wood Veneer and Grid: One year from date of substantial completion.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Source Limitations: Obtain each type of acoustical wood ceiling panel and its supporting suspension system from single source from single manufacturer.

#### 2.2 ACOUSTICAL WOOD PANELS

- A. Basis of Design: Subject to compliance with requirements, provide Armstrong World Industries; Woodworks Tegular, Item #5404W3.

1. Surface Texture: Smooth.
2. Species/Finish: as selected by Architect from manufacturer's full range.
3. Size: 24 inch x 24 inch.
4. Reveal: Square Tegular 9/16 inch.
5. Noise Reduction Coefficient (NRC): ASTM C423, Classified UL label on product carton; 0.40.

## 2.3 METAL SUSPENSION SYSTEM

- A. Metal Suspension-System Standard: Provide manufacturer's standard, direct-hung, metal suspension system and accessories according to ASTM C 635/C 635M and designated by type, structural classification, and finish indicated.
  1. Basis of Design: Suprefine XL; Armstrong Ceiling Solutions.

## 2.4 ACCESSORIES

- A. Attachment Devices: Size for five times the design load indicated in ASTM C 635/C 635M, Table 1, "Direct Hung," unless otherwise indicated. Comply with seismic design requirements.
- B. Wire Hangers, Braces, and Ties: Provide wires as follows:
  1. Zinc-Coated, Carbon-Steel Wire: ASTM A 641/A 641M, Class 1 zinc coating, soft temper.
  2. Size: Wire diameter sufficient for its stress at three times hanger design load (ASTM C 635/C 635M, Table 1, "Direct Hung") will be less than yield stress of wire, but not less than 0.106-inch diameter wire.
- C. Hanger Rods: Mild steel, zinc coated or protected with rust-inhibitive paint.
- D. Flat Hangers: Mild steel, zinc coated or protected with rust-inhibitive paint.
- E. Angle Hangers: Angles with legs not less than 7/8-inch wide; formed with 0.04-inch thick, galvanized-steel sheet complying with ASTM A 653/A 653M, G90 coating designation; with bolted connections and 5/16-inch diameter bolts.
- F. Hold-Down Clips: Manufacturer's standard hold-down.
- G. Impact Clips: Manufacturer's standard impact-clip system designed to absorb impact forces against acoustical panels.
- H. Seismic Clips: Manufacturer's standard seismic clips designed to secure acoustical panels in place during a seismic event.
- I. Seismic Stabilizer Bars: Manufacturer's standard perimeter stabilizers designed to accommodate seismic forces.

- J. Seismic Struts: Manufacturer's standard compression struts designed to accommodate seismic forces.
- K. Stabilizer Bars: Manufacturer's standard perimeter stabilizers designed to accommodate seismic forces and to provide rigid support for ceiling suspension system installations of ceiling tiles greater than **30 by 60 inches** in size. Refer to manufacturer's instructions for quantities per wood ceiling panel size.

## 2.5 METAL EDGE MOLDINGS AND TRIM

- A. Basis of Design Product: Subject to compliance with requirements, provide Armstrong World Industries; Axiom Trim Channel: 8 inch Axiom Classic Straight Trim.
- B. Extruded-Aluminum Edge Moldings and Trim: Where indicated, provide manufacturer's extruded-aluminum edge moldings and trim of profile indicated or referenced by manufacturer's designations, including splice plates, corner pieces, and attachment and other clips, complying with seismic design requirements.
  - 1. Baked-Enamel or Powder-Coat Finish: Minimum dry film thickness of **1.5 mils**. Comply with ASTM C 635/C 635M and coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.
  - 2. Size: 8 inches high.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, including structural framing to which acoustical panel ceilings attach or abut, with Installer present, for compliance with requirements specified in this and other Sections that affect ceiling installation and anchorage and with requirements for installation tolerances and other conditions affecting performance of acoustical panel ceilings.
- B. Examine acoustical panels before installation. Reject acoustical panels that are wet, moisture damaged, or mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Measure each ceiling area and establish layout of acoustical panels to balance border widths at opposite edges of each ceiling. Avoid using less-than-half-width panels at borders unless otherwise indicated, and comply with layout shown on reflected ceiling plans.
- B. Layout openings for penetrations centered on the penetrating items.



### 3.3 INSTALLATION

- A. Install acoustical panel ceilings according to ASTM C 636/C 636M , seismic design requirements, and manufacturer's written instructions.
1. Fire-Rated Assembly: Install fire-rated ceiling systems according to tested fire-rated design.
- B. Suspend ceiling hangers from building's structural members and as follows:
1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structure or of ceiling suspension system.
  2. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with location of hangers at spacings required to support standard suspension-system members, install supplemental suspension members and hangers in form of trapezes or equivalent devices.
  3. Secure wire hangers to ceiling-suspension members and to supports above with a minimum of three tight turns. Connect hangers directly to structure or to inserts, eye screws, or other devices that are secure and appropriate for substrate and that will not deteriorate or otherwise fail due to age, corrosion, or elevated temperatures.
  4. Secure flat, angle, channel, and rod hangers to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices that are secure and appropriate for both the structure to which hangers are attached and the type of hanger involved. Install hangers in a manner that will not cause them to deteriorate or fail due to age, corrosion, or elevated temperatures.
  5. Do not support ceilings directly from permanent metal forms or floor deck. Fasten hangers to cast-in-place hanger inserts, postinstalled mechanical or adhesive anchors, or power-actuated fasteners that extend through forms into concrete.
  6. When steel framing does not permit installation of hanger wires at spacing required, install carrying channels or other supplemental support for attachment of hanger wires.
  7. Do not attach hangers to steel deck tabs.
  8. Do not attach hangers to steel roof deck. Attach hangers to structural members.
  9. Space hangers not more than **48 inches** o.c. along each member supported directly from hangers unless otherwise indicated; provide hangers not more than **8 inches** from ends of each member.
  10. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced standards.
- C. Install edge moldings and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical panels.
1. Do not use exposed fasteners, including pop rivets, on moldings and trim.
- D. Install suspension-system runners so they are square and securely interlocked with one another. Remove and replace dented, bent, or kinked members.

- E. Install acoustical wood panels with undamaged edges and fit accurately into suspension-system runners and edge moldings. Scribe and cut panels at borders and penetrations to provide precise fit.
  - 1. Arrange directionally patterned acoustical panels as follows:
    - a. As indicated on reflected ceiling plans.
  - 2. For square-edged panels, install panels with edges fully hidden from view by flanges of suspension-system runners and moldings.
  - 3. For reveal-edged panels on suspension-system runners, install panels with bottom of reveal in firm contact with top surface of runner flanges.
  - 4. For reveal-edged panels on suspension-system members with box-shaped flanges, install panels with reveal surfaces in firm contact with suspension-system surfaces and panel faces flush with bottom face of runners.
  - 5. Install hold-down seismic clips in areas indicated; space according to panel manufacturer's written instructions unless otherwise indicated.
  - 6. Protect lighting fixtures and air ducts according to requirements indicated for fire-resistance-rated assembly.

#### 3.4 ERECTION TOLERANCES

- A. Suspended Ceilings: Install main and cross runners level to a tolerance of **1/8 inch in 12 feet** , non-cumulative.
- B. Moldings and Trim: Install moldings and trim to substrate and level with ceiling suspension system to a tolerance of **1/8 inch in 12 feet** , non-cumulative.

#### 3.5 CLEANING

- A. Clean exposed surfaces of acoustical panel ceilings, including trim, edge moldings, and suspension-system members. Comply with manufacturer's written instructions for cleaning and touchup of minor finish damage.
- B. Remove and replace ceiling components that cannot be successfully cleaned and repaired to permanently eliminate evidence of damage.

END OF SECTION 09 51 26

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SECTION 09 65 13 - RESILIENT BASE AND ACCESSORIES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
  - 1. Vinyl base.
  - 2. Rubber base (Add. Alt. #2).

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples for Initial Selection: For each type of product indicated.

1.3 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Furnish not less than 10 linear feet for every 500 linear feet or fraction thereof, of each type, color, pattern, and size of resilient product installed.

1.4 QUALITY ASSURANCE

- A. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
  - 1. Coordinate mockups in this Section with mockups specified in other Sections.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Store resilient products and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F.

1.6 FIELD CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive resilient products during the following periods:
  - 1. 48 hours before installation.
  - 2. During installation.
  - 3. 48 hours after installation.
- B. After installation and until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Install resilient products after other finishing operations, including painting, have been completed.

PART 2 - PRODUCTS

2.1 VINYL BASE

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Armstrong World Industries, Inc
  - 2. Johnsonite; a Tarkett company
  - 3. Roppe Corporation; Roppe Holding Company
- B. Product Standard: ASTM F1861, Type TV (vinyl, thermoplastic).
  - 1. Group: I (solid, homogeneous).
  - 2. Style and Location:
    - a. Style B, Cove: Provide at all locations indicated on drawings and Room Finish Schedule.
- C. Minimum Thickness: 0.125 inch.
- D. Height: 4 inches.
- E. Lengths: Cut lengths 48 inches long or coils in manufacturer's standard length.
- F. Outside Corners: Job formed or preformed.
- G. Inside Corners: Job formed or preformed.
- H. Colors and Patterns: As selected by Architect from Manufacturer's full range of colors.

2.2 THERMOSET-RUBBER BASE (ADD. ALT. #2) (at Hangar addition)

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Flexco Corporation.
  - 2. Johnsonite, a Tarkett Company.
  - 3. Roppe Corporation; Roppe Holding Company.
- B. Product Standard: ASTM F1861, Type TS (rubber, vulcanized thermoset), Group I (solid, homogeneous).
- C. Style: Style B, Cove: Provide in hangar at areas of gypsum board installations.
- D. Thickness: 1/8 inch.
- E. Height: 4 inches.
- F. Lengths: Cut lengths in 48 inches long or coils in manufacturer's standard length.
- G. Outside Corners: Job formed or preformed.
- H. Inside Corners: Job formed or preformed.
- I. Colors: As selected by Architect from manufacturer's full range of colors.

2.3 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland-cement-based or blended hydraulic-cement-based formulation provided or approved by resilient-product manufacturer for applications indicated.
- B. Adhesives: Water-resistant type recommended by resilient-product manufacturer for resilient products and substrate conditions indicated.
- C. Metal Edge Strips: Extruded aluminum with mill finish, nominal 2 inches wide, of height required to protect exposed edges of flooring, and in maximum available lengths to minimize running joints.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
  - 1. Verify that finishes of substrates comply with tolerances and other requirements

specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of resilient products.

- B. Proceed with installation only after unsatisfactory conditions have been corrected.
  - 1. Installation of resilient products indicates acceptance of surfaces and conditions.

### 3.2 PREPARATION

- A. Prepare substrates according to manufacturer's written instructions to ensure adhesion of resilient products.
- B. Concrete Substrates for Resilient Stair Accessories: Prepare horizontal surfaces according to ASTM F710.
  - 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
  - 2. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by manufacturer. Do not use solvents.
  - 3. Alkalinity and Adhesion Testing: Perform tests recommended by manufacturer. Proceed with installation only after substrate alkalinity falls within range on pH scale recommended by manufacturer in writing, but not less than 5 or more than 9 pH.
  - 4. Moisture Testing: Perform tests so that each test area does not exceed 1000 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.
    - a. Anhydrous Calcium Chloride Test: ASTM F1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
    - b. Relative Humidity Test: Using in-situ probes, ASTM F2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.
- C. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound; remove bumps and ridges to produce a uniform and smooth substrate.
- D. Do not install resilient products until materials are the same temperature as space where they are to be installed.
  - 1. At least 48 hours in advance of installation, move resilient products and installation materials into spaces where they will be installed.
- E. Immediately before installation, sweep and vacuum clean substrates to be covered by resilient products.

### 3.3 RESILIENT BASE INSTALLATION

- A. Comply with manufacturer's written instructions for installing resilient base.
- B. Apply resilient base to walls, columns, pilasters, casework and cabinets in toe spaces, and other permanent fixtures in rooms and areas where base is required.
- C. Install resilient base in lengths as long as practical without gaps at seams and with tops of adjacent pieces aligned.
- D. Tightly adhere resilient base to substrate throughout length of each piece, with base in continuous contact with horizontal and vertical substrates.
- E. Do not stretch resilient base during installation.
- F. On masonry surfaces or other similar irregular substrates, fill voids along top edge of resilient base with manufacturer's recommended adhesive filler material.
- G. Preformed Corners: Install preformed corners before installing straight pieces.
- H. Job-Formed Corners:
  - 1. Outside Corners: Use straight pieces of maximum lengths possible and form with returns not less than 3 inches in length.
    - a. Form without producing discoloration (whitening) at bends.
  - 2. Inside Corners: Use straight pieces of maximum lengths possible and form with returns not less than 3 inches in length.
    - a. Miter or cope corners to minimize open joints.

### 3.4 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protecting resilient products.
- B. Perform the following operations immediately after completing resilient-product installation:
  - 1. Remove adhesive and other blemishes from surfaces.
  - 2. Sweep and vacuum horizontal surfaces thoroughly.
  - 3. Damp-mop horizontal surfaces to remove marks and soil.
- C. Protect resilient products from mars, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.
- D. Cover resilient products subject to wear and foot traffic until Substantial Completion.



SECTION 09 65 13 RESILIENT BASE AND  
ACCESSORIES

END OF SECTION 09 65 13

SECTION 09 65 19 - RESILIENT TILE FLOORING

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Solid vinyl floor tile.

1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

B. Shop Drawings: For each type of resilient floor tile.

1. Include floor tile layouts, edges, columns, doorways, enclosing partitions, built-in furniture, cabinets, and cutouts.
2. Show details of special patterns.

C. Samples for Initial Selection: For each type of floor tile indicated.

1.3 INFORMATIONAL SUBMITTALS

A. Qualification Data: For Installer.

1.4 CLOSEOUT SUBMITTALS

A. Maintenance Data: For each type of floor tile to include in maintenance manuals.

1.5 MAINTENANCE MATERIAL SUBMITTALS

A. Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Floor Tile: Furnish one box for every 50 boxes or fraction thereof, of each type, color, and pattern of floor tile installed.

1.6 QUALITY ASSURANCE

A. Installer Qualifications: An entity that employs installers and supervisors who are competent in techniques required by manufacturer for floor tile installation and seaming method indicated.

- B. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
  - 1. Coordinate mockups in this Section with mockups specified in other Sections.
    - a. Size: Minimum 100 sq. ft. for each type, color, and pattern in locations directed by Architect.
  - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Store floor tile and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F. Store floor tiles on flat surfaces.

#### 1.8 FIELD CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive floor tile during the following periods:
  - 1. 48 hours before installation.
  - 2. During installation.
  - 3. 48 hours after installation.
- B. After installation and until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Close spaces to traffic during floor tile installation.
- D. Close spaces to traffic for 48 hours after floor tile installation.
- E. Install floor tile after other finishing operations, including painting, have been completed.

### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For resilient floor tile, as determined by testing

identical products according to ASTM E648 or NFPA 253 by a qualified testing agency.

1. Critical Radiant Flux Classification: Class I, not less than 0.45 W/sq. cm.

## 2.2 LUXURY VINYL TILE (LVT)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Armstrong Flooring, Inc; Coalesce Luxury Vinyl Tile (LVT); Geome Pattern or comparable product by one of the following:
  1. Mannington Mills, Inc
  2. Shaw Industries Group, Inc.; Berkshire Hathaway Company
- B. Tile Standard: ASTM F1700.
  1. Class: Class III, Printed Film Vinyl Tile.
  2. Type: B, Embossed Surface.
- C. Thickness: 0.100 inch.
- D. Size: 18 by 36 inches.
- E. Colors and Patterns: As selected by Architect from manufacturers full selection.

## 2.3 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland-cement-based or blended hydraulic-cement-based formulation provided or approved by floor tile manufacturer for applications indicated.
- B. Adhesives: Water-resistant type recommended by floor tile and adhesive manufacturers to suit floor tile and substrate conditions indicated.
- C. Floor Polish: Provide protective, liquid floor-polish products recommended by floor tile manufacturer.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
  1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of

floor tile.

- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Prepare substrates according to floor tile manufacturer's written instructions to ensure adhesion of resilient products.
- B. Concrete Substrates: Prepare according to ASTM F710.
  - 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
  - 2. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by floor tile manufacturer. Do not use solvents.
  - 3. Alkalinity and Adhesion Testing: Perform tests recommended by floor tile manufacturer. Proceed with installation only after substrate alkalinity falls within range on pH scale recommended by manufacturer in writing, but not less than 5 or more than 9 pH.
  - 4. Moisture Testing: Perform tests so that each test area does not exceed 1000 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.
    - a. Anhydrous Calcium Chloride Test: ASTM F1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
    - b. Relative Humidity Test: Using in-situ probes, ASTM F2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.
- C. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound; remove bumps and ridges to produce a uniform and smooth substrate.
- D. Do not install floor tiles until materials are the same temperature as space where they are to be installed.
  - 1. At least 48 hours in advance of installation, move resilient floor tile and installation materials into spaces where they will be installed.
- E. Immediately before installation, sweep and vacuum clean substrates to be covered by resilient floor tile.

### 3.3 FLOOR TILE INSTALLATION

- A. Comply with manufacturer's written instructions for installing floor tile.
- B. Lay out floor tiles from center marks established with principal walls, discounting minor

offsets, so tiles at opposite edges of room are of equal width. Adjust as necessary to avoid using cut widths that equal less than one-half tile at perimeter.

1. Lay tiles in pattern indicated.
- C. Match floor tiles for color and pattern by selecting tiles from cartons in the same sequence as manufactured and packaged, if so numbered. Discard broken, cracked, chipped, or deformed tiles.
1. Lay tiles in pattern of colors and sizes indicated.
- D. Scribe, cut, and fit floor tiles to butt neatly and tightly to vertical surfaces and permanent fixtures including built-in furniture, cabinets, pipes, outlets, and door frames.
- E. Extend floor tiles into toe spaces, door reveals, closets, and similar openings. Extend floor tiles to center of door openings.
- F. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on floor tiles as marked on substrates. Use chalk or other nonpermanent marking device.
- G. Install floor tiles on covers for telephone and electrical ducts, building expansion-joint covers, and similar items in installation areas. Maintain overall continuity of color and pattern between pieces of tile installed on covers and adjoining tiles. Tightly adhere tile edges to substrates that abut covers and to cover perimeters.
- H. Adhere floor tiles to substrates using a full spread of adhesive applied to substrate to produce a completed installation without open cracks, voids, raising and puckering at joints, telegraphing of adhesive spreader marks, and other surface imperfections.

### 3.4 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protecting floor tile.
- B. Perform the following operations immediately after completing floor tile installation:
1. Remove adhesive and other blemishes from surfaces.
  2. Sweep and vacuum surfaces thoroughly.
  3. Damp-mop surfaces to remove marks and soil.
- C. Protect floor tile from mars, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.
- D. Cover floor tile until Substantial Completion.

END OF SECTION 09 65 19

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## SECTION 09 68 13 - TILE CARPETING

## PART 1 - GENERAL

## 1.1 SUMMARY

## A. Section Includes:

1. Carpet tile.

## B. Related Requirements:

1. Section 096513 "Resilient Base and Accessories", Section 096519 "Resilient Tile Flooring" for resilient wall base and accessories installed with carpet tile.

## 1.2 PREINSTALLATION MEETINGS

## A. Preinstallation Conference: Conduct conference at Project site.

1. Review methods and procedures related to carpet tile installation including, but not limited to, the following:
  - a. Review delivery, storage, and handling procedures.
  - b. Review ambient conditions and ventilation procedures.
  - c. Review subfloor preparation procedures.

## 1.3 ACTION SUBMITTALS

## A. Product Data: For each type of product.

1. Include manufacturer's written data on physical characteristics, durability, and fade resistance.
2. Include manufacturer's written installation recommendations for each type of substrate.

## B. Shop Drawings: For carpet tile installation, showing the following:

1. Columns, doorways, enclosing walls or partitions, built-in cabinets, and locations where cutouts are required in carpet tiles.
2. Carpet tile type, color, and dye lot.
3. Type of subfloor.
4. Type of installation.
5. Pattern of installation.
6. Pattern type, location, and direction.
7. Pile direction.
8. Type, color, and location of insets and borders.
9. Type, color, and location of edge, transition, and other accessory strips.



10. Transition details to other flooring materials.

- C. Samples for Initial Selection: Manufacturer's standard color sheets, showing full range of available colors for each type of carpet tile.
  - 1. Include Samples of exposed edge, transition, and other accessory stripping involving color or finish selection.
- D. Product Schedule: For carpet tile. Use same designations indicated on Drawings.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For carpet tile, for tests performed by a qualified testing agency.
- B. Qualification Statements: For Installer.
- C. Sample Warranties: For carpet tile.

#### 1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For carpet tiles. Include the following:
  - 1. Methods for maintaining carpet tile, including cleaning and stain-removal products and procedures and manufacturer's recommended maintenance schedule.
  - 2. Precautions for cleaning materials and methods that could be detrimental to carpet tile.

#### 1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Extra Stock Material: Furnish extra materials, from the same production run, to Owner that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Carpet Tile: Full-size units equal to 5 percent of amount installed for each type indicated, but no fewer than 10 full-size units.

#### 1.7 MOCKUPS

- A. Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for fabrication and installation.
  - 1. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Owner specifically approves such deviations by Change Order.
  - 2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

## 1.8 DELIVERY, STORAGE, AND HANDLING

- A. Comply with CRI 104.

## 1.9 FIELD CONDITIONS

- A. Comply with CRI 104 for temperature, humidity, and ventilation limitations.
- B. Environmental Limitations: Do not deliver or install carpet tiles until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, and ambient temperature and humidity conditions are maintained at levels planned for building occupants during the remainder of the construction period.
- C. Do not install carpet tiles over concrete slabs until slabs have cured and are sufficiently dry to bond with adhesive and concrete slabs have pH range recommended in writing by carpet tile manufacturer.
- D. Where demountable partitions or other items are indicated for installation on top of carpet tiles, install carpet tiles before installing these items.

## 1.10 WARRANTY

- A. Special Warranty for Carpet Tiles: Manufacturer agrees to repair or replace components of carpet tile installation that fail in materials or workmanship within specified warranty period.
  - 1. Warranty does not include deterioration or failure of carpet tile due to unusual traffic, failure of substrate, vandalism, or abuse.
  - 2. Failures include, but are not limited to, the following:
    - a. More than 10 percent loss of face fiber, edge raveling, snags, and runs.
    - b. Loss of tuft-bind strength.
    - c. Excess static discharge.
    - d. Delamination.
    - e. Dimensional instability.
  - 3. Warranty Period: 15 years from date of Substantial Completion.

## PART 2 - PRODUCTS

## 2.1 CARPET TILE

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Shaw Contract; Shaw Industries Group; Collection: Cultura; Style Name: Sierra Tile; Style Number: 5T524 or comparable product approved by the architect. Approved product must satisfactorily match basis-of-design product pattern and colors.

- B. Color: As selected by Architect from manufacturer's full range.
- C. Pattern: Sierra Tile, Provide Two colors.
- D. Fiber Type: Ecosolution Q100 Nylon.
- E. Pile Characteristic: Cut-and-loop pile.
- F. Density: 6448 oz./cu. yd..
- G. Stitches: 9 stitches per inch.
- H. Total Weight: 24 oz./sq. yd. for finished carpet tile.
- I. Primary Backing/Backcoating: Manufacturer's standard composite materials.
- J. Secondary Backing: Ecoworx Tile.
- K. Size: 18 by 36 inches.
- L. Applied Treatments:
  - 1. Soil-Resistance Treatment: Manufacturer's standard treatment.

## 2.2 INSTALLATION ACCESSORIES

- A. Trowelable Leveling and Patching Compounds: Latex-modified, hydraulic-cement-based formulation provided or recommended in writing by carpet tile manufacturer.
- B. Adhesives: Water-resistant, mildew-resistant, nonstaining, pressure-sensitive types to suit products and subfloor conditions indicated, that comply with flammability requirements for installed carpet tile, and that are recommended in writing by carpet tile manufacturer for releasable installation.
- C. Metal Edge/Transition Strips: Extruded aluminum with mill finish of profile and width shown, of height required to protect exposed edge of carpet, and of maximum lengths to minimize running joints.

## 2.3 WALK OFF CARPET

- A. Products
  - 1. Interface; Step Repeat Collection.
  - 2. J + J Flooring; Incognito Walk-Off.
  - 3. Mohawk Group; Step in Style II.
- B. Tile Size: 24 by 24 inches.
- C. Thickness: 0.145 inches.

- D. Color: As selected by Architect from manufacturer's full range.
- E. Tufted Pile Weight: 30 oz. per yard.
- F. Installation method: Quarter turn. Coordinate in field.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for maximum moisture content, alkalinity range, installation tolerances, and other conditions affecting carpet tile performance.
- B. Examine carpet tile for type, color, pattern, and potential defects.
- C. Concrete Slabs: Verify that finishes comply with requirements specified in Section 033000 "Cast-in-Place Concrete" and that surfaces are free of cracks, ridges, depressions, scale, and foreign deposits.
  - 1. Moisture Testing: Perform tests so that each test area does not exceed 1000 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.
    - a. Anhydrous Calcium Chloride Test: ASTM F1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
    - b. Relative Humidity Test: Using in situ probes, ASTM F2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.
    - c. Perform additional moisture tests recommended in writing by adhesive and carpet tile manufacturers. Proceed with installation only after substrates pass testing.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 PREPARATION

- A. General: Comply with CRI 104 and with carpet tile manufacturer's written installation instructions for preparing substrates.
- B. Use trowelable leveling and patching compounds, in accordance with manufacturer's written instructions, to fill cracks, holes, depressions, and protrusions in substrates. Fill or level cracks, holes and depressions 1/8 inch wide or wider, and protrusions more than 1/32 inch unless more stringent requirements are required by manufacturer's written instructions.
- C. Concrete Substrates: Remove coatings, including curing compounds, and other

substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, without using solvents. Use mechanical methods recommended in writing by adhesive and carpet tile manufacturers.

- D. Broom and vacuum clean substrates to be covered immediately before installing carpet tile.

### 3.3 INSTALLATION

- A. General: Comply with CRI 104, Section 10, "Carpet Tile," and with carpet tile manufacturer's written installation instructions.
- B. Installation Method: As recommended in writing by carpet tile manufacturer.
- C. Maintain dye-lot integrity. Do not mix dye lots in same area.
- D. Maintain pile-direction patterns recommended in writing by carpet tile manufacturer.
- E. Cut and fit carpet tile to butt tightly to vertical surfaces, permanent fixtures, and built-in furniture including cabinets, pipes, outlets, edgings, thresholds, and nosings. Bind or seal cut edges as recommended in writing by carpet tile manufacturer.
- F. Extend carpet tile into toe spaces, door reveals, closets, open-bottomed obstructions, removable flanges, alcoves, and similar openings.
- G. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on carpet tile as marked on subfloor. Use nonpermanent, nonstaining marking device.

### 3.4 CLEANING AND PROTECTION

- A. Perform the following operations immediately after installing carpet tile:
  - 1. Remove excess adhesive and other surface blemishes using cleaner recommended in writing by carpet tile manufacturer.
  - 2. Remove yarns that protrude from carpet tile surface.
  - 3. Vacuum carpet tile using commercial machine with face-beater element.
- B. Protect carpet tile against damage from construction operations and placement of equipment and fixtures during the remainder of construction period. Use protection methods indicated or recommended in writing by carpet tile manufacturer.

END OF SECTION 09 68 13

SECTION 09 91 13 - EXTERIOR PAINTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes surface preparation and the application of paint systems on exterior substrates.
  - 1. Concrete.
  - 2. Concrete masonry units (CMUs).
  - 3. Steel and iron.
  - 4. Galvanized metal.
  - 5. Stainless steel.
- B. Related Requirements:
  - 1. Section 05 50 00 "Metal Fabrications" for shop priming metal fabrications.

1.3 DEFINITIONS

- A. MPI Gloss Level 1: Not more than five units at 60 degrees and 10 units at 85 degrees, according to ASTM D 523.
- B. MPI Gloss Level 3: 10 to 25 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523.
- C. MPI Gloss Level 4: 20 to 35 units at 60 degrees and not less than 35 units at 85 degrees, according to ASTM D 523.
- D. MPI Gloss Level 5: 35 to 70 units at 60 degrees, according to ASTM D 523.
- E. MPI Gloss Level 6: 70 to 85 units at 60 degrees, according to ASTM D 523.
- F. MPI Gloss Level 7: More than 85 units at 60 degrees, according to ASTM D 523.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include preparation requirements and application instructions.

1. Include printout of current "MPI Approved Products List" for each product category specified, with the proposed product highlighted.
2. Indicate VOC content.

B. Samples for Initial Selection: For each type of topcoat product.

#### 1.5 MAINTENANCE MATERIAL SUBMITTALS

A. Furnish extra materials , from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Paint: 5 percent, but not less than 1 gal. of each material and color applied.

#### 1.6 QUALITY ASSURANCE

A. Mockups: Apply mockups of each paint system indicated and each color and finish selected to verify preliminary selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.

1. Architect will select one surface to represent surfaces and conditions for application of each paint system.
  - a. Vertical and Horizontal Surfaces: Provide samples of at least 100 sq. ft..
  - b. Other Items: Architect will designate items or areas required.
2. Final approval of color selections will be based on mockups.
  - a. If preliminary color selections are not approved, apply additional mockups of additional colors selected by Architect at no added cost to Owner.
3. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.7 DELIVERY, STORAGE, AND HANDLING

A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.

1. Maintain containers in clean condition, free of foreign materials and residue.
2. Remove rags and waste from storage areas daily.

#### 1.8 FIELD CONDITIONS

A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.

- B. Do not apply paints in snow, rain, fog, or mist; when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Behr Paint Company; Behr Process Corporation.
  - 2. PPG Paints.
  - 3. Sherwin-Williams Company (The).
- B. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to products listed in the Exterior Painting Schedule for the paint category indicated.

### 2.2 PAINT, GENERAL

- A. Material Compatibility:
  - 1. Materials for use within each paint system shall be compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
  - 2. For each coat in a paint system, products shall be recommended in writing by topcoat manufacturers for use in paint system and on substrate indicated.
- B. Colors: As selected by Architect from manufacturer's full range .

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
  - 1. Concrete: 12 percent.
  - 2. Masonry (Clay and CMUs): 12 percent.
  - 3. Wood: 15 percent.
  - 4. Portland Cement Plaster: 12 percent.



5. Gypsum Board: 12 percent.
- C. Portland Cement Plaster Substrates: Verify that plaster is fully cured.
- D. Exterior Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
- E. Verify suitability of substrates, including surface conditions and compatibility, with existing finishes and primers.
- F. Proceed with coating application only after unsatisfactory conditions have been corrected.
  1. Application of coating indicates acceptance of surfaces and conditions.

### 3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates and paint systems indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
  1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
  1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- D. Concrete Substrates: Remove release agents, curing compounds, efflorescence, and chalk. Do not paint surfaces if moisture content or alkalinity of surfaces to be painted exceeds that permitted in manufacturer's written instructions.
- E. Masonry Substrates: Remove efflorescence and chalk. Do not paint surfaces if moisture content or alkalinity of surfaces or mortar joints exceeds that permitted in manufacturer's written instructions.
- F. Steel Substrates: Remove rust, loose mill scale, and shop primer if any. Clean using methods recommended in writing by paint manufacturer [.] but not less than the following:
  1. SSPC-SP 2.

- G. Shop-Primed Steel Substrates: Clean field welds, bolted connections, and areas where shop paint is abraded. Paint exposed areas with the same material as used for shop priming to comply with SSPC-PA 1 for touching up shop-primed surfaces.
- H. Galvanized-Metal Substrates: Remove grease and oil residue from galvanized sheet metal by mechanical methods to produce clean, lightly etched surfaces that promote adhesion of subsequently applied paints.

### 3.3 APPLICATION

- A. Apply paints according to manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual."
  - 1. Use applicators and techniques suited for paint and substrate indicated.
  - 2. Paint surfaces behind movable items same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed items with prime coat only.
  - 3. Paint both sides and edges of exterior doors and entire exposed surface of exterior door frames.
  - 4. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
  - 5. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.
- B. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- C. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
- D. Painting Fire Suppression, Plumbing, HVAC, Electrical, Communication, and Electronic Safety and Security Work:
  - 1. Paint the following work where exposed to view:
    - a. Equipment, including panelboards and switch gear.
    - b. Uninsulated metal piping.
    - c. Pipe hangers and supports.
    - d. Metal conduit.

### 3.4 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.

- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

### 3.5 EXTERIOR PAINTING SCHEDULE

#### A. Concrete Substrates, Nontraffic Surfaces:

- 1. High Performance Architectural Latex System MPI EXT 3.1P :
  - a. Prime Coat: Primer, alkali resistant, water based , MPI #3.
    - 1) Sherwin Williams; Loxon Concrete & Masonry Primer .
    - 2) PPG Architectural; Dulux Gripper Int/Ext Universal Acrylic Primer/Sealer.
    - 3) Behr Paint; Premium Plus, Exterior Multi-Surface Primer & Sealer.
  - b. Intermediate Coat: Latex, exterior, matching topcoat.
  - c. Topcoat: Latex, exterior, low sheen (MPI Gloss Level 3-4) MPI #315.
    - 1) Sherwin Williams; A89W1151 SuperPaint Exterior Latex Satin.
    - 2) PPG Architectural; Glidden One Coat Exterior Paint + Primer Satin.
    - 3) Behr Paint; Behr Pro, e600 Exterior Satin .

#### B. CMU Substrates:

- 1. High Performance Architectural Latex over w.b. alkali-resistant primer MPI EXT 4.2M
  - a. Prime Coat: Primer, alkali-resistant primer, water based, MPI #3.
    - 1) Behr Paint Company; Premium Plus, Exterior Multi-Surface Primer & Sealer.
    - 2) PPG Paints; Dulux, Gripper Int/Ext Acrylic Universal Primer/Sealer,
    - 3) Sherwin Williams; Loxon Concrete & Masonry Primer.
  - b. Intermediate Coat: HIPAC Latex MPI #315.
  - c. Top Coar: HIPAC Latex, MPI #315
    - 1) Behr Paint Company; Behr Pro, e600 Exterior Satin.
    - 2) PPG Paints; Glidden One Coat Exterior Pain - Primer Satin.
    - 3) Sherwin Williams; SuperPaint, Exterior Acrylic Latex Satin.

#### C. Steel and Iron Substrates:

- 1. Water-Based Light Industrial Coating System MPI EXT 5.1N:
  - a. Prime Coat: Primer, alkyd, anti-corrosive MPI #79.
    - 1) Sherwin-Williams; Protective & Marine; B50WZ4 kem Bond HS High Solids Alkyd Universal Metal Primer
  - b. Intermediate Coat: Light industrial coating, exterior, water based, matching topcoat.
  - c. Topcoat: Light industrial coating, exterior, water based, semi-gloss (MPI Gloss Level 5) , MPI #163.
    - 1) Sherwin-Williams; Pro Industrial; DTM Semi-Gloss .
    - 2) Behr Paint; Behr Premium; Int/Ext Direct to Metal Semi-Gloss Paint

D. Galvanized-Metal Substrates:

1. Latex System MPI EXT 5.3M :
  - a. Prime Coat: Primer, galvanized, water based , MPI #134.
    - 1) Sherwin Williams; Pro Industrial, DTM Acrylic Primer/Finish
    - 2) PPG Architectural; Dulux Weatherguard 100% Acrylic Exterior Primer.
    - 3) Behr Paint; Premium Plus; Exterior Multi-Surface Primer & Sealer
  - b. Intermediate Coat: Latex, exterior, matching topcoat.
  - c. Topcoat: Latex, exterior, semi-gloss (MPI Gloss Level 5) MPI #311.
    - 1) Sherwin-Williams; A-100; Exterior Latex Gloss .
    - 2) PPG Architectural; Glidden One Coat Exterior Paint + Primer Satin
    - 3) Behr Paint; Behr Pro; e600 Exterior Satin.

E. Stainless-Steel Substrates:

1. Latex System MPI EXT 5.6H:
  - a. Prime Coat: Primer, bonding, solvent based.
    - 1) Sherwin Williams ; B71Y1 DTM Wash Primer.
    - 2) PPG Architectural; Seal Grip Interior/Exterior Alkyd Universal Primer/Sealer.
  - b. Intermediate Coat: Latex, exterior, matching topcoat.
  - c. Topcoat: HIPAC Latex, (MPI Gloss Level 3-4) MPI #315.
    - 1) Sherwin Williams; A89W1151 SuperPaint Exterior Latex Satin.
    - 2) PPG Architectural; Glidden One Coat Exterior Paint + Primer Satin.

END OF SECTION 09 91 13

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SECTION 09 91 23 - INTERIOR PAINTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY (BASE BID):

- A. Section includes surface preparation and the application of paint systems on the following interior substrates:
  - 1. Steel and iron.
  - 2. Galvanized metal.
  - 3. Wood.
  - 4. Gypsum board.
  - 5. Wood Bar Top.

1.3 DEFINITIONS

- A. MPI Gloss Level 1: Not more than five units at 60 degrees and 10 units at 85 degrees, according to ASTM D 523.
- B. MPI Gloss Level 2: Not more than 10 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523.
- C. MPI Gloss Level 3: 10 to 25 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D 523.
- D. MPI Gloss Level 4: 20 to 35 units at 60 degrees and not less than 35 units at 85 degrees, according to ASTM D 523.
- E. MPI Gloss Level 5: 35 to 70 units at 60 degrees, according to ASTM D 523.
- F. MPI Gloss Level 6: 70 to 85 units at 60 degrees, according to ASTM D 523.
- G. MPI Gloss Level 7: More than 85 units at 60 degrees, according to ASTM D 523.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include preparation requirements and application instructions.

1. Include Printout of current "MPI Approved Products List" for each product category specified, with the proposed product highlighted.
  2. Indicate VOC content.
- B. Samples for Color Selection: Provide color chips of manufacturer's full range of colors for selection and coordination purposes.
- C. Product List: Cross-reference to paint system and locations of application areas. Use same designations indicated on Drawings and in schedules. Include color designations.

#### 1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials , from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
1. Paint: 5 percent, but not less than **1 gal.** of each material and color applied.

#### 1.6 QUALITY ASSURANCE

- A. Mockups: Apply mockups of each paint system indicated and each color and finish selected to verify preliminary selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
1. Architect will select one surface to represent surfaces and conditions for application of each paint system.
    - a. Vertical and Horizontal Surfaces: Provide samples of at least **100 sq. ft.**
    - b. Other Items: Architect will designate items or areas required.
  2. Final approval of color selections will be based on mockups.
    - a. If preliminary color selections are not approved, apply additional mockups of additional colors selected by Architect at no added cost to Owner.
  3. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Architect specifically approves such deviations in writing.
  4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.7 DELIVERY, STORAGE, AND HANDLING

- A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than **45 deg F.**
1. Maintain containers in clean condition, free of foreign materials and residue.
  2. Remove rags and waste from storage areas daily.

1.8 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply paints when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Behr Paint Company; Behr Process Corporation.
  - 2. PPG Paints.
  - 3. Sherwin-Williams Company (The).
- B. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to products listed in the Interior Painting Schedule for the paint category indicated.

2.2 PAINT, GENERAL

- A. MPI Standards: Products shall comply with MPI standards indicated and shall be listed in its "MPI Approved Products Lists."
- B. Material Compatibility:
  - 1. Materials for use within each paint system shall be compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
  - 2. For each coat in a paint system, products shall be recommended in writing by topcoat manufacturers for use in paint system and on substrate indicated.
- C. Colors: As selected by Architect from manufacturer's full range .
  - 1. Thirty percent of surface area will be painted with deep tones.



## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
  - 1. Wood: 15 percent.
  - 2. Gypsum Board: 12 percent.
- C. Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
- D. Verify suitability of substrates, including surface conditions and compatibility, with existing finishes and primers.
- E. Proceed with coating application only after unsatisfactory conditions have been corrected.
  - 1. Application of coating indicates acceptance of surfaces and conditions.

### 3.2 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates and paint systems indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
  - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection if any.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
  - 1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- D. Steel Substrates: Remove rust, loose mill scale, and shop primer, if any. Clean using methods recommended in writing by paint manufacturer [.] but not less than the following:
  - 1. SSPC-SP 3.

2. SSPC-SP 11.
- E. Shop-Primed Steel Substrates: Clean field welds, bolted connections, and areas where shop paint is abraded. Paint exposed areas with the same material as used for shop priming to comply with SSPC-PA 1 for touching up shop-primed surfaces.
- F. Galvanized-Metal Substrates: Remove grease and oil residue from galvanized sheet metal by mechanical methods to produce clean, lightly etched surfaces that promote adhesion of subsequently applied paints.
- G. Wood Substrates:
  1. Scrape and clean knots, and apply coat of knot sealer before applying primer.
  2. Sand surfaces that will be exposed to view, and dust off.
  3. Prime edges, ends, faces, undersides, and backsides of wood.
  4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dried.

### 3.3 APPLICATION

- A. Apply paints according to manufacturer's written instructions and to recommendations in "MPI Manual."
  1. Use applicators and techniques suited for paint and substrate indicated.
  2. Paint surfaces behind movable equipment and furniture same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed equipment or furniture with prime coat only.
  3. Paint front and backsides of access panels, removable or hinged covers, and similar hinged items to match exposed surfaces.
  4. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
  5. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.
- B. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- C. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
- D. Painting Fire Suppression, Plumbing, HVAC, Electrical, Communication, and Electronic Safety and Security Work:
  1. Paint the following work where exposed in equipment rooms:
    - a. Equipment, including panelboards and switch gear.
    - b. Uninsulated metal piping.
    - c. Uninsulated plastic piping.
    - d. Pipe hangers and supports.
    - e. Metal conduit.

- f. Plastic conduit.
  - g. Tanks that do not have factory-applied final finishes.
  - h. Duct, equipment, and pipe insulation having cotton or canvas insulation covering or other paintable jacket material.
  - i. .
2. Paint the following work where exposed in occupied spaces:
- a. Equipment, including panelboards.
  - b. Uninsulated metal piping.
  - c. Uninsulated plastic piping.
  - d. Pipe hangers and supports.
  - e. Metal conduit.
  - f. Plastic conduit.
  - g. Duct, equipment, and pipe insulation having cotton or canvas insulation covering or other paintable jacket material.
  - h. Other items as directed by Architect.
3. Paint portions of internal surfaces of metal ducts, without liner, behind air inlets and outlets that are visible from occupied spaces.

### 3.4 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

### 3.5 INTERIOR PAINTING SCHEDULE

- A. Steel Substrates:
  - 1. High-Performance Architectural Latex System MPI INT 5.1R :
    - a. Prime Coat: Primer, alkyd, quick dry, for metal , MPI #76.
      - 1) Sherwin Williams; Protective & Marine, Kem Bond HS Universal ALkyd Primer .
      - 2) PPG Architectural; Protective and Marine Coatings, Multiprime 4160 / Devguard 4160.
    - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
    - c. Topcoat: Latex, interior, high performance architectural (MPI Gloss Level 3) , MPI #139.
      - 1) Sherwin Williams; Pro Industrial Acrylic, Acrylic Eg-Shel .

- 2) PPG Architectural; Dulux, Lifemaster Interior 100% Acrylic Pearl.
  2. Alkyd Dry-Fall System MPI INT 5.1D :
    - a. Prime Coat: Primer, alkyd, quick dry, for metal , MPI #76.
      - 1) Sherwin Williams; Protective & Marine, Kem Bond HS Universal Alkyd Primer .
      - 2) PPG Architectural; Protective and Marine Coatings, Multiprime 4160 / Devguard 4160.
    - b. Topcoat: Dry fall, alkyd, flat , MPI #55.
- B. Galvanized-Metal Substrates:
1. High-Performance Architectural Latex System MPI INT 5.3M:
    - a. Prime Coat: Primer, galvanized, water based , MPI #134.
      - 1) Sherwin Williams; Pro Industrial, DTM Acrylic Primer/Finish .
      - 2) PPG Archtiectural; Corrostop Ultra, Metal Primer for Rust-Free Galvanized Metal.
      - 3) Behr Paint; Premium Plus, Exterior Multi-Surface Primer & Sealer.
    - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
    - c. Topcoat: Latex, interior, high performance architectural, semi-gloss (MPI Gloss Level 5) , MPI #141.
      - 1) Sherwin Williams; Pro Industrial Pre-Cat Epoxy Semi-Gloss .
      - 2) PPG Architectural; Dulux, Lifemaster Interior 100% Acrylic Semi-Gloss.
      - 3) Behr Paint; Behr Pro HPC, Pre-Catalyzed WB Epoxy Semi-Gloss.
  2. Alkyd Dry-Fall System (Cementitious Primer) MPI INT 5.3F:
    - a. Prime Coat: Primer, galvanized, cementitious , MPI #26.
    - b. Topcoat: Dry fall, alkyd, flat , MPI #55.
- C. Wood Substrates: Wood trim and wood board paneling.
1. Alkyd System MPI INT 6.3B:
    - a. Prime Coat: Primer sealer, alkyd, interior , MPI #45.
      - 1) Sherwin Williams; Extreme block, Extreme Block Stain Blocking Primer/Sealer .
      - 2) PPG Architectural; Seal Grip Interior Exterior Alkyd Universal Primer Sealer.
    - b. Intermediate Coat: Alkyd, interior, matching topcoat.
    - c. Topcoat: Alkyd, interior, semi-gloss (MPI Gloss Level 5) , MPI #47.
      - 1) Sherwin Williams; ProMar 200, Alkyd Semi-Gloss .
      - 2) PPG Architectural; Glyptex Interior Alkyd Enamel Semi-Gloss.
- D. Gypsum Board Substrates:
1. High-Performance Architectural Latex System MPI INT 9.2B:
    - a. Prime Coat: Primer sealer, latex, interior , MPI #50.
      - 1) Sherwin Williams; ProMar 200 Zero .
      - 2) PPG Architectural; Dulux, Lifemaster Interior Acrylic Primer/Sealer.
      - 3) Behr Paint; Drywall Plus Interior Primer & Sealer.
    - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.

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- c. Topcoat: Latex, interior, high performance architectural (MPI Gloss Level 3), MPI #139.
  - 1) Sherwin Williams; Pre-Catalyzed Epoxy Eg-Shel .
  - 2) PPG Architectural; Dulux, Lifemaster Interior 100% Acrylic Pearl.
  - 3) Behr Paint; Behr Pro HPC, Pre-Catalyzed WB Epoxy Eggshell.

END OF SECTION 09 91 23

SECTION 09 93 00 - STAINING AND TRANSPARENT FINISHING

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Wood stains.
2. Transparent finishes.

B. Related Requirements:

1. Section 099123 "Interior Painting" for stains and transparent finishes on concrete floors.

1.2 ACTION SUBMITTALS

A. Product Data:

1. For each type of product.
2. Include preparation requirements and application instructions.
3. Indicate VOC content.

B. Samples for Verification: Sample for each type of finish system and in each color and gloss of finish required on representative samples of actual wood substrates.

1. Size: 8 inches square.
2. Apply coats on Samples in steps to show each coat required for system.
3. Label each coat of each Sample.
4. Label each Sample for location and application area.

C. Product List: Cross-reference to finish system and locations of application areas. Use same designations indicated on Drawings and in schedules. Include color designations.

1.3 MAINTENANCE MATERIAL SUBMITTALS

A. Extra Stock Material: Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Stains and Transparent Finishes: 5 percent, but not less than 1 gal. of each material and color applied.

#### 1.4 MOCKUPS

- A. Apply mockups of each finish system indicated and each color selected to verify preliminary selections made under Sample submittals, to demonstrate aesthetic effects, to set quality standards for materials and execution.
  - 1. Architect will select one surface to represent surfaces and conditions for application of each type of finish system and substrate.
    - a. Vertical and Horizontal Surfaces: Provide samples of at least 100 sq. ft..
    - b. Other Items: Architect will designate items or areas required.
  - 2. Final approval of stain color selections will be based on mockups.
    - a. If preliminary stain color selections are not approved, apply additional mockups of additional stain colors selected by Architect at no added cost to Owner.
  - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
  - 1. Maintain containers in clean condition, free of foreign materials and residue.
  - 2. Remove rags and waste from storage areas daily.

#### 1.6 FIELD CONDITIONS

- A. Apply finishes only when temperature of surfaces to be finished and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply finishes when relative humidity exceeds 85 percent, at temperatures of less than 5 deg F above the dew point, or to damp or wet surfaces.
- C. Do not apply exterior finishes in snow, rain, fog, or mist.

### PART 2 - PRODUCTS

#### 2.1 SOURCE LIMITATIONS

- A. Source Limitations: Obtain each coating product from single source from single manufacturer.

## 2.2 STAINING AND TRANSPARENT FINISHING

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. PPG Paints; PPG Industries, Inc.
  - 2. Pratt & Lambert; a subsidiary of The Sherwin-Williams Company
  - 3. Sherwin-Williams Company (The)
- B. Material Compatibility:
  - 1. Provide materials for use within each coating system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
- C. Stain Colors: As selected by Architect from manufacturer's full range.

## 2.3 TRANSPARENT FINISHES

- A. Varnish, Interior, Polyurethane, Oil Modified, Satin: Solvent-based, one-component, oil-modified polyurethane clear satin varnish for new or previously varnished or stained interior wood surfaces.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. PPG Paints; PPG Industries, Inc.
    - b. Rust-Oleum Corporation; a subsidiary of RPM International, Inc.
    - c. Sherwin-Williams Company (The)
  - 2. Gloss and Sheen Level: Manufacturer's standard low-sheen finish.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Maximum Moisture Content of Exterior Wood Substrates: 15 percent, when measured with an electronic moisture meter.
- C. Maximum Moisture Content of Interior Wood Substrates: 10 percent, when measured with an electronic moisture meter.
- D. Verify suitability of substrates, including surface conditions and compatibility with



existing finishes and primers.

- E. Proceed with finish application only after unsatisfactory conditions have been corrected.
  - 1. Beginning finish application constitutes Contractor's acceptance of substrates and conditions.

### 3.2 PREPARATION

- A. Remove hardware, covers, plates, and similar items already in place that are removable. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and finishing.
  - 1. After completing finishing operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection if any.
- B. Clean and prepare surfaces to be finished according to manufacturer's written instructions for each substrate condition and as specified.
  - 1. Remove dust, dirt, oil, and grease by washing with a detergent solution; rinse thoroughly with clean water and allow to dry. Remove grade stamps and pencil marks by sanding lightly. Remove loose wood fibers by brushing.
  - 2. Remove mildew by scrubbing with a commercial wash formulated for mildew removal and as recommended by stain manufacturer.
- C. Interior Wood Substrates:
  - 1. Scrape and clean knots, and apply coat of knot sealer before applying primer.
  - 2. Apply wood filler paste to open-grain woods to produce smooth, glasslike finish.
  - 3. Sand surfaces exposed to view and dust off.
  - 4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dry.

### 3.3 APPLICATION

- A. Apply finishes according to manufacturer's written instructions.
  - 1. Use applicators and techniques suited for finish and substrate indicated.
  - 2. Finish surfaces behind movable equipment and furniture same as similar exposed surfaces.
  - 3. Do not apply finishes over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
- B. Apply finishes to produce surface films without cloudiness, holidays, lap marks, brush marks, runs, ropiness, or other surface imperfections.

3.4 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing finish application, clean spattered surfaces. Remove spattered materials by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. Protect work of other trades against damage from finish application. Correct damage by cleaning, repairing, replacing, and refinishing, as approved by Architect, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced finished wood surfaces.

3.5 INTERIOR WOOD-FINISH-SYSTEM SCHEDULE

- A. Wood Substrates, Wood Trim, multipanel folding wood-framed glass door, and Wood Board Paneling:
  - 1. Polyurethane Varnish System:
    - a. Prime Coat: Polyurethane varnish matching topcoat.
    - b. Intermediate Coat: Polyurethane varnish matching topcoat.
    - c. Topcoat: Varnish, interior, polyurethane, oil modified, satin.
  - 2. Polyurethane Varnish over Stain System:
    - a. Stain Coat: Stain, semitransparent, for interior wood.
    - b. First Intermediate Coat: Polyurethane varnish matching topcoat.
    - c. Second Intermediate Coat: Polyurethane varnish matching topcoat.
    - d. Topcoat: Varnish, interior polyurethane, oil modified, satin.

END OF SECTION 09 93 00

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SECTION 10 14 16 - PLAQUES

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Metal plaques.

B. Related Requirements:

1. Section 101423.16 "Room-Identification Panel Signage" for plaques or signs similar to metal plaques, with or without frames, except that they are made of materials other than solid metal.

1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

B. Shop Drawings: For plaques.

1. Include fabrication and installation details and attachments to other work.
2. Show plaque mounting heights, locations of supplementary supports to be provided by other installers, and accessories.
3. Show message list, typestyles, graphic elements, including raised characters and Braille, and layout for each plaque at least half size.

C. Samples for Initial Selection: For each type of plaque, exposed component, and exposed finish.

1. Include representative Samples of available typestyles and graphic symbols.

D. Product Schedule: For plaques. Use same designations indicated on Drawings or specified.

1.3 INFORMATIONAL SUBMITTALS

A. Qualification Data: For manufacturer.

B. Sample Warranty: For special warranty.

1.4 CLOSEOUT SUBMITTALS

A. Maintenance Data: For plaques to include in maintenance manuals.

1.5 QUALITY ASSURANCE

- A. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer.

1.6 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of plaques that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Deterioration of finishes beyond normal weathering.
    - b. Deterioration of embedded graphic image.
  - 2. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Accessibility Standard: Comply with applicable provisions in the ABA standards of the Federal agency having jurisdiction, and, ICC A117.1.

2.2 METAL PLAQUES

- A. Cast Plaque: Cast-metal plaque with background texture, border, and characters having uniform faces, sharp corners, and precisely formed lines and profiles; and as follows:
  - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. A.R.K. Ramos
    - b. Erie Landmark Company; a division of Paul W. Zimmerman Foundaries, Inc.
    - c. Gemini Signage; Gemini, Inc.
  - 2. Plaque Material: Cast bronze.
  - 3. Plaque Thickness: 0.50 inch.
  - 4. Finishes:
    - a. Integral Metal Finish: As selected by Architect from full range of industry finishes.
    - b. Overcoat: Manufacturer's standard baked-on clear coating.

5. Background Texture: Leatherette.
6. Integrally Cast Border Style: Square double line, polished.
7. Mounting: Rosette-head through fasteners.
8. Text and Typeface: Typeface as selected by Architect from manufacturer's full range, and, variable content as scheduled.

### 2.3 MATERIALS

- A. Bronze Castings: ASTM B584, alloy recommended by manufacturer and finisher for finish indicated.
- B. Bronze Plate: ASTM B36/B36M, alloy recommended by manufacturer and finisher for finish indicated.

### 2.4 ACCESSORIES

- A. Fasteners and Anchors: Manufacturer's standard as required for secure anchorage of plaques, noncorrosive and compatible with each material joined, and complying with the following:
  1. Exposed Metal-Fastener Components, General:
    - a. Fabricated from same basic metal and finish of fastened metal unless otherwise indicated.
  2. Plaque Mounting Fasteners:
    - a. Through Fasteners: Exposed metal fasteners matching plaque finish, with type of head indicated, installed in predrilled holes.

### 2.5 FABRICATION

- A. General: Provide manufacturer's standard plaques according to requirements indicated.
  1. Preassemble plaques in the shop to greatest extent possible. Disassemble plaques only as necessary for shipping and handling limitations. Clearly mark units for reassembly and installation; apply markings in locations concealed from view after final assembly.
  2. Mill joints to a tight, hairline fit. Form assemblies and joints exposed to weather to resist water penetration and retention.
  3. Comply with AWS for recommended practices in welding and brazing. Provide welds and brazes behind finished surfaces without distorting or discoloring exposed side. Clean exposed welded and brazed connections of flux, and dress exposed and contact surfaces.
  4. Conceal connections if possible; otherwise, locate connections where they are inconspicuous.
  5. Provide rabbets, lugs, and tabs necessary to assemble components and to

attach to existing work. Drill and tap for required fasteners. Use concealed fasteners where possible; use exposed fasteners that match plaque finish.

6. Castings: Fabricate castings free of warp, cracks, blowholes, pits, scale, sand holes, and other defects that impair appearance or strength. Grind, wire brush, sandblast, and buff castings to remove seams, gate marks, casting flash, and other casting marks before finishing.

- B. Surface-Engraved Graphics: Machine-engrave characters and other graphic devices into indicated plaque surface to produce precisely formed copy, incised to uniform depth.

1. Engraved Metal: Fill engraved graphics with manufacturer's standard baked enamel.

## 2.6 GENERAL FINISH REQUIREMENTS

- A. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.
- C. Directional Finishes: Run grain with long dimension of each piece and perpendicular to long dimension of finished trim or border surface unless otherwise indicated.
- D. Organic, Anodic, and Chemically Produced Finishes: Apply to formed metal after fabrication but before applying contrasting polished finishes on raised features unless otherwise indicated.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance.
- B. Verify that plaque-support surfaces are within tolerances to accommodate plaques without gaps or irregularities between backs of plaques and support surfaces unless otherwise indicated.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION OF METAL PLAQUES

- A. General: Install plaques using mounting methods indicated and according to manufacturer's written instructions.

1. Install plaques level, plumb, true to line, and at locations and heights indicated, with plaque surfaces free of distortion and other defects in appearance.
2. Install plaques so they do not protrude or obstruct according to the accessibility standard.
3. Before installation, verify that plaque surfaces are clean and free of materials or debris that would impair installation.
4. Corrosion Protection: Coat concealed surfaces of exterior aluminum in contact with grout, concrete, masonry, wood, or dissimilar metals, with a heavy coat of bituminous paint.

B. Mounting Methods:

1. Through Fasteners: Drill holes in substrate using predrilled holes in plaque as template. Countersink holes in plaque if required. Place plaque in position and flush to surface. Install through fasteners and tighten.

3.3 ADJUSTING AND CLEANING

- A. Remove and replace damaged or deformed plaques and plaques that do not comply with specified requirements. Replace plaques with damaged or deteriorated finishes or components that cannot be successfully repaired by finish touchup or similar minor repair procedures.
- B. Remove temporary protective coverings and strippable films as plaques are installed.
- C. On completion of installation, clean exposed surfaces of plaques according to manufacturer's written instructions, and touch up minor nicks and abrasions in finish. Maintain plaques in a clean condition during construction and protect from damage until acceptance by Owner.

END OF SECTION 10 14 16



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SECTION 10 14 19 - DIMENSIONAL LETTER SIGNAGE

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
  - 1. Dimensional characters.
    - a. Cast dimensional characters.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For signs.
  - 1. Include fabrication and installation details and attachments to other work.
  - 2. Show sign mounting heights, locations of supplementary supports to be provided by other installers, and accessories.
  - 3. Show message list, typestyles, graphic elements, and layout for each sign at least half size.
- C. Samples for Initial Selection: For each type of sign assembly, exposed component, and exposed finish.
  - 1. Include representative Samples of available typestyles and graphic symbols.

1.3 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer and manufacturer.
- B. Sample Warranty: For special warranty.

1.4 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For signs to include in maintenance manuals.

1.5 FIELD CONDITIONS

- A. Field Measurements: Verify locations of electrical service embedded in permanent construction by other installers by field measurements before fabrication, and indicate measurements on Shop Drawings.

1.6 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of signs that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Deterioration of finishes beyond normal weathering.
    - b. Separation or delamination of sheet materials and components.
  - 2. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 DIMENSIONAL CHARACTERS

- A. Cast Characters: Characters with uniform faces, sharp corners, and precisely formed lines and profiles, and as follows:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. A.R.K. Ramos
    - b. ASI Sign Systems, Inc
    - c. Gemini Signage; Gemini, Inc.
  - 2. Character Material: Cast aluminum.
  - 3. Character Height: As indicated on Drawings.
  - 4. Thickness: Manufacturer's standard for size of character.
  - 5. Finishes:
    - a. Integral Aluminum Finish: Anodized color as selected by Architect from full range of industry colors and color densities.
  - 6. Mounting: Projecting studs.
  - 7. Typeface: Candara.

2.2 DIMENSIONAL CHARACTER MATERIALS

- A. Aluminum Castings: ASTM B26/B26M, alloy and temper recommended by sign manufacturer for casting process used and for type of use and finish indicated.
- B. Aluminum Sheet and Plate: ASTM B209, alloy and temper recommended by aluminum producer and finisher for type of use and finish indicated.
- C. Aluminum Extrusions: ASTM B221, alloy and temper recommended by aluminum producer and finisher for type of use and finish indicated.

- D. Stainless Steel Sheet: ASTM A240/A240M or ASTM A666, Type 316, stretcher-leveled standard of flatness.

### 2.3 ACCESSORIES

- A. Fasteners and Anchors: Manufacturer's standard as required for secure anchorage of signs, noncorrosive and compatible with each material joined, and complying with the following:
1. Use concealed fasteners and anchors unless indicated to be exposed.
  2. For exterior exposure, furnish stainless steel devices unless otherwise indicated.
  3. Exposed Metal-Fastener Components, General:
    - a. Fabricated from same basic metal and finish of fastened metal unless otherwise indicated.
    - b. Fastener Heads: For nonstructural connections, use flathead or oval countersunk screws and bolts with tamper-resistant Allen-head slots unless otherwise indicated.
  4. Sign Mounting Fasteners:
    - a. Projecting Studs: Threaded studs with sleeve spacer, welded or brazed to back of sign material, screwed into back of sign assembly, or screwed into tapped lugs cast integrally into back of cast sign material, unless otherwise indicated.
- B. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D1187/D1187M.

### 2.4 FABRICATION

- A. General: Provide manufacturer's standard sign assemblies according to requirements indicated.
1. Preassemble signs and assemblies in the shop to greatest extent possible. Disassemble signs and assemblies only as necessary for shipping and handling limitations. Clearly mark units for reassembly and installation; apply markings in locations concealed from view after final assembly.
  2. Mill joints to a tight, hairline fit. Form assemblies and joints exposed to weather to resist water penetration and retention.
  3. Comply with AWS for recommended practices in welding and brazing. Provide welds and brazes behind finished surfaces without distorting or discoloring exposed side. Clean exposed welded and brazed connections of flux, and dress exposed and contact surfaces.
  4. Conceal connections if possible; otherwise, locate connections where they are inconspicuous.
  5. Internally brace dimensional characters for stability, to meet structural performance loading without oil-canning or other surface deformation, and for securing fasteners.

6. Provide rabbets, lugs, and tabs necessary to assemble components and to attach to existing work. Drill and tap for required fasteners. Use concealed fasteners where possible; use exposed fasteners that match sign finish.
7. Castings: Fabricate castings free of warp, cracks, blowholes, pits, scale, sand holes, and other defects that impair appearance or strength. Grind, wire brush, sandblast, and buff castings to remove seams, gate marks, casting flash, and other casting marks before finishing.

B. Brackets: Fabricate brackets, fittings, and hardware for bracket-mounted signs to suit sign construction and mounting conditions indicated. Modify manufacturer's standard brackets as required.

1. Stainless Steel Brackets: Factory finish brackets to match sign background finish unless otherwise indicated.

## 2.5 GENERAL FINISH REQUIREMENTS

- A. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.
- C. Directional Finishes: Run grain with long dimension of each piece and perpendicular to long dimension of finished trim or border surface unless otherwise indicated.
- D. Organic, Anodic, and Chemically Produced Finishes: Apply to formed metal after fabrication but before applying contrasting polished finishes on raised features unless otherwise indicated.

## 2.6 ALUMINUM FINISHES

- A. Color Anodic Finish: AAMA 611, Class I, 0.018 mm or thicker.

## 2.7 STAINLESS STEEL FINISHES

- A. Surface Preparation: Remove tool and die marks and stretch lines, or blend into finish.
- B. Polished Finishes: Grind and polish surfaces to produce uniform finish, free of cross scratches.
  1. When polishing is completed, passivate and rinse surfaces. Remove embedded foreign matter and leave surfaces chemically clean.
  2. Directional Satin Finish: No. 4.
  3. Dull Satin Finish: No. 6.
  4. Reflective, Directional Polish: No. 7.
  5. Mirrorlike Reflective, Nondirectional Polish: No. 8.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance.
- B. Verify that sign-support surfaces are within tolerances to accommodate signs without gaps or irregularities between backs of signs and support surfaces unless otherwise indicated.
- C. Verify that electrical service is correctly sized and located to accommodate signs.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION OF DIMENSIONAL CHARACTERS

- A. General: Install signs using mounting methods indicated and according to manufacturer's written instructions.
  - 1. Install signs level, plumb, true to line, and at locations and heights indicated, with sign surfaces free of distortion and other defects in appearance.
  - 2. Before installation, verify that sign surfaces are clean and free of materials or debris that would impair installation.
  - 3. Corrosion Protection: Coat concealed surfaces of exterior aluminum in contact with grout, concrete, masonry, wood, or dissimilar metals, with a heavy coat of bituminous paint.
- B. Mounting Methods:
  - 1. Projecting Studs: Using a template, drill holes in substrate aligning with studs on back of sign. Remove loose debris from hole and substrate surface.
    - a. Masonry Substrates: Fill holes with adhesive. Leave recess space in hole for displaced adhesive. Place spacers on studs, place sign in position, and push until spacers are pinched between sign and substrate, embedding the stud ends in holes. Temporarily support sign in position until adhesive fully sets.
    - b. Thin or Hollow Surfaces: Place spacers on studs, place sign in position with spacers pinched between sign and substrate, and install washers and nuts on stud ends projecting through opposite side of surface, and tighten.
  - 2. Through Fasteners: Drill holes in substrate using predrilled holes in sign as template. Countersink holes in sign if required. Place sign in position and flush to surface. Install through fasteners and tighten.
  - 3. Back Bar and Brackets: Remove loose debris from substrate surface and install backbar or bracket supports in position, so that signage is correctly located and aligned.

3.3 ADJUSTING AND CLEANING

- A. Remove and replace damaged or deformed characters and signs that do not comply with specified requirements. Replace characters with damaged or deteriorated finishes or components that cannot be successfully repaired by finish touchup or similar minor repair procedures.
- B. Remove temporary protective coverings and strippable films as signs are installed.
- C. On completion of installation, clean exposed surfaces of signs according to manufacturer's written instructions, and touch up minor nicks and abrasions in finish. Maintain signs in a clean condition during construction and protect from damage until acceptance by Owner.

END OF SECTION 10 14 19

SECTION 10 14 23.16 - ROOM-IDENTIFICATION PANEL SIGNAGE

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes room-identification signs that are directly attached to the building.
- B. Related Requirements:
  - 1. Section 101416 "Plaques" for one-piece, solid metal signs, with or without frames, that are used for high-end room-identification.

1.2 DEFINITIONS

- A. Accessible: In accordance with the accessibility standard.

1.3 COORDINATION

- A. Furnish templates for placement of sign-anchorage devices embedded in permanent construction by other installers.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For room-identification signs.
  - 1. Include fabrication and installation details and attachments to other work.
  - 2. Show sign mounting heights, locations of supplementary supports to be provided by other installers, and accessories.
  - 3. Show message list, typestyles, graphic elements, including raised characters and Braille, and layout for each sign at least half size.
- C. Samples for Initial Selection: For each type of sign assembly, exposed component, and exposed finish.
  - 1. Include representative Samples of available typestyles and graphic symbols.
- D. Product Schedule: For room-identification signs. Use same designations indicated on Drawings or specified.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer, and, manufacturer.



- B. Sample Warranty: For special warranty.

#### 1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For signs to include in maintenance manuals.

#### 1.7 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Variable Component Materials: 12 replaceable text inserts and interchangeable characters (letters, numbers, and graphic elements) of each type.
  - 2. Tools: One set(s) of specialty tools for assembling signs and replacing variable sign components.

#### 1.8 QUALITY ASSURANCE

- A. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer.

#### 1.9 FIELD CONDITIONS

- A. Field Measurements: Verify locations of anchorage devices embedded in permanent construction by other installers by field measurements before fabrication, and indicate measurements on Shop Drawings.

#### 1.10 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of signs that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Deterioration of finishes beyond normal weathering.
    - b. Deterioration of embedded graphic image.
    - c. Separation or delamination of sheet materials and components.
  - 2. Warranty Period: Two years from date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Accessibility Standard: Comply with applicable provisions in the USDOJ's "2010 ADA Standards for Accessible Design", ICC A117.1.

### 2.2 ROOM-IDENTIFICATION SIGNS

- A. Room-Identification Sign: Sign system with smooth, uniform surfaces; with message and characters having uniform faces, sharp corners, and precisely formed lines and profiles; and as follows:
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Inpro Corporation SignScape: Seoul Standard Sign Design or comparable product by one of the following:
    - a. ASI Sign Systems, Inc
    - b. Best Sign Systems, Inc.
  - 2. Laminated-Sheet Sign: Photopolymer face sheet with raised graphics laminated over subsurface graphics to acrylic backing sheet to produce composite sheet.
    - a. Composite-Sheet Thickness: Manufacturer's standard for size of sign.
    - b. Color(s): As selected by Architect from manufacturer's full range.
  - 3. Sign-Panel Perimeter: Finish edges smooth.
    - a. Edge Condition at Vertical Edges, at Horizontal Edges: Square cut.
    - b. Corner Condition in Elevation: Square.
  - 4. Mounting: Manufacturer's standard method for substrates indicated with concealed anchors, adhesive, two-face tape.
  - 5. Text and Typeface: Accessible raised characters and Braille. Finish raised characters to contrast with background color, and finish Braille to match background color.

### 2.3 SIGN MATERIALS

- A. Acrylic Sheet: ASTM D4802, category as standard with manufacturer for each sign, Type UVF (UV filtering).
- B. Vinyl Film: UV-resistant vinyl film with pressure-sensitive, permanent adhesive; die cut to form characters or images as indicated on Drawings.
- C. Paints and Coatings for Sheet Materials: Inks, dyes, and paints that are recommended by manufacturer for optimum adherence to surface and are UV and water resistant for

colors and exposure indicated.

## 2.4 ACCESSORIES

- A. Fasteners and Anchors: Manufacturer's standard as required for secure anchorage of signs, noncorrosive and compatible with each material joined, and complying with the following:
  - 1. Use concealed fasteners and anchors unless indicated to be exposed.
  - 2. Sign Mounting Fasteners:
    - a. Concealed Studs: Concealed (blind), threaded studs welded or brazed to back of sign material or screwed into back of sign assembly unless otherwise indicated.
    - b. Through Fasteners: Exposed metal fasteners matching sign finish, with type of head indicated, and installed in predrilled holes.
- B. Two-Face Tape: Manufacturer's standard high-bond, foam-core tape, 0.045 inch thick, with adhesive on both sides.

## 2.5 FABRICATION

- A. General: Provide manufacturer's standard sign assemblies according to requirements indicated.
  - 1. Preassemble signs and assemblies in the shop to greatest extent possible. Disassemble signs and assemblies only as necessary for shipping and handling limitations. Clearly mark units for reassembly and installation; apply markings in locations concealed from view after final assembly.
  - 2. Mill joints to a tight, hairline fit. Form assemblies and joints exposed to weather to resist water penetration and retention.
  - 3. Conceal connections if possible; otherwise, locate connections where they are inconspicuous.
  - 4. Provide rabbets, lugs, and tabs necessary to assemble components and to attach to existing work. Drill and tap for required fasteners. Use concealed fasteners where possible; use exposed fasteners that match sign finish.
- B. Signs with Changeable Message Capability: Fabricate signs to allow insertion of changeable messages as follows:
  - 1. For slide-in changeable inserts, fabricate slot without burrs or constrictions that inhibit function. Furnish initial changeable insert. Furnish two blank inserts for each sign for Owner's use.

## 2.6 GENERAL FINISH REQUIREMENTS

- A. Protect mechanical finishes on exposed surfaces from damage by applying a

strippable, temporary protective covering before shipping.

- B. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. General: Install signs using mounting methods indicated and according to manufacturer's written instructions.
  - 1. Install signs level, plumb, true to line, and at locations and heights indicated, with sign surfaces free of distortion and other defects in appearance.
  - 2. Install signs so they do not protrude or obstruct according to the accessibility standard.
  - 3. Before installation, verify that sign surfaces are clean and free of materials or debris that would impair installation.
- B. Accessibility: Install signs in locations on walls as indicated on Drawings, according to the accessibility standard.
- C. Mounting Methods:
  - 1. Concealed Studs: Using a template, drill holes in substrate aligning with studs on back of sign. Remove loose debris from hole and substrate surface.
    - a. Masonry Substrates: Fill holes with adhesive. Leave recess space in hole for displaced adhesive. Place sign in position and push until flush to surface, embedding studs in holes. Temporarily support sign in position until adhesive fully sets.
    - b. Thin or Hollow Surfaces: Place sign in position and flush to surface, install washers and nuts on studs projecting through opposite side of surface, and tighten.
  - 2. Through Fasteners: Drill holes in substrate using predrilled holes in sign as template. Countersink holes in sign if required. Place sign in position and flush to surface. Install through fasteners and tighten.
  - 3. Two-Face Tape: Clean bond-breaking materials from substrate surface and remove loose debris. Apply tape strips symmetrically to back of sign and of suitable quantity to support weight of sign without slippage. Keep strips away from edges to prevent visibility at sign edges. Place sign in position, and push to engage tape adhesive.

3.2 ADJUSTING AND CLEANING

- A. Remove and replace damaged or deformed signs and signs that do not comply with specified requirements. Replace signs with damaged or deteriorated finishes or components that cannot be successfully repaired by finish touchup or similar minor repair procedures.
- B. Remove temporary protective coverings and strippable films as signs are installed.
- C. On completion of installation, clean exposed surfaces of signs according to manufacturer's written instructions, and touch up minor nicks and abrasions in finish. Maintain signs in a clean condition during construction and protect from damage until acceptance by Owner.

END OF SECTION 10 14 23.16

SECTION 10 21 13.19 - PLASTIC TOILET COMPARTMENTS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Solid-plastic toilet compartments configured as toilet enclosures .

B. Related Requirements:

1. Section 06 10 00 "Rough Carpentry" for blocking .
2. Section 09 22 16 "Non-Structural Metal Framing" for blocking.
3. Section 10 28 00 "Toilet, Bath, and Laundry Accessories" for accessories mounted on toilet compartments.

1.2 COORDINATION

- A. Coordinate requirements for blocking, reinforcing, and other supports concealed within wall.

1.3 ACTION SUBMITTALS

A. Product Data:

1. Solid-plastic toilet compartments:
  - a. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for toilet compartments.

B. Shop Drawings: For solid-plastic toilet compartments.

1. Include plans, elevations, sections, details, and attachment details.
2. Show locations of cutouts for compartment-mounted toilet accessories.
3. Show locations of centerlines of toilet fixtures.
4. Show locations of floor drains.
5. Show overhead support or bracing locations.

C. Samples for Initial Selection: Manufacturer's standard color sheets, showing full range of available colors for each type of toilet compartment material indicated.

1. Include Samples of hardware and accessories involving material and color selection.

1.4 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For toilet compartments.

1.5 FIELD CONDITIONS

- A. Field Measurements: Verify actual locations of toilet fixtures, walls, columns, ceilings, and other construction contiguous with toilet compartments by field measurements, and coordinate before fabrication.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire Performance: Tested in accordance with, and pass the acceptance criteria of, NFPA 286.
- B. Regulatory Requirements: Comply with applicable provisions in the U.S. Department of Justice "2010 ADA Standards for Accessible Design" ICC A117.1 and Illinois Accessibility Code for toilet compartments designated as accessible.

2.2 SOLID-PLASTIC TOILET COMPARTMENTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Accurate Partitions Corp., an ASI Group Company.
  2. General Partitions Mfg. Corp.
  3. Hadrian Manufacturing Inc.
  4. Scranton Products.
- B. Toilet-Enclosure Style: Overhead braced Floor anchored .
- C. Door, Panel, and Pilaster Construction: Solid, high-density polyethylene (HDPE) panel material, not less than **1 inch** thick, seamless, with eased edges, no-sightline system, and with homogenous color and pattern throughout thickness of material.
1. Integral Hinges: Configure doors and pilasters to receive integral hinges.
  2. Color and Pattern: One color and pattern in each room as selected by Architect from manufacturer's full range .
- D. Pilaster Shoes : Manufacturer's standard design; stainless steel.
- E. Brackets (Fittings):
1. Stirrup Type: Ear or U-brackets, clear-anodized aluminum or stainless steel .

- F. Overhead Cross Bracing for Ceiling-Hung Units: As recommended by manufacturer and fabricated from solid polymer.

## 2.3 HARDWARE AND ACCESSORIES

- A. Hardware and Accessories, Standard Duty: Manufacturer's standard operating hardware and accessories.
  - 1. Material: Clear-anodized aluminum or Stainless steel .
  - 2. Hinges: Manufacturer's standard paired, self-closing type that can be adjusted to hold doors open at any angle up to 90 degrees , allowing emergency access by lifting door.
  - 3. Latch and Keeper: Manufacturer's standard surface-mounted latch unit, designed for emergency access, and with combination rubber-faced door strike and keeper. Provide units that comply with regulatory requirements for accessibility at compartments designated as accessible.
  - 4. Coat Hook: Manufacturer's standard combination hook and rubber-tipped bumper, sized to prevent inswinging door from hitting compartment-mounted accessories.
  - 5. Door Bumper: Manufacturer's standard rubber-tipped bumper at outswinging doors.
  - 6. Door Pull: Manufacturer's standard unit at outswinging doors that complies with regulatory requirements for accessibility. Provide units on both sides of doors at compartments designated as accessible.
- B. Overhead Bracing: Manufacturer's standard continuous, extruded-aluminum head rail with antigrip profile and in manufacturer's standard finish.
- C. Anchorages and Fasteners: Manufacturer's standard exposed fasteners of stainless steel, finished to match the items they are securing, with theft-resistant-type heads. Provide sex-type bolts for through-bolt applications. For concealed anchors, use stainless steel, hot-dip galvanized steel, or other rust-resistant, protective-coated steel compatible with related materials.

## 2.4 MATERIALS

- A. Aluminum Castings: ASTM B26/B26M.
- B. Aluminum Extrusions: **ASTM B221**.
- C. Stainless Steel Sheet: ASTM A240/A240M or ASTM A666, Type 304, stretcher-leveled standard of flatness.
- D. Stainless Steel Castings: ASTM A743/A743M.
- E. Zamac: ASTM B86, commercial zinc-alloy die castings.



## 2.5 FABRICATION

- A. Fabrication, General: Fabricate toilet compartment components to sizes indicated. Coordinate requirements and provide cutouts for through-partition toilet accessories where required for attachment of toilet accessories.
- B. Overhead-Braced Units: Provide manufacturer's standard corrosion-resistant supports, leveling mechanism, and anchors at pilasters to suit floor conditions. Provide shoes at pilasters to conceal supports and leveling mechanism.
- C. Floor-Anchored Units: Provide manufacturer's standard corrosion-resistant anchoring assemblies with leveling adjustment nuts at pilasters for structural connection to floor. Provide shoes at pilasters to conceal anchorage.
- D. Door Size and Swings: Unless otherwise indicated, provide **24-inch-** wide, inswinging doors for standard toilet compartments and **36-inch-** wide, outswinging doors with a minimum **32-inch-** wide, clear opening for compartments designated as accessible.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine areas and conditions, with Installer present, for compliance with requirements for fastening, support, alignment, operating clearances, and other conditions affecting performance of the Work.
  - 1. Confirm location and adequacy of blocking and supports required for installation.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION OF PLASTIC TOILET COMPARTMENTS

- A. General: Comply with manufacturer's written installation instructions. Install units rigid, straight, level, and plumb. Secure units in position with manufacturer's recommended anchoring devices.
  - 1. Maximum Clearances:
    - a. Pilasters and Panels: **1/2 inch.**
    - b. Panels and Walls: **1 inch.**
  - 2. Stirrup Brackets: Secure panels to walls and to pilasters with no fewer than three brackets attached at midpoint and near top and bottom of panel.
    - a. Locate wall brackets, so holes for wall anchors occur in masonry or tile joints.
    - b. Align brackets at pilasters with brackets at walls.
- B. Overhead-Braced Units: Secure pilasters to floor and level, plumb, and tighten. Set pilasters with anchors penetrating not less than **1-3/4 inches** into structural floor unless

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otherwise indicated in manufacturer's written instructions. Secure continuous head rail to each pilaster with no fewer than two fasteners. Hang doors to align tops of doors with tops of panels and adjust, so tops of doors are parallel with overhead brace when doors are in closed position.

- C. Floor-Anchored Units: Set pilasters with anchors penetrating not less than **2 inches** into structural floor unless otherwise indicated in manufacturer's written instructions. Level, plumb, and tighten pilasters. Hang doors and adjust, so tops of doors are level with tops of pilasters when doors are in closed position.

### 3.3 ADJUSTING

- A. Hardware Adjustment: Adjust and lubricate hardware according to hardware manufacturer's written instructions for proper operation. Set hinges on inswinging doors to hold doors open approximately 30 degrees from closed position when unlatched. Set hinges on outswinging doors to return doors to fully closed position.

END OF SECTION 10 21 13.19

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SECTION 10 26 00 - WALL AND DOOR PROTECTION

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Corner guards.
2. End-wall guards.

B. Related Requirements:

1. Section 087100 "Door Hardware" for metal protective trim units, according to BHMA A156.6, used for armor, kick, mop, and push plates.

1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Include construction details, material descriptions, impact strength, dimensions of individual components and profiles, and finishes.

B. Shop Drawings: For each type of wall and door protection showing locations and extent.

C. Samples for Initial Selection: For each type of impact-resistant wall-protection unit indicated, in each color and texture specified.

1. Include Samples of accent strips and accessories to verify color selection.

1.3 INFORMATIONAL SUBMITTALS

A. Sample Warranty: For special warranty.

1.4 CLOSEOUT SUBMITTALS

A. Maintenance Data: For each type of wall and door protection product to include in maintenance manuals.

1. Include recommended methods and frequency of maintenance for maintaining best condition of plastic covers under anticipated traffic and use conditions. Include precautions against using cleaning materials and methods that may be detrimental to finishes and performance.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials, from the same product run, that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
1. Corner-Guard Covers: Full-size plastic covers of maximum length equal to 2 percent of each type, color, and texture of cover installed, but no fewer than two, 48-inch- long units.
  2. Mounting and Accessory Components: Amounts proportional to the quantities of extra materials. Package mounting and accessory components with each extra material.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Store wall and door protection in original undamaged packages and containers inside well-ventilated area protected from weather, moisture, soiling, extreme temperatures, and humidity.
1. Maintain room temperature within storage area at not less than 70 deg F during the period plastic materials are stored.
  2. Keep plastic materials out of direct sunlight.
  3. Store plastic wall- and door-protection components for a minimum of 72 hours, or until plastic material attains a minimum room temperature of 70 deg F.
    - a. Store corner-guard covers in a vertical position.

1.7 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of wall- and door-protection units that fail in materials or workmanship within specified warranty period.
1. Failures include, but are not limited to, the following:
    - a. Structural failures including detachment of components from each other or from the substrates, delamination, and permanent deformation beyond normal use.
    - b. Deterioration of metals, metal finishes, plastics, and other materials beyond normal use.
  2. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain wall- and door-protection products of each type from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Surface Burning Characteristics: Comply with ASTM E84 or UL 723; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame-Spread Index: 25 or less.
  - 2. Smoke-Developed Index: 450 or less.

2.3 CORNER GUARDS

- A. Flush-Mounted, Plastic-Cover Corner Guards: Manufacturer's standard assembly consisting of snap-on, resilient vinyl cover that is flush with adjacent wall surface, installed over retainer; including mounting hardware; fabricated with 90- or 135-degree turn to match wall condition; full wall height.
  - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Inpro Corporation; 150 Flush Mount Corner Guard or comparable product by one of the following:
    - a. Construction Specialties, Inc.
    - b. Nystrom, Inc.
  - 2. Cover: Extruded rigid plastic, minimum 0.080 inch wall thickness; as follows:
    - a. Profile: Nominal 3-inch- long leg and 1/4-inch corner radius.
    - b. Height: 4 feet.
    - c. Color and Texture: As selected by Architect from manufacturer's full range.
  - 3. Continuous Retainer: Minimum 0.060-inch- thick, one-piece, extruded aluminum.
  - 4. Retainer Clips: Manufacturer's standard impact-absorbing clips.

2.4 END-WALL GUARDS

- A. Flush-Mounted, Plastic-Cover, End-Wall Guard: Manufacturer's standard assembly consisting of snap-on, resilient vinyl cover that is flush with adjacent wall surface and that covers entire end of wall, installed over continuous retainer; including mounting hardware.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Inpro Corporation: 140D Flush Mount End Wall Protector or comparable product by one of the following:
  - a. Construction Specialties, Inc.
  - b. Nystrom, Inc.
2. Cover: Extruded rigid plastic, minimum 0.080 wall thickness; as follows:
  - a. Profile: Nominal 3-inch- long leg and 1/4-inch corner radius.
  - b. Height: 4 feet.
  - c. Color and Texture: As selected by Architect from manufacturer's full range.
3. Retainer: Minimum 0.060-inch- thick, one-piece, extruded aluminum.

## 2.5 MATERIALS

- A. Vinyl: Snap on cover of .060" (1.5mm) thickness shall be made from chemical and stain-resistant unplasticized polyvinyl chloride (uPVC) with the addition of impact modifiers. No plasticizers shall be added (plasticizers may aid in bacterial growth).
- B. Aluminum: Continuous aluminum retainer of .070" (1.8mm) thickness shall be fabricated from 6063-T5 aluminum, with a mill finish.
- C. Composite Wood Products: Formaldehyde emission rates shall not be greater than the following when tested according to ASTM D 6007 or ASTM E 1333:
  1. MDF More Than 5/16 Inch Thick: 0.11 ppm.
  2. MDF 5/16 Inch or Less in Thickness: 0.13 ppm.
- D. Fasteners: Aluminum, nonmagnetic stainless-steel, or other noncorrosive metal screws, bolts, and other fasteners compatible with items being fastened. Use security-type fasteners where exposed to view.
- E. Adhesive: As recommended by protection product manufacturer.

## 2.6 FABRICATION

- A. Fabricate wall and door protection according to requirements indicated for design, performance, dimensions, and member sizes, including thicknesses of components.
- B. Factory Assembly: Assemble components in factory to greatest extent possible to minimize field assembly. Disassemble only as necessary for shipping and handling.
- C. Quality: Fabricate components with uniformly tight seams and joints and with exposed edges rolled. Provide surfaces free of wrinkles, chips, dents, uneven coloration, and other imperfections. Fabricate members and fittings to produce flush, smooth, and rigid hairline joints.

2.7 FINISHES

- A. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Vinyl Cover: Color of end wall protector to be selected by the architect from the IPC finish selection. Surface shall have a pebble texture.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and wall areas, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine walls to which wall and door protection will be attached for blocking, grounds, and other solid backing that have been installed in the locations required for secure attachment of support fasteners.
  - 1. For wall and door protection attached with adhesive, verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Complete finishing operations, including painting, before installing wall and door protection.
- B. Before installation, clean substrate to remove dust, debris, and loose particles.

3.3 INSTALLATION

- A. Installation Quality: Install wall and door protection according to manufacturer's written instructions, level, plumb, and true to line without distortions. Do not use materials with chips, cracks, voids, stains, or other defects that might be visible in the finished Work.
- B. Accessories: Provide splices, mounting hardware, anchors, trim, joint moldings, and other accessories required for a complete installation.
  - 1. Provide anchoring devices and suitable locations to withstand imposed loads.



3.4 CLEANING

- A. Immediately after completion of installation, clean plastic covers and accessories using a standard ammonia-based household cleaning agent.
- B. Remove excess adhesive using methods and materials recommended in writing by manufacturer.

END OF SECTION 10 26 00

SECTION 10 28 00 - TOILET, BATH, AND LAUNDRY ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Toilet accessories.
  - 2. Hand dryers.
  - 3. Childcare accessories.
  - 4. Underlavatory guards.
  - 5. Custodial accessories.

1.3 COORDINATION

- A. Coordinate accessory locations with other work to prevent interference with clearances required for access by people with disabilities, and for proper installation, adjustment, operation, cleaning, and servicing of accessories.
- B. Deliver inserts and anchoring devices set into concrete or masonry as required to prevent delaying the Work.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
  - 2. Include anchoring and mounting requirements, including requirements for cutouts in other work and substrate preparation.
  - 3. Include electrical characteristics.
- B. Samples: For each exposed product and for each finish specified.

1.5 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For manufacturer's special warranties.
- W&B# 23-36-006  
Crawford County Airport Au-  
thority New Terminal Building

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For accessories to include in maintenance manuals.

1.7 WARRANTY

- A. Manufacturer's Special Warranty for Mirrors: Manufacturer agrees to repair or replace mirrors that fail in materials or workmanship within specified warranty period.
1. Failures include, but are not limited to, visible silver spoilage defects.
  2. Warranty Period: 15 years from date of Substantial Completion.
- B. Manufacturer's Special Warranty for Hand Dryers: Manufacturer agrees to repair or replace hand dryers that fail in materials or workmanship within specified warranty period.
1. Warranty Period: 10 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.2 TOILET ACCESSORIES

- A. Source Limitations: Obtain public-use washroom accessories from single source from single manufacturer to greatest extent possible.
- B. Toilet Tissue (Roll) Dispenser :
1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. American Specialties, Inc.; Product 7305-2B
    - b. Bobrick Washroom Equipment, Inc.; Product B-686
    - c. Bradley Corporation; Product 5234.
  2. Description: Double-roll dispenser .
  3. Mounting: Surface mounted.
  4. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin) .
- C. Automatic Paper Towel (Roll) Dispenser :

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1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. American Specialties, Inc.; Model 68523A-4
  - b. Bobrick Washroom Equipment, Inc.; Model B-29744
2. Description: Automatic motion sensing mechanism with user-adjustable delay and paper towel length; battery powered .
3. Mounting: Semirecessed .
4. Minimum Capacity: **8-inch-** wide, **800-foot-** long roll .
5. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin) .
6. Lockset: Tumbler type.

D. Automatic Soap Dispenser :

1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. American Specialties, Inc; Model 20364.
  - b. Bradley Corporation; Model 6A00.
  - c. GAMCO Specialty Accessories; a division of Bobrick; Model G-950SA.
2. Description: Automatic dispenser with infrared sensor to detect presence of hands; battery powered; designed for dispensing soap in liquid or lotion lather form.
3. Mounting: Surface mounted.
4. Capacity: 27 oz. .

E. Grab Bar :

1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. American Specialties, Inc.
  - b. Bobrick Washroom Equipment, Inc.
  - c. Bradley Corporation.
2. Mounting: Flanges with concealed fasteners.
3. Material: Stainless steel, **0.05 inch** thick.
  - a. Finish: Smooth, ASTM A480/A480M No. 4 finish (satin) on ends and slip-resistant texture in grip area.
4. Outside Diameter: **1-1/2 inches**.
5. Configuration and Length: As indicated on Drawings .

F. Sanitary-Napkin Disposal Unit :

1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. American Specialties, Inc.; Model 20852
  - b. Bobrick Washroom Equipment, Inc.; Model Gamco ND-1
  - c. Bradley Corporation; Model 4A10.
2. Mounting: Surface mounted.
3. Door or Cover: Self-closing, disposal-opening cover.
4. Receptacle: Removable.
5. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin) .

G. Mirror Unit :

1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. American Specialties, Inc.
  - b. Bobrick Washroom Equipment, Inc.
  - c. Bradley Corporation.
2. Frame: Stainless steel angle, **0.05 inch** thick Stainless steel, fixed tilt .
  - a. Corners: Welded and ground smooth.
3. Size: As indicated on Drawings .
4. Hangers: Manufacturer's standard rigid, tamper and theft resistant .

H. Hook :

1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
  - a. American Specialties, Inc.
  - b. Bobrick Washroom Equipment, Inc.
  - c. Bradley Corporation.
2. Description: Combination door bumper and coat hook. Mount to back of each toilet compartment door.
3. Mounting: Exposed.
4. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin) .

I. Shelf:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Bradley Corporation; 755-18.
  - b. Or Approved Equal.
2. Size: 5 inches deep X 18 inches long.
3. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin).

2.3 HAND DRYERS

A. Source Limitations: Obtain hand dryers from single source from single manufacturer.

B. High-Speed Air Dryer :

1. **Manufacturers:** Subject to compliance with requirements, provide products by the following:
  - a. Excel Dryer Inc.; Xlerator Hand Dryer
2. Description: High-speed, warm -air hand dryer for rapid hand drying.
3. Mounting: Semirecessed .
  - a. Protrusion Limit: Installed unit protrudes maximum **4 inches** from wall surface.
4. Operation: Infrared-sensor activated with timed power cut-off switch.

5. Cover Material and Finish: Cast iron, with enamel finish in color selected by Architect .

## 2.4 CHILDCARE ACCESSORIES

- A. Source Limitations: Obtain childcare accessories from single source from single manufacturer.
- B. Diaper-Changing Station :
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. American Specialties, Inc.; Model 9013-9
    - b. Bradley Corporation; Model 962-11.
    - c. Koala Kare Products; a Division of Bobrick; Model KB-110-SSWM
  2. Description: Horizontal unit that opens by folding down from stored position and with child-protection strap.
  3. Mounting: Surface mounted, with unit projecting not more than 4 inches from wall when closed .
  4. Operation: By pneumatic shock-absorbing mechanism.
  5. Material and Finish: Stainless steel .
  6. Liner Dispenser: Provide built-in dispenser for disposable sanitary liners.

## 2.5 UNDERLAVATORY GUARDS

- A. Underlavatory Guard :
  1. **Products:** Subject to compliance with requirements, provide one of the following:
    - a. Buckaroos, Inc.; Soft-Guard P-Trap Protection Covers
    - b. Plumberex Specialty Products, Inc.; HANDY-SHIELD MAXX
  2. Description: Insulating pipe covering for supply and drain piping assemblies that prevents direct contact with and burns from piping; allow service access without removing coverings.
  3. Material and Finish: Antimicrobial, molded plastic, white.

## 2.6 CUSTODIAL ACCESSORIES

- A. Source Limitations: Obtain custodial accessories from single source from single manufacturer.
- B. Custodial Mop and Broom Holder :
  1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. American Specialties, Inc.; Model 0796-3
    - b. Bobrick Washroom Equipment, Inc.; Model B-223 x 36

2. Length: 36 inches .
3. Mop/Broom Holders: Three, spring-loaded, rubber hat, cam type.
4. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin).

## 2.7 SHOWER ACCESSORIES

### A. Accessible Roll-In Shower Insert.

1. Description: One-piece, fiberglass, ADA shower insert.
2. Product Features:
  - a. Size 63 inches x 34 inches x 78.875 inches
  - b. 7/8 inch barrier free threshold
  - c. Self-supporting and pre-leveled shower base
  - d. Fully reinforced walls
  - e. (3) molded soap ledges
  - f. Textured slip-resistant floor
3. Accessories:
  - a. Collapsible water retainer
  - b. Folding shower seat
  - c. Grab bars
  - d. Shower rod and curtain
  - e. Pressure balance valve with hand-held shower and slide bar
4. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - a. Freedom Showers: Model APFQ6333BF875L
  - b. Approved Equals.

## 2.8 MATERIALS

- A. Stainless Steel: ASTM A240/A240M or ASTM A666, Type 304, 0.031-inch- minimum nominal thickness unless otherwise indicated.
- B. Brass: ASTM B19, flat products; ASTM B16/B16M, rods, shapes, forgings, and flat products with finished edges; or ASTM B30, castings.
- C. Steel Sheet: ASTM A1008/A1008M, Designation CS (cold rolled, commercial steel), 0.036-inch- minimum nominal thickness.
- D. Galvanized-Steel Sheet: ASTM A653/A653M, with G60 hot-dip zinc coating.
- E. Galvanized-Steel Mounting Devices: ASTM A153/A153M, hot-dip galvanized after fabrication.
- F. Fasteners: Screws, bolts, and other devices of same material as accessory unit, unless otherwise recommended by manufacturer or specified in this Section, and tamper and theft resistant where exposed, and of stainless or galvanized steel where concealed.

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- G. Mirrors: ASTM C1503, Mirror Glazing Quality, clear-glass mirrors, nominal 6.0 mm thick.

2.9 FABRICATION

- A. General: Fabricate units with tight seams and joints, and exposed edges rolled. Hang doors and access panels with full-length, continuous hinges. Equip units for concealed anchorage and with corrosion-resistant backing plates.
- B. Keys: Provide universal keys for internal access to accessories for servicing and resupplying. Provide minimum of six keys to Owner's representative.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install accessories according to manufacturers' written instructions, using fasteners appropriate to substrate indicated and recommended by unit manufacturer. Install units level, plumb, and firmly anchored in locations and at heights indicated.
  - 1. Remove temporary labels and protective coatings.
- B. Grab Bars: Install to comply with specified structural-performance requirements.
- C. Shower Seats: Install to comply with specified structural-performance requirements.

3.2 ADJUSTING AND CLEANING

- A. Adjust accessories for unencumbered, smooth operation. Replace damaged or defective items.
- B. Clean and polish exposed surfaces according to manufacturer's written instructions.

END OF SECTION 10 28 00



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SECTION 10 44 13 - FIRE PROTECTION CABINETS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Fire-protection cabinets for the following:
  - a. Portable fire extinguisher.

- B. Related Requirements:

- 1. Section 10 44 16 "Fire Extinguishers" for portable, hand-carried fire extinguishers accommodated by fire-protection cabinets

1.3 PREINSTALLATION CONFERENCE

- A. Preinstallation Conference: Conduct conference at Project site .

- 1. Review methods and procedures related to fire-protection cabinets, including, but not limited to, the following:
  - a. Schedules and coordination requirements.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

- 1. Show door hardware, cabinet type, trim style, and panel style. Include roughing-in dimensions and details showing recessed-, semirecessed-, or surface-mounting method and relationships of box and trim to surrounding construction.
- 2. Show location of knockouts for hose valves.

- B. Shop Drawings: For fire-protection cabinets.

- 1. Include plans, elevations, sections, details, and attachments to other work.

- C. Samples for Verification: For each type of exposed finish required, prepared on samples **6 by 6 inches** square.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For fire-protection cabinets to include in maintenance manuals.

1.6 COORDINATION

- A. Coordinate size of fire-protection cabinets to ensure that type and capacity of fire extinguishers indicated are accommodated.
- B. Coordinate sizes and locations of fire-protection cabinets with wall depths.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain fire-protection cabinets, accessories, and fire extinguishers from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

2.3 FIRE-PROTECTION CABINET FE-1

- A. Cabinet Type: Suitable for fire extinguisher .
  - 1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. JL Industries, Inc.; a division of the Activar Construction Products Group.
    - b. Larsens Manufacturing Company.
    - c. Nystrom.
    - d. Potter Roemer LLC; a Division of Morris Group International.
- B. Cabinet Construction: Nonrated .
- C. Cabinet Material: Cold-rolled steel sheet .
- D. Semirecessed Cabinet: One-piece combination trim and perimeter door frame overlapping surrounding wall surface, with exposed trim face and wall return at outer edge (backbend).
  - 1. Rolled-Edge Trim: **2-1/2-inch** backbend depth.
- E. Cabinet Trim Material: Steel sheet .
- F. Door Material: Steel sheet .
- G. Door Style: Vertical duo panel with frame .

- H. Door Glazing: Tempered float glass (clear) .
- I. Door Hardware: Manufacturer's standard door-operating hardware of proper type for cabinet type, trim style, and door material and style indicated.
  - 1. Provide projecting door pull and friction latch or manufacturer's standard.
  - 2. Provide continuous hinge, of same material and finish as trim, or manufacturer's standard hinge, permitting door to open 180 degrees.
- J. Accessories:
  - 1. Identification: Lettering complying with authorities having jurisdiction for letter style, size, spacing, and location. Locate as indicated and as directed by Architect .
    - a. Identify fire extinguisher in fire-protection cabinet with the words " FIRE EXTINGUISHER ."
      - 1) Location: Applied to cabinet door .
      - 2) Application Process: Decals .
      - 3) Lettering Color: Red .
      - 4) Orientation: As indicated on Drawings.
- K. Materials:
  - 1. Cold-Rolled Steel: ASTM A1008/A1008M, Commercial Steel (CS), Type B.
    - a. Finish: Baked enamel, TGIC polyester powder coat, HAA polyester powder coat, epoxy powder coat, or polyester/epoxy hybrid powder coat, complying with AAMA 2603.
    - b. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.
    - c. Color: As selected by Architect from manufacturer's full range .
  - 2. Aluminum: **ASTM B221** for extruded shapes and aluminum sheet, with strength and durability characteristics of not less than Alloy 6063-T5 for aluminum sheet.
    - a. Finish: Clear anodic .
    - b. Color: As selected by Architect from full range of industry colors and color densities .
  - 3. Stainless Steel: ASTM A240/A240M or ASTM A666, Type 304.
    - a. Finish: ASTM A480/A480M No. 4 directional satin finish, .
  - 4. Tempered Float Glass: ASTM C1048, Kind FT, Condition A, Type I, Quality q3, 3 mm thick, Class 1 (clear) .

## 2.4 FABRICATION

- A. Fire-Protection Cabinets: Provide manufacturer's standard box (tub) with trim, frame, door, and hardware to suit cabinet type, trim style, and door style indicated.
  - 1. Weld joints and grind smooth.
  - 2. Miter corners and grind smooth.
  - 3. Provide factory-drilled mounting holes.
  - 4. Prepare doors and frames to receive locks.

- B. Cabinet Doors: Fabricate doors according to manufacturer's standards, from materials indicated and coordinated with cabinet types and trim styles.
  - 1. Fabricate door frames with tubular stiles and rails and hollow-metal design, minimum **1/2 inch** thick.
  - 2. Miter and weld perimeter door frames and grind smooth.
- C. Cabinet Trim: Fabricate cabinet trim in one piece with corners mitered, welded, and ground smooth.

## 2.5 GENERAL FINISH REQUIREMENTS

- A. Comply with NAAMM's AMP 500, "Metal Finishes Manual for Architectural and Metal Products," for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces of fire-protection cabinets from damage by applying a strippable, temporary protective covering before shipping.
- C. Finish fire-protection cabinets after assembly.
- D. Appearance of Finished Work: Noticeable variations in same piece are unacceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine walls and partitions for suitable framing depth and blocking where semirecessed cabinets will be installed.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Prepare recesses for semirecessed fire-protection cabinets as required by type and size of cabinet and trim style.

### 3.3 INSTALLATION

- A. General: Install fire-protection cabinets in locations and at mounting heights indicated
- B. Fire-Protection Cabinets: Fasten cabinets to structure, square and plumb.
  - 1. Fasten mounting brackets to inside surface of fire-protection cabinets, square and plumb.

- C. Identification:
  - 1. Apply decals at locations indicated.

#### 3.4 ADJUSTING AND CLEANING

- A. Remove temporary protective coverings and strippable films, if any, as fire-protection cabinets are installed unless otherwise indicated in manufacturer's written installation instructions.
- B. Adjust fire-protection cabinet doors to operate easily without binding. Verify that integral locking devices operate properly.
- C. On completion of fire-protection cabinet installation, clean interior and exterior surfaces as recommended by manufacturer.
- D. Touch up marred finishes, or replace fire-protection cabinets that cannot be restored to factory-finished appearance. Use only materials and procedures recommended or furnished by fire-protection cabinet and mounting bracket manufacturers.
- E. Replace fire-protection cabinets that have been damaged or have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 10 44 13

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SECTION 10 44 16 - FIRE EXTINGUISHERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes portable, hand-carried fire extinguishers and mounting brackets for fire extinguishers.
- B. Related Requirements:
  - 1. Section 10 44 13 "Fire Protection Cabinets."

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .
  - 1. Review methods and procedures related to fire extinguishers including, but not limited to, the following:
    - a. Schedules and coordination requirements.

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include rating and classification, material descriptions, dimensions of individual components and profiles, and finishes for fire extinguisher and mounting brackets.
- B. Product Schedule: For fire extinguishers. Coordinate final fire-extinguisher schedule with fire-protection cabinet schedule to ensure proper fit and function. Use same designations indicated on Drawings.

1.5 INFORMATIONAL SUBMITTALS

- A. Warranty: Sample of special warranty.



1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For fire extinguishers to include in maintenance manuals.

1.7 COORDINATION

- A. Coordinate type and capacity of fire extinguishers with fire-protection cabinets to ensure fit and function.

1.8 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace fire extinguishers that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Failure of hydrostatic test according to NFPA 10 when testing interval required by NFPA 10 is within the warranty period.
    - b. Faulty operation of valves or release levers.
  - 2. Warranty Period: Six years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. NFPA Compliance: Fabricate and label fire extinguishers to comply with NFPA 10, "Portable Fire Extinguishers."
- B. Fire Extinguishers: Listed and labeled for type, rating, and classification by an independent testing agency acceptable to authorities having jurisdiction.
  - 1. Provide fire extinguishers approved, listed, and labeled by FM Global.

2.2 PORTABLE, HAND-CARRIED FIRE EXTINGUISHERS

- A. Fire Extinguishers: Type, size, and capacity for each fire-protection cabinet and mounting bracket indicated.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. JL Industries, Inc.; a division of the Activar Construction Products Group.
    - b. Larsens Manufacturing Company.
    - c. Nystrom.
    - d. Potter Roemer LLC; a Division of Morris Group International.

2. Source Limitations: Obtain fire extinguishers, fire-protection cabinets, and accessories, from single source from single manufacturer.
  3. Valves: Manufacturer's standard .
  4. Handles and Levers: Manufacturer's standard .
  5. Instruction Labels: Include pictorial marking system complying with NFPA 10, Appendix B , and bar coding for documenting fire-extinguisher location, inspections, maintenance, and recharging.
- B. Wet-Chemical Type Class KFE-2 located in kitchenette area: UL-rated 2-A:1-B:C:K, nominal capacity, with potassium acetate -based chemical in stainless-steel container; with pressure-indicating gage.
- C. Multipurpose Dry-Chemical Type in Steel Container FE-1 and FE-2 located through-out building except as indicated for Class K extinguishers: UL-rated 2-A:10-B:C, **5-lb** nominal capacity, with monoammonium phosphate-based dry chemical in enameled-steel container.

## 2.3 MOUNTING BRACKETS

- A. Mounting Brackets: Manufacturer's standard galvanized steel, designed to secure fire extinguisher to wall or structure, of sizes required for types and capacities of fire extinguishers indicated, with plated or black baked-enamel finish.
1. Source Limitations: Obtain mounting brackets and fire extinguishers from single source from single manufacturer.
- B. Identification: Lettering complying with authorities having jurisdiction for letter style, size, spacing, and location. Locate as indicated by Architect.
1. Identify bracket-mounted fire extinguishers with the words "FIRE EXTINGUISHER" in red letter decals applied to mounting surface.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine fire extinguishers for proper charging and tagging.
1. Remove and replace damaged, defective, or undercharged fire extinguishers.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. General: Install fire extinguishers and mounting brackets in locations indicated and in compliance with requirements of authorities having jurisdiction.

SECTION 10 44 16 - FIRE  
EXTINGUISHERS

1. Mounting Brackets: Top of fire extinguisher to be at **42 inches** above finished floor.
- B. Mounting Brackets: Fasten mounting brackets to surfaces, square and plumb, at locations indicated.

END OF SECTION 10 44 16

SECTION 10 73 13 - AWNINGS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
  - 1. Fixed, cantilevered canopies.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include styles, material descriptions, construction details, fabrication details, dimensions of individual components and profiles, hardware, fittings, mounting accessories, features, and finishes for awnings.
  - 2. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
- B. Shop Drawings:
  - 1. Include plans, elevations, sections, mounting heights, and attachment details.
  - 2. Detail fabrication and assembly of awnings.
  - 3. Show locations for blocking, reinforcement, and supplementary structural support.
- C. Samples for Initial Selection: For each type of exposed finish.

1.3 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For special warranty.

1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For awnings to include in operation and maintenance manuals.

1.5 QUALITY ASSURANCE

- A. Fabricator Qualifications: Shop that employs skilled workers who custom fabricate products similar to those required for this Project and whose products have a record of successful in-service performance.

## 1.6 WARRANTY

- A. Special Warranty: Manufacturer and fabricator agree to repair or replace components of awnings that fail in materials or workmanship within specified warranty period.
1. Failures include, but are not limited to, the following:
    - a. Structural failures including framework.
    - b. Deterioration of metals, metal finishes, and other materials beyond normal weathering.
  2. Awning Warranty Period: Five years from date of Substantial Completion.

## PART 2 - PRODUCTS

## 2.1 PERFORMANCE REQUIREMENTS

- A. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

## 2.2 AWNING FRAME AND ACCESSORY MATERIALS

- A. Aluminum: Alloy and temper recommended by awning manufacturer for type of use and finish indicated and with not less than the strength and durability properties of alloy and temper required by structural loads.
1. Aluminum Plate and Sheet: ASTM B209.
  2. Aluminum Extrusions: ASTM B221.
  3. Extruded Structural Pipe and Round Tubing: ASTM B429/B429M, standard weight (Schedule 40).
  4. Sun shading elements shall consist of extruded aluminum louver blades (minimum 0.110 thickness).
  5. Intermediate framing members shall be extruded aluminum, alloy 6063-T6, in profile and thickness.
  6. Fascia shall be standard extruded 8 inch J-style fascia.
- B. Anchors, Fasteners, Fittings, Hardware, and Installation Accessories: Complying with performance requirements indicated and suitable for exposure conditions, supporting structure, anchoring substrates, and installation methods indicated. Corrosion-resistant or noncorrodible units; weather-resistant, compatible, nonstaining materials. Provide as required for awning assembly, mounting, and secure attachment. Number as needed to comply with performance requirements and to maintain uniform appearance; evenly spaced. Where exposed to view, provide finish and color as selected by Architect from manufacturer's full range.
1. Zinc-Coated High-Strength Bolts, Nuts, and Washers: ASTM

F3125/F3125M, Grade A325, Type 1, heavy-hex steel structural bolts; ASTM A563, Grade DH, heavy-hex carbon-steel nuts; and ASTM F436/F436M, Type 1, hardened carbon-steel washers, zinc coated.

- C. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D1187.

## 2.3 FIXED AWNINGS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Mapes Canopies; Cantilever Super Lumideck System or comparable product by one of the following:
1. Lawrence Fabric & Metal Structures
  2. Mitchell Metals
- B. Aluminum Finish: Manufacturer's standard or premium finish options finish complying with finish manufacturer's written instructions for surface preparation including pretreatment, application, baking, and minimum dry film thickness.
1. Color: As selected by Architect from manufacturer's full range.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for supporting members, blocking, inserts, installation tolerances, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. General: Install awnings at locations and in position indicated, securely connected to supports, free of rack, and in proper relation to adjacent construction. Use mounting methods of types described and in compliance with Shop Drawings and fabricator's written instructions.
- B. Install awnings after other finishing operations, including joint sealing and painting, have been completed.
- C. Anchoring to In-Place Construction: Use anchors, fasteners, fittings, hardware, and installation accessories where necessary for securing awnings to structural support and for properly transferring load to in-place construction.
- D. Corrosion Protection: Coat concealed surfaces of aluminum that come in contact with grout, concrete, masonry, wood, or dissimilar metals with a heavy isolation tape

membrane, lap (shingle) top layers of membrane to prevent water penetration behind membrane.

- E. Coordinate awning installation with flashing and joint-sealant installation so these materials are installed in sequence and in a manner that prevents exterior moisture from passing through completed exterior wall and roof assemblies.

### 3.3 ADJUSTING

- A. Adjust hardware and moving parts to function smoothly, and lubricate as recommended by retractable-awning manufacturer.

### 3.4 CLEANING AND PROTECTION

- A. Touch up factory-applied finishes to restore damaged or soiled areas.

END OF SECTION 10 73 13

SECTION 11 30 13 - RESIDENTIAL APPLIANCES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
  - 1. Cooking appliances.
  - 2. Kitchen exhaust ventilation.
  - 3. Refrigeration appliances.
  - 4. Cleaning appliances.

1.2 ACTION SUBMITTALS

- A. Product Data:
  - 1. Cooking appliances.
  - 2. Kitchen exhaust ventilation.
  - 3. Refrigeration appliances.
  - 4. Cleaning appliances.
- B. Product Data Submittals: For each product.
  - 1. Include installation details, material descriptions, dimensions of individual components, and finishes for each appliance.
  - 2. Include rated capacities, operating characteristics, electrical characteristics, and furnished accessories.
- C. Samples: For each exposed product and for each color and texture specified, in manufacturer's standard size.

1.3 INFORMATIONAL SUBMITTALS

- A. Sample Warranties: For manufacturers' special warranties.

1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For each residential appliance to include in operation and maintenance manuals.

1.5 WARRANTY

- A. Special Warranties: Manufacturer agrees to repair or replace residential appliances or components that fail in materials or workmanship within specified warranty period.



1. Warranty Period: Two years from date of Substantial Completion.
- B. Electric Cooktop: Full warranty, including parts and labor, or on-site service on surface-burner elements.
  1. Warranty Period: Two years from date of Substantial Completion.
- C. Microwave Oven: Full warranty, including parts and labor, for on-site service on the magnetron tube.
  1. Warranty Period: Two years from date of Substantial Completion.
- D. Refrigerator/Freezer, Freezer, and Ice maker, Sealed System: Full warranty, including parts and labor for on-site service on the product.
  1. Warranty Period for Sealed Refrigeration System: Two years from date of Substantial Completion.
  2. Warranty Period for Other Components: Two years from date of Substantial Completion.
- E. Dishwasher: Full warranty, including parts and labor for on-site service on the product.
  1. Warranty Period for Deterioration of Tub and Metal Door Liner: Three from date of Substantial Completion.
  2. Warranty Period for Other Components: Two years from date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 SOURCE LIMITATIONS

- A. Obtain each type of residential appliance from single manufacturer.

### 2.2 PERFORMANCE REQUIREMENTS

- A. Electrical Appliances: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Accessibility: Where residential appliances are indicated to comply with accessibility requirements, comply with applicable provisions in the DOJ's 2010 ADA Standards for Accessible Design, Illinois Accessibility Code (Current Version).

### 2.3 COOKING APPLIANCES

- A. Electric Cooktop:
  1. Basis-of-Design Product: Subject to compliance with requirements, provide GE;

30" Electric Cooktop; Model: JEP5030STSS or comparable product by one of the following:

- a. Frigidaire
  - b. Jennair; Whirlpool Corporation
2. Width: 30 inches.
  3. Electric Burner Elements: Five.
    - a. Radiant Type: One, 1200 W, Two 1500 W, One 2200 W, and One 3100W.
  4. Controls: Digital panel controls, located accessible (ADA compliant) .
  5. Top Material: Ceramic glass.
    - a. Color/Finish: Black.

## 2.4 KITCHEN EXHAUST VENTILATION

### A. Overhead Exhaust Hood:

1. Basis-of-Design Product: Subject to compliance with requirements, provide GE; Under the Cabinet Hood; Model JVX5300SJSS or comparable product by one of the following:
  - a. Frigidaire
  - b. Jennair; Whirlpool Corporation
2. Type: Wall-mounted, exhaust-hood system.
3. Dimensions:
  - a. Width: 30 inches.
  - b. Depth: 20".
4. Exhaust Fan: Two-speed fan built into hood and with manufacturer's standard capacity.
  - a. Venting: Nonvented, recirculating type with charcoal filter.
  - b. Fan Control: Hood-mounted touch-pad to control fan switch, with separate hood-light control switch.
5. Duct Type: Manufacturer's standard.
6. Finish: Stainless steel.

## 2.5 REFRIGERATION APPLIANCES

### A. Refrigerator/Freezer: Two-door refrigerator/freezer with freezer on top and complying with AHAM HRF-1.

1. Basis-of-Design Product: Subject to compliance with requirements, provide GE;

Top-Freezer Refrigerator; Model: GTE21GSHSS or comparable product by one of the following:

- a. Frigidaire
  - b. Jennair; Whirlpool Corporation
2. Type: Freestanding.
  3. Dimensions:
    - a. Width: 33 inches.
    - b. Depth: 34 inches.
    - c. Height: 66 3/4 inches.
  4. Storage Capacity:
    - a. Refrigeration Compartment Volume: 15.6 cu. ft., 15.1 cu.ft..
    - b. Freezer Volume: 5.1 cu. ft..
  5. Refrigerator Features:
    - a. Interior light in refrigeration compartment.
    - b. Door Storage: Modular compartments.
    - c. Temperature-controlled meat/deli bin.
  6. Freezer Features: One freezer compartment(s) with door(s).
    - a. Interior light in freezer compartment.
    - b. Automatic icemaker and storage bin.
  7. Front Panel(s): Stainless steel.
  8. Appliance Color/Finish: Stainless steel.

B. Under-counter Refrigerator

1. Basis of Design Product: Subject to compliance with requirements, provide GE; Compact Refrigerator; GCE06GSHB or comparable product by one of the following:
  - a. Frigidaire
  - b. Jenairl Whirlpool Corporation
2. Dimensions:
  - a. Width: 23-5/8 inches
  - b. Depth: 23-3/4 inches
  - c. Height: 34-1/8 inches
3. Storage Capacity: 5.60 cu. ft.
4. Features:
  - a. Interior lighting.

- b. Two glass shelves.
- c. Clear crisper.
- d. Door shelves.
- e. Can rack.
- f. Freezer compartment with 0.49 cu. ft. capacity with two mini ice trays

5. Appliance Color/Finish: Stainless steel.

## 2.6 CLEANING APPLIANCES

A. Dishwasher: Complying with AHAM DW-1.

1. Basis-of-Design Product: Subject to compliance with requirements, provide GE; ADA Compliant Dishwasher' Model GDT226SSLSS or comparable product by one of the following:
  - a. Frigidaire
  - b. Jennair; Whirlpool Corporation
2. Type: Built-in undercounter.
3. Dimensions:
  - a. Width: 24 inches.
  - b. Depth: 23 inches.
  - c. Height: 32.25 inches.
4. Sound Level: Maximum 51 dB.
5. Tub and Door Liner: Manufacturer's standard with sealed detergent and automatic rinsing-aid dispensers.
6. Rack System: Nylon-coated sliding dish racks, with removable cutlery basket.
7. Controls: Touch-pad controls with 3 wash cycles and hot-air and heat-off drying cycle options.
8. Front Panel: Stainless steel.
9. Appliance Color/Finish: Stainless steel.

## 2.7 GENERAL FINISH REQUIREMENTS

- A. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances, power connections, and other conditions affecting installation and performance of residential appliances.
- B. Examine roughing-in for piping systems to verify actual locations of piping connections before appliance installation.
- C. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION

- A. Install appliances according to manufacturer's written instructions.
- B. Built-in Equipment: Securely anchor units to supporting cabinets or countertops with concealed fasteners. Verify that clearances are adequate for proper functioning and that rough openings are completely concealed.
- C. Freestanding Equipment: Place units in final locations after finishes have been completed in each area. Verify that clearances are adequate to properly operate equipment.

## 3.3 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Perform visual, mechanical, and electrical inspection and testing for each appliance according to manufacturers' written recommendations. Certify compliance with each manufacturer's appliance-performance parameters.
  - 2. Leak Test: After installation, test for leaks. Repair leaks and retest until no leaks exist.
  - 3. Operational Test: After installation, start units to confirm proper operation.
  - 4. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and components.
- B. An appliance will be considered defective if it does not pass tests and inspections. END OF SECTION 11 30 13

SECTION 12 36 23.13 - PLASTIC-LAMINATE-CLAD COUNTERTOPS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes
  - 1. Plastic-laminate-clad countertops.
  - 2. Alternate No. 4: Provide plastic laminate covered particle board window stools in lieu of solid surface window stools.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For plastic-laminate-clad countertops.
  - 1. Include plans, sections, details, and attachments to other work. Detail fabrication and installation, including field joints.
  - 2. Show locations and sizes of cutouts and holes for items installed in plastic-laminate-clad countertops.
- C. Samples: Plastic laminates in each type, color, pattern, and surface finish required in manufacturer's standard size.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer and fabricator.

1.5 QUALITY ASSURANCE

- A. Fabricator Qualifications: Shop that employs skilled workers who custom fabricate products similar to those required for this Project and whose products have a record of successful in-service performance.
- B. Installer Qualifications: Fabricator of products.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver countertops only after casework and supports on which they will be installed have been completed in installation areas.
- B. Store countertops in areas where environmental conditions comply with requirements specified in "Field Conditions" Article.
- C. Keep surfaces of countertops covered with protective covering during handling and installation.

1.7 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install countertops until building is enclosed, wet-work is complete, and HVAC system is operating and maintaining temperature and relative humidity at levels planned for building occupants during the remainder of the construction period.
- B. Field Measurements: Where countertops are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication and indicate measurements on Shop Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.

PART 2 - PRODUCTS

2.1 PLASTIC-LAMINATE-CLAD COUNTERTOPS

- A. Quality Standard: Unless otherwise indicated, comply with the "Architectural Woodwork Standards" for grades of plastic-laminate-clad countertops indicated for construction, finishes, installation, and other requirements.
  - 1. Provide inspections of fabrication and installation together with labels and certificates from certification program indicating that countertops comply with requirements of grades specified.
  - 2. The Contract Documents contain requirements that are more stringent than the referenced quality standard. Comply with requirements of Contract Documents in addition to those of the referenced quality standard.
- B. Grade: Custom.
- C. High-Pressure Decorative Laminate: NEMA LD 3, Grade HGS.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Formica Corporation.
    - b. Pionite; a Panolam Industries International, Inc. brand.
    - c. Wilsonart LLC.

- D. Colors, Patterns, and Finishes: Provide materials and products that result in colors and textures of exposed laminate surfaces complying with the following requirements:
  - 1. As selected by Architect from manufacturer's full range in the following categories:
    - a. Solid colors, gloss or matte finish.
    - b. Solid colors with core same color as surface, gloss or matte finish.
    - c. Wood grains, gloss or matte finish with grain running parallel to length of countertop.
    - d. Patterns, gloss or matte finish.
- E. Edge Treatment: Same as laminate cladding on horizontal surfaces.
- F. Core Material: Particleboard or MDF.
- G. Core Material at Sinks: Particleboard made with exterior glue.
- H. Core Thickness: **3/4 inch**.
  - 1. Build up countertop thickness to **1-1/2 inches** at front, back, and ends with additional layers of core material laminated to top.
- I. Backer Sheet: Provide plastic-laminate backer sheet, NEMA LD 3, Grade BKL, on underside of countertop substrate.
- J. Paper Backing: Provide paper backing on underside of countertop substrate.

## 2.2 WOOD MATERIALS

- A. Wood Products: Provide materials that comply with requirements of referenced quality standard unless otherwise indicated.
  - 1. Wood Moisture Content: 5 to 10 percent.
- B. Composite Wood and Agrifiber Products: Provide materials that comply with requirements of referenced quality standard for each type of countertop and quality grade specified unless otherwise indicated.
  - 1. Particleboard: ANSI A208.1, Grade M-2 and Grade M-2-Exterior Glue.

## 2.3 ACCESSORIES

- A. Wire-Management Grommets: Circular, molded-plastic grommets and matching plastic caps with slot for wire passage.
  - 1. Outside Diameter: **1-1/4 inch**.
  - 2. Color: Black,



## 2.4 MISCELLANEOUS MATERIALS

- A. Adhesive for Bonding Plastic Laminate: As selected by fabricator to comply with requirements.
  - 1. Adhesive for Bonding Edges: Hot-melt adhesive or adhesive specified above for faces.

## 2.5 FABRICATION

- A. Sand fire-retardant-treated wood lightly to remove raised grain on exposed surfaces before fabrication.
- B. Fabricate countertops to dimensions, profiles, and details indicated. Provide front and end overhang of **1 inch** over base cabinets. Ease edges to radius indicated for the following:
  - 1. Solid-Wood (Lumber) Members: **1/16 inch** unless otherwise indicated.
- C. Complete fabrication, including assembly, to maximum extent possible before shipment to Project site. Disassemble components only as necessary for shipment and installation. Where necessary for fitting at site, provide ample allowance for scribing, trimming, and fitting.
  - 1. Notify Architect seven days in advance of the dates and times countertop fabrication will be complete.
  - 2. Trial fit assemblies at fabrication shop that cannot be shipped completely assembled. Install dowels, screws, bolted connectors, and other fastening devices that can be removed after trial fitting. Verify that various parts fit as intended, and check measurements of assemblies against field measurements before disassembling for shipment.
- D. Shop cut openings to maximum extent possible to receive appliances, plumbing fixtures, electrical work, and similar items. Locate openings accurately, and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.
  - 1. Seal edges of cutouts by saturating with varnish.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Before installation, condition countertops to average prevailing humidity conditions in installation areas.

- B. Before installing countertops, examine shop-fabricated work for completion and complete work as required, including removal of packing.

### 3.2 INSTALLATION

- A. Grade: Install countertops to comply with same grade as item to be installed.
- B. Assemble countertops and complete fabrication at Project site to the extent that it was not completed in the shop.
  - 1. Provide cutouts for appliances, plumbing fixtures, electrical work, and similar items. Locate openings accurately, and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.
  - 2. Seal edges of cutouts by saturating with varnish.
- C. Field Jointing: Where possible, make in the same manner as shop jointing, using dowels, splines, adhesives, and fasteners recommended by manufacturer. Prepare edges to be joined in shop so Project-site processing of top and edge surfaces is not required. Locate field joints where shown on Shop Drawings.
  - 1. Secure field joints in countertops with concealed clamping devices located within **6 inches** of front and back edges and at intervals not exceeding **24 inches**. Tighten according to manufacturer's written instructions to exert a constant, heavy-clamping pressure at joints.
- D. Scribe and cut countertops to fit adjoining work, refinish cut surfaces, and repair damaged finish at cuts.
- E. Countertop Installation: Anchor securely by screwing through corner blocks of base cabinets or other supports into underside of countertop.
  - 1. Install countertops level and true in line. Use concealed shims as required to maintain not more than a **1/8-inch-in-96-inches** variation from a straight, level plane.
  - 2. Secure backsplashes to tops with concealed metal brackets at **16 inches** o.c. and to walls with adhesive.
  - 3. Seal joints between countertop and backsplash, if any, and joints where countertop and backsplash abut walls with mildew-resistant silicone sealant or another permanently elastic sealing compound recommended by countertop material manufacturer.

### 3.3 ADJUSTING AND CLEANING

- A. Repair damaged and defective countertops, where possible, to eliminate functional and visual defects. Where not possible to repair, replace countertops. Adjust joinery for uniform appearance.

SECTION 12 36 23.13 -  
PLASTIC-LAMINATE-CLAD  
COUNTERTOPS

- B. Clean countertops on exposed and semi-exposed surfaces.
- C. Protection: Provide Kraft paper or other suitable covering over countertop surfaces, taped to underside of countertop at a minimum of 48 inches o.c. Remove protection at Substantial Completion.

END OF SECTION 12 36 23.13

SECTION 12 36 61.16 - SOLID SURFACING COUNTERTOPS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
  - 1. Solid surface material countertops.
  - 2. Solid surface material backsplashes.
  - 3. Solid surface material end splashes.
  - 4. Solid surface window stools.

1.3 ACTION SUBMITTALS

- A. Product Data: For countertop materials.
- B. Shop Drawings: For countertops. Show materials, finishes, edge and backsplash profiles, methods of joining, and cutouts for plumbing fixtures.
  - 1. Show locations and details of joints.
  - 2. Show direction of directional pattern, if any.
- C. Samples for Initial Selection: For each type of material exposed to view.

1.4 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For solid surface material countertops to include in maintenance manuals. Include Product Data for care products used or recommended by Installer and names, addresses, and telephone numbers of local sources for products.

1.5 QUALITY ASSURANCE

- A. Fabricator Qualifications: Shop that employs skilled workers who custom-fabricate countertops similar to that required for this Project, and whose products have a record of successful in-service performance.
- B. Installer Qualifications: Fabricator of countertops.

- C. Mockups: Build mockups to demonstrate aesthetic effects and to set quality standards for fabrication and execution.
  - 1. Build mockup of typical countertop as shown on Drawings.
  - 2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

#### 1.6 FIELD CONDITIONS

- A. Field Measurements: Verify dimensions of countertops by field measurements after base cabinets are installed but before countertop fabrication is complete.

#### 1.7 COORDINATION

- A. Coordinate locations of utilities that will penetrate countertops or backsplashes.

### PART 2 - PRODUCTS

#### 2.1 SOLID SURFACE COUNTERTOP AND WINDOW STOOL MATERIALS

- A. Solid Surface Material: Homogeneous-filled plastic resin complying with ICPA SS-1.
  - 1. **Manufacturers:** Subject to compliance with requirements, provide products by one of the following:
    - a. Corian Solid Surface.
    - b. Formica Corporation.
    - c. Wilsonart LLC.
  - 2. Type: Provide Standard type unless Special Purpose type is indicated.
  - 3. Colors and Patterns: As selected by Architect from manufacturer's full range.

#### 2.2 COUNTERTOP AND WINDOW STOOL FABRICATION

- A. Fabricate countertops according to solid surface material manufacturer's written instructions and to the AWI/AWMAC/WI's "Architectural Woodwork Standards."
  - 1. Grade: Custom .
- B. Countertops: **1/2-inch-** thick, solid surface material with front edge built up with same material.
- C. Backsplashes: **1/2-inch-** thick, solid surface material.
- D. Window Stools: 1/2 inch thick, solid surface material,

- E. Fabricate tops with shop-applied edges unless otherwise indicated. Comply with solid surface material manufacturer's written instructions for adhesives, sealers, fabrication, and finishing.
- F. Joints: Fabricate countertops in sections for joining in field.
  - 1. Joint Locations: Not within **18 inches** of a sink or cooktop and not where a countertop section less than **36 inches** long would result, unless unavoidable.
  - 2. Splined Joints: Accurately cut kerfs in edges at joints for insertion of metal splines to maintain alignment of surfaces at joints. Make width of cuts slightly more than thickness of splines to provide snug fit. Provide at least three splines in each joint.

### 2.3 INSTALLATION MATERIALS

- A. Adhesive: Product recommended by solid surface material manufacturer.
- B. Sealant for Countertops: Comply with applicable requirements in Section 07 92 00 "Joint Sealants."

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates to receive solid surface material countertops and conditions under which countertops will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of countertops.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Install countertops level to a tolerance of **1/8 inch in 8 feet, 1/4 inch** maximum. Do not exceed **1/64-inch** difference between planes of adjacent units.
- B. Fasten countertops by screwing through corner blocks of base units into underside of countertop. Pre-drill holes for screws as recommended by manufacturer. Align adjacent surfaces and, using adhesive in color to match countertop, form seams to comply with manufacturer's written instructions. Carefully dress joints smooth, remove surface scratches, and clean entire surface.
- C. Bond joints with adhesive and draw tight as countertops are set. Mask areas of countertops adjacent to joints to prevent adhesive smears.

SECTION 12 36 61.16 -  
SOLID SURFACING  
COUNTERTOPS

1. Install metal splines in kerfs in countertop edges at joints. Fill kerfs with adhesive before inserting splines and remove excess immediately after adjoining units are drawn into position.
  2. Clamp units to temporary bracing, supports, or each other to ensure that countertops are properly aligned and joints are of specified width.
- D. Install backsplashes and end splashes by adhering to wall and countertops with adhesive. Mask areas of countertops and splashes adjacent to joints to prevent adhesive smears.
- E. Apply sealant to gaps at walls; comply with Section 07 92 00 "Joint Sealants."

END OF SECTION 12 36 61.16

PART 1 GENERAL

1.1 SECTION INCLUDES

A. Alternate Bid #2:

1. General Contractor shall provide manufacturer engineered, shop fabricated structural steel frame system with color coated metal roof panels, color coated metal wall panels, gutters, downspouts, snow rail/guard system, insulation system, flashing and counter flashings systems as indicated on the drawings and specified herein including.
  - a. Provide air tight insulation system at all locations to totally block exterior air from reaching water systems including plumbing and sprinkler systems as required.
  - b. Coordinate with all other work prior to bid including Civil, Structural, Mechanical, Electrical, and Plumbing systems as required.
  - c. Coordinate with all requirements related to Bifold Hangar Doors, Section 08 33 30, including structural coordination and support prior to bid as required.

1.2 RELATED REQUIREMENTS

- A. Section 01 23 00 – Alternate Bids.
- B. Section 07 92 00 - Joint Sealants: Sealing joints between accessory components and wall system.
- C. Section 08 11 13 - Hollow Metal Doors and Frames.
- D. Section 08 33 30 – Bifold Hangar Door.

1.3 REFERENCE STANDARDS

- A. AISC:
  1. AISC 360 – Specification for Structural Steel Buildings; 2017.
  2. AISC 341 – Seismic Provisions for Structural Steel Buildings; 2016
  3. AISC 358 - Prequalified Connections for Special and Intermediate Steel Moment Frames for Seismic Applications; 2010
- B. ASTM A153/A153M - Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware; 2009.
- C. ASTM A307 - Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength; 2014.



- D. ASTM A500/A500M - Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes; 2013.
- E. ASTM A501/A501M - Standard Specification for Hot-Formed Welded and Seamless Carbon Steel Structural Tubing; 2014.
- F. ASTM A529/A529M - Standard Specification for High-Strength Carbon-Manganese Steel of Structural Quality; 2014.
- G. ASTM A572/A572M - Standard Specification for High-Strength Low-Alloy Columbium-Vanadium Structural Steel; 2015.
- H. ASTM A653/A653M - Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process; 2015.
- I. ASTM C1107/C1107M - Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink); 2014.
- J. AWS A2.4 - Standard Symbols for Welding, Brazing, and Nondestructive Examination; 2012.
- K. Comply with International Building Code (IBC) 2018.

#### 1.4 SUBMITTALS

- A. Product Data: Provide data on profiles, component dimensions, fasteners.
- B. Shop Drawings: Indicate assembly dimensions, locations of structural members, connections; wall and roof system dimensions, panel layout, general construction details, anchorages and method of anchorage, installation; framing anchor bolt settings, sizes, and locations from datum, foundation loads; indicate welded connections with AWS A2.4 welding symbols; indicate net weld lengths; provide professional seal and signature.
- C. Samples: Submit two samples of precoated metal panels for each color selected, 6 inch in size illustrating color and texture of finish.
- D. Manufacturer's Instructions: Indicate preparation requirements, anchor bolt placement .
- E. Erection Drawings: Indicate members by label, assembly sequence, and temporary erection bracing.
- F. Project Record Documents: Record actual locations of concealed components and utilities.

1.5 QUALITY ASSURANCE

- A. Design structural components, develop shop drawings, and perform shop and site work under direct supervision of a Structural Engineer experienced in design of this Work.
  - 1. Design Engineer Qualifications with sealed shop drawings: Licensed in the State in which the Project is located.
  - 2. Conform to applicable code for submission of design calculations as required for acquiring permits.
- B. Manufacturer Qualifications: Company specializing in the manufacture of products similar to those required for this project.
  - 1. Not less than 3 years of documented experience

1.6 WARRANTY

- A. Provide 20-year manufacturer warranty.
  - 1. Include coverage for exterior pre-finished surfaces to cover pre-finished color coat against chipping, cracking or crazing, blistering, peeling, chalking, or fading.
- B. Provide Manufacturer's building system warranty for 5 years.

PART 2 PRODUCTS

2.1 MANUFACTURERS

- A. Metal Buildings:
  - 1. Allied Steel Buildings; [www.alliedbuildings.com](http://www.alliedbuildings.com).
  - 2. Star Building Systems; [www.starbuildings.com](http://www.starbuildings.com).
  - 3. Metallic Building Systems; [www.metallic.com](http://www.metallic.com).

2.2 METAL BUILDING

- A. Single span rigid frame.
- B. Bay Spacing: See drawings.
- C. Primary Framing: Rigid frame of rafter beams and columns, end wall columns, and bracing.
- D. Secondary Framing: Purlins, and other items detailed.
- E. Wall System: Preformed, color-coated, concealed fastener, smooth finish, 24 gauge, architectural metal panels with sub-girt framing/anchorage assembly and insulation, and all required assessor components.

- F. Roof System: Preformed, color-coated, concealed fastener, standing seam roof panel oriented parallel to slope, with sub-girt framing/anchorage assembly and insulation, and accessory components. Capable of minimum slope of ¼":12".
- G. Roof Slope: See drawings.
- H. Seismic Lateral Load Resisting System to comply with Seismic Design Category D with local acceleration as indicated on the construction documents.

### 2.3 MATERIALS - FRAMING

- A. Structural Steel Members: ASTM A572/A572M, Grade 50. Min.
- B. Structural Tubing: ASTM A500/A500M, Grade C cold-formed. Min.
- C. Plate or Bar Stock: ASTM A529/A529M , Grade 50.Min.
- D. Anchor Bolts: ASTM A307, galvanized to ASTM A153/A153M. Min.
- E. Welding Materials: Type required for materials being welded.
- F. Primer: SSPCC-15. Min.
- G. Grout: ASTM C1107/C1107M, Non-shrink type, premixed compound consisting of non-metallic aggregate, cement, water reducing and plasticizing agents, capable of developing minimum compressive strength of 2400 psi (17 MPa) in two days and 7000 psi (48 MPa) in 28 days. Min. Coordinate depth with structural drawings and specifications as required,
- H. Metal framing materials as outlined herein as required or applicable to BASE BID and ALTERNATE BIDS #1, #2, #3, #4, AND #5.

### 2.4 MATERIALS – WALL AND ROOF PANELS:

- A. Steel Sheet: Hot-dipped galvanized steel sheet, ASTM A653/A653M, Designation SS (structural steel), Grade 33 (230), with G90/Z275 coating.
- B. Fasteners: Manufacturer's standard type, galvanized to comply with requirements of ASTM A153/A153M, finish to match adjacent surfaces when exterior exposed.
- C. Bituminous Paint: Asphaltic type.
- D. Sealant: Manufacturer's standard type.
- E. Trim, Closure Pieces, Caps, Flashings, Gutters, Downspouts, Rain Water Diverter, Fascias, and Infills: Same material, thickness, finish and color as adjacent exterior sheets; brake formed to required profiles.

2.6 DESIGN CRITERIA

- A. Walls thermal resistance: U Value of 0.052 minimum; in compliance with International Energy Conservation Code (Current Edition). Provide standard fabric liner system at insulation with perm rating of 0.01 minimum.
- B. Roof thermal resistance: U Value of 0.035 minimum; in compliance with International Energy Conservation Code (Current Edition). Provide standard fabric liner system at insulation with perm rating of 0.01 minimum.
- C. Fabric liner shall be at interior face and white color.
- D. Structural Design Requirements: Seismic Lateral Load Resisting System to comply with Seismic Design Category D with local acceleration as indicated on the construction documents. Coordinate prior to bid as required.
- E. Provide drainage to exterior for water entering or condensation occurring within wall or roof system.
- F. Size and fabricate wall and roof systems free of distortion or defects detrimental to appearance or performance.

2.7 FABRICATION - FRAMING

- A. Fabricate members in accordance with AISC 360 for plate, bar, tube, or rolled structural shapes.
- B. Anchor Bolts: Formed with bent shank, assembled with template for casting into concrete.
- C. Provide wall opening framing for doors, and other accessory components.

2.8 FABRICATION – WALL AND ROOF:

- A. Siding: Standard 24 gage (min.), Manufacturer's standard smooth, architectural, concealed fastener panels.
  - 1. Wall Panel Profile: 12" wide coverage (min.) Smooth finish.
- B. Roofing: Standard 24 gage (min.), Manufacturer's standard ribbed roof panels with concealed fasteners.
  - 1. Roof Panel Profile (Standing Seam): 12" wide coverage with 2" high standing seams. Smooth Striated (standard) finish.
- D. Internal and External Corners: Same material and finish as adjacent material brake formed to required angles and sizes.
- E. Girts and Purlins: Rolled formed structural shape to receive siding, roofing and liner panels as indicated on the drawings.

- F. Flashings, Closer Pieces, Facia, gutters and downspouts: Same material and finish as adjacent material as indicated on the drawings.
- G. Fasteners: maintain load requirements and weather tight installation, same finish as cladding and non-corrosive type.

## 2.9 FABRICATION - GUTTERS AND DOWNSPOUTS

- A. Fabricate of same material and finish as roofing metal.
- B. Form gutters and downspouts of profile to collect and remove water. Fabricate with connection pieces.
- C. Form sections with hem at exposed edges. Provide gutter expansion joints as recommended by metal building manufacturer between downspouts.
- D. Fabricate support straps of same material and finish as adjacent metal, colors as selected by Architect.

## 2.10 FINISHES

- A. Framing Members: Clean, prepare, and shop prime. Do not prime surfaces to be field welded.
- B. Exterior Surfaces for Wall and Roof Panels, Components and Accessories: Provide Kynar 500 PVDF resin-based coating. Colors as selected from manufacturer's standard range of colors.
- C. Match metal corner trim, and downspouts to adjacent metal wall panel color.
- D. Exterior metal gutters, facia, and facia trim to match color of roof panel color.

## PART 3 EXECUTION

### 3.1 EXAMINATION

- A. Verify that foundation, floor slab, mechanical and electrical utilities, and placed anchors are in correct position

### 3.2 ERECTION - FRAMING

- A. Erect framing in accordance with AISC 360.
- B. Provide for erection and wind loads. Provide temporary bracing to maintain structure plumb and in alignment until completion of erection and installation of permanent bracing. Locate braced bays as indicated.
- C. Set column base plates with non-shrink grout to achieve full plate bearing.
- D. Do not field cut or alter structural members without approval.
- E. After erection, prime welds, abrasions, and surfaces not shop primed.

3.3 ERECTION – WALL AND ROOF:

- A. Install in accordance with manufacturer's instructions.
- B. Exercise care when cutting prefinished material to ensure cuttings do not remain on finish surface.
- C. Fasten cladding system to structural supports, aligned level and plumb.
- D. Locate end laps over supports. End laps minimum 2 inches (50 mm). Place side laps over bearing.
- E. Provide expansion joints as required.
- F. Use concealed fasteners at roof and wall panels.
- H. Install sealant and gaskets, providing weather tight installation.

3.4 ERECTION - GUTTERS AND DOWNSPOUTS

- A. Rigidly support and secure components. Join lengths with formed seams sealed watertight. Flash and seal gutters to downspouts.
- B. Slope gutters to drain.
- C. Connect downspouts at grade with cast iron downspout boot with connection to storm sewer piping; see drawings including Civil drawings.

3.5 TOLERANCES

- A. Framing Members: 1/4 inch (6 mm) from level; 1/8 inch (3 mm) from plumb.
- B. Siding and Roofing: 1/8 inch (3 mm) from true position.

END OF SECTION 13 34 19